The Effect of Vidian Neurectomy on the Ocular Surface – The Primary Results from a Six-Month Pilot Study

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Purpose: To evaluate the effect of vidian neurectomy (VN) on the ocular surface and the possibility of dry eye in the treatment of allergic rhinitis.

Methods: Twelve participants were recruited in this prospective study. Prior to and after 1 and 6 months of VN, an ocular surface disease index (OSDI) questionnaire was obtained, and the Schirmer’s tear test (STT), break-up time (BUT), corneal fluorescence staining (CFS) score, and Keratograph 5M were used to evaluate the ocular surface condition.

Results: Two patients (16.67%) met the dry eye diagnosis criteria one month after surgery; however, their symptoms were relieved after to 3–4 months and none of them met the diagnostic criteria for dry eye after six months. Compared with the baseline values, the STT was significantly reduced (P<0.002), while the tear meniscus height (TMH) (P=0.262), break-up time (BUT) (P=0.916), first keratographic tear film break-up time (NK-BUTave) (P=0.791), and average keratographic break-up time (NK-BUTave) (P=0.970) did not change significantly 6 months after surgery. The degree of STT decreased from baseline to 6-month and was related to the basic STT (ρ= 0.837, P=0.001) and sex (ρ= −0.584, P= 0.026) but not to age, OSDI score, BUT, NK-BUTave or CFS (all P>0.05). Among these factors, STT at baseline was confirmed to be a predictor of a decline in tear secretion after surgery (B = 0.731, P<0.001).

Conclusion: In this 6-month prospective pilot study, decreased tearing was observed after VN, but this decrease did not increase the possibility of dry eyes.

Keywords: vidian neurectomy, ocular surface, dry eye

Introduction

The Vidian nerve, formed by the confluence of presynaptic parasympathetic fibers and postsynaptic sympathetic fibers,1 governs the nasal mucosa, palate, and lacrimal gland. By blocking cholinergic innervation, vidian neurectomy (VN) is performed to inhibit excessive activity of the parasympathetic system, which is utilized for treating allergic and chronic rhinitis, with particular efficacy in intractable vasomotor rhinitis.1–3 Although its efficacy in decreasing rhinorrhea has been demonstrated in several studies, 23.8–100%1,4–6 of patients were reported to have dry eye after VN. The occurrence of severe bilateral neurotropic keratopathy associated with dry eye indicates that ocular surface complications after VN10 cannot be ignored. However, to the best of our knowledge, no studies have investigated the effects of VN on the ocular surface, especially on the tear film, and its impact on patients’ lives.
Dry eye is a common disorder of tear secretion on the ocular surface, which disturbs 5–50% of the population and deteriorates their quality of life.\textsuperscript{11} Dry eye symptoms (DES) have typically been evaluated by patient reporting rather than by using an ocular surface disease index (OSDI) questionnaire and objective testing of tear volume. Therefore, this prospective study aimed to evaluate the effect of VN on the ocular surface and the possibility of DES use in treating allergic rhinitis.

**Methods**
This prospective study was performed between January 2022 until August 2022 at the Eye and ENT Hospital, Fudan University, Shanghai, China. This study was performed in accordance with the tenets of the Declaration of Helsinki and approved by the Medical Ethics Committee of the Eye and ENT Hospital, Fudan University, Shanghai, China (EENTIRB-2019097-1). Written informed consent was obtained from all participants and their parents after the study was explained.

**Participants**
All participants underwent VN using endoscopic endonasal approaches, with the sphenoid process of the palatine bone as a landmark, as described by Zhao et al. No eye drops were used after the surgery.

Participants were included in the study if they met the following inclusion criteria: (1) aged between 18 and 60 years, (2) had allergic rhinitis requiring vidian neurectomy, and (3) agreed to be enrolled in the study by both participants and their parents via informed consent.

