

# Impaired Circulating Antibody-Secreting Cells Generation Predicts the Dismal Outcome in the Elderly Septic Shock Patients

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**Purpose:** Sepsis is a condition that derives from a dysregulated host response to infection. Although B lymphocytes play a pivotal role in immune response, little is known about status of their terminally differentiated cells, antibody-secreting cells (ASCs) during immunosuppressive phase of sepsis, especially in elderly patients. Our aim was to extensively characterize the immune functions of ASCs in elderly septic patients.

**Patients and Methods:** Clinical and laboratory data were collected on days 1, 3, and 7 of hospitalization. Circulating ASCs were evaluated by flow cytometry from fresh whole blood in elderly septic patients at the onset of disease. RNA sequencing analyzed ASCs gene expression profile. Receiver operating characteristic (ROC) curve analysis and logistic regression predicted the survival rate of 28-day mortality.

**Results:** A total of 103 septic patients were enrolled. The number and proportion of ASCs among total lymphocytes dramatically increased in septic patients, and RNA sequencing analysis showed that ASCs from septic patients exhibited a different gene expression profile. Furthermore, we found these ASCs could promote the function of T cells. Logistic regression analysis showed ASCs population was an independent outcome predictor in septic shock patients.

**Conclusion:** Our study revealed the complex nature of immune disorders in sepsis and identified circulating ASCs population as a useful biomarker for predicting mortality in elderly septic patients, which provided a novel clue to combat this severe disease.

**Keywords:** biomarker, antibody-secreting cells, prognosis, sepsis, septic shock

## Introduction

Among the common causes of death in ICUs, sepsis is the most highly heterogeneous and elusive syndrome caused by unbalanced host responses to infection.<sup>1,2</sup> Introduced since the 4th century, Hippocrates described it as “decay or decomposition of organic matter”.<sup>3</sup> As time went by, the clinical criteria for the definition of sepsis became more and more specific. Nowadays, sepsis is classified into two layers, one is “sepsis”, which is defined as a life-threatening condition that occurs when host response to infection injures its own tissues and organs; the other is “septic shock”, in which circulatory, cellular, and metabolic abnormalities are associated with a greater risk of mortality than sepsis alone.<sup>4-7</sup> Accordingly, more than half of septic shock arises in patients over 65 years of age,<sup>5,8</sup> and the number of elders will double to 756–1400 million by 2030, which may lead to more septic patients.<sup>9</sup> Even worse, elderly septic patients do not usually

have typical clinical responses, which makes the accepted thresholds for biomarker levels not accurate for diagnostic and prognostic prediction of outcomes.<sup>10</sup> Although sequential organ failure assessment (SOFA) score is one of the most popular scoring systems for sepsis prediction, it still has acknowledged limitations in terms of parameters used and mortality discrimination.<sup>11</sup> Thus, identification of elderly patients at high mortality risk in sepsis may improve resource allocation and provide a rational way for clinical trials.

Normal immune and physiologic responses eradicate pathogens, and that's why an effective circulation requires an inflammation-free bloodstream.<sup>12</sup> As soldiers in immune system, many types of cells were investigated in sepsis. For example, circulating leukocyte activation is with resultant organ dysfunction and failure.<sup>13,14</sup> Moreover, decreased monocyte HLA-DR expression associates with an increased risk of nosocomial infections and death.<sup>13</sup> Therefore, inappropriate regulation aggravates the illness which is complicated by a mixture of immune over-activation and suppression, and poses a great challenge for both clinical and basic research.<sup>15</sup>

Here, we applied “immune cellomics”, a novel systemic strategy, to study the immune status of sepsis and focused on antibody-secreting cells (ASCs), a unique subset differentiated from B cells. It's already known that B cells have multi-functions in immune response, in addition, early alteration or exhausted-like profiles of these cells have been reported in septic patients.<sup>16,17</sup> However, compared to B cells, ASCs which are recognized as the basis of humoral immunity by generating antibodies,<sup>18</sup> are less heard of. In this paper, we reported that ASCs were greatly elevated in elderly septic patients. Additionally, ASCs from these patients also exhibited a different gene expression profile compared to healthy donors. Furthermore, we measured the immune functions of ASCs from elderly septic patients by co-culturing with healthy donor-born CD4<sup>+</sup> T cells, which showed these ASCs could promote immune function of T cells. Finally, by comparing with other important clinical indicators in sepsis prognosis, circulating ASCs population acted as a prognostic biomarker in the more severe type of disease, septic shock.

