

REVIEW

Chikungunya Vaccine Candidates: Current Landscape and Future Prospects

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Abstract: Chikungunya virus (CHIKV) is an alphavirus that has spread globally in the last twenty years. Although mortality is rather low, infection can result in debilitating arthralgia that can persist for years. Unfortunately, no treatments or preventive vaccines are currently licensed against CHIKV infections. However, a large range of promising preclinical and clinical vaccine candidates have been developed during recent years. This review will give an introduction into the biology of CHIKV and the immune responses that are induced by infection, and will summarize CHIKV vaccine development.

Keywords: alphavirus, vector vaccines, inactivated virus, nucleic acid vaccines, immune response, arthralgia

Introduction

"Chikungunya" is a Kimakonde word of the Makonde tribe in Africa describing the disease symptoms of a virus infection, and can be translated as "disease that bends up the joints". The causative chikungunya virus (CHIKV) belongs to the genus Alphavirus of the Togavirdae family. Traditionally, the members of the Alphavirus genus were categorized according to their global distribution into Old World and New World viruses. The Old World alphaviruses like CHIKV. Ross River virus, Mayaro virus, Sindbis virus and Semliki Forest virus mainly cause arthritogenic symptoms, whereas the New World viruses like Eastern, Western and Venezuelan equine encephalitis virus cause encephalitic symptoms.

CHIKV was first isolated from a patient in Tanzania in 1952. During the following 50 years, CHIKV circulated between vertebrate hosts and mosquito vectors of the species Aedes aegypti and caused multiple outbreaks in Africa and Asia. During an outbreak on La Reunion island in 2006, a mutation in the E1 gene enabled CHIKV to be spread by Aedes albopictus.³ In contrast to Aedes aegypti, which is only common in the tropics and sub-tropics, Aedes albopictus is endemic almost globally.4 This allowed a rapid global spread of CHIKV and it has now been reported in over 100 countries worldwide. 5,6 Further spread and epidemics are likely and thus the WHO lists CHIKV in the Research and Development Blueprint for preparedness for priority diseases/pathogens.⁷

Based on phylogeny, CHIKV has been divided into three main genotypes: West African (WA), East Central South African (ECSA) and Asian (likely derived from ECSA).8 The 2006 La Reunion genotype is now referred to as a fourth genotype, the Indian Ocean Lineage (IOL). However, antibodies raised against one genotype have been described to be cross-reactive against the others, indicating that there is only a single serotype. ^{9–11}

Chikungunya Virus

Like all alphaviruses, CHIKV is an enveloped, single-stranded, positive-sensed RNA virus with a genome of 11.8 kb (Figure 1). The genome consists of two open-reading frames (ORFs). The first encodes the four non-structural proteins nsP1-nsP4, which assemble the alphavirus replicase complex. The second encodes the structural proteins Capsid-E3-E2-6K-E1. This ORF contains a slippery codon motif in the 6K gene that mediates ribosomal frameshifting resulting in the production of the transframe (TF) protein. 12 For review on alphavirus biology see: Strauss and Strauss, 1994. 13

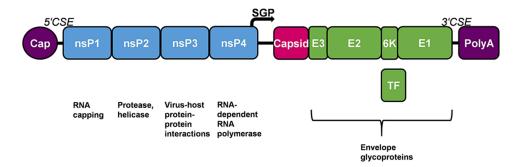


Figure I Schematic representation of the CHIKV genome. The CHIKV genome is a single-stranded, positive-sensed RNA genome with a 5' cap and 3'-polyadenylated tail. The four nsPs are encoded on the first ORF and the five structural proteins on the second ORF. The main functions of the proteins are annotated. Moreover, the genome contains 5' and 3' conserved sequence elements (CSEs) for RNA amplification and a subgenomic promoter (SGP) for subgenomic RNA synthesis. The TF protein is produced by a frameshift in the 6K gene.

CHIKV enters cells by receptor-mediated endocytosis in a pH-dependent fusion step. It has two surface envelope proteins: the transmembrane glycoproteins E2 and E1. E1 is a class II viral fusion protein and E2 mediates receptor binding and cell attachment.¹⁴ The E2 protein is subdivided into three immunoglobulin domains called A, B and C. Domains A and B are involved in receptor binding.^{15–17} Epitope mapping of antibodies induced by CHIKV infections in humans has indicated that the E2 protein is the main target of CHIKV-neutralizing antibodies.^{18–21} Therefore, vaccine candidates directed against CHIKV contain the structural genes as antigens.