Participants were excluded if they had (1) a history of systemic or ocular disease that required treatment, including keratitis, ocular allergic disease, any other ocular surface disease, glaucoma, active or chronic uveitis, or previous ocular surgery or injury; (2) a history of dry eye or meeting the diagnostic criteria for dry eye; (3) pathological involvement of the pterygopalatine fossa; and (4) direct tumor infiltration into the vidian canal.

Patients who met 3 of these 4 were diagnosed as dry eye: OSDI score ≥13, Schirmer’s tear test (STT) without anesthesia ≤10 mm/5min, tear film break-up time (BUT) ≤10s, corneal fluorescein staining (CFS) score ≥1.\textsuperscript{12}

**Surgical Procedure of VN**
Under general anesthesia, cotton tablets soaked with 0.1% epinephrine were used to astringent the nasal mucosa. According to the patient’s nasal condition, bilateral inferior turbinate was moved out appropriately, and the tail of the middle turbinate was removed. The posterior orifice of the palatovaginal canal can be identified at the indentation of the mucosal surface above the posterior naris. The plane of the posterior orifice of palatovaginal canal can use to locate the lower margin of the sphenoid process of the palatine bone. Then, plasma was used to resect the surface mucosa of the palatine sphenoid process upward and laterally to expose the palatine sphenoid process bone until the anterior orifice of the palatine sheath canal. A nucleus pulposus forceps was used to remove the bone part of the sphenoid process of the palatine bone, expose the contents of the lower palatine sheath canal and the bone ridge at the root of the pterygoid process. Above the plane of the bone ridge, the palatine sheath artery and nerve were ablated close to the root of the pterygoid process. After the vidian nerve was exposed, continued resect laterally can expose the outer orifice of the vidian canal. The isolated vidian nerve was cut off completely, and unipolar electrocoagulation was used for hemostasis.

**Ophthalmologic Examination**
After recruitment, participants underwent a complete ophthalmic examination. All participants were examined by the same experienced examiner before surgery and at 1 and 6 months after surgery. At each visit, the following ophthalmic examinations were performed in order: OSDI questionnaire was used to assess the participants’ ocular surface symptoms and severity of dry eye, slit-lamp examination (type YZ5E, 66 Vision Tech. Co., China) including BUT and CFS score evaluation, Keratograph 5M Topographer inspection (Oculus Optikgerate GmbH, Wetzlar, Germany), and STT.

**Evaluation of OSDI**
OSDI questionnaire consisted of three sections (a total of 12 questions), and used to evaluate the severity of ocular discomfort symptoms, visual functions related life quality and environmental triggers in the recent week. OSDI scores =
(sum of scores for questions answered × 25)/(number of answered questions). OSDI < 13 indicated the patients were normal, and OSDI score 13–22, 23–32 and 33 to 100 respectively indicated the patients have mild, moderate and severe dry eye-related symptoms.

**Slit-Lamp Examination**
All the patients underwent a slit-lamp examination at each follow-up visit. BUT and CFS were assessed as previously addressed. BUT less than 10s was considered as the cut-off value for dry eye diagnose. CFS score were conducted using the sodium fluorescein strip and scored from 0 to 3 in the five areas of cornea (upper, lower, nasal, temporal and optical diameters).

**Measurement of STT**
Schirmer paper strips (Jingming new technology development Co., LTD, China) were placed in the 1/3 of the external low conjunctival sac of patients without topic anesthesia for STT. The patients were asked to close their eye for 5 min, then length of wetting by tears were measured and recorded. 10 mm/5min was considered as the cut-off value of STT for dry eye diagnose.