## Materials and Methods

### Patient Eligibility

The study was performed at Institut Pasteur of Shanghai from February 2016 to January 2018. Taken together, 32 healthy controls with age-and sex-matched were enrolled as healthy donors (HD) group. The enrolled criteria were people with 1) no chronic cardiac, liver, renal, lung, immunodeficiency disease; 2) no infection in the last three months; 3) normal peripheral blood test, routine urine test and chest X-ray.

30 patients with community acquired pneumonia (CAP), identified in accordance with guidelines for the diagnosis and treatment of adult CAP in China (2016 Edition),<sup>19</sup> were enrolled as infection controls (Inf-Ctrl) group.

103 patients enrolled septic patients (Sep-Pt) group including sepsis and septic shock, who were identified in compliance with the diagnostic criteria from 2016 consensus definitions of American College of Chest Physicians and Society of Critical Care Medicine.<sup>5</sup> The exclusion criteria were patients 1) with more than 48 hours of antibiotic treatment; 2) with Acute Exacerbation of Chronic Obstructive Pulmonary Disease (AECOPD); 3) with aspiration pneumonia; 4) with hypostatic pneumonia; 5) with bronchial exaggeration; 6) under palliative care; 7) with bone marrow disease; 8) with immunodeficiency or who were treated with any form of prednisone.

Approval was obtained from Shanghai East Hospital institutional review board affiliated to Tongji University (2015–028). Each participant included in the study had received written informed consent. In this study, all processes comply with the Declaration of Helsinki.

### Blood Samples

Heparin anti-coagulated blood samples were collected from patients at the onset of disease during a routine blood sampling procedure. Clinical and biological parameters were collected, including demographic characteristics, date, and cause of admission to ICU, status at day 28 after inclusion and type of infection. Severity scores were recorded: Acute Physiology and Chronic Health Evaluation (APACHE II, range 0–71) and SOFA score (range 0–24). Data were not censured if death occurred after the time point analyzed. Concomitantly, heparin anti-coagulated blood samples collected from healthy donors were obtained from volunteers over the age of 65 y.<sup>20</sup> Buffy coat blood was obtained as five RNA

sequence samples of healthy donors. Sample storage was systematically shorter than 3 h. Blood samples were prepared within 2 h for flow cytometry after collection.

## Cells Isolation

Peripheral blood mononuclear cells (PBMC) were purified from blood by Lymphoprep (Axis-Shield) density gradient centrifugation of heparinized or buffy coat blood.<sup>21</sup> Cells were resuspended ( $10^6$  cells/mL) in complete medium consisting of RPMI-1640 containing L-alanyl-L-glutamine dipeptide supplemented with 10% heat-inactivated fetal bovine serum (Gibco),  $5 \times 10^{-5}$  M of 2-mercaptoethanol (Sigma) and antibiotics (penicillin 100 U/mL, streptomycin 100  $\mu$ g/mL, Gibco BRL).

## Cells Purification and Culture

In some experiments, cells stained with fluorochrome-labeled antibodies against CD20 (SJ25C1), CD3 (UCHT1) and CD14 (M5E2), CD27 (O323) and CD38 (HIT2) from BD Biosciences or Biolegend. Cells were sorted with moflo astrios EQ (Beckman). Despite heterogeneity across the ASCs spectrum, ASCs were collectively identified as brightly positive for CD27 and CD38 in blood.<sup>22</sup> After doublet and debris exclusion, B cells were selected on a biparametric CD20/SSC dot plot and ASCs were selected on CD20<sup>-</sup>CD3<sup>-</sup>CD14<sup>-</sup>CD27<sup>hi</sup>CD38<sup>hi</sup>. Before cells culture, PBMC suspension was adjusted to  $2 \times 10^6$  cells per mL in complete RPMI-1640 medium. The purity of isolated B cells and ASCs were typically higher than 95%.

## ASCs Stimulation of T Cells Assay

ASCs were isolated following the standard procedure. Isolated CD4<sup>+</sup> T cells from healthy donors were thawed from liquid nitrogen status at 37 °C for 2 hours before experiment. Thereafter, CD4<sup>+</sup> T cells were assessed using standard carboxyfluorescein succinimidyl ester (CFSE) (Invitrogen, Molecular Probe) dilution methods. To coat the 96-well U-bottom plates with Abs, anti-human CD3 (1  $\mu$ g/mL, clone: OKT3, Biolegend) were added in 50  $\mu$ L of phosphate-buffered saline per well and incubated for 2–3 hours at 37 °C. Wells were washed twice with complete RPMI-1640 before addition of cells. CFSE-labeled CD4<sup>+</sup> T cells were mixed with ASCs in a 2:1 ratio and co-cultured in CD3-coated plates for 5 days in complete RPMI-1640 medium. The CFSE signal was analyzed by flow cytometry on gated live CD4<sup>+</sup> lymphocytes.