The Disease: Chikungunya Fever (CHIKF)

CHIKV has a broad cellular and tissue tropism and can replicate in most cell types apart from B and T cells. 22,23 After a mosquito bite, CHIKV first infects and replicates in fibroblasts in the skin.²⁴ Subsequently, the virus disseminates via the blood stream and infects cells in the liver, muscle, joints, lymphoid tissue and brain. During this phase, viral titers in the blood are very high and have been reported as mean values of 3.4×10^3 pfu/mL and 5.6×10^5 pfu/mL in asymptomatic and symptomatic patients, respectively. 25 The incubation time until disease onset is between 2 and 7 days. Only about 15% of patients remain asymptomatic.²⁶ The typical symptoms of an acute CHIKV infection are fever, headache, rigors, photophobia, rash and severe joint pain.²⁷ Neurological symptoms are rare, but have been described in children and include seizures, compromised consciousness, blindness due to retrobulbar neuritis and acute flaccid paralysis.²⁸ The virus is usually cleared by innate and adaptive immune responses and disease symptoms resolve after 7 to 14 days.²⁹ However, in around 40% of patients, the disease symptoms can persist for several months up to years.³⁰ In this chronic phase, patients show severe joint pain and arthritis. The exact mechanisms are still not fully understood, but likely involve tissue damage by proinflammatory responses or autoimmune reactivity and/or a chronic virus infection.^{31–33} The fatality rate of CHIKV infection is low and is reported to be 0.1% on La Reunion; however, other publications have reported up to 5%. 34,35 Fatality mainly affects the elderly, neonates and young children, pregnant women and patients with co-morbidities. 36,37 Treatment of patients is limited to symptomatic therapy using analgesics and/or nonsteroidal anti-inflammatory drugs for pain and fever relief.³⁸

Animal Models for CHIKV Infections

Small animal models can be used to assess immunogenicity and protective efficacy of CHIKV vaccine candidates. Either immunocompetent (eg C57BL/6, BALB/c) or immunodeficient mice (eg IFNAR-/- mice) are used.³⁹ In adult immunocompetent mice, peripheral inoculation with CHIKV strains causes no clinical signs, so efficacy endpoints are typically viremia assessed by viral load determination and/or footpad swelling.⁴⁰⁻⁴² Immunodeficient mice are susceptible to CHIKV infections due to the lack of innate immune responses and are a lethal endpoint model. However, they are less suitable for studying the immunogenicity of vaccines due to their impaired innate immunity.³⁹

Models using nonhuman primates (NHP) like cynomolgus or rhesus macaques much more closely resemble the disease observed in humans. Infection of NHP with CHIKV results in viremia, fever, rash, changes in circulating inflammatory

cytokines and, after infection with high doses, can be associated with joint pathology.⁴³ Although vaccine candidates have been assessed in mice and NHP, exact correlates of protection like the minimal protective antibody titer are still in its infancies. These titers needed to directly translate titers induced in humans into protection.

Immune Response to CHIKV Infection

Protection from an infection with a pathogen relies on multiple immune responses, including innate and adaptive immunity. Early in infection, alphaviruses induce type-I IFN responses due to virus recognition by pattern-recognition receptors (PRRs). Elevated IFN- α levels have been found in the plasma of CHIKV-infected patients during acute infections. Antigen-presenting cells like Langerhans cells, macrophages and dendritic cells are the main inducers of innate immune responses and CHIKV has been shown to be able to replicate in monocytes that produce high levels of IFN- α . 22

Antibodies directed against the envelope proteins are central for protection against incoming viruses and cellular responses usually modulate disease progression. CHIKV infections potently induce adaptive humoral and cellular immune responses. CHIKV-specific IgM and IgG appear early after symptom onset and IgG persists at high levels for years. Both anti-CHIKV IgM and IgG antibodies are able to neutralize CHIKV. Analyses of human serum antibodies have indicated that the E2 protein is the dominant antigen. 18,21

