#### REVIEW

# The Path to Personalized Treatment in KRAS-Mutant Non-Small Cell Lung Cancer: A Review of Targeted Therapies and Immunotherapy

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**Purpose of Review:** To summarize the targeted therapies and immunotherapy of Kirsten rat sarcoma viral oncogene homolog (KRAS)-mutant non-small cell lung cancer (NSCLC), and discuss the ongoing clinical trials.

**Recent Findings:** KRAS mutations occur in about 30% of patients with NSCLC and are the second most frequent genetic variation in lung cancer. It has been considered "undruggable" for 40 years until the discovery of a direct inhibitor of KRAS G12C. The promising direct KRAS G12C inhibitors such as sotorasib and MRTX849 have made a breakthrough with promising anti-tumor effects in patients with KRAS G12C-mutant advanced/metastatic NSCLC post one prior line of therapy. Following the success of immune checkpoint inhibitors (ICIs) in NSCLC, many patients harboring KRAS mutations can benefit from ICIs. However, due to disease heterogeneity, the prognosis of patients remains unsatisfactory, leaving room for personalized treatment options, such as new targeted therapies and other therapies.

**Summary:** In this review, we aim to dissect the strategies of clinical trials in these tumors, shifting from a few chemotherapy options to targeted and immunotherapy, in the context of molecular selection of KRAS-mutant NSCLC subtypes.

Keywords: non-small cell lung cancer, KRAS G12C mutations, targeted therapy, immunotherapy

### Molecular Features and Genetic Heterogeneity of KRAS-Mutant NSCLC

KRAS encodes a membrane-bound guanosine triphosphatase (GTPase), which plays a molecular switching role by converting guanosine triphosphate (GTP) molecules into guanosine diphosphate (GDP) molecules. Under normal conditions, KRAS is inactive when bounds to GDP and active when bounds to GTP (Figure 1). The activation/deactivation process of KRAS involves two regulatory proteins: (1) guanine nucleotide exchange factor (GEF), which promotes the binding of KRAS and GTP to activate Son of Sevenless (SOS) protein etc.; and (2) GTPase activating protein (GAP), which promotes the binding between GDP and KRAS and GTP hydrolysis.<sup>2</sup> When KRAS mutations occur (codons 12, 13, and 61), the mutated KRAS proteins primarily maintain the KRAS-GTP active state, which disrupts the GTP hydrolysis and guanine exchange rates of RAS proteins. Therefore, it would lead to unregulated oncogenic signaling and tumorigenesis.<sup>2</sup>

KRAS-mutant NSCLC is heterogeneous for the different subtypes and frequently co-mutations with other master genes. KRAS-mutant NSCLC is composed of distinct subtypes, with the majority (97%) found in the 12th or 13th amino acid residues. The frequency common subtypes include G12D, G12V, G12C, G12A, and G13D.<sup>3</sup> Of the above subtypes, KRAS G12C is one of the most common genetic mutations in NSCLC, and the incidence varies in different races, ranging from ~13% in Western countries<sup>4</sup> to 3–5% in Asia countries.<sup>5</sup>

KRAS often have co-mutations with other master genes rather than only a single KRAS mutation. Genetic co-mutations varied with different KRAS clusters, including: (1) KP subgroup (+TP53 mutation); (2) KL subgroup (+STK11/LKB1 mutation); and (3) KC subgroup (+CDKN2A/B inactivation plus low TTF1). Different subgroups may show distinct biology, patterns of immune-system engagement, and therapeutic vulnerabilities.<sup>6</sup>

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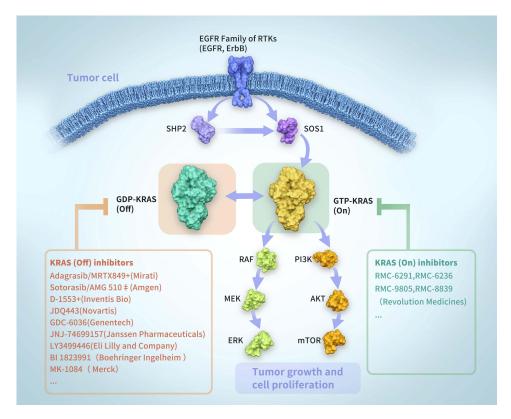


Figure I The activation and deactivation process of KRAS.

