

REVIEW

Role of Anti-Vascular Endothelial Growth Factor (Anti-VEGF) in the Treatment of Retinopathy of Prematurity: A Narrative Review in the Context of Middle-Income Countries

Mangat Ram Dogra¹, Anand Vinekar²

¹Grewal Eye Institute, Chandigarh, India; ²Narayana Nethralaya Eye Institute, Bangalore, India

Correspondence: Anand Vinekar, Narayana Nethralaya Eye Institute, Bangalore, India, Email anandvinekar@yahoo.com

Abstract: The rise in preterm births and higher survival rates of premature infants have led to a global increase in retinopathy of prematurity (ROP), a vasoproliferative retinal disorder common in premature infants. ROP is one of the leading causes of childhood blindness. Clinical manifestation of ROP ranges from mild abnormal retinal neovascularization to bilateral retinal detachment and vision loss. The incidence of ROP is higher in middle income countries, including India, which has the highest number of global preterm births. Low birth weight and low gestational age are the primary risk factors for ROP; however, anemia, cardiac defects, blood transfusion, apnea, sepsis, respiratory distress syndrome, high exposure to oxygen and poor postnatal weight gain may also contribute to its development. India has stringent ROP screening guidelines revised in 2018, and screening of infants with either birth weight <2000 grams or gestational age <34 weeks is mandated. With an improved understanding of the pathogenesis of ROP in the past decades and advances in clinical research, treatment for ROP has evolved from cryotherapy to laser retinal ablation. Most recently, anti-vascular endothelial growth factor (anti-VEGF) drugs have emerged as a favorable treatment option for zone-I and II ROP. This article reviews the current approaches for ROP treatment in India with a particular focus on anti-VEGF drugs. The article also integrates the understanding of safety and risk-benefit evaluation of the current approaches in ROP management. The review concluded that there is a need to increase the ROP screening not only for preterm and low birth weight but also for optimal gestational age infants with healthy birth weight. Anti-VEGF therapies have shown improved efficacy, although studies are required to establish the long-term safety.

Keywords: retinal disorder, childhood blindness, ROP management, anti-VEGF therapy, laser retinal ablation

Introduction

Retinopathy of prematurity (ROP) is a vasoproliferative retinal disorder and a common complication in extremely premature infants.^{1,2} The World Health Organization's vision program has identified ROP as one of the principal causes of childhood blindness.^{3,4} Furthermore, ROP is predominant in infants with low birth weight (\(\leq 1500\) grams) and/or low gestational age at birth (≤32 weeks).^{5,6} It was estimated that 32,200 infants worldwide developed vision impairment due to ROP in 2010, with 65% of the cases from middle-income countries.^{7,8}

India has the highest number of global preterm births-3.5 million per year, and is currently facing the third epidemic of ROP. Studies conducted across India have reported the incidence of ROP varying between 2.3% and 47.2%. 10-14 India has accounted for about 10% of the estimated global visual impairment due to ROP in 2010.8 Considering that 1 in 6 preterm births in India occurs at a gestational age of <32 weeks and 40% of which require neonatal intensive care unit (NICU) admission, with an 80% survival rate, it can be inferred that about 190,000 neonates are at risk of developing

ROP. Assuming that 10% of the at-risk infants require intervention, about 20,000 infants in India would require ROP treatment each year. ¹⁵ However, as per a multicenter study report, more number of infants require ROP treatment. ¹⁶

Infants born prematurely have delayed retinal vascularization, leading to the formation of a peripheral avascular zone initially.^{2,17} As the infant matures, the non-vascularization in the retina, coupled with its high metabolic demands, causes hypoxia, which induces local secretion of vascular endothelial growth factor (VEGF), those are endothelial cell-specific mitogen and regulates angiogenesis. An increase in VEGF induces pathologic angiogenesis, leading to abnormal retinal neovascularization, and lead to ROP.^{2,17–20} In some cases, the vascular growth observed in ROP is mild and may resolve over time. However, there are instances where the vascular changes are severe and, if left untreated, these changes may lead to macular dragging, retinal scarring, and retinal detachment, which are the primary causes of vision impairment and blindness in ROP.^{7,21}

