Antibody-Drug Conjugates in Breast Cancer: A Comprehensive Review of How to Selectively Deliver Payloads

Mariana Ribeiro Monteiro, Natalia Cristina Cardoso Nunes, Aumilto Augusto da Silva Junior, Angelo Bezerra de Souza Fêde, Gustavo de Oliveira Bretas, Cristiano de Pádua Souza, Max Mano, Jesse Lopes da Silva

Abstract: Antibody-drug conjugates (ADCs) have surfaced as a promising group of anticancer agents employing the precise targeting capacity of monoclonal antibodies to transport highly effective cytotoxic payloads. Compared to conventional chemotherapy, they aim to selectively eradicate cancer cells while minimizing off-target toxicity on healthy tissues. An increasing body of evidence has provided support for the efficacy of ADCs in treating breast cancer across various contexts and tumor subtypes, resulting in significant changes in clinical practice. Nevertheless, unlocking the full potential of these therapeutic agents demands innovative molecular designs to address complex clinical challenges, including drug resistance, tumor heterogeneity, and treatment-related adverse events. This thorough review provides an in-depth analysis of the clinical data on ADCs, offering crucial insights from pivotal clinical trials that assess the efficacy of ADCs in diverse breast cancer settings. This aids in providing a comprehensive understanding of the current state of ADCs in breast cancer therapy, while also providing valuable perspectives for the future.

Keywords: breast cancer, cancer therapy, target therapy, antibody–drug conjugates, ADCs

Introduction

Breast cancer (BC) poses a significant global public health challenge. Historically, the conventional non-selective approach of chemotherapeutic agents has led to concerns regarding toxicity and the premature activation of resistance mechanisms. Recent advances in understanding carcinogenesis, tumor heterogeneity, and metastasis process have provided opportunities for the development of more targeted and less toxic anticancer agents. In the early 20th century, Paul Ehrlich advocated for the creation of therapeutic agents that could selectively target specific cancer cell antigens while minimizing harm to surrounding cell populations.

To enhance the therapeutic index, defined as the range between the minimum effective dose and the maximum tolerated dose, antibody-drug conjugates (ADCs) have emerged as a promising strategy. ADCs comprise a monoclonal antibody (mAbs) linked to a potent cytotoxic payload via a chemical linker, offering a more targeted delivery system. Employing a “Trojan horse” approach, these agents ease the transportation of chemotherapy to precise targets, theoretically reducing systemic toxicity in comparison to traditional chemotherapeutic agents.

The improvement of ADCs has involved enhancing each vital component: the antibody, cytotoxic payload, and linker. Multiple crucial considerations have been addressed to enhance the efficacy of ADCs. Regarding the antibody component (typically human IgG1), emphasis is placed on high tumor specificity, extended circulation life, rapid internalization, with or without immune activation, and minimal immunogenicity. Furthermore, for the payload, critical factors include high potency, diverse mechanisms of action (e.g., microtubule inhibition and direct DNA damage), and optimal drug
antibody ratio. Specific payloads like deruxtecan in trastuzumab deruxtecan (T-DXd) can also induce bystander killing effects, as the drug diffuses from targeted to untargeted cells due to its unique chemistry. The linker and conjugation chemistries are crucial in linking the mAbs and the payload, ensuring homogeneity, and determining whether cleavable or non-cleavable linkers are used based on payload characteristics. The design of the linker is pivotal in controlling drug release from the antibody, influencing physicochemical properties, stability in circulation, and potency. ADCs need to limit payload exposure to normal tissues to minimize general toxicities.

Acquired resistance and adverse events represent limitations to the efficacy of ADCs in breast cancer treatment. Improvements in the diverse components of ADCs will be pivotal in enhancing both the efficacy and safety of these agents. To address these challenges, various innovative ADCs formats have emerged, including bispecific ADCs, probody–drug conjugates, immune-stimulating ADCs, protein-degrader ADCs, and dual-drug ADCs. Probodys are expected to provide increased tumor specificity, whereas bispecific ADCs and dual-drug ADCs have the potential to address drug resistance and tumor heterogeneity, aspects that significantly influence treatment responses. The association between tumor heterogeneity and ADCs resistance encompasses selective pressures induced by intense ADCs treatment, promoting the survival of resistant clones with specific attributes. These clones may exhibit distinct characteristics, such as mutations in target proteins or related signaling pathways, changes in drug metabolism, activation of alternative signaling pathways, and the existence of cancer stem-like cells. Combining immune-stimulating ADCs and protein-degrading ADCs with current treatment regimens has the potential to facilitate multimodal treatment, potentially through several distinct mechanisms of action.

This comprehensive review thoroughly examines the primary clinical data of ADCs that have significantly transformed clinical practices across the various BC subtypes and settings. Herein, the latest clinical research advances, innovative biomarkers, and strategies to address resistance are meticulously scrutinized.

**HER2-Positive Breast Cancer**

Ado-trastuzumab emtansine (T-DM1) was the first ADC approved for the treatment of HER2-positive BC. T-DM1 is composed of the mAb trastuzumab, linked to a maytansinoid toxin, DM1, which inhibits tubulin polymerization. The DM1 is connected to the mAb by a non-cleavable stable thioether (N-maleimidomethyl) linker. T-DM1 has a drug-antibody ratio (DAR) of 3.5:1. It retains the functions of the trastuzumab, including antibody-dependent cellular cytotoxicity and signaling inhibition, in conjunction with the antitumoral effects of the payload (Figure 1). T-DM1 received FDA approval in 2013 for use in patients with previously treated HER2-positive metastatic BC.