Abbreviations: KRAS, Kirsten rat sarcoma viral oncogene; GTP, guanosine triphosphate; GDP, guanosine diphosphate; GEF, guanine nucleotide exchange factor; GAP, GTPase activating protein.

# Pre-Clinical Development: Sotorasib (AMG-510) and Adagrasib (MRTX849)

A preclinical study demonstrates that sotorasib is highly selective for impairing cell viability in pancreatic and lung adenocarcinoma cell lines compared to non-KRAS G12C cell lines.<sup>7</sup> In multiple in vitro and in vivo models of KRAS G12C-mutant (such as cell line-derived xenografts, syngeneic mouse models, and patient-derived xenografts), sotorasib is found to inhibit ERK phosphorylation. What's more, sotorasib is also found to restore an efficient immune tumor response.<sup>8,9</sup>

Similar to sotorasib, adagrasib selectively impairs cell viability and inhibits ERK phosphorylation without affecting AKT activation.<sup>10</sup> A preclinical study reveals that adagrasib decreases intratumoral myeloid-derived suppressor cells (MDSCs) and increases M1-macrophages, dendritic cells, and CD4<sup>+</sup>/CD8<sup>+</sup> T cells.<sup>11</sup>

## The Breakthrough in Targeted Therapy for KRAS GI2C-Mutant NSCLC

Better insights into KRAS structural biochemistry allow researchers to discover a covalent inhibitor drug handle in the KRAS G12C protein. The investigation of the crystal structure of the mutant protein binding to GDP revealed a new pocket beneath the small molecule-type drugs that bind the switch II region. This led to the development of first-in-class KRAS G12C off-state inhibitors: sotorasib/Lumakras<sup>™</sup> (Amgen<sup>®</sup>) and adagrasib (Mirati Therapeutics). In May 2021, the Food and Drug Administration (FDA) announced the accelerated approval of sotorasib for the treatment of patients with KRAS G12C-mutant locally advanced or metastatic NSCLC who had received at least one prior systemic therapy.<sup>12</sup>

#### Sotorasib

Sotorasib is a small molecule that irreversibly and selectively binds to the mutant C12 in a small pocket (P2) on the KRAS G12C protein 2, locking the KRAS G12C-mutant protein in an inactive state, thus preventing oncogenic signaling without affecting wild-type KRAS.<sup>13</sup>

The Phase I/II study (CodeBreak 100: NCT03600883) showed a favorable safety profile of sotorasib monotherapy. Pharmacokinetics (PK) analysis demonstrated that the half-life of sotorasib was approximately 5.5 hours, and brief exposure to sotorasib (960 mg) was expected to completely inhibit KRAS G12C mutations throughout the dosing interval.<sup>14</sup> Meanwhile, sotorasib showed encouraging anticancer activity in previously treated metastatic NSCLC, color-ectal cancer (CRC), and other tumor types, with a median number of previous anticancer treatment lines of 3 (range: 0–11).

Recently, a Phase II study was published by American Association for Cancer Research (AACR) in 2022. A total of 174 patients with KRAS G12C-mutant locally advanced or metastatic NSCLC were enrolled, of whom 82.8% had previously received platinum-based chemotherapy and programmed cell death-1 (PD-1)/PD-1 ligand 1 (PD-L1) inhibitors. Sotorasib was orally administered at 960 mg once daily until disease progression. The efficacy and safety of sotorasib for metastatic NSCLC were promising, with an objective response rate (ORR) of 40.7% and a disease control rate (DCR) of 83.7% (Table 1). In these patients with NSCLC, the median duration of response, median progression-free survival (PFS), median overall survival (OS), and 1-year OS rate were 12.3 months, 6.3 months, 12.5 months, and 50.8%, respectively.