Lack of awareness, especially among the rural population, and limited specialized ROP professionals are the key contributors to increase in at-risk population of ROP. In addition to birth weight and age, supplemental oxygen, anemia, blood transfusions, intraventricular hemorrhage, breathing difficulties, infant health and poor postnatal weight gain contribute to ROP risk. Contrary, some studies highlight the incidence of ROP among gestationally older infants in middle income countries compared to western countries. Additionally, there is a report from India showing an increasing trend towards ROP occurrence among newborns with optimal birth weight (>1500 to 2000 grams) and gestational age. ²³

The International Classification of ROP (ICROP) classifies ROP based on the disease location and retinal involvement (zone I–III), the severity of the disease (stages 1–5), and the presence of concomitant disease (a complicating factor at any stage associated with increased dilatation and tortuosity of the retinal vessels). A severe form of ROP, not representing the classical stages 1 to 5, is termed aggressive posterior ROP (APROP), which rapidly progresses to stage 5 ROP if left untreated.^{6,18,24} However, the third edition of ICROP (ICROP3) consensus statement puts forth refined classification metrics (eg, posterior zone II, notch, subcategorization of stage 5) that divides ROP into acute and retinal detachment stages. The committee also recommended a new terminology, aggressive ROP (AROP), in place of APROP. The update was in line with increasing instances where ROP may occur beyond the posterior retina and in preterm infants. The rapid development of pathologic neovascularization and severe concomitant disease without progression being observed through the typical stages of ROP is the cornerstone for AROP.²⁵

Severe ROP may manifest as white pupils (leukocoria), abnormal eye movements (nystagmus), and crossed eyes. The operational guidelines for ROP in India and the screening guidelines by Rashtriya Bal Swasthya Karyakram (RBSK) mandate screening for all infants with birth weight ≤2000 grams, gestational age ≤34 weeks, or gestational age between 34 and 36 weeks, with any of the risk factors such as respiratory distress syndrome, cardio-respiratory support, prolonged oxygen therapy, chronic lung disease, fetal hemorrhage, intraventricular hemorrhage, blood transfusion, exchange transfusion, neonatal sepsis, apneas, or poor postnatal weight gain. ^{15,18,24,26} In preterm delivery cases, the initial retinal screening needs to be conducted after discharge from NICU. ²⁶

The overall goal of ROP management is to prevent retinal detachment, reduce the incidence of subsequent blindness, and promote optimized visual outcomes. For decades, the standard of care followed for ROP has been peripheral retinal ablation with either cryotherapy or laser photocoagulation therapy. Further, in the 1990s, laser photocoagulation therapy gained widespread acceptance and almost replaced cryotherapy as the standard of care for ROP. Laser therapy is associated with better long-term structural, visual, and refractive outcomes compared to cryotherapy.

An increase in VEGF induces retinal angiogenesis, and subsequent abnormal neovascularization leads to ROP. Therefore, intravitreal anti-VEGF agents have emerged as a promising treatment alternative for ROP management in premature infants. Anti-VEGF agents suppress the excessive levels of VEGF within the retina and vitreous, along with targeting the abnormal vascularization observed in ROP. This article consolidates the current knowledge on the available anti-VEGF drugs used in managing ROP in India and provides a comprehensive review of the ROP treatment in the country. It also highlights the safety and risk-benefit evaluation of the current approaches in ROP management and aims to integrate key recommendations for ROP-treating ophthalmologists.

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Management of ROP

The ROP disease can be classified based on treatment requirements. Type 1 ROP requires treatment and includes zone I, any stage ROP with plus disease or stage 3 with or without plus disease, and zone II, stage 2 or 3 ROP with plus disease. An AROP in any zone required treatment as needed for ROP. ¹⁸ Previously, the multicenter trial of Cryotherapy for ROP (CRYO ROP)³⁰ and the Early Treatment for Retinopathy of Prematurity Randomized Trial (ETROP)³¹ established the criteria for threshold and pre-threshold disease to describe type 1 ROP. Type 2 ROP that requires close follow-up includes zone I, stage 1 or 2 ROP without concomitant disease, and zone II, stage 3 ROP without the concomitant disease.