## Flow Cytometric Analysis

Whole blood or PBMC was surface stained with fluorochrome-labeled antibodies against CD45 (HI30), CD3 (UCHT1), HLA-DR (L243), CD20 (SJ25C1), CD27 (O323) and CD38 (HIT2) from eBioscience, BD Biosciences, Biolegend or Miltenyi. B cells were selected on a biparametric CD20/HLA-DR dot plot and ASCs were selected on CD3<sup>-</sup>CD20<sup>-</sup>CD27<sup>hi</sup>CD38<sup>hi</sup>.

For intracellular cytokine staining, cells were stimulated with 50 ng/mL phorbol 12-myristate 13-acetate (PMA) (Sigma) and 1  $\mu$ g/mL Ionomycin (Sigma) in the presence of 5  $\mu$ g/mL Brefeldin A (Biolegend) for 5 h at 37 °C. Then cells were washed twice with phosphate-buffered saline and stained with zombie yellow<sup>TM</sup> dye (Biolegend) to eliminate dead cells. After surface staining with antibodies against CD3 and CD20, cells were fixed, permeabilized using the cytofix/cytoperm kit (BD Biosciences) according to the manufacturer's instructions and subsequently stained for the detection of intracellular cytokines Interferon (IFN)- $\gamma$ , Interleukin (IL)-4 and IL-17. Labeled cells were analyzed on an LSR Fortessa flow cytometer (BD Biosciences), and data were analyzed by Flowjo software (Tree Star).

Absolute numbers of CD45<sup>+</sup> lymphocytes per microliter were calculated. RBCs were lysed by lysis (BD Biosciences). B cells were selected based on a biparametric CD20/HLA-DR dot plot and the percentage of CD20<sup>+</sup> cells in CD45<sup>+</sup>/PBMC was calculated. ASCs were gated based on CD20<sup>-</sup>CD3<sup>-</sup>CD27<sup>hi</sup>CD38<sup>hi</sup> and the percentage of ASCs in CD45<sup>+</sup>/PBMC was calculated. For an adequate experimental staining control, the appropriate irrelevant anti-mouse isotype controls IgG<sub>1</sub>-FITC, APCs and PE were used. The absolute numbers of B cells subsets were calculated following standard flow cytometry criteria for lymphocyte subsets identification. Firstly, we calculated the percentage of cells expressing CD20 in the lymphocyte gate defined by forward and side scatter in PBMC. The absolute number of

circulatory B cells was determined by multiplying the percentage of CD20<sup>+</sup> cells with total number of lymphocytes per microliter measured using URIT-2900. Next, we obtained the absolute number of ASCs by multiplying the total number of B cells previously calculated by the percentage of ASCs: B. All absolute numbers were expressed as cells per milliliter.

## Statistical Analyses Pathway Analysis, Clustering, and Visualization

Statistical tests were performed using SPSS (SPSS, Chicago, IL, USA, version 24.0) or Prism (GraphPad software, version 7.0) for MAC. Data were expressed as means  $\pm$  SEM. Because most variables did not always fulfill the normality hypothesis, differences between groups were analyzed using Mann-Whitney *U*-test for nonparametric data, and analysis of variance followed by a Wilcoxon signed-rank test was used for within-group analyses. The reliability of the use of different phenotype markers concentrations or of main clinical variables to predict death due to septic shock was calculated by plotting receiver operating characteristic curves. The level of significance was set at  $p < 0.05$ . Gene set enrichment analysis (GSEA) is widely applied to determine whether a predefined gene set shows statistically significant difference. Hierarchical clustering using Ward's linkage and Euclidean distance was performed on healthy and septic ASCs using R. Visualizations of the cluster hierarchy plots, and histograms were created in R software environment. Heat map was created using the pheatmap package in R.

## Results

### Circulating ASCs Increase in Elderly Septic Patients

Circulating ASCs were analyzed from PBMC of 32 elderly healthy donors (HD), 30 community-acquired pneumonia infectious controls (Inf-Ctrl), and 103 septic patients (Sep-Pt) at hospitalization. For all controls and patients involved, age, gender, clinical features, and laboratory data are summarized in Table 1. No significant differences could be determined based on age or gender. Among Sep-Pt group, pneumonia represented the initiation of infection (73/103); significant higher levels of routinely used biomarkers for inflammation like C-reactive protein (CRP), procalcitonin (PCT), white blood cells (WBC) count was found, as well as serum lactic acid and clinical evaluation, such as SOFA and APACHE II score. By applying flow cytometry, ASCs were distinguished by CD3<sup>-</sup>CD20<sup>-</sup>CD27<sup>hi</sup>CD38<sup>hi</sup> (Figure 1A). The absolute number and percentage of ASCs in PBMC increased significantly in Sep-Pt group compared with HD group (Figure 1B and C).

