

#### ORIGINAL RESEARCH

## Correlation of Circadian Rhythms of Heart Rate Variability Indices with Stress, Mood, and Sleep Status in Female Medical Workers with Night Shifts

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Purpose: Heart rate variability (HRV) indices have been used as stress indicators. Rare studies investigated the associations of circadian rhythms of the HRV indices with the stress, mood, and sleep conditions in populations under stress.

**Methods:** In total 257 female participants (203 shift workers and 54 non-shift workers) were included. All the participants completed a structured questionnaire to assess the stress, mood, and sleep conditions and performed 24-hour Holter electrocardiogram monitoring on the day away from shifts. Using epochs of 1-min or 5-min beat-to-beat intervals, the HRV indices (SDNN, RMSSD, LF, HF, LF/HF, and LFnu, SD1, SD2, SD1/SD2) were plotted as a function of time and fitted into cosine periodic curves, respectively. Three mathematical parameters based on the cosine periodic curves were extracted, MESOR (M, overall averages of the cosine curve), amplitude (A, amplitude of the peak of the cosine curve), and acrophase ( $\theta$ , latency to the peak) to quantify the circadian rhythms of the HRV indices. Multivariable linear regression models were used to reveal the associations of these parameters with the clinical assessments of stress, mood, or sleep conditions, as well as with the 24-h averages of the HRV indices.

**Results:** The parameters M and A of SDNN, RMSSD, LF, and HF, and  $\theta$  of LF/HF and LFnu significantly differ between shift and non-shift workers. The parameter  $\theta$  of LF/HF positively correlates with the severity of stress and anxiety. The parameter A of LF/HF and LFnu also positively correlates with daytime sleepiness and sleep fragmentation. In addition, the parameters M and A instead of  $\theta$ of SDNN, RMSSD, LF, LF/HF, and LFnu significantly correlate with the 24-h averages of HRV indices.

**Conclusion:** The circadian rhythms of the HRV indices over 24 hours can, to some extent, predict the severity of stress, emotion and sleep conditions in female populations under stress.

**Keywords:** circadian rhythms, HRV indices, mental health, stress, sleepiness, fatigue, sleep fragmentation

### Introduction

Medical workers are exposed to constant work-related stressors, especially those with night shifts. Chronic stress could induce serious health issues, such as insomnia, mood disorders, sleep disturbances, cognitive decline, cardiovascular diseases, and metabolic disturbances. 1-4 Shift work impairs the physiological circadian rhythms of metabolisms, the release of hormones and neurotransmitters. 5-7 Heart rate variability (HRV) quantifies the variation of the cardiac beat-tobeat (RR) intervals and reflects the autonomic nervous system function.<sup>8</sup> Numerous studies have reported the tight connections of the HRV indices and stress, although the conclusions were diversified. 9-11 For instance, the HRV indices, low frequency (LF) power and high frequency (HF) power, were both found lower in young populations under short-term

stress.<sup>12</sup> However, another study reported the acute stress increases LF power and decreases HF power.<sup>13</sup> The discrepancies could be due to multiple factors, such as sex, age, the time of day or the conditions when conducting cardiac signals monitoring that can instantly affect the HRV indices.

The physiological function of autonomic nervous system (ANS) fluctuates across a circadian day, the vagal nerve activity usually peaks at the late phase of night-time sleep and is suppressed during wakefulness due to external stimuli. 14 By plotting the HRV indices over 24-hour recording in 5-min epochs, HF and the LF/HF ratio both exhibited circadian rhythms. <sup>14</sup> Researchers fit the data points of the HRV indices distributing over 24 hours with a cosine periodic curve to exemplify their circadian features. The cosine curves for HF and RMSSD peak during the midnight, while the cosine curve for LF/HF peaks in the afternoon. 15 HF is a widely used index of cardiac vagal modulation. 16 If breathing at normal rates, the natural log transformed HF power (Ln HF) can be used to estimate putative vagal tone under certain conditions. <sup>17,18</sup> HF decreases encountering tasks in need of sustained attention to perceived stress and recovers to the normal level after the tasks were over.<sup>19</sup> It makes it is reasonable that HF strongly follows circadian rhythms under physiological conditions.

In the current study, we were interested to analyze the circadian rhythms of the HRV indices following the published methods. 15,20 For the individual HRV index, three mathematical parameters were extracted from the fitted cosine periodic curve. MESOR (M, overall averages of the cosine curves of HRV indices), amplitude (A, amplitude of the peak of the cosine curve), and acrophase (0, latency to the peak from the reference timepoint) to quantify the circadian rhythms of the HRV indices. 21,22

Systemic inflammation was found to strongly correlate with the parameters M and A of the HRV indices (HF, LF, RMSSD, SDNN).<sup>23</sup> In addition, the presence of type 2 diabetes correlates with the parameter  $\theta$  of the HRV index RMSSD.<sup>24</sup> However, rare studies have explored the association of these parameters with the stress, mood, and sleep status. In this study, we applied the multivariable linear regression models to reveal the associations of the parameters  $(M, A, \theta)$  of the HRV indices with the subjectively evaluated stress, mood, or sleep conditions. At the meantime, we also tested the correlations between these parameters resulted from the two-dimensional analysis of the HRV indices and the 24-hour averages of the HRV indices. We hope this can help to develop the novel approaches of validating the subjective evaluations of the stress, emotion and sleep conditions of the stress populations.

### **Participants and Methods**

### **Participants**

Data were collected in the autumn of 2021 at two large general hospitals in Wuhan City, China. We targeted hospital staff working night shifts. Potential participants, mainly from clinical units (intensive care units, emergency units, and night urgency operating rooms), were informed about this study through staff meetings and flyers. The inclusion criteria for this study were as follows: (1) working night shift; (2) willingness to participate in this study and sign the consent form; (3) age < 50 years; (4) body mass index (BMI)  $< 30 \text{ kg/m}^2$ ; (5) no medical conditions that may affect cardiac pulse, such as hypertension, diabetes, asthma, cardiovascular disease, cancer, and thyroid disease; and (6) history of medication that does include drugs that may affect cardiac function or mental status, such as β-blockers. Finally, a total of 203 women with night shifts were included into the study analysis. Meanwhile, a small pilot study of 54 women without night shifts was also conducted. Demographic characteristics, including age, marital status, educational levels, work experience, jobs, family income, weekly working hours, subjective health status, and medical diagnosis, were self-reported in both shift workers and non-shift workers.