The EMILIA trial demonstrated that T-DM1 markedly improved progression-free survival (PFS) and overall survival (OS) compared to lapatinib and capecitabine. The objective response rate (ORR) was 43.6% versus 31%, and the median PFS was 9.6 versus 6.4 months (Hazard Ratio, HR 0.65, p<0.001). In the second interim analysis, the median OS was 30.9 versus 25.1 months (HR 0.68, p<0.001), demonstrating the superiority of the experimental arm. Similarly, the TH3RESA trial showed that T-DM1 improved significantly both PFS (median 6.2 versus 3.3 months; HR 0.52, p<0.0001) and OS (22.7 versus 15.8 months; HR 0.68, p=0.0007) compared to treatment of physician’s choice (TPC) in later lines. The ORR was 31% versus 9%. The results of the MARIANNE trial suggested T-DM1 as a feasible first-line therapeutic option for metastatic HER2-positive BC, exhibiting non-inferiority in comparison to the combination of trastuzumab and taxane. However, the CLEOPATRA trial results indicated that the addition of pertuzumab to trastuzumab and taxane significantly prolonged PFS (HR 0.62, 95% Confidence interval, CI, 0.51–0.75) and OS (HR 0.69, 95% CI, 0.58–0.82), and this regimen has been considered the standard first-line treatment for metastatic HER2-positive BC so far.

Shifting the focus to the early-stage scenario, the neoadjuvant administration of T-DM1 plus pertuzumab did not meaningfully improve the pathological complete response (pCR) rate in comparison to the administration of trastuzumab, pertuzumab, and chemotherapy, as demonstrated by the KRISTINE trial. However, in the adjuvant setting, in patients who have not achieved pCR after neoadjuvant treatment, the KATHERINE trial revealed a decreased risk of invasive BC recurrence. The published results in 2019 revealed a 3-year invasive disease-free survival (iDFS) of 88.3% for patients who received T-DM1 compared to 77% for those in the control arm who received trastuzumab (HR 0.5, p<0.001). With a follow-up of 8.1 years, OS data presented in December 2023 at the San Antonio Breast Cancer Symposium revealed...
OS of 89.1% in the T-DM1 arm and 84.4% in the trastuzumab arm. T-DM1 is generally well-tolerated, with manageable adverse events (AEs) such as gastrointestinal toxicity, thrombocytopenia, and a mild to moderate increase in liver enzymes, mostly categorized as grade 1/2.

Trastuzumab deruxtecan (T-DXd) is a recently approved ADC for the treatment of previously treated metastatic BC in patients with HER2-positive status. T-DXd comprises a humanized anti-HER2 IgG1 mAb, a cleavable tetrapeptide-based linker, and a payload. The payload is a topoisomerase I inhibitor, an exatecan derivative, known as deruxtecan. T-DXd exhibits a high DAR of 8:1, which results in the delivery of a higher concentration of the cytotoxic drug than T-DM1. The bystander effect of T-DXd arises from the release of cytotoxic payload from the targeted cells, after ADCs internalization and degradation. The payload then is able to act on the target cell and on surrounding (or bystander) cells, which may or may not express the HER2 antigen, thereby instigating a potent paracrine effect. Such an effect justifies the tumor response in HER2-low or HER2-heterogeneous BC (Figure 1).

T-DXd was approved by the FDA in December 2019 based on the results of the DESTINY-Breast01 (DB01) study, which is a Phase II, two-part, open-label, single-group study. The study enrolled HER2-positive metastatic BC patients who had previously received two or more anti-HER2 therapies in the metastatic setting and had previously been treated with T-DM1. The study demonstrates an impressive ORR of 60.9%, a median PFS of 16 months and a 2-year OS rate of 58%. Subsequently, the Phase III DESTINY-Breast-02 (DB02) trial confirmed these findings by demonstrating considerable improvement in ORR (69.7% versus 29.2%), in PFS (median 17.8 versus 6.9 months, HR 0.35, \( p<0.0001 \)), and OS (median 39.2 versus 26.5 months; HR 0.66, \( p=0.0021 \)) in patients treated with T-DXd compared to TPC. The phase III DESTINY-Breast03 (DB03) study also showed superiority of T-DXd compared to T-DM1.
in second-line treatment for HER2-positive unresectable or metastatic BC. Treatment with T-DXd resulted in a higher ORR (79.7% versus 34.2%), and a statistically significant improvement in PFS (median 25.1 versus 7.2 months; HR 0.26, p<0.001) that was across all prespecified subgroups, including patients with brain metastases. In recently updated results, OS was also significantly improved (2-year OS rate of 77.4% versus 69.9%; HR 0.64, p=0.0037).26

T-DXd has exhibited activity against brain metastases, as revealed in a subgroup analysis of the DB01 and DB03 trials.26,27 However, patients with untreated or progressing brain metastases were excluded from both study protocols. In contrast, two small Phase II clinical trials, namely the DEBBRAH and TUXEDO-1, have reported high intracranial ORR among patients with active brain metastases and HER2-positive BC. The efficacy of T-DXd in the central nervous system is being further evaluated by the ongoing phase III DB12 trial, which is investigating patients with previously treated metastatic HER2-positive breast cancer, with or without brain metastases.28,29

The incidence of AEs associated with T-DXd, however, is considerable. Gastrointestinal and hematological toxicities are the most frequently observed AEs, regardless of its severity. Notably, interstitial lung disease (ILD)/pneumonitis is a worrisome toxicity associated with T-DXd. In a meta-analysis, 10.8% of the patients had ILD of any grade and 1.8% grade ≥3.30