Several groups have demonstrated a clear correlation between neutralizing antibodies and protection against CHIKV infection in mice.³¹ The role of antibodies was initially studied by CHIKV infection of B cell-deficient muMT mice. Wild type mice cleared the viremia, but CHIKV-infected muMT mice showed persisting viremia and more severe joint disease, indicating a role for B cells in viremia and disease.⁴⁶ In addition, passive transfer of IgG from convalescent patients prevented and reduced CHIKV infection in mice.⁴⁷ In humans, the presence of CHIKV-neutralizing antibodies prior to infection prevented disease.⁴⁸ However, it is difficult to define exact correlates of protection based on these results.⁴⁹ Furthermore, in contrast to dengue virus,⁵⁰ suboptimal levels of pathogen-specific neutralizing antibodies that enhance infectivity and disease severity through antibody-dependent enhancement (ADE), seem not to be a concern for CHIKV infections. However, in vitro infection of macrophages via ADE has been described for the alphavirus Ross River virus.⁵¹ Real world data concerning ADE in alphavirus infection is missing, but the lack of viremia and lifelong protection in previously CHIKV-infected people do not argue for an involvement of ADE.⁴⁸ Nevertheless, effective vaccines will need to elicit a strong, durable, neutralizing antibody response.

CHIKV infection also triggers cell-mediated immunity and CD8⁺ and CD4⁺ T cells reactive to CHIKV antigens have been detected in animal models^{52,53} and in humans.⁵⁴ T cells contribute to limiting the spread of virus; however, the exact role of T cells in viral clearance or pathogenicity is not well understood.⁵⁵ At early stages of the disease, in the acute phase, CD8⁺ T cells predominate, and CD4⁺ T cells mediate the adaptive response at later time points of infection.⁴⁴ CD4⁺ T cells seem to be activated during the chronic phase of CHIKV infection, inducing inflammation by proinflammatory cytokine release, which results in joint swelling.⁵⁶ However, an association of regulatory T cells (T_{regs}), which are essential for the induction and maintenance of peripheral tolerance, and IL-10 with recovery from CHIKV infection has been described. The levels of T_{regs} and IL-10 were lower in acutely and chronically infected CHIKV arthritis patients than in CHIKV-recovered patients, indicating that a reduction in T_{regs} is associated with an essential role in establishing the pathogenesis of CHIKV.^{57,58}

Chikungunya Vaccine Candidates

Several experimental vaccines and vaccine candidates are currently under development, involving a large array of technology platforms (Figure 2).

Inactivated Viruses and Subunit Vaccines

Inactivated viruses, protein subunits and live-attenuated viruses are considered classical vaccine platforms.

For inactivated viruses, the virus is first cultured in high biosafety level facilities and is subsequently inactivated with formaldehyde or by irradiation. In addition, chemically inactivated virus requires purification. Inactivated CHIKV was first tested as a vaccine candidate in 1970 and later in 2009, and showed efficacy in animal models. However, further development of inactivated CHIKV vaccines was not pursued.

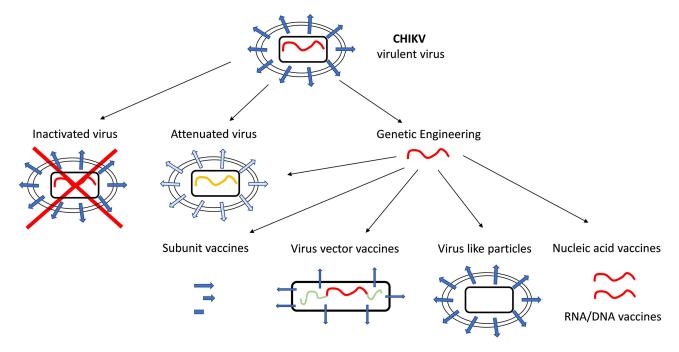


Figure 2 Schematic representation of different vaccine candidates. Vaccine candidates can be deduced from the infectious virus and represent a non-virulent form of the virus. Inactivated virus and attenuated viruses can be established from the virulent parental virus, but genetic engineering allows more advance vaccine design, like virus vector vaccines, virus like particles, subunit vaccines and nucleic acid vaccines.

Recombinant proteins are an alternative and their production does not require high biosafety containment. Some candidate CHIKV vaccines have been developed using recombinant proteins and have shown efficacy in animal models, including adjuvanted E1 and E2 envelope proteins, or subfragments of E2.^{61–63} However, protein vaccines require multiple doses and normally generate only a short-lived immune response. Further developments will be necessary to prove the applicability of protein vaccines against CHIKV.

Live-Attenuated Viruses

Live-attenuated viruses are attenuated viruses that exhibit limited replication in humans but are still able to induce a good immune response without signs of disease. However, they frequently have suboptimal safety profiles and always retain their potential to revert to a pathogenic virus.