## Clinical Assessment of Stress, Depression and Anxiety

The conditions of stress, anxiety, and depression, were evaluated with two clinical scales, the Perceived Stress Scale-14 (PSS-14) and Depression-Anxiety-Stress Scale-21 (DASS-21) (Chinese versions). 25,26 The PSS-14 measures the level of perceived stress from two aspects: the feeling of tension and the sense of lack of control. Each item of the in-total 14 items in PSS-14 is scored from 0 to 4, and the total score ranges from 0 to 56. Higher scores of PSS-14 indicate the greater levels of the perceived stress. According to the previous literature, the median PSS score was used as the criteria for defining the elevated perceived stress levels (PSS  $\geq$  30 points in the study).<sup>25</sup>

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The DASS-21 contains 21 items that can be clustered into three subscales to evaluate depression, anxiety, and stress, respectively. The stress subscale (DASS-S) of DASS-21, contains 7 items. Each item is rated on a 4-point Likert scale and the sum score is multiplied by two, with the final score ranging from 0 to 42. A total score of 10-point was considered the upper limit of normal stress. 27

The depression subscale (DASS-D) of DASS-21, containing 7 items, assesses the depression levels. A total score of 6-point was the upper limit of the normal range.<sup>27</sup> The anxiety subscale (DASS-A) of DASS-21, containing 7 items, assesses the severity of anxiety. A total score of 9-point was considered the upper limit of the normal level.<sup>27</sup>

### Assessment of Sleep Status

Sleep condition was evaluated using the Excessive Sleep Scale (ESS) score, Fatigue Assessment Scale (FAS) score, and self-reported sleep quality in the structured questionnaire. The ESS is used to evaluate daytime sleepiness.<sup>28</sup> This scale consists of eight items, and each item is rated on a 4-point Likert scale. A total score higher than 10-point (>10 points) was usually considered to be abnormal.<sup>28</sup> The FAS, containing ten items that are rated on a 5-point Likert scale, was adopted to measure the levels of fatigue.<sup>29</sup> The FAS scores higher than 21 (>21 points) indicated mild-to-severe fatigue. In the structured questionnaire, the participants were asked to report the self-evaluated sleep quality, which was encoded with 1–5 points: poor=1, relatively poor=2, tolerable =3, relatively good=4, and good=5. The score less than 3-point was considered as poor sleep quality.

A subgroup of 80 participants (all working night shifts) wore Huawei smart devices to collect photoplethysmography (PPG) signals. The PPG signals contain details of beat-to-beat variability and breath-to-breath dynamics. The cardiopulmonary coupling (CPC) algorithm was applied for sleep scoring according to the previous literature. The data processing and sleep scoring was performed by the HUAWEI Research team. The CPC algorithm combines respiratory signals and R-R intervals (the time between ventricular depolarizations), and calculates the product of the cross-spectral power of the two signals. The CPC algorithm has been proved to have a high interscorer reliability (kappa > 0.75) in cyclic alternating pattern detection which is a measure of sleep instability. Episodes with high-frequency coupling (HFC, 0.1–0.4 Hz) are defined as stable sleep (non-CAP), episodes with low-frequency coupling (CFC, 0.01–0.1 Hz) are defined as unstable sleep, and episodes with very low-frequency coupling (<0.01 Hz) are defined as wakefulness or rapid eye movement (REM) sleep. The sleep bouts is the summed episodes of stable sleep, unstable sleep and wakefulness or REM sleep, indicating the severity of sleep fragmentation.

### Continuous Holter ECG Recording and HRV Indices Analysis

Twenty-four-hour Holter ECG recordings were obtained using an ambulatory ECG device (DMS300-4, USA). ECG signals were visually inspected by two experts in the field using the DMS software to manually remove artifacts. Thereafter, the processed 24-h ECG signals were then used for a frequency power analysis (LF, low-frequency power, 0.04–0.15 Hz; HF, high-frequency power, 0.15–0.40 Hz), or time-domain analysis (SDNN, standard deviation of all normal intervals; RMSSD, the square root of the mean of the sum of the squares of differences between adjacent normal intervals). The frequency power analysis was based on the fast Fourier transform (FFT) method.<sup>32</sup> The LF/HF ratio and normalized LF power (LFnu, LF/(LF+HF)) were also calculated. In addition, nonlinear HRV indices of SD1, SD2, and SD1/SD2 derived from Poincare plots were also extracted, as previously described.<sup>33</sup> Poincare plots are a kind of scatter plots, which are graphed by plotting every R–R interval (time period between successive heartbeats) against the prior interval. SD1 (minor axis of the cloud) is the standard deviation of short-term R-R-interval variability, SD2 (major axis of the cloud) is the standard deviation of long-term R-R-interval variability, and SD1/SD2 is the axis ratio.<sup>34</sup>

## The Circadian Rhythm Analysis of the HRV Indices

The entire 24-h normal beat-to-beat RR interval data were divided into 1-min or 5-min epochs of RR data for further circadian rhythm analysis. <sup>15</sup> The epoch of 5-min was applied for frequency power analysis (LF, HF) and non-linear HRV indices analysis (SD1, SD2, SD1/SD2) (288 epochs over 24 hours), and the epoch of 1-min was used for time-domain measures analysis (SDNN, RMSSD) (1440 epochs over 24 hours). The LF/HF ratio, LFnu, and heart rates per minute were also extracted. The distribution of the epoch-based data points of above HRV indices over 24 hours were then fitted

to the cosine curves based on a single-component cosinor model:  $y=M+A1*sin (t P/i \cdot 2\pi) + A2*con (t P/i \cdot 2\pi).^{35}$  From the cosine curves, three parameters were extracted, including MESOR (M, Midline Estimating Statistic OF Rhythm), amplitude (A) and acrophase ( $\theta$ ), as shown in Figure 1A. M, MESOR, is a rhythm-adjusted mean that reflects the overall average of the HRV index; A, amplitude, the height of the maximum oscillation to the midline, and double amplitude represents the extent of rhythmic fluctuation within a cycle; acrophase,  $\theta$ , is the timing of the zenith occurring in each cycle (the reference time point 12:00 AM was set as the value of 0), namely the time lag. A F-test based on the model sum of squares and sum of square residuals and a zero amplitude test were performed to detect whether the cosine periodic regression curve was significant. Non-significant circadian curves of individual participants were excluded from further analysis. Approximately 67 of the 257 cosine periodic regression curves of the nonlinear HRV index, SD2, showed no significance. Consequently, we did not perform further analysis with SD2 and SD1/SD2.