In summary, T-DXd has demonstrated high response rates, prolonged duration of response, and longer survival after progression to first-line treatment. However, data on first-line treatment and earlier settings are still lacking. Several phase III randomized clinical trials are currently underway. DESTINY-Breast05 (DB-05) study is investigating the iDFS of T-DXd compared to T-DM1 in high-risk HER2-positive BC patients without pCR in the post-neoadjuvant setting.31 Similarly, the DESTINY-Breast11 (DB-11) trial is assessing the efficacy of T-DXd in a neoadjuvant setting for high-risk, early HER2-positive BC.32 In the first-line treatment of HER2 metastatic BC, the DESTINY-Breast09 (DB-09) trial is comparing the efficacy and safety of T-DXd alone or in combination with pertuzumab to standard taxane, trastuzumab and pertuzumab.33 The results of these studies are eagerly awaited and have the potential to further modify the treatment sequencing of HER2-positive metastatic BC.

Table 1 provides a comprehensive summary of the findings from the primary studies published on ADCs in the HER2-positive BC context. It highlights the significant results that have led to noteworthy changes in clinical practices in recent years. Table 2 describes the future prospects for treating HER2-positive BC with new ADCs, which will be detailed later in this review.

### Triple-Negative Breast Cancer

Triple negative breast cancer (TNBC) accounts for approximately 10–15% of all BC cases.38 Although there have been marked advances in the understanding of molecular biology and its corresponding therapeutic modalities, the prognosis for patients with TNBC remains largely unfavorable.39 In this context, numerous pharmacological agents have been evaluated in TNBC patients, including anti-programmed cell death protein 1/programmed death-ligand 1 (PD1/PDL1) checkpoint inhibitors, poly (ADP-ribose) polymerase (PARP) inhibitors, and ADCs.6

Sacituzumab Govitecan (SG) was the first ADC that has been approved for the treatment of TNBC.40 SG consists of a fully human IgG1 mAb targeting tumor-associated trophoblast antigen 2 (Trop-2) conjugated to SN-38 (7-ethyl-10-hydroxy camptothecin), a topoisomerase I–inhibiting drug that has demonstrated potent efficacy in previous clinical trials. Trop-2 is a transmembrane calcium signal transducer that is highly expressed in multiple tumor types, including more than 90% of TNBC cases. Similar to T-DXd, SG also exhibits a bystander effect, delivering the membrane-permeable active drug SN-38 to adjacent tumor cells (Figure 1).41 The high DAR of SG, with 7.6 molecules of SN-38 conjugated to each antibody via a unique hydrolysable and proprietary linker, CL2A, is noteworthy.42

In the phase III ASCENT trial, a total of 529 patients with previously treated TNBC, who had received two or more lines of systemic therapy, were randomly assigned to receive either SG or TPC based on conventional chemotherapy regimen, which typically included capecitabine, eribulin, gemcitabine, or vinorelbine.43 The study was successful in demonstrating significant improvements in both PFS (median 5.6 versus 1.7 months; HR 0.41, P<0.001) and OS (median 12.1 versus 6.7 months; HR 0.48, P<0.001) with SG as compared to chemotherapy. Additionally, SG showed a higher ORR of 35% compared to 5% in the control group. The most frequently reported grade 3 or higher adverse events were neutropenia, leukopenia, diarrhea, and anemia.
T-DXd is another treatment option of ADC for TNBC, particularly in patients with HER2 expression by immuno-histochemistry (IHC) levels 1+ or 2+/ISH negative, also known as HER2-low BC. The DESTINY-Breast04 (DB-04) trial has shown superiority of T-DXd over TPC chemotherapy in patients with HER2-low BC. In an exploratory analysis performed in a small subset of patients with TNBC (n=63), the median PFS was 8.5 months with T-DXd versus 2.9 months with standard therapy (HR 0.46; 95% CI, 0.24 to 0.89), the median OS was 18.2 versus 8.3 months (HR 0.48; 95% CI, 0.24 to 0.95) and ORR was 50% versus 16.7%, suggesting strong activity in this population. As of August 5, 2022, the FDA has approved T-DXd for the treatment of unresectable or metastatic HER2-low BC in patients who have previously received chemotherapy in the metastatic setting or developed disease recurrence during or within six months of completing adjuvant chemotherapy, without restrictions for the TNBC patient population.

Table 1 presents data from the primary studies published on ADCs in the TNBC context. Recent studies in TNBC have explored the use of novel ADCs in combination with other chemotherapy agents, immunotherapy, PI3K/AKT/m-TOR inhibitors, and PARP inhibitors, with promising results (Table 3).

### Hormone Receptor Positive Breast Cancer

Approximately 70% of BC are classified as hormone receptor (HR)-positive and HER2-negative. The backbone treatment for advanced HR-positive HER2-negative BC involves endocrine therapy combined with target therapy. In recent years, cyclin-dependent kinase (CDK) 4/6 inhibitors have become the standard first-line therapy for these patients.

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**Table 1 Pivotal Trials with ADCs for Breast Cancer Treatment**

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**Notes:** *Subgroup analysis; §Second interim analysis; ¶Phase 2 single group; ‡Data immature.