For sotorasib, treatment-related adverse events (TRAEs) were generally mild and manageable. Grade 3 and grade 4 TRAEs were reported in 20% and 1% of patients, respectively, and no fatal TRAEs were reported.<sup>15</sup>

Furthermore, the global Phase III study (CodeBreak 200) of sotorasib versus docetaxel for previously treated NSCLC with KRAS G12C mutation was published by the European Society for Medical Oncology (ESMO) in 2022.<sup>16</sup> The results showed that sotorasib significantly improved the primary endpoint of PFS compared to docetaxel (5.6 vs 4.5 months, HR=0.66, p=0.002). Besides, sotorasib was well-tolerated with fewer grade  $\geq$ 3 TRAEs than docetaxel (Table 1).

Besides, the phase II trial (CodeBreak 201) of sotorasib in treatment-naïve stage IV NSCLC patients with KRAS G12C mutations, PD-L1 tumor proportion score (TPS) <1%, and/or harboring a serine/threonine kinase 11 (STK11) co-mutation is ongoing.<sup>14</sup>

#### **MRTX849**

Another KRAS G12C inhibitor is under development. According to the data disclosed in phase I/II study (KRYSTAL-1: NCT03785249), adagrasib (MRTX849) showed a favorable safety profile and significant clinical activity in heavily pretreated patients. The PK analysis demonstrated that the half-life of adagrasib was approximately 24.7 hours, and the phase II dose (RP2D) was 600 mg twice daily (BID).<sup>17</sup>

Furthermore, a total of 116 patients with KRAS G12C-mutant locally advanced or metastatic NSCLC were enrolled in a phase II study (KRYSTAL-1-CohortA) of adagrasib, of whom 98% had received previous treatment. Adagrasib was orally administered at 600 mg BID until disease progression. The efficacy and safety of adagrasib for metastatic NSCLC (n=116) were encouraging, with an ORR of 43% and a DCR of 80% (Table 1). The median duration of response, median PFS, median OS, and 1-year OS rate were 8.5 months, 6.5 months, 12.6 months, and 51%, respectively. Regarding safety, grade 3–4 TRAEs were reported in 43% of patients, and 2 patients reported grade 5 TRAE (heart failure, n=1; pulmonary hemorrhage, n=1).<sup>18</sup>

Furthermore, a phase II study (KRYSTAL-7) of adagrasib in combination with pembrolizumab for newly treated NSCLC patients harboring KRAS G12C mutations who cannot be treated locally or with metastases is ongoing.<sup>17</sup>

Drugs	Study	Phase	Setting	No. of Patients	Outcome
Sotorasib	CodeBreak 100 <sup>15</sup>	1/11	≥2 line	174	ORR, 40.7%; DCR, 83.7%; mPFS, 6.3 months; mOS, 12.5 months; 1-year OS rate, 50.8%
	CodeBreak	Ш	≥l line	171	ORR, 28.1%; DCR, 82.5%; mPFS, 6.5 months; I-year PFS rate, 24.8%
	200 <sup>16</sup>			174	ORR, 13.2%; DCR, 83.7%; mPFS, 4.5 months; 1-year PFS rate, 10.1%
Adagrasib	KRYSTAL-I <sup>18</sup>	1/11	≥2 line	116	ORR, 43%; DCR, 80%; mPFS, 6.5 months; mOS, 12.6 months; 1-year OS rate, 51%
	D-1553 <sup>19</sup>	I	≥2 line	59	ORR, 40.4%; DCR, 90.4%

 Table I Preliminary Data from Targeted Therapy

Abbreviations: ORR, objective response rate; DCR, disease control rate; mPFS, median progression-free survival; mOS, median overall survival; OS, overall survival; No, number.

### D-1553

D-1553 is a novel and selective KRAS G12C inhibitor. A Phase I study assessed the safety and efficacy of D-1553 in treating patients with NSCLC harboring KRAS G12C mutations. A total of 59 KRAS G12C-mutant NSCLC patients who had received at least one systemic therapy were enrolled. The ORR was 40.4% and the DCR was 90.4% (Table 1).<sup>19</sup>

So far, from the initial data of sotorasib and adagrasib, we have not seen deeper and more durable responses observed from other targeted agents such as epidermal growth factor receptor (EGFR) and anaplastic lymphoma kinase gene (ALK) inhibitors. The ORR of the KRAS G12C inhibitor was about 40%, with a median improvement in PFS of around 6 months and OS of about 1 year. TRAEs were generally tolerable and manageable.