**Assessment of Ocular Surface Parameters by Keratograph 5M**
The clinical parameters of tear films were measured based on the placido rings (Keratograph 5M). Keratograph 5M inspection included noninvasive tear meniscus height (TMH), noninvasive keratographic tear film break-up time (NK-BUT, first keratographic break-up time, [NK-BUT\textsubscript{first}] and average keratographic break-up time, [NK-BUT\textsubscript{ave}]). The duration between the blink and the first tear film break-up was recorded as the NK-BUT\textsubscript{first}, and the mean value of the NK-BUT\textsubscript{first} in different zones of cornea was analyzed as NK-BUT\textsubscript{ave}. The height from the lower lid margin measured with the caliper tool in the customized software was TMH. TMH less than 0.2mm is the cut-off value for DED diagnose.

**Statistical Analysis**
Statistical analyses were performed using a commercially available statistical software package (SPSS for Mac version 22.0). Categorical variables (frequencies and constituent ratios) were analyzed using Fisher’s exact test. Continuous variables were expressed as medians and ranges. Statistical comparisons were performed using the paired-sample Wilcoxon test. Correlations were determined using Spearman correlation analysis. Statistical significance was set at P-value < 0.05.

**Results**
A total of 12 patients (8 men and 4 women) aged 22–59 (median 41.5) years old were enrolled in the study from January 2022 to August 2022.

The ocular surface symptoms of the participants were evaluated using OSDI scores. Six patients (50%) had occasional mild symptoms, including photophobia, foreign body sensation, aching pain, blurring, or discomfort in a dry atmosphere. Two patients (16.67%) met the dry eye diagnosis criteria 1 month after surgery; however, their symptoms were relieved after 3–4 months and neither patient met the diagnostic criteria for dry eye after 6 months.

Compared with baseline values, STT was significantly reduced at both 1 month (P=0.001) and 6 months (P=0.002) after surgery, whereas the CFS score (P=1.000), TMH (P=0.262), BUT (P=0.916), NK-BUT\textsubscript{first} (P=0.791), and NK-BUT\textsubscript{ave} (P=0.970) did not change significantly after 6 months of surgery (Figure 1). The STT showed a decline of 7.5 mm (88.24%) after 1 month, and 5.5 mm (64.71%) after 6 month post-operatively. The decrease in STT from baseline to 6-month was related to basic STT (ρ = 0.837, P = 0.001) and sex (ρ = -0.584, P = 0.026), but not to age (P = 0.277), basic OSDI score (P=0.692), BUT (P=0.707), NK-BUT\textsubscript{first} (P=0.678), NK-BUT\textsubscript{ave} (P=0.710), and CFS (P=0.514) (Table 1). Subsequent multivariate analysis of these factors indicated that basic STT (B = 0.731, P<0.001) was the only factor correlated with the decline in tear secretion after surgery (Table 2).
Our Results showed that tear secretion decreased after VN, and although tear secretion did not recover 6 months post-operatively, none of the participants complained of dry eye or met the diagnostic criteria for DES.

The Vidian nerve is composed of preganglionic parasympathetic neurons that provide parasympathetic innervation to the lacrimal gland and nasal cavity after entering the posterior medial aspect of the pterygopalatine fossa.

**Table 1** Correlation Between the Decline of Tear Secretion and Other Variables

<table>
<thead>
<tr>
<th>Variables</th>
<th>1 Month Follow-Up</th>
<th>6 Months Follow-Up</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>( \rho )</td>
<td>( P )</td>
</tr>
<tr>
<td>Age</td>
<td>-0.317</td>
<td>0.315</td>
</tr>
<tr>
<td>Female sex ( a )</td>
<td>-0.468</td>
<td>0.073</td>
</tr>
<tr>
<td>Baseline OSDI</td>
<td>-0.150</td>
<td>0.661</td>
</tr>
<tr>
<td>Baseline STT</td>
<td>0.989</td>
<td>&lt;0.001*</td>
</tr>
<tr>
<td>Baseline BUT</td>
<td>0.046</td>
<td>0.887</td>
</tr>
<tr>
<td>Baseline NK-BUT(_{\text{first}})</td>
<td>0.084</td>
<td>0.794</td>
</tr>
<tr>
<td>Baseline NK-BUT(_{\text{ave}})</td>
<td>0.162</td>
<td>0.616</td>
</tr>
<tr>
<td>Baseline CFS</td>
<td>-0.204</td>
<td>0.526</td>
</tr>
</tbody>
</table>