**Abbreviations:** DFS, disease free survival; EBC, early breast cancer; HR, hazard ratio; MBC, metastatic breast cancer; ORR, overall response rate; OS, overall survival; PFS, progression free survival; SG, Sacituzumab Govitecan; SYD985, trastuzumab duocarmazine; TDM1, Ado-trastuzumab Emtansine; T-Dxd, Fam-trastuzumab Deruxtecan.
Table 2 Ongoing Trials: HER2-Positive Breast Cancer

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**Abbreviations:** AA, DNA alkylating agent; DOR, duration of response; EBC, early breast cancer; IDFS, invasive disease free survival; MBC, metastatic breast cancer; Mi, Microtubule inhibitor; MMAE, Monomethyl Auristatin E; MMAF, Monomethyl Auristatin F; pCR, pathologic complete response; PFS, progression free survival; Ph, Phase; POD, progression of disease; SOC, standard of care; ORR, overall response rate; RP2D, Recommended phase 2 dose.
Table 3: Ongoing Trials: Triple Negative Breast Cancer

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Metastatic Breast Cancer

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Monteiro et al

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<table>
<thead>
<tr>
<th>NCT</th>
<th>Trial</th>
<th>HER2/TROP2</th>
<th>Drug Combination</th>
<th>TN MBC</th>
<th>Safety, ORR</th>
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<td>NCT03310957</td>
<td>KEYNOTE 721</td>
<td>HER2</td>
<td>Ladiratuzumab vedotin (SGN-LIV1A) + Pembrolizumab</td>
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<td>NCT04504916</td>
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<td>ROR2</td>
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**Abbreviations:** Ph, Phase; POD, progression of disease; SOC, standard of care; ICI, immune checkpoint inhibitor; MBC, metastatic breast cancer; EBC, early breast cancer; TN, triple negative; ChT, chemotherapy; TPC, treatment of physician’s choice; PFS, progression free survival; ORR, overall response rate; RP2D, Recommended phase 2 dose; Top1, DNA topoisomerase 1 inhibitor; MMAE, Monomethyl Auristatin E; MI, Microtubule inhibitor; AA, DNA alkylating agent; SG, sacituzumab govitecan.
given their ability to achieve a median OS of up to 57 months.\textsuperscript{47} However, managing this subtype of BC beyond CDK4/6 inhibitor progression remains challenging. In cases where patients have rapidly progressing disease or immediately life-threatening visceral metastatic disease, chemotherapy is an option as a first-line treatment.\textsuperscript{48} Indeed, response rates to later lines of endocrine therapy are low due to intrinsic or acquired resistance, with median PFS of approximately 4–5 months in HR-positive/HER2-negative metastatic BC in later lines.\textsuperscript{35,36,49}

Approximately 50–80% of HER2-low BC are HR-positive, and this characteristic may change upon disease progression.\textsuperscript{50} Based on DB-04 data, practice-changing information has emerged.\textsuperscript{45} The median treatment duration was 8.2 months with T-DXd compared to 3.5 months with TPC (chemotherapy). At a median follow-up of 18.4 months, T-DXd demonstrated a significant improvement in PFS (median 10.1 versus 5.4 months; HR 0.51, \textit{p}=0.001), OS (median 23.9 versus 17.5 months; HR 0.64, \textit{p}=0.003), and ORR (52.6% versus 16.3%) compared with chemotherapy.

Another ADC that may be considered a treatment option for patients with HR-positive/HER2-negative BC is SG. Trop-2 is commonly upregulated in malignant breast tissues and HR-positive/HER2-negative BC has shown significantly higher Trop-2 expression than other subtypes.\textsuperscript{35} SG has demonstrated promising single-agent activity in a cohort of 54 HR-positive/HER2-negative metastatic BC patients. The patients had received a median of three prior lines of endocrine therapy (range 1–6) and two of chemotherapies (range 0–9) in the metastatic setting. SG monotherapy had a confirmed ORR of 31% and a clinical benefit (complete response, partial response, and stable disease) with duration of more than 6 months in 48% of patients. Median duration of response (DOR) was 7.4 months.\textsuperscript{51}

In TROPICS-02, a global phase III trial, 543 patients were randomly assigned to SG versus TPC (eribulin, vinorelbine, capecitabine, or gemcitabine). Patients had received 2–4 prior lines of therapy, including endocrine therapy and CDK4/6 inhibitors. TROPICS-02 was positive for its primary endpoint, with a statistically significantly improvement in PFS (median 5.5 versus 4 months; HR 0.66, \textit{p}=0.0003).\textsuperscript{52} In the second interim analysis, with approximately 350 death events, a statistically significant improvement in OS (median 14.4 versus 11.2 months; HR 0.79, \textit{p}=0.02) was demonstrated. The benefit was seen across most of the prespecified subgroups, including patients who received at least three prior lines of chemotherapy for metastatic disease, that had visceral disease, and who were aged 65 or older. ORR was 21% versus 14% (Odds Ratio 1.63, CI 1.03–2.56; \textit{p}=0.035), and the trial also demonstrated a benefit in time to deterioration of global health score/quality of life of 4.3 versus 3 months (HR 0.75; \textit{p}=0.006) and time to deterioration of fatigue of 2.2 versus 1.4 months (HR 0.73; \textit{p}=0.002) in favor of SG group as compared to TPC group.\textsuperscript{53} These results, though of only modest clinical relevance, pave the way for further development of SG use in the HR-positive/HER2-negative BC setting.

\textit{Table 1} additionally showcases information derived from the original studies published on advanced HR-positive HER2-negative BC setting. Most ongoing studies in this subset of patients involve ADCs targeting HER2 and TROP2. The association with hormonal therapies, as well as new targets are explored and summarized in \textit{Table 4}.