The less-than-ideal response may come from the following reasons: (1) patients included in the trial had advanced disease and had received several lines of prior treatment; (2) disease heterogeneity (many tumors harbor co-occurring mutations and cross-talk with other pathways); and (3) the development of acquired resistance. The unsatisfactory responses keep drug research in KRAS-mutant populations uninterrupted.

KRAS-mutant tumors are characterized by the evasion of immune surveillance. In addition, the oncogenic KRAS signaling induces the expression of several immunomodulatory factors (nuclear factor kappa-B [NF-kB], signal transducer and activator of transcription 3 [STAT3], and certain suppressive inflammatory cytokines such as interleukin 6 [IL-6], interleukin-1B [IL-1b], and granulocyte-macrophage colony-stimulating factor [GM-CSF]), resulting in an immune-suppressive tumor microenvironment.<sup>20</sup> Other mechanisms in this tumor type consist of interference (IFNG), PD-L1, and PD-1, and CD8 expressions were higher in KRAS-mutant lung cancer.<sup>21</sup>

#### **The Progress in Immunotherapy for KRAS-Mutant NSCLC** Anti-PD-(L) | Single Agent

Several published research has found that patients with KRAS mutations can benefit from immune monotherapy (Table 2). A meta-analysis including 9 studies with 1716 cases of NSCLC, 694 cases of KRAS mutations, and 1022 cases of KRAS wild-type showed that patients with KRAS gene mutations can benefit more (ORR [mutant vs wild type]: HR=1.51 [95%: 1.17–1.96]) from immune monotherapy.<sup>1</sup> In the Checkmate 057 study, 62 patients with KRAS mutations benefited more from nivolumab than chemotherapy (mOS: HR=0.52 [95% CI: 0.29–0.95]). In the OAK study, 59 patients with KRAS mutations tended to benefit from atezolizumab treatment (mOS: HR=0.71 [95% CI: 0.38–1.35]).<sup>22</sup> In the KEYNOTE-042 study, 301 patients were analyzed, of whom 69 possessed KRAS mutations while 29 possessed KRAS G12C mutations. Compared with KRAS wild-type, pembrolizumab significantly prolonged OS in patients with KRAS mutations (HR=0.86 [95% CI: 0.63–1.18] vs HR=0.42 [95% CI: 0.22–0.81]). Meanwhile, patients with KRAS G12C mutations seem to benefit more in OS (HR=0.28 [95% CI: 0.09–0.86]); it should be noted that all patients enrolled in this study had a PD-L1 expression of greater than 1%.<sup>23</sup> The IMMUNTRGET study included 246 NSCLC patients with KRAS mutations who received immune monotherapy. The ORR was 26%, the median PFS was 3.2 months (95% CI: 2.7–4.5), and the median OS was 13.5 months (95% CI: 9.4–15.6), which suggested that patients with KRAS mutations could benefit from immunotherapy.<sup>24</sup> For patients with KRAS mutations, more large-scale studies are needed to prove the survival benefits of immunotherapy.

#### Anti-PD-(L) I Combined Therapy

A meta-analysis of 6 studies showed that immunotherapy combined with chemotherapy significantly prolonged the OS (HR=0.59 [95% CI: 0.49–0.72]; p<0.00001) and PFS (HR=0.58 [95% CI: 0.43–0.78]; p=0.0003) in patients with KRASmutant NSCLC compared with chemotherapy alone, and the OS of patients with KRAS mutations was significantly longer than that in the KRAS wild-type group (p=0.001) (Table 2).<sup>1</sup> In the Keynote-189 study, 89 patients with KRAS mutations (37 with KRAS G12C mutations) were analyzed, and there was no significant difference in OS (HR=0.79 [95% CI: 0.45–1.38]) between the pembrolizumab combined chemotherapy and chemotherapy. Besides, for patients with KRAS G12C mutations, the OS between the two groups was similar (HR=1.14 [95% CI: 0.45–2.92]).<sup>25</sup> In the IMPOWER 150 study, ABCP (atezolizumab, bevacizumab, carboplatin, and paclitaxel) showed more benefit in OS and PFS than ACP (atezolizumab, carboplatin, and paclitaxel) or BCP (bevacizumab, carboplatin, and paclitaxel) in 
 Table 2 Preliminary Data from Immunotherapy