**Notes:** \( a \) Male = 0, female = 1, set male as control. \( *P<0.05. \)

**Abbreviations:** OSDI, Ocular surface disease index; STT, Schirmer’s tear test; BUT, break-up time; NK-BUT\(_{\text{first}}\), keratographic tear film break-up time; NK-BUT\(_{\text{ave}}\), average keratographic break-up time; CFS, corneal fluorescein staining.

**Discussion**

Our Results showed that tear secretion decreased after VN, and although tear secretion did not recover 6 months post-operatively, none of the participants complained of dry eye or met the diagnostic criteria for DES.

The Vidian nerve is composed of preganglionic parasympathetic neurons that provide parasympathetic innervation to the lacrimal gland and nasal cavity after entering the posterior medial aspect of the pterygopalatine fossa. \(^1\) Clinically, loss
of parasympathetic input from the vidian nerve leads to a reduction in rhinorrhea and lacrimation. The decrease in tear volume after VN at one month post-operatively was consistent with that reported in the literature. The most common complication after VN in all previous studies was temporary postoperative dry eye in 23.8–100% of patients. In this study, the STT showed a decline of 7.5 mm (88.24%) after 1 month, and 5.5 mm (64.71%) after 6 months post-operatively.

Interestingly, only two patients (16.67%) met the dry eye diagnosis criteria 1 month after surgery, their symptoms were relieved after 3–4 months and none of them met the diagnostic criteria for dry eye after 6 months. Although the STT showed no significant difference between 1 month and 6 months post-operatively, tear secretion seemed to recover. It remains unclear whether this is secondary to reinnervation or to increased baseline rates of tear production. A single study reported temporary dry eye in 98.85% of patients undergoing vidian nerve sacrifice; however, only 3.5% of patients had persistent symptoms for more than 6 months. Given that the presynaptic vidian nerve had been severed and showed no signs of reinnervation (recurrent nasal allergy), another hypothesis suggested that some compensatory effect of the sphenopalatine ganglion (SPG) instead of the vidian nerve reinnervation might promote the recovery of the lacrimation function. Su et al explained this phenomenon as an effect of synaptic plasticity observed in the brain. After denervation from the vidian nerve in the SPG, cell bodies begin to receive signal input from the trigeminal afferent nerves of the lacrimal gland, which maintains the electrical bioactivity of the postganglionic parasympathetic fibers. Therefore, adequate tear volume and recovery from DES without recurrent nasal allergy symptoms were observed after VN. Moreover, a modified endoscopic VN approach based on anatomic and CT studies was performed in the present study, which provides the accurate location of the vidian nerve and protects the vessels and nerves of the sphenopalatine foramen as much as possible. Thus, maintaining an intact compensatory effect of the SPG is promising.

VN has a potential impact on tear secretion, implying that a comprehensive preoperative evaluation is essential. The benefits of surgery must be weighed if dry eye symptoms are present or are diagnosed. This study had several limitations. First, this is a pilot study with relatively small subjects, and more patients are needed for further evaluation, considering the safety and efficacy of VN. Second, we observed the participants for 6 months, and further observations are needed to determine the long-term effects of the VN. Further research is needed on the mechanism of recovery of tear secretion function.

**Conclusions**

The results from this 6-month prospective study showed that decreased tearing was observed after VN, but this decrease did not increase the possibility of dry eyes. Further studies are needed to determine the long-term effects and possible mechanisms of tear secretions after VN.

**Data Sharing Statement**

All data supporting the findings of this study are available within the paper.

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Disclosure
The authors declare that this research was conducted in the absence of any commercial or financial relationships that could be construed as potential conflicts of interest.

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