**Biomarkers for ADCs**

Several ADCs have demonstrated improvements irrespective of biomarker-based prediction of treatment response and potential resistance mechanisms. Nonetheless, it is anticipated that target antigens should be overexpressed on cancer cells to improve activity. However, mechanisms other than targets have been studied as a way to predict or increase the efficacy of new ADCs.

Interestingly, in some cancers from other sites, such as non-small cell lung cancer, HER2 protein expression may not be the most appropriate biomarker, and HER2 mutations have shown to be a more useful biomarker for some patients.\textsuperscript{54} The findings of the study conducted by Li et al\textsuperscript{54} suggest that HER2 ubiquitination and internalization, rather than its overexpression, play crucial roles in the endocytosis process and subsequent efficacy of certain ADCs, such as T-DM1 and T-DXd, in both lung cancer cell lines and patient-derived xenograft models. These results were translated into a 51% of response rate in a clinical trial involving 49 patients with ERBB2/HER2-amplified or mutant lung cancers who were treated with T-DM1. Additionally, in a Phase 1 study (DS8201-A-J101), T-DXd demonstrated promising antitumor activity in patients with tumors carrying HER2 mutations, with confirmed responses observed in 9 out of 19 patients (47.4%).\textsuperscript{55} The molecular changes occurring in the HER2 receptor due to HER2 mutations hold significant potential for the advances of novel anti-HER2 therapeutics with higher efficacy. These genetic modifications present auspicious
Table 4 Ongoing Trials: Hormone Receptor Positive Breast Cancer

<table>
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<th>Phase</th>
<th>NCT identifier</th>
<th>Trial Name</th>
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<th>Payload</th>
<th>Experimental Arm</th>
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<th>Setting</th>
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<td>SASCIA</td>
<td>TROP-2</td>
<td>SN-38 (Top1)</td>
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<td>TPC</td>
<td>Post neoadjuvant</td>
<td>HER2 negative EBC with residual disease</td>
<td>IDFS</td>
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<td>I/II</td>
<td>NCT04553770</td>
<td>TRIO-US B12 TALENT</td>
<td>HER2</td>
<td>Deruxtecan (Top1)</td>
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<th>Ph</th>
<th>NCT identifier</th>
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<td>Destiny Breast 06</td>
<td>HER2</td>
<td>Deruxtecan (Top1)</td>
<td>T-DXd</td>
<td>TPC</td>
<td>≥2 lines ET or POD on 1st line CDK4/6i</td>
<td>HER2 low or ultra-low MBC</td>
<td>PFS</td>
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<td>TROPION-Breast-01</td>
<td>TROP2</td>
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<td>Dato-DXd</td>
<td>TPC</td>
<td>1–2 lines prior chemo</td>
<td>MBC, resistance to endocrine therapy</td>
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<td>ORR, Safety</td>
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Abbreviations: Ph, Phase; POD, progression of disease; SOC, standard of care; MBC, metastatic breast cancer; EBC, early breast cancer; TPC, treatment of physician’s choice; PFS, progression free survival; ORR, overall response rate; Top1, DNA topoisomerase 1 inhibitor; MMAE, Monomethyl Auristatin E; MI, Microtubule inhibitor; SG, sacituzumab govitecan.
prospects for the exploration and creation of more potent agents specifically designed to target HER2 and counteract its aberrant signaling pathways. A fascinating proposal has recently emerged to expand the concept of HER2 categorical status in BC as a gradual spectrum, leading to the potential for HER2 targeting in HER2 “ultra-low” subgroups (i.e., tumors with a score of 0, exhibiting incomplete and faint staining in ≤10% of tumor cells) due to increasing understanding of the intra-tumor heterogeneity phenomena. In this context, the limitations of IHC for HER2 testing have prompted researchers to develop more precise and reliable methods based on artificial intelligence (AI), such as Gustavson et al’s novel HER2 Quantitative Continuous Score using deep learning-based image analysis, which has the potential to improve the prediction of patients outcomes with T-DXd. Recently, the HER2Complete® program, an AI-based software product, has gained popularity in the digital pathology community for its objective and reproducible ability to detect HER2 expression levels in HER2-zero and HER2-low cases, although it is not yet approved for diagnostic procedures.

Furthermore, investigators have examined alternative technologies, such as the quantitative immunofluorescence linked to a standardized mass spectrometry HER2 array introduced by Moutafi et al, which measures absolute quantities of HER2 protein on traditional histology sections. Additionally, Kennedy et al have employed immunoaffinity enrichment in combination with multiple reaction monitoring-mass spectrometry (immuno-MRM-MS), demonstrating enhanced consistency with existing assays, particularly at lower HER2 expression levels. Xu et al also proposed that molecular techniques like mRNA could be valuable in accurately characterizing HER2-low cancer for making treatment decisions, owing to their broader dynamic scope. These approaches may offer more reliable and accurate HER2 testing for guiding treatment decisions in patients with BC.

Several trials evaluating ADCs efficacy were designed without specific biomarker selection. For instance, SG and enfortumab vedotin (EV) were tested in tumors with previously unknown overexpression of their target antigens (Trop-2 and Nectin-4, respectively), despite their results demonstrating efficacy regardless of the level of antigen expression. In the ASCENT trial, patients with high Trop-2 expression had a better PFS and ORR than patients with low Trop-2 expression, but SG outperformed chemotherapy in all subgroups. Although Trop-2 is not currently used as a biomarker, better assays for Trop-2, as well as additional ADC options, may alter this concept in the future. The activity of EV may also be influenced by Nectin-4 expression, although clinical confirmation is lacking.