	Study	Phase	Setting	Arms	No. of Patients with KRAS Mutant	Outcome
ICIs alone	Keynote042 <sup>23</sup>	III	l line	Pembromab	69	KRAS mutant vs wild type: ORR, 56.7 vs 29.1%; mOS, HR=0.42 (95% CI: 0.22–0.81)
	IMMUNTRGET <sup>24</sup>	-	≥2 line	ICIs	246	ORR, 26%; mPFS, 3.2 months; mOS, 13.5 months
	Checkmate 057 <sup>31</sup>	Ш	≥2 line	Nivolumab vs docetaxel	62	mOS, 12.2 vs 9.4 months, HR=0.52 (95% CI: 0.29–0.95)
	OAK study <sup>22</sup>	Ш	≥2 line	Atezolizumab vs docetaxel	59	mOS, 13.8 vs 9.6 months, HR=0.71 (95% CI: 0.38–1.34)
	Meta analysis <sup>1</sup>	-	l line/2 line	ICIs alone	694	KRAS mutant vs wild type: HR=1.51 (95% CI: 1.17–1.96), p=0.002
ICIs combined	Keynote-189 <sup>25</sup>	Ш	l line	Pembromab plus chemotherapy	89	KRAS mutant vs wild type: ORR, 40.7 vs 47.6%; mOS, HR=0.79 (95% CI: 0.45–1.38)
therapy	IMPOWER 150 <sup>26</sup>	Ш	l line	Atezolizumab plus chemotherapy	226	KRAS mutant (ABCP vs BCP): mPFS, HR=0.42 (95% CI: 0.29–0.61); OS, HR=0.50 (95% CI: 0.34–0.72)
	Meta analysis <sup>1</sup>	-	I line/2 line	ICIs plus others	386	ICI plus CT vs CT: OS, 0.59 months (95% CI: 0.49–0.72), p <0.00001; PFS, 0.58 months (95% CI: 0.43–0.78), p=0.0003

Abbreviations: ICIs, immune checkpoint inhibitors; KRAS, Kirsten rat sarcoma viral oncogene; HR, hazard ratio; BCP, bevacizumab plus carboplatin plus paclitaxel; ABCP, atezolizumab plus BCP; 95% CI, 95% confidence interval; ORR, objective response rate; mPFS, median progression-free survival; mOS, median overall survival; OS, overall survival; No, number.

patients with KRAS mutations; however, ABCP or ACP showed limited improvement in OS compared with BCP in the KRAS-WT population.<sup>26</sup>

The published data suggested that ICIs could confer a survival benefit on the KRAS-mutant population. For patients with KRAS-mutant NSCLC, the ICIs monotherapy as first-line treatment contributed to a median improvement in PFS of approximately 12 months and OS of about 2 years. The OS of ICIs monotherapy as second-line treatment was about 1 year. Moreover, the anti-PD-(L) 1 combined chemotherapy contributed to a median improvement in PFS of approximately 9 months and OS of about 21 months.

### Outlook

KRAS mutations consist of different subtypes, with the KRAS G12C mutations being a small subset of the population. Patients beyond KRAS G12C mutations still have unmet needs, promoting a new research era, focusing on finding more effective ways to target the KRAS pathway. The development of drug strategies around KRAS mutations can be divided into 3 categories, including KRAS G12C (OFF) inhibitors, KRAS (ON) inhibitors, and rat sarcoma viral oncogene (RAS) companion inhibitors.

The KRAS G12C mutations drive these cancers by shifting the cellular equilibrium of KRAS toward the GTP-bound (active state, KRAS G12C [ON]), which in turn increases signaling output to initiate and support an oncogenic state. KRAS G12C (OFF) inhibitors (such as sotorasib) work via sequestration of the GDP-bound (inactive state, KRAS G12C [OFF]). The research progress of KRAS G12C (OFF) inhibitors is at the forefront, and the less ideal response drives the KRAS (ON) inhibitors to form a tri-complex (KRAS variant, KRAS [ON] inhibitors, and chaperone [such as cyclophilin A]) in the presence of the compound. The chaperone protein sterically occludes the target protein (such as KRAS [ON]) and prevents