Laser Photocoagulation Therapy

The current standard of treatment for ROP is laser photocoagulation of the non-vascularized retina. Laser therapy is associated with better long-term structural, visual, and refractive outcomes compared with cryotherapy.²⁹ While both cryotherapy and laser therapy ablate the majority of retinal cells that produce VEGF, these therapies do not directly reduce all the elevated levels of VEGF, and when they do, it is a less rapid response.³²

Certain studies have shown a high success rate (92–95%) after laser therapy, depicting it as a primary modality in ROP and AROP.^{33–35} However, the success rate of laser therapy was significantly lower (p=0.01) in the referred category compared to the non-referred category, attributing to the potential delay in laser therapy and disease progression to the advanced stage at the time of treatment initiation.³⁶ Laser therapy also minimizes systemic side effects and recurrent/persistent avascular zones.³³ Recent evidence shows that a two-session laser procedure in AROP is associated with fewer and smaller hemorrhages and no fibrosis compared with a single session.³⁷ However, certain complications, such as new-onset hemorrhage, anterior segment ischemia, cataract, intraprocedural bradycardia, and vitreous hemorrhage are observed after laser therapy.^{34,35}

Anti-VEGF Therapy in ROP

The need for alternate treatment options has incited a rapid increase in worldwide off-label use of anti-VEGF therapy for ROP management, especially for zone I disease. A 2016 survey of the Indian ROP society reported that around 2% of members claimed anti-VEGF agents as the first choice of ROP treatment. Whereas 57% of members recommended the selective use of anti-VEGF and maintained laser therapy as the first choice. In an another recent survey conducted among the Indian ROP (iROP) society members, bevacizumab was the preferred choice by more than half (54%). Although, most of the respondents practicing in public institutions preferred ranibizumab. This is attributable to the government advisory on the limited use of bevacizumab. Irrespective of the agent of choice, most respondents (77.9%) confirmed using half the adult dose. However, more than 96% of the members suggested a need for specific guidelines on anti-VEGF use in ROP.

Bevacizumab

Bevacizumab is a humanized anti-VEGF monoclonal antibody that inhibits all VEGF isoforms and commonly used anti-VEGF agent with the half-life of 20 days. The National Neonatology Forum (NNF), India, guidelines recommend bevacizumab for treating type 1 ROP involving zone I, although recommendations are weak and conditional due to insufficient evidence. The most frequently used dose of bevacizumab in ROP is 0.625 mg (range, 0.03 mg–1.25 mg). The BEAT-ROP study demonstrated a significant benefit of bevacizumab monotherapy (0.625 mg) over laser therapy for the treatment of zone I stage 3+ disease in terms of absolute difference in the risk of recurrence. However, the benefit was not observed for zone II posterior disease, which may be related to insufficient sample size. Treatment with bevacizumab allowed continued development of peripheral retinal vessel, whereas treatment with laser resulted in permanent destruction. Although the outcome of the BEAT-ROP study cannot be generalized since a larger proportion of infants enrolled in the study were largely hispanic.

The pharmacokinetics of bevacizumab is not established in infants, though a study reported significant reduction in VEGF concentration in systemic circulation following bevacizumab administration.⁴³ A lower effective dose of bevacizumab must be considered while administering to infants.⁴⁴

Ocular complications such as vitreous hemorrhage, retinal detachment are reported with intravitreal (2.5 mm) bevacizumab injection. ⁴³ The SAFER-ROP study described a protocol for intravitreal injections of anti-VEGF to mitigate avoidable complications. The findings of study suggested that 0.75 mm to 1.0 mm from the limbus is safe to inject anti-VEGF. ⁴³

Ranibizumab

Ranibizumab is a humanized anti-VEGF monoclonal antibody fragment with a binding affinity toward all VEGF-A isoforms.²⁰ It has a shorter serum half-life of 2 hours and is considered safe for preterm infants. The recommended dose for ranibizumab is 0.2 mg (range, 0.15–0.3 mg), and it can be administered bilaterally on the same day.^{45–48} The recommended minimum interval between 2 doses is 4 weeks, and a total of up to 3 injections per eye may be administered within 6 months of treatment.⁴⁹

Efficacy of ranibizumab was first evaluated in a pilot study CARE ROP.⁵⁰ This study reported control of ROP without any rescue therapy in 87.5% infants with 0.12 or 0.20 mg of ranibizumab. Peripheral normal vascularization developed more rapidly and frequently with 0.12 mg dose of ranibizumab. Further the 2 year RAINBOW study conducted across 26 countries on very–low birth weight infants with bilateral ROP highlighted the superiority of 0.2 mg ranibizumab over laser therapy (80% vs 66% treatment success).⁵¹ Ranibizumab treatment resulted in fewer eyes with unfavorable structural outcomes than laser therapy. Systemic safety profile in a preterm population, and ocular adverse events is consistent with the established safety profile of ranibizumab in adults. RAINBOW is the first study to measure ranibizumab pharmacokinetics in preterm infants. Based on RAINBOW study findings, ranibizumab was approved by the European Union and India for treating ROP with zone I stage 1+, 2+, 3 or 3+, zone II (stage 3+), or AROP disease.⁴⁷ A RAINBOW Extension Study to evaluate the long-term efficacy and safety of ranibizumab compared with laser therapy is ongoing and results are awaited.⁵²