**Current Understanding of Mechanisms of Resistance to ADCs**

This review has previously highlighted the mechanism of action of ADCs and their effectiveness in the treatment of BC. However, it has been observed that many patients will inevitably develop resistance to these drugs, resulting in recurrence or disease progression. Treatment resistance can manifest at the outset of therapy, referred to as primary resistance, or after exposure to the drug for several months, known as secondary resistance. Considering the three components of an ADC, it is important to note that the mechanism of resistance can arise from the antibody, the linker or the payload. This section will focus on the three ADCs approved for BC treatment (T-DM1, T-DXd and SG) and examine their primary mechanisms of resistance, as well as potential solutions to overcome resistance.

Several potential mechanisms of resistance to T-DM1 have been proposed, primarily related to the disruption of trastuzumab-mediated effects or the intracellular metabolism of T-DM1. The first mechanism is the subversion of trastuzumab-mediated effects. HER2 loss, which includes HER2 downregulation, subtype switching, and clonal selection, is a known resistance mechanism for trastuzumab. Additionally, T-DM1 does not possess a bystander effect and thus relies on higher HER2 expression in tumor cells to bind the drug. With prolonged exposure to T-DM1, a decreased HER2 expression relative to prior cells may result in resistance. The second mechanism is the reduced binding of T-DM1. HER-2 is a transmembrane protein, and T-DM1 binding depends on the molecular fit of trastuzumab with the extracellular subdomain. If the protein becomes truncated for any reason, it can cause impairment by reducing DM1 internalization and its effects. Dysregulation of PI3K signaling is another potential resistance mechanism. PIK3CA mutations are associated with reduced pCR rates in primary HER2-positive BC. However, clinical studies have evaluated response rates regarding PI3K status (mutated/wild). In the TH3RESA and EMILIA trials, it was observed that T-DM1 could overcome this resistance. These data suggest that altering the PI3K pathway could lead to resistance to lapatinib, pertuzumab, and trastuzumab but not to T-DM1. However, in the adjuvant setting, data from the KATHARINE trial failed to show any predictive value (of greater benefit from TDM1 over trastuzumab) of PI3K
mutations, gene expression levels of HER2 or immune activation pathways. Finally, increased drug efflux is another potential mechanism of resistance for many ADCs. One of the mechanisms by which BC cells acquire drug resistance to T-DM1 is increased expression of ADC transporters, which actively efflux the drug out of cells.

Currently, meaningful data about resistance to T-DXd comes from the translational analysis of the DAISY trial. Whole exome sequencing was performed on some BC biopsies at baseline and after clinical progression. Biomarkers for response and resistance are being sought. ERBB2/HER2 gene amplification is not associated with clinical response to T-DXd, and no other driver alterations have been associated with resistance. Since T-DXd has a bystander effect, it appears to be less reliant on high HER2 expression in tumor cells to bind the drug, as evidenced by the drug’s benefit in HER2-low BC. Findings of hemizygous deletion of ERBB2 (6%) in baseline biopsies of non-responders suggest that this deletion may be associated with primary resistance. In contrast, mutations in SLX4, observed in 20% (2/10) of biopsies of progression and corroborated by in vitro experiments, appear to be associated with secondary resistance. Further studies are required to confirm these potential biomarkers.

The modulation of therapeutic efficacy and patient selection for SG may be influenced by the lower expression of Trop-2, as observed in the ASCENT trial. Patients with metastatic TNBC and high or intermediate Trop-2 expression apparently demonstrated higher ORR (44% and 39%, respectively) compared to those with low Trop-2 expression (22%). Another study analyzing somatic alterations in SG-treated patients indicated that alterations in Trop-2 (antibody) or SN-38 (payload) might contribute to resistance mechanisms against this class of drugs. The analysis revealed that resistance to SG due to topoisomerase-1 payload alterations could lead to cross-resistance to ADCs with similar payloads; however, using a different payload with the same antibody could be beneficial. Conversely, resistance resulting from Trop-2 alteration would likely lead to cross-resistance to other Trop-2-directed ADCs, but not to non-Trop-2-directed ADCs, irrespective of payload.

In general, the composition of ADCs (linker, payload, and antibody) offers the prospect of modifying some elements to create novel compounds that can overcome resistance. Manipulation of any of these components would enable the development of potential drugs against various tumor types. As new ADCs gain clinical approval, novel resistance mechanisms may emerge, and new approaches to overcoming tumor cell resistance may be identified.

**Future Perspectives**

Numerous ADCs with distinct configurations, targets, and payloads are currently under careful examination for different subtypes of BC. A multitude of additional ADCs specifically designed to target HER2 are presently under investigation in Phase I and II clinical trials, with comprehensive information available in Table 2, compiling their respective particulars. They are categorized based on the antibody used (including those exhibiting higher affinity for HER2 compared to trastuzumab), the nature of the linker (whether it is cleavable or non-cleavable), and the specific payload employed. Other ADCs have incorporated novel cytotoxic agents, such as auristatin derivatives known for their potent inhibition of microtubules, even at low doses. The ongoing development of these new ADCs poses the challenge of achieving improved blood stability, reduced adverse effects, and the employment of more potent antibodies.

Improvements in the diverse components of ADCs are essential for enhancing their efficacy and safety. Various innovative ADC formats have been developed, including bispecific ADCs, probody–drug conjugates, immune-stimulating ADCs, protein-degrader ADCs, and dual-drug ADCs. Bispecific ADCs present promising therapeutic possibilities, targeting a wider spectrum of antigens and showing potential safety and activity enhancements. However, potential pitfalls must be cautiously considered, such as the risk of unintended receptor activation and agonistic activity. Probody–drug conjugates offer potential in targeting antigens with undesirable levels of expression in nonmalignant tissues. Nevertheless, their potential in effectively targeting specific cancer subtypes requires further elucidations through preclinical and clinical research.