The first CHIKV vaccine candidate, CHIK 181/clone 25, elicited neutralizing antibodies and protected mice and rhesus monkeys against a challenge infection. It was derived from a clinical CHIKV strain by attenuation through in vitro passage on human MRC-5 cells.⁶⁴ In clinical trials, CHIK 181/clone 25 was highly immunogenic, but 10% of the study participants experienced mild arthralgia.⁶⁵

Careful modification of the CHIKV genome to alter viral growth characteristics has resulted in more advanced liveattenuated viruses. Several deletions in CHIKV genes for the generation of live-attenuated viruses have been described, such as a large deletion in nsP3 (Δ5nsP3) or the 6K open reading frame⁶⁶ or in the capsid.^{67,68}

The $\Delta 5$ nsP3 virus showed a very good safety profile and efficacy in mice and cynomolgus macaques⁶⁹ and has undergone clinical testing by Valneva.⁷⁰ The company recently successfully completed a Phase III trial (NCT04546724) (Table 1). The trial analysis showed that 98.9% of participants achieved protective levels of CHIKV-neutralizing antibodies one month after receiving a single vaccination, and 96.3% of participants had protective CHIKV-neutralizing antibody titers six months after receiving a single vaccination (Valneva, press release). Protective titers were determined by passive transfer of sera from vaccinated humans into NHP, followed by a challenge infection. At a dilution of >1:150, serum protected animals from CHIKV infection and the associated clinical symptoms, as well as CHIKV persistence in tissue.⁷¹

Table I The Following Vaccine Candidates are in Clinical Development

Vaccine/Sponsor	Type of Vaccine	Clinical Trials (clinicaltrials.gov)/ Publications
181/clone 25 US Army Medical Research Institute of Infectious Disease, University of Maryland	Live-attenuated CHIKV	72,98,99
VAL1553 Valneva	Recombinant CHIKV with nsP3 deletion	NCT03382964 (Phase I) NCT04546724 (Phase III)
CHIKV-VLP/PXVX0317 NIH, PaxVax	Virus-like particles expressed from mammalian cells plus adjuvant	NCT01489358 (Phase I) NCT05065983 (Phase II) NCT03483961 (Phase II) NCT02562482 (Phase II) NCT05349617 (Phase III) not yet recruiting NCT05072080 (Phase III) recruiting
ChAdOx1 Chik/CHIK001 University of Oxford	Recombinant simian adenovirus vaccine expressing CHIKV structural proteins	NCT03590392 (Phase I)
MV-CHIK Themis Bioscience	Recombinant measles vaccine expressing CHIKV structural proteins	NCT03028441 (Phase I) NCT03101111 (Phase II) NCT03635086 (Phase II) NCT02861586 (Phase II)
VLA-181388 ModernaTX, Inc.	mRNA vaccine encoding structural proteins	NCT03325075 (Phase I)
mRNA-1944 ModernaTX, Inc.	mRNA encoding a CHIKV-neutralizing antibody	NCT03829384 (Phase I)

Another rational attenuation was accomplished by replacing the viral subgenomic promoter, which is needed for the expression of the structural genes, with an internal ribosomal entry site (IRES), thereby reducing their protein synthesis. The attenuated virus CHIK/IRES grows slowly in vitro and does not replicate in mosquito cells, which blocks the spread between hosts. In mice and NHP, CHIK/IRES was highly immunogenic and protected against a CHIKV infection with a good safety profile. In addition, CHIK/IRES cross-protected mice against the related alphavirus o'nyong'nyong virus (ONNV).

Chimeric alphaviruses are another approach to obtaining attenuated CHIKV. This method makes use of the fact that the insect virus Eilat virus (EILV) does not replicate in mammalian cells. Replacing the EILV structural genes with those of CHIKV produced a chimeric virus that can be amplified in insect cells and contains the CHIKV immunogens. Due to the lack of replication in human cells, reversion to replication-competent virus is virtually excluded. This virus has been shown to be highly immunogenic and protect mice and NHP against a CHIKV challenge infection. 41,76

Virus-Like Particles

One of the first CHIKV vaccine candidates consisted of virus-like particles (VLPs). VLPs are self-assembling viral structural proteins that resemble wild-type virions in their natural conformation but do not contain genomic nucleic acids. Their safety profile is therefore superior to live-attenuated viruses since the generation of replicating virus is impossible. In addition, production does not require high biosafety levels. Expression of the viral structural proteins (C-E3-E2-6K-E1) in cell lines leads to the secretion of particles that are similar in structure and protein configuration to the native virus and VLPs can be purified by buoyant density gradient sedimentation.