Aflibercept

Aflibercept is a recombinant fusion protein with binding affinity to VEGF-A, PIGF isoforms.⁴⁶ Studies have demonstrated aflibercept to be effective in type 1 ROP, however significant reduction of systemic VEGF levels was observed with aflibercept compared with ranibizumab.⁵³ The serum half-life of aflibercept is 5 to 6 days, which is lesser than bevacizumab but higher than ranibizumab.⁵⁴

A consecutive case series of 46 Indian eyes reported the effectiveness of affibercept 1 mg (0.025 mL) in regression of all classes of ROP.⁵⁵ Recently, the FIREFLEYE randomized clinical trial among preterm infants with ROP reported 85.5% of treatment success with 0.4-mg dose of intravitreal affibercept compared to 82.1% with laser photocoagulation at week 24.⁵⁶ A Phase 3 randomized controlled multicenter clinical trial is conducted to assess the efficacy, safety, and tolerability of affibercept compared to laser photocoagulation in patients with ROP (BUTTERFLEYE study: NCT04101721), although the findings are not published yet.⁵⁷ Nonetheless, the use of affibercept in ROP has been minimal compared to adult retinal pathologies.²⁸

Safety of Anti-VEGF Therapy

Anti-VEGF drugs have been associated with ocular and systemic adverse effects. These adverse effects are intraocular inflammation, corneal opacification, endophthalmitis, cataract formation, ocular hemorrhage, and retinal detachment. The long-term ocular complications identified are abnormal vascular branching, shunt vessels, and refractive errors such as high myopia and astigmatism. 28,61,62

Studies on systemic safety have reported lower motor skills and more possibilities of developing neurodevelopmental disability in patients receiving bevacizumab compared with laser therapy. Rare systemic complications such as hepatic dysfunction, nephropathy, and thromboembolic events have also been reported. Revacizumab is detected in serum within one day of administration and can reduce serum VEGF levels for as long as 8 weeks.

Ranibizumab has lesser potential toxicity than bevacizumab in infants with ROP.^{26,27,47} In RAINBOW study, the t1/2 of ranibizumab was about 5.6 days in intravitreal fluid and 0.3 days in systemic circulation.⁵¹ Contrary, bevacizumab has

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longer half-life of 21 days in systemic circulation of preterm infants.⁶⁹ Further studies are warranted to explore the comparative safety and efficacy of bevacizumab, ranibizumab and, aflibercept.

Limitations of Anti-VEGF Therapy

There is an ambiguity related to the optimal dose of anti-VEGF in infants with ROP. Most of the dose used in infants are the half of the dose administered in adults for ocular neovascular diseases. 48 However, studies suggest this dose to be relatively high for infants due to their low vitreous volume and body weight. 45,48,75

The lower limit of bevacizumab- 0.031 mg, which was only 0.6% of the dose used in BEAT-ROP study was found effective for most ROP infants. Administration of this low-dose demonstrated better structural outcomes and reduced risk of neurodevelopmental or other organs disabilities.

Similarly, a 0.2 mg of ranibizumab, one third of adult dose, is found effective in infants with ROP. The outcomes are supported by interim analysis of the RAINBOW extension study showing no new ocular structural abnormalities and possibly better vision-related quality of life. 2

Recently a multicenter, dose de-escalation Phase 1 study, conducted by the Pediatric Eye Disease Investigator Group (PEDIG) reported, 0.004 mg could be the lowest effective dose of bevacizumab to minimize the systemic effects and promote normal retinal vascularization.⁷⁹

Risk-Benefit Evaluation of Available Therapies for ROP Management

Cryotherapy was the first ablation therapy used to treat ROP, which was associated with ocular complications, including posterior retinal detachment, high myopia, retrolental tissue, and retinal folds with poor visual acuity. After cryotherapy, laser therapy has been the standard of care for type 1 high-risk pre-threshold ROP. AROP. The therapy is effective for ROP stages 1 to 3 though it demonstrates low success rates for AROP. AROP. Laser ablation has several advantages, including precise delivery, reduced ocular morbidity, regression of ROP within six weeks or less, and improved long-term outcomes. Although deemed effective, laser therapy may lead to potential ocular adverse effects such as permanent peripheral visual field loss, cataract formation, vitreous hemorrhage, iris synechiae, strabismus, high myopia, and induction of scarring. Research actions of the research actions o