Immune-stimulating ADCs show potential as a new class of cancer immunotherapy, with initial clinical trial results showing promise, but further research is crucial to optimize their efficacy and safety profiles. Antibody-directed protein degraders have the potential to offer unique therapeutic interventions for cancer patients. However, further studies are needed to identify safe and potentially effective conjugates. The clinical potential of dual-drug ADCs has been explored further, showing synergistic antitumor activity and immunological memory in some cases. Nonetheless, not all studies
testing dual-drug ADCs have demonstrated meaningful synergistic effects, hence demonstrating the need for further research and evaluation.⁷⁹

Trastuzumab duocarmazine (SYD985) is an ADC containing a humanized anti-HER2 monoclonal antibody linked chemically to a duocarmycin compound through a cleavable linker, yielding a DAR of 2.8:1. Notably, the duocarmazine payload is present as a cell-permeable pro-drug (seco-duocarmycin-hydroxybenzamide-azaindole - seco-DUBA) that undergoes enzymatic cleavage by proteases within intracellular lysosomes post-internalization. The resulting active toxin (DUBA) subsequently acts by alkylating the DNA, causing DNA damage and eventual cell demise. Additionally, this ADC demonstrates cytotoxicity through a bystander effect mechanism.⁸⁰ In a phase I study, involving patients with treatment-refractory locally advanced or metastatic solid tumors displaying variable HER2 status, SYD985 exhibited a promising ORR of 33% in HER2-positive BC. Consequently, the FDA accepted a biologics license application (BLA) for SYD985 in July 2022, seeking approval for the treatment of patients with HER2-positive unresectable locally advanced or metastatic BC. The BLA submission is based on the pivotal phase III TULIP trial, which was presented at the European Society for Medical Oncology (ESMO) in 2021.⁸² In this trial, SYD985 demonstrated a significant improvement in PFS as compared to TPC in the specified patient population, fulfilling the primary endpoint with a nearly 40% reduction in the risk of centrally reviewed disease progression (median PFS of 7 versus 4.9 months, HR 0.64, p = 0.002).

An emerging avenue of research involves bispecific ADCs, which have the potential to bind to two different regions of target proteins simultaneously. A prime example of such is zanidatamab zovodotin (ZW49), an anti-HER2 biparatopic antibody that selectively targets extracellular domains 2 and 4 of the HER2 protein. This unique configuration results in multiple mechanisms of action, including dual HER2 signal blockade, enhanced antibody binding, receptor clustering, removal of HER2 from the cell surface, and potent effector function. Recently published data from a study involving heavily pretreated HER2-positive metastatic breast cancer patients revealed an ORR of 13%, with 38% achieving stable disease.⁸³

Disitamab vedotin (RC-48) represents a novel anti-HER2 ADC that comprises disitamab, a novel anti-HER2 Mab, conjugated to monomethyl auristatin E (MMAE) via a cleavable linker. This ADC has obtained regulatory approval in China for the treatment of gastric and urothelial cancers. Notably, it exhibits a higher binding affinity for HER2 targets compared to trastuzumab, facilitating enhanced endocytosis. The linker used, Mc-VC-pa, confers increased stability in human plasma and demonstrates efficient cleavage mediated by human cathepsin enzymes. Despite possessing a lower DAR of 4:1 as opposed to the 8:1 ratio of T-DXd, RC-48 exhibits remarkable cytotoxicity even at very low concentrations.³⁴ In a Chinese clinical trial involving 118 patients with metastatic BC, the ORR was found to be 42.9% for HER2-positive cases and 39.6% for HER2-low cases.⁸⁴ Currently, an ongoing phase II study is comparing the efficacy of RC48 with capecitabine + lapatinib in patients with locally advanced or metastatic HER2-positive breast cancer presenting with liver metastasis.

A166 is an ADC composed of trastuzumab conjugated to the potent payload duostatin-5. Preliminary efficacy data from a phase I trial were initially presented in 2020, with subsequent updates shared at the ASCO meetings in 2021 and 2022.⁸⁵ The most frequently observed grade ≥ 3 adverse events included corneal epitheliopathy, blurred vision, and ulcerative keratitis. A166 demonstrated an impressive objective response rate (ORR) of 73.91% (17/23; 95% CI, 51.59–89.77) and 68.57% (24/35; 95% CI, 50.71–83.15) in the 4.8 mg/kg and 6.0 mg/kg dose cohorts, respectively. The median PFS was reported as 12.3 months (95% CI, 6.0 – not reached) in the 4.8 mg/kg and 9.4 months (95% CI, 4.0–10.4) in the 6.0 mg/kg cohort.