Based on the above cosinor model:  $y=M+A1*sin (t P/i \cdot 2\pi) + A2*con (t P/i \cdot 2\pi)$ , the mathematical equations of calculating A and  $\theta$  were listed below.

$$A = \sqrt{(A1^2 + A2^2)}$$

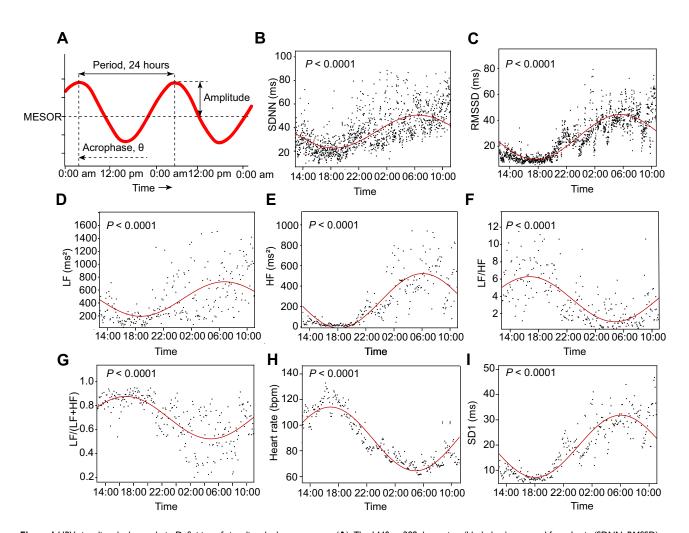


Figure I HRV circadian rhythm analysis. Definition of circadian rhythm parameters (**A**). The I440 or 288 data points (black dots) extracted from I-min (SDNN, RMSSD) or 5-min (LF, HF, LF/HF ratio, and LFnu) epochs of 24-hour ECG signals. The red lines are the circadian rhythm fitting curves of SDNN (**B**), RMSSD(**C**) and LF(**D**), HF(**E**), LF/HF ratio(**F**), LFnu(**G**), heart rate(**H**) and SDI(**I**) from one individual, respectively. MESOR, M, is a rhythm-adjusted mean that reflects the overall average of the HRV index; A, amplitude, the height of the maximum oscillation to the midline, and double amplitude represents the extent of rhythmic fluctuation within a cycle; θ, acrophase, is the timing of the zenith occurring in each cycle (the reference time point 12:00 AM was set as the value of 0). The significance of the cosine periodic rhythm curves was tested, indicated by the P value (All P < 0.0001).

Abbreviations: MESOR, Midline Estimating Statistic OF Rhythm; SDNN, standard deviation of all normal intervals; RMSSD, square root of the mean of the squares of differences between adjacent normal intervals; LF, low-frequency power; HF, high-frequency power; LF/(LF+HF), normalized low-frequency power; namely LFnu.

$$\theta \ = \left\{ \begin{array}{ll} -\arctan(|A1/A2|) & A1>0, \ A2>0, \\ -\pi \ +\arctan(|A1/A2|) & A1>0, \ A2<0, \\ -2\pi \ +\arctan(|A1/A2|) & A1<0, \ A2>0, \\ -\pi \ -\arctan(|A1/A2|) & A1<0, \ A2<0. \end{array} \right.$$

### Statistical Analysis

We compared the baseline characteristics, the scores of PSS, DASS-21 subscales of stress, anxiety, and depression, ESS, FAS, 24-hour heart rate, and 24-hour HRV indices (SDNN, RMSSD, LF, HF, LF/HF, LFnu, SD1, SD2, and SD1/SD2) between the shift workers and non-shift workers using the Mann Whitney Wilcoxon test.

The three parameters  $(M, A, \theta)$  of each HRV index (SDNN, RMSSD, LF, HF, LF/HF, LFnu, SD1) and heart rate were compared between shift workers and non-shift workers using the Mann Whitney Wilcoxon Test.

We used multivariable linear regression models to assess the associations of the severity of perceived stress with the circadian rhythm features (M, A,  $\theta$ ) of each HRV index (SDNN, RMSSD, LF, HF, LF/HF, LFnu, SD1), as well as the heart rate in shift workers. The shift workers with PSS score higher than 30 (including  $30, \ge 30$  points) were classified as the stress group, while the ones with PSS score less than 30 points were classified into the reference group. Age (continuous), BMI (continuous), smoking status (yes or no), alcohol consumption (yes or no), and coffee consumption (yes or no) were also included as covariates in the model. The values of the three circadian pattern parameters (M, A, and  $\theta$ ) were log 10-transformed to improve the normality. The association of the severity of anxiety, depression, stress, sleepiness, fatigue, self-reported sleep quality, with the circadian patterns (M, A,  $\theta$ ) of HRV indices and the heart rate in shift workers was also examined using the same linear regression method, repsectively. The shift group with a DASS-D score  $\le 9$  points, DASS-A score  $\le 6$  points, DASS-S score  $\le 10$  points, ESS score  $\le 10$  points, FAS score  $\le 21$  points, or sleep quality  $\le 2$  points was treated as the reference group. Similarly, the association between the number of sleep bouts (continuous) and the circadian patterns (M, A,  $\theta$ ) of HRV indices alongside the heart rate in shift workers was examined. The acquired regression coefficients ( $\theta$ ) and *P*-values are reported.