Immunization with VLPs synthesized in mammalian or insect cells elicited protective antibody responses in wild-type and IFN-deficient mouse models as well as in NHP.⁷⁸ Phase I and Phase II human trials showed that the vaccine candidate was well tolerated and produced neutralizing antibodies after the first boost.^{79,80} Neutralization was cross-protective against

nine CHIKV strains, comprising the three clades. ⁸¹ Another phase II study was performed with adjuvant-formulated VLPs. PXVX0317, an aluminium hydroxide-adjuvanted VLP vaccine, was well tolerated and induced a robust and durable serum neutralizing antibody response against CHIKV for up to 2 years. ⁸² The adjuvanted PXVX0317 is now being further investigated as a single injection in phase III clinical trials that are currently recruiting participants (NCT05072080 and NCT05349617) (Table 1).

Viral Vector Vaccines

Vector vaccines are chimeric viruses that consist of a non-pathogenic virus vector backbone equipped with foreign gene products. Viral vectors use the host-cell protein-processing machinery that leads to antigen presentation via the MHC I complex and consequently cytotoxic T cell stimulation in addition to humoral immune responses. These types of vaccines produce high levels of humoral and cellular immunity and do not rely on the use of adjuvants. Measles virus and simian adenovirus vectors are currently in clinical development as CHIKV vaccine candidates.

Vesicular Stomatitis Virus

An experimental vaccine was created by replacing the glycoprotein G of vesicular stomatitis virus (VSV) with the CHIKV structural genes E3–E1 (VSVΔG-CHIKV). VSV is a negative-strand RNA virus of the *Rhabdoviridae* family. VSVΔG-CHIKV incorporated the CHIKV glycoproteins efficiently into virus particles and could be propagated without VSV G complementation. It generated robust neutralizing antibody and cellular immune responses to CHIKV in C57BL/6 mice after a single dose and protected mice against CHIKV infection. 83

Modified Vaccinia Virus Ankara

The modified vaccinia virus Ankara (MVA) is a highly attenuated DNA virus generated by 570 passages of a vaccinia virus smallpox vaccine on avian cells. During this passage, MVA acquired large genomic deletions that restricted its replication in mammalian cells, including human cells.⁸⁴ However, it is still capable of high expression rates of foreign gene products and elicits strong humoral and cellular immune responses.⁸⁵ MVA is licensed as a smallpox vaccine under the name "Imvanex".

Several recombinant MVA expressing CHIKV genes have been constructed. Expression of a short fragment containing the receptor-binding domains of E2 by MVA resulted in only a slightly reduced titer after challenge infection of vaccinated mice. The monomeric molecule may not have been sufficiently immunogenic in the MVA system. A recombinant MVA-CHIKV expressing only the E3 and E2 structural proteins generated low levels of neutralizing antibodies but protected animals against a lethal challenge infection. However, MVA-CHIKV expressing the E3, E2, 6K and E1 structural proteins was superior in eliciting immune responses and protected IFNR-knockout A129 mice from a lethal CHIKV challenge infection. A129 mice from a lethal CHIKV challenge infection. A129 mice from a lethal CHIKV challenge infection. A129 mice from a lethal challenge infection and lethal c

Recombinant Adenoviruses

The chimpanzee adenoviral vector platform ChAdOx1 was developed to evade preexisting antibodies against the frequently circulating human adenoviruses, which could limit their use as vector. This vector was used to generate the COVID-19 vaccine with the brand name Jcovden. Two ChAdOx1 CHIKV vaccine candidates have been constructed that express either all of the structural genes C-E3-E2-6K-E1 (ChAdOx1 Chik) or only the E3–E1 genes (ChAdOx1 Chik Δcap). Both vaccine candidates, without significant differences, triggered a protective immune response and neutralizing antibodies in A129 mice. Immunogenicity against all CHIKV lineages and the safety of a single dose ChAdOx1 Chik vaccine was recently evaluated in a phase I clinical trial at the Jenner Institute, University of Oxford (NCT03590392), (Table 1). ChAdOx1 Chik showed excellent safety, tolerability and 100% seroconversion after a single dose.

Measles Virus

Another clinically advanced vector platform is the attenuated measles virus (MV) Schwarz strain. MV is a negative-strand RNA virus and can be engineered to express foreign gene products. The MV Schwarz strain is safe and efficacious in inducing a lifelong protective immune response against measles virus. However, the small animal model for CHIKV infection had to be adapted as mice cannot be infected with MV. Therefore, IFNAR-/-, huCD46 transgenic mice were established which allow MV replication and these now serve as a preclinical model.