XMT-1522 represents a novel anti-HER2 ADC that incorporates a human IgG1 anti-HER2 mAb (HT-19) targeting domain IV of the HER2 protein. Importantly, this epitope is distinct from the trastuzumab-binding site, ensuring non-competitive binding with trastuzumab for HER2. In a phase I dose escalation trial involving patients with HER2-expressing breast, lung, and gastric tumors, XMT-1522 demonstrated a noteworthy disease control rate of 83%.⁹⁶

An overview of ongoing clinical trials investigating ADCs for the treatment of TNBC is provided in Table 3. Patritumab deruxtecan (U3-1302) represents an ADC consisting of a recombinant fully human monoclonal antibody targeting HER3, conjugated to a linker incorporating a topoisomerase I inhibitor. The BRE-354 phase II trial aims to assess the efficacy of U3-1402 in patients with metastatic BC, specifically focusing on the TNBC population. Eligible patients in the TNBC subgroup should have received one to three prior lines of chemotherapy in the metastatic setting. The primary endpoints of the study are the ORR of U3-1402 as a single agent and the six-month PFS in patients with metastatic BC.⁸⁷ In December 2021, this drug was granted breakthrough therapy designation by the FDA for patients with metastatic EGFR-mutated non-small cell lung cancer.
The TROPION-PanTumor01 trial is a phase I trial that showed encouraging response rates (ORR 32%), median PFS 4.4 months and median OS 13.5 months in heavily pretreated metastatic TNBC patients treated with datopotamab deruxtecan (DS-1062), a Trop-2 directed ADC. The BEGONIA phase Ib/II trial showed that the combination of DS-1062 with durvalumab reached an ORR of 73.6% in previously untreated metastatic TNBC patients, regardless of PD-L1 expression. TROPION-Breast02 study is a phase III trial currently enrolling patients with metastatic or inoperable TNBC who are not eligible for treatment with anti-PD-1/PD-L1 agents. This study aims to compare the efficacy of DS-1062 versus TPC. The primary endpoints of the study are PFS and OS in these patient populations. The results of this study are highly anticipated, as it may offer an alternative treatment option for patients who are not candidates for first-line immunotherapy treatment.

Another ADC targeting Trop-2 is SKB264, which utilizes 2-methylsulfonyl pyrimidine as the linker to conjugate its payload (KL610023), a belotecan-derivative topoisomerase I inhibitor. The KL264-01 trial is a randomized, open-label, multicenter phase III trial that aims to compare the efficacy of SKB264 versus TPC in patients with unresectable locally advanced, recurrent, or metastatic TNBC who have received more than two lines of treatment. The primary endpoint of the study is PFS, based on a planned enrollment of 254 patients.

Ladiratuzumab vedotin (SGN-LIV1A), a humanized IgG1 antibody that specifically targets the LIV-1 proteins expressed in approximately 90% of breast cancer cells. Its payload consists of the potent microtubule inhibitor known as monomethyl auristatin E (MMAE). SGN-LIV1A is being evaluated both as a monotherapy and in combination with immunotherapy agents. Trastuzumab duocarmazine (SYD985), previously mentioned, is also being examined in combination with Paclitaxel in the context of TNBC (NCT04602117). Another noteworthy ADC is MGCO18, which employs an anti-B7-H3 antibody linked to a duocarmycin payload. This agent is currently being investigated in an expansion cohort phase II trial that includes TNBC patients, and results from this study are anticipated in the coming years. BA3021, an ADC targeting ROR2 (CAB-ROR2-ADC) and utilizing MMAE as its payload, is being assessed in a phase 1/2 trial to evaluate its safety and efficacy in patients with advanced solid tumors. The subsequent phase II component of the study aims to determine the drug’s effectiveness as a monotherapy and in combination with immunotherapy.

Additionally, a novel ADC called tusamitamab ravtansine (SAR408701) selectively targets CEACAM5 and is being evaluated in a multi-cohort, phase II trial involving patients with CEACAM5-positive solid tumors. Cohort A of this study includes patients with metastatic breast cancer who have undergone at least two prior cytotoxic chemotherapy regimens for non-TNBC or at least one regimen for TNBC, with a maximum of four regimens in the locally recurrent or metastatic setting. Although the trial was not explicitly designed for the TNBC population, it will provide valuable insights into the efficacy of this new ADC in this particular subgroup. Farletuzumab ecteribulin (MORAb-202) represents another ADC consisting of the humanized anti-FRα monoclonal antibody, farletuzumab, conjugated to the cytotoxic microtubule inhibitor eribulin. This drug will be evaluated in a multicenter, open-label phase 1/2 trial involving selected tumor types, including TNBC.

Finally, an intracellular adhesion molecule-1 highly enriched on cell surface of TNBC patients (ICAM1) is being investigated as a new target in this poor prognostic population. An ICAM1 ADC conjugated with MMAE was identified as the optimal formulation to achieve efficacy and safety, and further studies are awaited for testing it in the TNBC population.

**Conclusions**

In summary, traditional ADCs such as T-DM1, T-DXd, and SG have already been incorporated into BC treatment protocols across various settings. As technological advances progress, the development of personalized ADCs is expected to become a reality in the future. This involves creating tailored combinations of antibodies, linkers, and payloads based on individual patient tumor antigens and other characteristics to overcome resistance mechanisms. However, the primary challenge is efficiently producing personalized ADCs within reasonable timeframes and at reasonable costs. Additionally, future developments will be able to explore potential synergies between checkpoint inhibitors, tyrosine kinase inhibitors, and ADCs.

Biomarkers play a crucial role in the design and optimization of ADCs for BC treatment. Although some ADCs have shown efficacy regardless of biomarker-based predictions, identifying robust predictive biomarkers remains crucial. Following this, conventional understanding of HER2 overexpression as a biomarker for ADC efficacy is now being questioned, given the emergence of next-generation anti-HER2 ADCs that demonstrate activity even in tumors with low HER2 expression.
levels of HER2 expression. Due to limitations associated with current biomarker assessment methods, such as IHC, there is ongoing exploration of more precise and reliable techniques based on AI and molecular approaches.

**Ethics Approval**

As a literature data review, there was no need for approval by the local institutional review board.

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**Author Contributions**

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

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