Daily HRV indices can reflect temporal ANS modulation; however, circadian pattern alteration is a long-term result. Herein, we examined the association of 24-hour LF, HF and the LF/HF ratio with features of HRV circadian patterns using a multivariable linear regression model. Age (continuous), BMI (continuous), smoking (yes or no), alcohol consumption (yes or no), coffee consumption (yes or no), HF (continuous), and LF (continuous) were all included as covariates. The acquired standardized regression coefficients ( $\beta$ <sup>s</sup>) and P values are reported.

All analyses were performed using the SPSS version 26 software (IBM, USA). Statistical significance was set at P < 0.05.

### Results

### Demographic Information of the Study Populations

Among the total number of the participants (n= 257), there were 203 female shift workers and 54 female non-shift workers (Table 1). In comparisons between the shift and non-shifter workers, the parameters, including age, PSS-14 score, DASS-A (anxiety) and DASS-S (stress), ESS score, FAS score showed significant difference (P < 0.05). The median ages of the 203 female participants with night shift work and 54 non-shift workers were 32 years (IQR = 6.0) and 37 years (IQR = 8.0), respectively. The shift workers exhibited abnormal levels of perceived stress, anxiety, excessive daytime sleepiness, and fatigue (Table 1). The parameters BMI, heart rate, DASS-D (depression), self-reported sleep quality were comparable between the two groups (P > 0.05).

## Comparisons of the 24-hour Averages of HRV Indices Between Shift and Non-Shift Workers

The averaged HRV indices (SDNN, RMSSD, LF, HF, and LF/HF ratio and LFnu) and heart rate output from 24-hour Holter monitoring were compared between shift and non-shift workers. SDNN, standard deviation of NN intervals, a time-domain HRV index that indicates the short-term variability,<sup>32</sup> was significantly higher in shift workers compared

Table I The Median (IQR) Values of Selected Characteristics of the Two Female Groups Stratified by Night Shift Work

Variables	Total (N=257)	No Shift (N=54)	Shift (N=203)	P
Age (years)	33.00 (7.00)	37.00 (8.00)	32.00(6.00)	0.001
BMI (kg/cm²)	21.00 (3.00)	21.00 (2.00)	21.00(3.00)	0.351
PSS score	29.50(8.00)	28.00(7.00)	30.00(9.00)	0.014
DASS-21 score	•			•
Depression	10.00 (10.00)	8.00 (10.00)	10.00 (11.00)	0.086
Anxiety	10.00 (10.00)	8.00 (1.00)	10.00 (10.00)	0.010
Stress	16.00 (12.00)	11.00 (13.00)	16.00 (10.00)	0.001
Sleep quality score	3.00 (1.00)	3.00 (1.00)	3.00 (1.00)	0.195
ESS score	7.00 (5.00)	6.50 (4.00)	7.00 (6.00)	0.005
FAS score	29.00 (10.00)	24.00 (12.00)	30.00 (9.00)	0.006
Heart rate (bpm)	78.27(10.43)	78.46(9.50)	78.03(10.76)	0.231
HRV indices		•		
SDNN_24 h (ms)	155.29(42.04)	141.59(45.30)	157.57(38.96)	0.004
RMSSD_24 h (ms)	52.03(28.62)	52.91(24.74)	51.71(29.18)	0.631
LF_24 h (ms <sup>2</sup> )	894.03(819.34)	776.95(884.97)	911.19(803.84)	0.447
HF_24 h (ms²)	620.62(625.91)	642.23(655.19)	612.45(625.51)	0.877
LF/HF_24 h	1.36(0.67)	1.28(0.68)	1.39(0.67)	0.340
LFnu_24 h	0.65(0.13)	0.66(0.12)	0.65(0.15)	0.441

Notes: Mann-Whitney Wilcoxon test was performed. P < 0.05 was regarded as statistically significant, as indicated by bold. Abbreviations: BMI, body mass index; PSS, perceived stress scale; DASS-21, depression, anxiety and stress scale-21 items; SHS, subjective happiness scale; ESS, excessive sleep scale; FAS, fatigue scale; HRV, heart rate variability; SDNN, standard deviation of all normal intervals; RMSSD, square root of the mean of the sum of the squares of differences between adjacent normal intervals; LF, low frequency power; HF, high frequency power; LFnu, normalized low frequency power, namely LF/(LF+HF).

to the non-shift workers (Table 1) (P < 0.01). And both of the non-linear HRV indices (SD1 and SD2) were significantly higher in shift workers compared to the non-shift workers (P < 0.05, Supplementary Table 1). The 24-hour averages of RMSSD, LF, HF, LF/HF, LFnu were all comparable between the two groups (Table 1).

## Circadian Rhythms of HRV Indices Were Altered in Night-Shift Workers

The linear HRV indices (SDNN, RMSSD, LF, HF, LF/HF, LFnu), the non-linear HRV index, SD1, as well as heart rate calculated in either 1-min or 5-min epoch, were plotted as scatter points over 24-hour time frame. The fitted cosine periodic curves of the respective HRV indices were displayed along with the scatter points (Figure 1B-I). The parameters, M, A, and θ, extracted from the fitted cosine periodic curves of the HRV indices were summarized in Table 2, and Supplementary Table 2. The parameter M reflects the overall averages of the HRV indices (Figure 1A). The M values of the HRV indices (SDNN, RMSSD, LF, HF, SD1) were significantly higher in shift workers compared to the non-shift workers (Table 2 and Supplementary Table 2). Similar changes were found in the parameter A for those HRV indices (Table 2 and Supplementary Table 2), which was also elevated in shift workers. In contrast, the parameter  $\theta$  for the HRV indices (LF/HF and LFnu), was slightly longer in shift worker versus the non-shift workers (P < 0.05) (Table 2), indicating a delayed time point of reaching

**Table 2** The Comparison of the Three Circadian Pattern Parameters (M, A,  $\theta$ ) for Each HRV Index Between the Two Female Groups Stratified by Night Shift Work