Now-a-days, intravitreal anti-VEGF agents for ROP have gained attention as a potential alternative. Compared to laser therapy, anti-VEGF treatment has a convenient and faster therapeutic procedure, with an injection administration time of 2 to 3 minutes/eye versus 30 to 40 minutes/eye for laser therapy. Furthermore, anti-VEGF administration does not require special equipment. Anti-VEGF agents can be used for infants who do not qualify for the laser procedure. The therapy can promote faster vascular regression, a lesser degree of peripheral visual field loss, a lesser risk of myopia, and does not induce any visible scarring compared with laser procedures.

Anti-VEGF agents have shown better success rates in treating zone I, II ROP and AROP. 28,51,71,82 A meta-analysis validates the efficacy of anti-VEGF agents in type-1 ROP and AROP over laser therapy. 83 A recent large Asian population-based study reported a potential advantage of the anti-VEGF agents for the initial treatment of zone I ROP and A-ROP. Contrary to conventional laser therapy, the initial regression rate was 86% for the anti-VEGF group and 71% for laser ablation. Contrary, for zone II ROP, laser therapy and anti-VEGF agents showed similar efficacy. 84

The combination of anti-VEGF and laser therapy is now in practice for Type 1 ROP and AROP.⁸⁵ Although, post-combination treatment, few eyes required surgery (16 out of 87), while others demonstrated the presence of detached retina (4 out of 87).

The recurrence of ROP is observed as late as 50 weeks of PMA after IVB or IVR but earlier after laser. Longer follow-up is needed for infants treated with anti-VEGF, especially in cases with risk factors like low Apgar score, Zone I ROP, early PMA at initial treatment.

Selecting a Patient with ROP for Anti-VEGF Therapy

Based on the literature, anti-VEGF agents may be effective for treating patients with zone I, II ROP, and AROP where laser therapy is unsuccessful. ^{28,51,71,82,83} Anti-VEGF agents can be considered a pre-operative measure in patients with retinal detachment and high vascularity to decrease intraoperative bleeding. ^{82,83,86} The therapy may also be used in patients who do not qualify for laser therapy due to poorly dilating pupils and vitreous haze. ⁷⁴ Additionally, it is advised to AROP infants in high-risk cases of foveal development, retinal detachment, and recalcitrant disease after laser therapy. ⁷⁴ Social-economic aspects should be considered while selecting patients for anti-VEGF therapy to mitigate the risk of patients lost to follow-up. ⁴⁰

Few Clinical Scenarios for ROP Management

Scenario I: Administration of Topical Anesthesia Prior to Anti-VEGF Injection in Infants with ROP

There is a shortfall of standardized techniques for the administration of anti-VEGF agents in ROP. A recent protocol on anti-VEGF injections recommends administering a topical anesthetic into the eye before anti-VEGF agents based on a neonatologist's discretion. Studies have reported pain relief with topical anesthesia during anti-VEGF injections in the ROP. 87,88

Scenario 2: Use of Topical Antibiotics as Prophylaxis or Post-Treatment to Anti-VEGF Injection

Neonates are vulnerable to infections; moreover, NICUs may be contaminated with pathologic bacteria; precautions are needed to prevent nosocomial infections. The protocol for anti-VEGF injections recommends antiseptic/antibiotic (betadine 5% or 10%) before and after intravitreal anti-VEGF injection. As per the guidelines of the Vitreoretinal society of India (VRSI), routine use of topical antibiotics is recommended a day prior and 3 days post intravitreal anti-VEGF injections. Is,89

Scenario 3: Intravitreal Anti-VEGF Injection in Both Eyes

The VRSI and AIOS guidelines for bevacizumab do not recommend simultaneous bilateral injections on the same day. ³⁹ Although injecting anti-VEGF on the same day in both eyes is logistically more convenient if ROP is bilaterally symmetrical in the eyes after taking informed consent. ³⁹ The delay in treating the next eye may affect the visual outcome. A recent survey demonstrated that injection of anti-VEGF in both eyes on the same day by 67.8% of the respondents. ³⁹