A recombinant MV expressing all CHIKV structural genes induced high antibody-mediated protective immunity against CHIKV in IFN-deficient mice and in cynomolgus macaques. This vaccine candidate was then further evaluated in phase I/II clinical trials, which demonstrated safety, tolerability and immunogenicity (Table 1). No vaccination-related serious adverse events were observed. A booster vaccination on day 28 was required for full seroconversion of all study participants and preexisting immunity to MV did not affect the reactivity of the vaccine candidate. The MV-CHIKV vector is currently being commercially developed by Themis Bioscience.

Nucleic Acid-Based Vaccines

Delivery by in vivo electroporation of a DNA vaccine based on codon-optimized consensus envelope protein sequences (E3, E2 and E1) has been described to induce robust antigen-specific cellular and humoral immune responses that provide protection against CHIKV challenge in mice.⁹⁶

The mRNA vaccines against COVID-19 have demonstrated the enormous success of this technology. For CHIKV, an mRNA-lipid nanoparticle (mRNA-LNP) vaccine expressing CHIKV E2-E1 antigen has been developed that induced potent humoral and cellular responses in C57BL/6 mice. A phase I trial revealed that the vaccine was well tolerated at dose levels between 25 and 100 μ g and resulted in 100% seroconversion after a boost in subjects immunized with 100 μ g (Table 1).

Another approach using RNA is a *trans*-amplifying RNA based on CHIKV. The vaccine candidate consists of two RNAs: a non-replicating mRNA encoding for the CHIKV nonstructural proteins, forming the replicase complex, and a *trans*-replicon (TR) RNA encoding the CHIKV envelope proteins. The TR-RNA is amplified by the replicase in *trans*, and small RNA amounts can induce a potent immune response. First proof of principle has been shown in mice, which developed CHIKV-specific humoral and cellular immune responses and were protected against a CHIKV infection.⁹⁹

A different approach was implemented by August et al.¹⁰⁰ They used mRNA (mRNA-1944) to deliver a monoclonal, CHIKV-specific, neutralizing antibody as a passive immunization approach. A first phase I clinical trial has been conducted to determine safety and pharmacology of mRNA-1944. Adverse effects were mild to moderate in severity and did not worsen with a second dose. In this clinical trial, in vivo expression and detectable ex vivo neutralizing activity of the mRNA-encoded monoclonal antibody could be shown (Table 1).¹⁰⁰

Regulatory Aspects for CHIKV Vaccine Licensure

Vaccines can only be approved and used if they comply with all the requirements of quality, safety and efficacy set out in the pharmaceutical legislations. Vaccine safety has to be studied in phase I and II clinical trials. Efficacy of a vaccine candidate has to be demonstrated in clinical efficacy phase III trials demonstrating a direct benefit in well controlled clinical disease endpoint studies. However, these clinical trials for candidate CHIKV vaccines will be very challenging because outbreaks and their duration are unpredictable. Consequently, field efficacy trials may delay clinical development or will be entirely unrealistic to perform, and alternative methods using correlates of protection in humans or using animal data have to be pursued.^{49,101} Adoptive antibody transfer studies have demonstrated that protection is primarily mediated by anti-CHIKV neutralizing antibodies.^{20,46,101} First insights into surrogates of protection have been gained for the phase III study of VLA1553 (NCT04546724). Passive transfer of human sera from the phase I study was used to establish a surrogate of protection that could be applied to predict clinical benefit during the phase III study. The passive transfer study suggested that a 50% plaque reduction neutralization titer of ≥150 was sufficient for protection. This titer

Schmidt and Schnierle Dovepress

was also supported by analysis of samples from a sero-epidemiological study.⁷¹ The establishment of an international serological standard will also allow the comparison of data between different laboratories and vaccine developers.⁴⁹

Conclusion and Outlook

Several promising vaccine candidates are in clinical development and will soon enter the marketing authorization process. However, due to the spontaneous appearance of CHIKV infections during outbreaks, vaccine efficacy studies are difficult. To simplify marketing authorization, the establishment of serological correlates of protection would be a suitable method. Yet, this is challenging as good animal models, an international serological standard and correlates of protection are still lacking.

Disclosure

The authors report no conflicts of interest in this work.

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