HRV Index	MESOR			Amp	litude		Acroph		
	No Shift	Shift	P	No Shift	Shift	P	No Shift	Shift	P
SDNN (ms)	38.60(13.64)	42.35(15.86)	0.007	3.76(3.46)	6.01(6.40)	0.001	7.15(12.00)	4.80(9.80)	0.252
RMSSD (ms)	29.60(16.60)	33.91(17.17)	0.025	7.45(6.29)	11.18(11.56)	0.010	3.00(4.00)	3.30(2:42)	0.598
LF (ms <sup>2</sup> )	462.39(360.23)	560.24(474.21)	0.023	101.50(83.00)	142.93(179.13)	0.002	10.92(10.00)	8.10(11.50)	0.628
HF (ms <sup>2</sup> )	213.49(233.65)	294.15(322.50)	0.012	110.05(173.90)	179.63(267.27)	0.009	3.37(3.30)	3.35(2.10)	0.384
LF/HF	0.67(0.09)	0.67(0.12)	0.755	0.12(0.01)	0.11(0.09)	0.769	14.15(2.10)	14.37(2.10)	0.033
LFnu	0.67(0.09)	0.67(0.12)	0.758	0.12(0.10)	0.11(0.09)	0.754	14.16(2.09)	14.58(2.12)	0.034

**Notes**: Data are presented as median (IQR). Mann–Whitney Wilcoxon test was performed and P < 0.05 was regarded as statistically significant, as indicated by bold. **Abbreviations**: HRV, heart rate variability; SDNN, standard deviation of all normal intervals; RMSSD, square root of the mean of the squares of differences between adjacent normal intervals; LF, low frequency power; HF, high frequency power; LFnu, normalized low frequency power, namely LF/(LF+HF).

the peak of the cosine curve within one cycle. No significant difference was found for the comparisons of the parameters, M, A,  $\theta$  of the fitted cosine curve for the heart rate between shift and non-shift workers (Supplementary Table 2).

# Correlations Between the Parameters (M, A, $\theta$ ) of the HRV Indices and the Clinical Assessments of Stress, Depression, Anxiety

The relationship between circadian pattern features (M, A, and  $\theta$ ) and mental health (stress, anxiety, and depression) in night shift workers was examined using multivariable linear regression models and presented in Tables 3 and 4. As shown in Table 3, a higher level of perceived stress, PSS score  $\geq 30$  points, was not only associated with the increased MESORs of SDNN, RMSSD, LF, and HF but also related to a delayed  $\theta$  of the LF/HF ratio ( $\beta = 0.02$ , P = 0.03). The MESORs of heart rate and SD1 also increased in night shift workers with higher perceived stress (Supplementary Table 3). The abnormal stress level evaluated by the DASS-21 stress subscale was also associated with delayed  $\theta$  of the LF/HF ratio ( $\beta = 0.02$ , P = 0.01) and LFnu ( $\beta = 0.02$ , P = 0.04), respectively. As shown in Table 4, abnormal depression levels were associated with a left-shifted  $\theta$  of the LF ( $\beta = -0.15$ , P = 0.04). Meanwhile, abnormal anxiety levels were related to a right-shifted  $\theta$  of the LF/HF ratio ( $\beta = 0.02$ , P = 0.03).

**Table 3** Association of the Severities of Mental Stress and the Circadian Pattern Parameters (M, A,  $\theta$ ) of Each HRV Index in Night-Shift Female Workers Based on Linear Regression Models<sup>a</sup>

		SDNN (ms)		RMSS	RMSSD (ms)		LF (ms <sup>2</sup> )		HF (ms <sup>2</sup> )		LF/HF		-nu
		β	P	β	P	β	P	β	P	β	P	β	P
Perceived stress	Log M	0.03	0.049	0.05	0.026	0.09	0.026	0.11	0.019	-0.01	0.101	-0.01	0.101
(PSS)	Log A	0.03	0.583	0.175	0.175	0.07	0.180	0.11	0.091	0.02	0.497	0.03	0.406
	Log θ	-0.13	0.058#	0.978	0.978	-0.07	0.325	-0.06	0.249	0.02	0.033	0.01	0.275
Stress (DASS-S)	Log M	0.03	0.153	0.04	0.163	0.06	0.157	0.11	0.055#	0.09	0.055#	-0.02	0.055#
	Log A	0.09	0.095#	0.09	0.129	0.11	0.070#	0.11	0.162	0.05	0.189	0.05	0.247
	Log θ	-0.06	0.478	0.02	0.740	-0.14	0.107	0.06	0.350	0.02	0.010	0.02	0.043

Notes: PSS < 30 (Ref) vs PSS  $\ge$  30; DASSS  $\le$  10 (Ref) vs DASSS > 10. aCovariables included age, BMI, coffee, alcohol, smoke and each separate categorical variable. P < 0.05 was regarded as statistically significant, indicated by bold; P < 0.10 was regarded as suggestive, indicated by #.

Abbreviations: M, MESOR; A, amplitude; θ, acrophrase time; PSS, perceived stress scale; DASS-S, depression, anxiety and stress scale-stress; SDNN, standard deviation of all normal intervals; RMSSD, square root of the mean of the squares of differences between adjacent normal intervals; LF, low frequency power; HF, high frequency power; LFnu, normalized low frequency power; namely LF/(LF+HF); Log, logarithm (Log 10).