Scenario 4: Intravitreal Anti-VEGF Injection Along with Laser Therapy

Anti-VEGF therapy and laser therapy are not recommended simultaneously. Laser therapy is recommended in cases of recurrence or with angiographic evidence of persistent leakage and neovascularization at the vascular-avascular junction after anti-VEGF.³³ The Operational guidelines of India, 2018 recommend anti-VEGF as a "rescue" treatment in cases where laser treatment has failed or is impossible.¹⁵ Further, laser therapy may be required in the case of contraindications to anti-VEGF, such as conjunctivitis or external eye infections.⁹⁰ Contraindications to using intravitreal anti-VEGF include ocular or periocular infections and severe intraocular inflammation.⁴⁷ Figure 1 represents a case study where bevacizumab is followed by laser therapy.

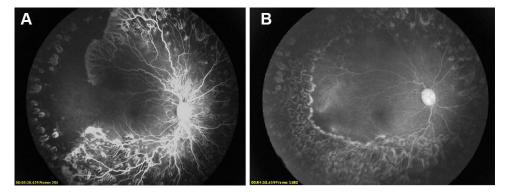


Figure I (A) Right eye of an infant who was injected ranibizumab and subsequently lasered. The inadequate laser resulted in persisted aggressive ROP. (B) Three-week follow-up angiography of the same infant who underwent fill-in laser which was performed to address all residual avascular and ischemic regions.

Scenario 5: Follow-Up Criteria After Anti-VEGF Injections

The updated protocol on ROP management recommends follow-up of infants 48 to 72 hours after anti-VEGF injections to assess complications such as endophthalmitis and recheck every 1 to 2 weeks. Fluorescein angiogram is recommended for all treated patients by 60 to 65 weeks PMA since complete retinal vascularization may not occur in many infants after anti-VEGF injections. Fluorescein angiography can be performed by limited number of devices in the hand-held format and hence makes it wide-spread utility limited in the current scenario. Reports have shown its utility by describing different patterns of regression, reactivation and response after anti-VEGF, aiding the clinician in the decision to retreat or follow. However, angiography is not readily available in most centers, and the specialist may rely on clinical examination and to treat the persistent peripheral avascular retina (PPAR), if any. The avascular retina might lead to recurrent ROP. Studies have also confirmed a higher incidence of the avascular retina caused by anti-VEGF therapy (18% of the eyes treated with bevacizumab). The single dose of anti-VEGF therapy might also lead to retinal detachment even after 2.5 to 3 years of dose administration, necessitating follow-ups after anti-VEGF therapy. Laser therapy is performed in those patients who have not experienced retinal vascularization following anti-VEGF therapy. Figure 2 elaborated on a case study post administering bevacizumab and witnessing a loss to follow-up.

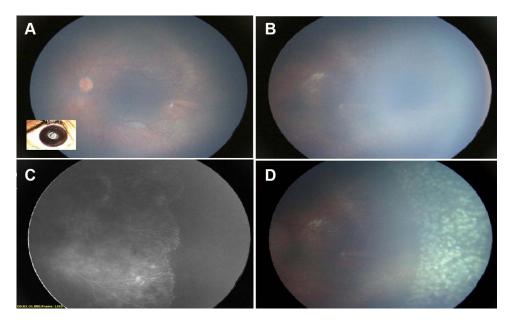


Figure 2 (A) Left eye of a premature infant II months after injection of intravitreal bevacizumab who was lost to follow-up. The right eye had a closed funnel retinal detachment. (B) Pre-retinal fibrous tufts were noted in zone 2 with significant persistent peripheral avascular retina. (C) The result was confirmed based on angiography (RetCam 3, Natus, CA, USA). (D) The eye underwent laser photoablation of the avascular retina.

Conclusion

There is a need to increase the ROP screening not only for preterm and low birth weight but also required in optimal gestational age infants with healthy birth weight. Although, current national guidelines consider laser therapy as the standard of care. However, anti-VEGF therapies have shown improved efficacy and thus emerged as a potential alternative to laser therapy. The adequate evidence on long-term safety is limited; therefore, anti-VEGF agents are restricted to posterior zone 1, zone I ROP, and AROP and also as rescue therapy following treatment failure with laser. More studies regarding the long-term safety, including systemic effects, dose, and schedule are thus warranted.

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Disclosure

The authors report no conflicts of interest in this work.

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