Classification	Programs (Company)	Combination Therapies Under Investigation	Phase
KRAS GI2C (OFF)	Adagrasib/MRTX849+ (Mirati)	PD-1, PD-L1, SHP2, MEK	III
inhibitors <sup>32</sup>	Sotorasib/AMG 510‡ (Amgen)	Pan-ErbB, EGFR, mTOR	III
	D-1553+ (Inventis Bio)	CDK4/6, chemotherapy	1/11
	JDQ443 (Novartis)	PD-LI, EGFR, pan-ErbB, SHP2	1/11
	GDC-6036 (Genentech)	Chemotherapy	I
	JNJ-74699157 (JanssenPharmaceuticals)	PD-1, SHP2	I
	LY3499446 (Eli Lilly and Company)	PD-1, VEGF, EGFR	The study terminated due to
			toxicity
	BI 1823991 (Boehringer Ingelheim)	SOSI	la/lb
	MK-1084 (Merck)	Pembrolizumab	I
KRAS (ON)	RMC-9805 KRASGI2D (Revolution	-	Preclinical
inhibitors <sup>27,28</sup>	Medicines)		
	RMC-8839 KRASGI3C (Revolution	EGFR, CDK4/6	Preclinical
	Medicines)		
	RMC-6291 KRASG12C (Revolution	-	Preclinical
	Medicines)		
	RMC-6236 KRASMULTI (Revolution	-	Preclinical
	Medicines)		
RAS companion	RMC-4630 SHP2 (Revolution	SHP2 or SOS1 inhibitors, ICIs	П
inhibitors <sup>27,28</sup>	Medicines)		
	mTORCI	-	1
	Immune checkpoints	MEK, ERK, KRAS GI2C, RTK, ICIs	-
	mRNA vaccine and RNAi	-	I/II

 Table 3 Ongoing Drug Development Strategies for KRAS Mutant Populations

Abbreviations: KRAS, Kirsten rat sarcoma viral oncogene; RAS, rat sarcoma viral oncogene; ICIs, immune checkpoint inhibitors; PD-1, programmed cell death-1; PD-L1, programmed death ligand 1; SHP2, Src homology-2 domain containing protein tyrosine phosphatase-2; MEK, MAPK/ERK kinase; EGFR, epidermal growth factor receptor; mTOR, mammalian target of rapamycin; CDK, cyclin-dependent kinase; VEGF, vascular endothelial growth factor; SOS1, SOS Ras/Rac guanine nucleotide exchange factor 1; ERK, extracellular signals regulated kinases; RTK, receptor tyrosine kinase; mTORC1, mechanistic target of rapamycin complex 1.

interaction with affiliated proteins (such as the RAS effector kinase-rapidly accelerated fibrosarcoma [RAF]), which was required for propagating oncogenic signals. Besides, KRAS companion inhibitors suppress cooperating targets and pathways that sustain RAS-addicted cancers, such as SOS Ras/Rac Guanine Nucleotide Exchange Factor 1(SOS1), Src homology-2 domain containing protein tyrosine phosphatase-2 (SHP2), mechanistic target of rapamycin complex 1 (mTORC1), and immune checkpoints.<sup>27–29</sup>

This article reviews the latest progress in the treatment of KRAS-mutant NSCLC, aiming at understanding the biological diversity and potential clinical significance of KRAS-mutant NSCLC, to provide the basis for individualized treatment of KRAS-mutant NSCLC.

The efficacy achieved in the current KRAS-mutant populations focuses on targeted (targeting KRAS G12C mutations) therapy and immunotherapy. Meanwhile, the tumor heterogeneity and immunomodulatory effects of KRAS-mutant lung cancers exhibit different sensitivity to different therapies. The ongoing clinical design study provides insights into the path to personalized treatment of KRAS mutation by inhibiting different isoforms of KRAS via KRAS (OFF) G12C inhibitors and KRAS (ON) inhibitors (Table 3). Moreover, based on KRAS inhibitors, the combination of multiple treatment methods (such as in combination with EGFR inhibitors or MEK inhibitors, or anti-PD-1)<sup>28,30</sup> is an inevitable trend in the future.

#### Disclosure

The authors declare that they have no conflicts of interest in this work.

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