**Table 4** Association of the Severities of Anxiety, Depression and the Circadian Patterns Parameters (M, A,  $\theta$ ) of Each HRV Index in Night-Shift Female Workers Based on a Linear Regression Model<sup>a</sup>

			SDNN (ms)		RMSSD (ms)		ms²)	HF (ms <sup>2</sup> )		LF/HF		LFnu	
		β	P	β	P	β	P	β	P	β	P	β	Р
Depression (DASS-D)	Log M	0.02	0.264	0.01	0.648	0.06	0.103	0.04	0.421	-0.00	0.947	0.00	0.947
	Log A	0.06	0.188	0.03	0.557	0.05	0.301	0.01	0.884	0.01	0.741	0.01	0.722
	Log θ	-0.13	0.060#	-0.04	0.531	-0.15	0.038	-0.04	0.510	0.00	0.878	0.00	0.702
Anxiety (DASS-A)	Log M	0.01	0.571	0.00	0.940	0.05	0.293	0.03	0.573	0.00	0.912	0.00	0.912
	Log A	0.10	0.059#	0.04	0.437	0.07	0.243	0.04	0.603	0.01	0.766	-0.01	0.885
	Log θ	-0.12	0.111	0.04	0.572	-0.14	0.083	0.00	0.999	0.02	0.028	0.02	0.076#

**Notes**: DASSD  $\leq$  9 (Ref) vs DASSD  $\geq$  9; DASSA  $\leq$  6 (Ref) vs DASSA  $\geq$  6. <sup>a</sup>Covariables included age, BMI, coffee, alcohol, smoke and each separate categorical variable.  $P \leq$  0.05 was regarded as statistically significant, indicated by bold;  $P \leq$  0.10 was regarded as suggestive, indicated by #.

**Abbreviations**: M, MESOR; A, amplitude;  $\theta$ , acrophrase time; DASS-D, depression, anxiety and stress scale-depression; DASS-A, depression, anxiety and stress scale-anxiety; SDNN, standard deviation of all normal intervals; RMSSD, square root of the mean of the sum of the squares of differences between adjacent normal intervals; LF, low frequency power; HF, high frequency power; LFnu, normalized low frequency power, namely LF/(LF+HF); Log, logarithm (Log 10).

## Correlations Between the Parameters (M, A, $\theta$ ) of the HRV Indices and the Clinical Assessments of Sleep

The relationship between sleep status (sleepiness, fatigue, and sleep quality) and the features of HRV circadian patterns (M, A, and  $\theta$ ) were summarized in Tables 5 and 6. Mild to severe daytime sleepiness and fatigue were both associated with increased amplitudes of the LF/HF ratio and LFnu circadian oscillation. In addition, fatigue was related to an advanced  $\theta$  of SDNN ( $\beta$  = -0.20, P = 0.04). While better self-reported sleep quality showed an opposite association with the  $\theta$  of SDNN ( $\beta$  = 0.18, P = 0.01). Better sleep quality was also associated with an advanced  $\theta$  of the LF/HF ratio and LFnu ( $\beta$  = -0.02, P < 0.05). A higher number of the total sleep bouts scored by the smart device positively correlated with the parameter A of the HRV indices (LF/HF ratio and LFnu) ( $\beta$  = 0.01, P = 0.02 for both; Table 6), indicating that the circadian pattern of LF/HF ratio was also sensitive in detecting sleep fragmentation.

**Table 5** Association of the Severities of Sleepiness, Fatigue, Sleep Quality and the Circadian Patterns Parameters (M, A,  $\theta$ ) of Each HRV Indices in Night-Shift Female Workers Based on a Linear Regression Model<sup>a</sup>

		SDNN	l (ms)	RMSSI	RMSSD (ms)		LF (ms <sup>2</sup> )		ms²)	LF/HF		LFnu	
		β	P	β	P	β	P	β	P	β	P	β	P
Sleepiness (ESS)	Log M	-0.03	0.122	-0.04	0.116	-0.06	0.168	-0.10	0.057#	0.00	0.688	-0.01	0.441
	Log A	-0.02	0.717	-0.03	0.569	-0.06	0.318	-0.11	0.130	0.10	0.006	0.10	0.005
	Log θ	0.03	0.757	0.09	0.157	-0.07	0.406	0.07	0.265	0.01	0.141	0.01	0.182
Fatigue (FAS)	Log M	-0.00	0.910	0.00	0.935	-0.00	0.966	0.04	0.609	-0.01	0.302	-0.01	0.302
	Log A	0.10	0.133	0.11	0.101	0.05	0.444	0.09	0.339	0.11	0.020	0.13	0.007
	Log θ	-0.20	0.035	-0.07	0.340	-0.12	0.208	-0.09	0.220	0.01	0.276	0.02	0.246
Sleep quality	Log M	-0.02	0.375	-0.01	0.644	-0.06	0.114	-0.03	0.506	0.00	0.692	0.00	0.692
	Log A	-0.07	0.124	-0.04	0.453	-0.03	0.530	-0.04	0.584	-0.00	0.974	-0.02	0.592
	Log θ	0.18	0.011	-0.00	0.946	0.08	0.308	0.04	0.505	-0.02	0.049	-0.02	0.026

**Notes**: ESS  $\leq$  10 (Ref) vs ESS > 10; FAS  $\leq$  21 (Ref) vs FAS > 21; Sleep quality  $\leq$  2 (Ref) vs Sleep quality > 2. <sup>a</sup>Covariables included age, BMI, coffee, alcohol, smoke and each separate categorical variable. P < 0.05 was regarded as statistically significant, indicated by bold; P < 0.10 was regarded as suggestive, indicated by #.

**Abbreviations**: M, MESOR; A, amplitude;  $\theta$ , acrophrase time; ESS, excessive sleep scale; FAS, fatigue scale; SDNN, standard deviation of all normal intervals; RMSSD, square root of the mean of the sum of the squares of differences between adjacent normal intervals; LF, low frequency power; HF, high frequency power; LFnu, normalized low frequency power, namely LF/(LF+HF); Log, logarithm (Log 10).

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**Table 6** Association of the Number of Sleep Bouts and the Circadian Patterns Parameters (M, A,  $\theta$ ) of Each HRV Index in Night-Shift Female Workers Based on a Linear Regression Model <sup>a</sup>

		SDNN (ms)		RMSSD (m <sup>2</sup> )		LF (ms <sup>2</sup> )		Н	F (ms²)	LF/HF		LFnu	
		β	P	β	P	β	P	β	P	β	P	β	P
Sleep bouts	Log M	-0.00	0.765	0.00	0.914	0.00	0.968	-0.00	0.584	0.00	0.919	0.00	0.919
	Log A	0.00	0.628	0.01	0.337	0.01	0.236	0.00	0.745	0.01	0.017	0.01	0.020
	Log θ	-0.01	0.488	0.01	0.405	-0.01	0.146	-0.00	0.741	0.00	0.700	0.00	0.720

Notes: <sup>a</sup>Covariables included age, BMI, coffee, alcohol, smoke and the number of sleep bouts. *P* < 0.05 was regarded as statistically significant, indicated by bold. **Abbreviations**: M, MESOR; A, amplitude; θ, acrophrase time; SDNN, standard deviation of all normal intervals; RMSSD, square root of the mean of the sum of the squares of differences between adjacent normal intervals; LF, low frequency power; HF, high frequency power; LFnu, normalized low frequency power, namely LF/(LF+HF); Log, logarithm (Log 10).

## Correlations Between the Circadian Patterns Parameters (M, A, $\theta$ ) of the HRV Indices and the 24-Hour Averages of the HRV Indices

To explore whether average HRV indices could reflect the circadian rhythms of HRV, the association of 24-hour LF, HF and the LF/HF ratio with HRV circadian patterns was examined (Table 7). The 24-hour average of LF was positively correlated with the MESORs of SDNN, RMSSD, LF, LF/HF, and LFnu, as well as the amplitude of the LF circadian pattern; however, it was negatively correlated with the amplitude of the LF/HF ratio and LFnu (all P < 0.05). In contrast, the 24-hour average of HF showed reciprocal association with the MESORs and amplitudes of LF, LF/HF ratio, and LFnu. The 24-hour average of LF/HF ratio was negatively correlated with the MESORs and amplitudes of the HF and RMSSD circadian patterns. In addition, 24-hour LF, HF, and LF/HF ratio had almost no relationship with the parameter  $\theta$  of their circadian parameters and the circadian pattern of heart rate; however, the LF/HF ratio was negatively correlated with the MESOR and amplitude of SD1 circadian rhythm, as shown in Supplementary Table 4.

**Table 7** Combined Effects of Daily Mean LF, HF, LF/HF Ratio on the Circadian Pattern Parameters (M, A,  $\theta$ ) of Each HRV Index in Night-Shift Female Workers Based on a Linear Regression Model <sup>b</sup>

		SDN	SDNN (ms)		SD (ms)	LF (	ms²)	HF (	(ms²)	LF	/HF	LF	nu
		β <sup>s</sup>	P	β <sup>s</sup>	P	β <sup>s</sup>	P	β <sup>s</sup>	P	β <sup>s</sup>	P	β <sup>s</sup>	P
LF_24 h	Log M	0.70	0.001	0.50	0.001	0.98	0.001	0.45	0.004	0.42	0.004	0.42	0.004
	Log A	0.25	0.157	-0.12	0.469	0.92	0.001	0.17	0.286	-0.38	0.030	-0.45	0.013
	Log θ	0.15	0.394	-0.01	0.964	-0.04	0.830	0.14	0.455	0.17	0.397	-0.04	0.836
HF_24 h	Log M	-0.29	0.083#	-0.18	0.269	-0.53	0.004	-0.17	0.295	-0.32	0.034	-0.32	0.034
	Log A	-0.00	0.981	0.23	0.203	-0.48	0.011	0.01	0.972	0.26	0.156	0.22	0.234
	Log θ	-0.23	0.224	0.04	0.839	-0.11	0.607	-0.09	0.660	-0.13	0.521	0.07	0.717
LF/HF_ 24 h	Log M	-0.12	0.086#	-0.30	0.001	0.00	0.995	-0.37	0.001	0.60	0.001	0.60	0.001
	Log A	-0.14	0.076#	-0.25	0.001	0.04	0.637	-0.40	0.001	-0.21	0.011	-0.28	0.001
	Log θ	0.07	0.387	0.00	0.960	0.02	0.852	0.02	0.812	-0.04	0.623	0.10	0.231

**Notes**:  $^b$ Covariables included age, BMI, coffee, alcohol and smoke, 24-h averages of LF, HF and the LF/HF ratio.  $\beta^s$  represented standardized coefficients. P < 0.05 was regarded as statistically significant, indicated by bold; P < 0.10 was regarded as suggestive, indicated by #.

**Abbreviations**: M, MESOR; A, amplitude;  $\theta$ , acrophrase time; HRV, heart rate variability; SDNN, standard deviation of all RR intervals; RMSSD, square root of the mean of the sum of the squares of differences between adjacent RR intervals; LF, low frequency power; HF, high frequency power; LFnu, normalized low frequency power, namely LF/(LF+HF); Log, logarithm (Log 10).

### **Discussion**

In the current study, we plotted the 1-min or 5-min epoch-specific HRV indices over 24-hour Holter monitoring as a function of time and fitted these scatter data points to cosine periodic curves to characterize the circadian rhythms of the HRV indices. Subsequently, we calculated the mathematical parameters (M, A,  $\theta$ ) based on the cosine curves. The parameters M and A of the HRV indices (SDNN, RMSSD, LF, HF and SD1), and the parameter  $\theta$  of the HRV indices (the LF/HF ratio, LFnu) significantly differ between shift and non-shift workers. The parameter  $\theta$  of the LF/HF ratio positively correlates with the severity of stress and anxiety conditions, while the amplitude of the LF/HF ratio and LFnu and the parameter  $\theta$  of SDNN, positively correlate with the severity of the sleep disturbance and daytime sleepiness. The parameters M and A of the HRV indices (RMSSD, LF, HF, LF/HF and LFnu) significantly correlate with the 24-hour averages of HRV frequency indices, while the  $\theta$  shows no significant correlations.

Using the three parameters to quantify HRV circadian variations (M, the overall mean level; A, the peak of HRV oscillation; θ, the time lag to the peak from the reference timepoint), our data showed that RMSSD, SDNN, HF, LF and SD1 follow a very similar circadian pattern: the peak occurs in the early morning and the nadir occurs in the afternoon; while the LF/HF ratio, LFnu and heart rate show an opposed oscillation pattern: the HRV oscillation reaches the peak in the afternoon around 14:00~15:00 PM, and declines to the lowest level in the early morning, which is consistent with the previous studies. <sup>15,20</sup> But, the non-linear HRV index SD2 of a quarter of the participants did not exhibit significant circadian rhythm. It was reported that SD2 is correlated with both LF and HF power. The complex regulation of SD2 may be one of the reason why a small portion of SD2 did not show significant circadian rhythm patterns. <sup>34</sup> The HRV indices fluctuate all the time rather than keep stable, for example there is an ultradian 80–120 min rhythm in the LF/(LF +HF) ratio, <sup>36</sup> instead, the circadian rhythms of HRV indices show a global trend of the entire day, which will not be affected by the local details and are less changeable in a period.

To the best of our knowledge, this is the first study to report the association of mental stress, mood, and sleep status with HRV circadian pattern features. The ANS and CNS interact with each other, while circadian is one of the ways for both to modulate reciprocally.<sup>37</sup> Circadian rhythm-regulated processes, like sleep, hormone secretion, body temperature, are driven by an endogenous central pacemaker in the suprachiasmatic nucleus (SCN) of the hypothalamus, and the SCN can also affect the hypothalamic-pituitary-adrenal axis and ANS.<sup>38</sup> According to Porges' polyvagal theory, stress and emotional processing are related to the bidirectional and dynamical interplay of the ANS and the central nervous system (CNS) mediated by the vagal nerve.<sup>39</sup> Vagal nerves originating from the nucleus ambiguus (NA) act on the sinoatrial node and play a dominant role in controlling respiratory sinus arrhythmia (RSA, an index of cardiac vagal modulation, indexed by HF or RMSSD). 19 The higher the resting respiratory sinus arrhythmia (reflected by HF and RMSSD), the greater the withdrawal during stressors. 18 This may account for the higher MESORs and amplitudes of HRV frequency and time-domain measures observed in the night shift women in our study. Meanwhile, the neurovisceral theory proposed that prefrontal hypoactivity leading to amygdala excitation can depress vagal activity and result in anxiety, which can account for the higher anxiety score in the shift workers. 8,40 Sleep is also closely linked to ANS activity and circadian rhythm regulation. 41 The LF/HF ratio was reported to progressively decline with deeper sleep stages, from the highest value during wake episodes to the lowest value during SWS. 42,43 This supports our results that sleep quality and fatigue were associated with the  $\theta$  of the LF/HF ratio (Table 6). Based on these theories, we speculate that nightshift work can prolong sympathetic activation due to work stress, physical activity and active electroencephalography (EEG) oscillation, and disinhibit GABAergic neurons in the amygdala which plays a role in the modulation of cardiovascular, autonomic. and endocrine responses.<sup>8,44</sup> This may interrupt the brain-heart interaction mediated by vagal modulation. And the imbalance can suppress autonomic cortical control and lead to variable RAS responses to the stimuli that underlie anxiety or depression. 18,44,45 For example, a study found that the amplitude of RMSSD circadian oscillation was positively associated with mild depressive symptoms in healthy occupational women.<sup>22</sup> On the other hand, deprived sleep due to night shift work can exaggerate the disrupted circadian rhythm of biological process and homeostasis.

Our results indicate that 24-hour averages of HRV changes (LF, HF, and LF/HF ratio) can affect the MESORs and amplitudes of HRV circadian patterns, but not the  $\theta$  (Table 7). However, many 24-hour HRV indices of night-shift female workers did not exhibit differences except SDNN, SD1 and SD2, while the HRV circadian patterns were distinctive when compared to those of non-shift workers. 24-hour averages of HRV are adaptive, reversible, and one-dimensional, while

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the alteration of the circadian patterns of HRV indices is a result of long-term accumulation, and the three parameters of circadian patterns are all significant to ANS physiological regulation and homeostasis. This may explain why 24-hour averages of mean HRV indices did not differ between night shift workers and non-shift workers while HRV circadian patterns did. The shift workers showed a slightly higher SDNN, SD1 and SD2 than the non-shift workers, which may result from the age difference between the two groups. The median age of the shift workers were five-year younger than that of non-shift group. Above results indicate that the HRV circadian patterns may be more sensitive in detecting chronic ANS function alteration than temporal 24-hour averages of HRV values.

Circadian rhythm changes in ANS are of great importance to cardiovascular health. It was reported that there is an increased shift towards sympathetic modulation of the heart in the transition from sleep to wakefulness during morning hours. Health, there is a 40% increased risk of myocardial infarction and a 29% increased risk of cardiac death between 06:00 and 12:00 AM. In particular, the circadian pattern features (M, A, and  $\theta$ ) of the LF/HF ratio and LFnu were found to be linked with multiple pathological indicators, such as anxiety, stress, sleepiness, fatigue, poor sleep quality, and sleep fragmentation. Therefore, we propose the use of two-dimensional HRV circadian patterns as indicators to detect long-term HRV function changes and reflect chronic abnormal mental stress, negative emotion disorders, poor sleep quality, and burnout syndrome. It is also important to help medical workers deal with negative moods and enjoy high-quality sleep to maintain good health.

#### Limitations

The current study unavoidably has the following limitations: 1) Only women medical workers were recruited in the study due to practical reasons. It made the significance of the study limited to the female gender. 2) Menstrual cycles might affect the ANS function and therefore the HRV indices. <sup>50,51</sup> Herein, we did not analyze participants' menstrual cycle. 3) Burnout is a work-related stress syndrome and depends on the length of service, which is common in medical workers. It would be better if taking the length of service into consideration. <sup>52</sup>

### **Conclusion**

Our study revealed that the circadian patterns of HRV indices, compared to 24-hour HRV values, changed profoundly in female medical workers working night shifts. Stress, mood, and sleep quality are all linked with HRV circadian pattern alterations, indicating a potential disturbance in brain-heart interplay in female night shift workers. We propose that HRV circadian patterns could be sensitive indicators of long-term ANS fluctuation changes, chronic mental stress, negative mood, and physical exhaustion.

## **Ethics Approval and Informed Consent**

This study was performed in line with the principles of the Declaration of Helsinki, and approval was granted by the Ethics Committee of Tongji Medical School, Huazhong University of Science and Technology (IRB # 2021S141). Informed consents were obtained from all patients included in the study.

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