### Septic ASCs Exhibit Unique Molecular Features

To assess the gene expression landscape of these elevated ASCs in elderly septic patients, we isolated healthy ( $n = 5$ ) and septic ( $n = 5$ ) ASCs from peripheral blood samples by cell sorting. The polyadenylated RNA fractions extracted from isolated ASCs were then analyzed by pair-end RNA sequencing (RNA-seq). We initially performed principal components analysis (PCA), a statistical method for reducing high dimensional data while retaining the drivers of expression variability, to compare the overall transcriptome profile between groups. We observed that the two groups are well segregated, indicating that sepsis elicits a global transcriptional signal in ASCs (Figure 2A). Different gene expression in ASCs was found between elderly healthy donors and septic patients, with 210 high expression and 303 low expression genes (Figure 2B). Furthermore, the normalized enrichment scores for gene ontology biological processes revealed clear differences between two groups which included the most up-regulated genes related to oxidative phosphorylation, aminoacyl tRNA biosynthesis and propanoate metabolism, while down-regulated genes associated with primary immunodeficiency, cell adhesion molecules and hematopoietic cell lineage activities (Figure 2C and D).

### Septic ASCs Promote T Cell Function and Correlate with Peripheral T Follicular Helper

The expression of costimulatory molecules, like CD40, CD80, CD86, and HLA-DR were analyzed by flow cytometry on elderly septic ASCs (Figure 3A and B). As it is known that anti-CD3 could bind to CD3 on surface of T cells and activates T cell receptor to induce signals transduction.<sup>23</sup> In order to determine whether these ASCs have comparable

**Table I** Clinical and Laboratory Data Among Elderly Healthy Donors, Infectious Controls and Septic Patients

	HD n = 32	Inf-Ctrl n = 30	Sep-Pt n = 103	p value			
				p value	HD VS Inf-Ctrl	HD VS Sep-Pt	Inf-Ctrl VS Sep-Pt
Age (year)	78 ± 9	78 ± 8	80 ± 8	0.1869	0.9766	0.1508	0.1506
Gender (male/female)	16/16	15/15	60/43	0.5870	>0.9999	0.4110	0.4225
ICU days	/	/	7 (1–11)	<0.0001	>0.9999	<0.0001	<0.0001
Death rate, n (%)	/	/	62 (60.19%)	<0.0001	>0.9999	<0.0001	<0.0001
Vasopressor use, n (%)	/	/	55 (53.40%)	<0.0001	>0.9999	<0.0001	<0.0001
SOFA score	/	1.0 (0.0–2.0)	6.0 (4.0–11.0)	<0.0001	0.0697	<0.0001	<0.0001
APACHE II score	8.0 (6.0–9.0)	9.0 (7.0–9.0)	18.0 (15.0–24.0)	<0.0001	0.4345	<0.0001	<0.0001
WBC (cells/ $\mu$ L)	4.9 (4.2–7.3)	7.5 (5.2–10.1)	14.9 (10.6–22.7)	<0.0001	0.1122	<0.0001	<0.0001
Hb (g/L)	137.0 (125.0–147.3)	114.5 (103.8–125.3)	107.0 (91.0–122.0)	<0.0001	0.0003	<0.0001	0.1482
Platelets ( $\times 10^9$ /L)	169.5 (155.8–235.3)	172.5 (133.0–226.0)	116.0 (62.0–214.0)	0.0017	0.5936	0.0018	0.0165
Bilirubin ( $\mu$ mol/L)	8.5 (7.7–9.9)	10.4 (8.0–15.2)	20.0 (11.0–34.0)	<0.0001	0.0451	<0.0001	0.0006
Creatinine ( $\mu$ mol/L)	69.5 (58.3–81.8)	76.0 (56.8–100.0)	109.0 (78.0–200.0)	<0.0001	0.3853	<0.0001	0.0001
PCT (ng/mL)	0.02 (0.02, 0.04)	0.10 (0.10–0.50)	7.1 (1.2–22.2)	<0.0001	0.0073	<0.0001	<0.0001
CRP (mg/L)	3.1 (2.5–3.5)	33.5 (10.5–75.1)	101.7 (56.5–156.8)	<0.0001	<0.0001	<0.0001	<0.0001
Lactic acid (mmol/L)	0.4 (0.2–0.6)	0.8 (0.5–1.8)	2.1 (1.3–3.5)	<0.0001	0.0007	<0.0001	<0.0001
Infection sites, n (%)							
Pulmonary	/	30 (100)	73 (70.874)				
Abdominal	/	/	21 (20.388)				
Urogenital	/	/	5 (4.854)				
Other	/	/	4 (3.883)				

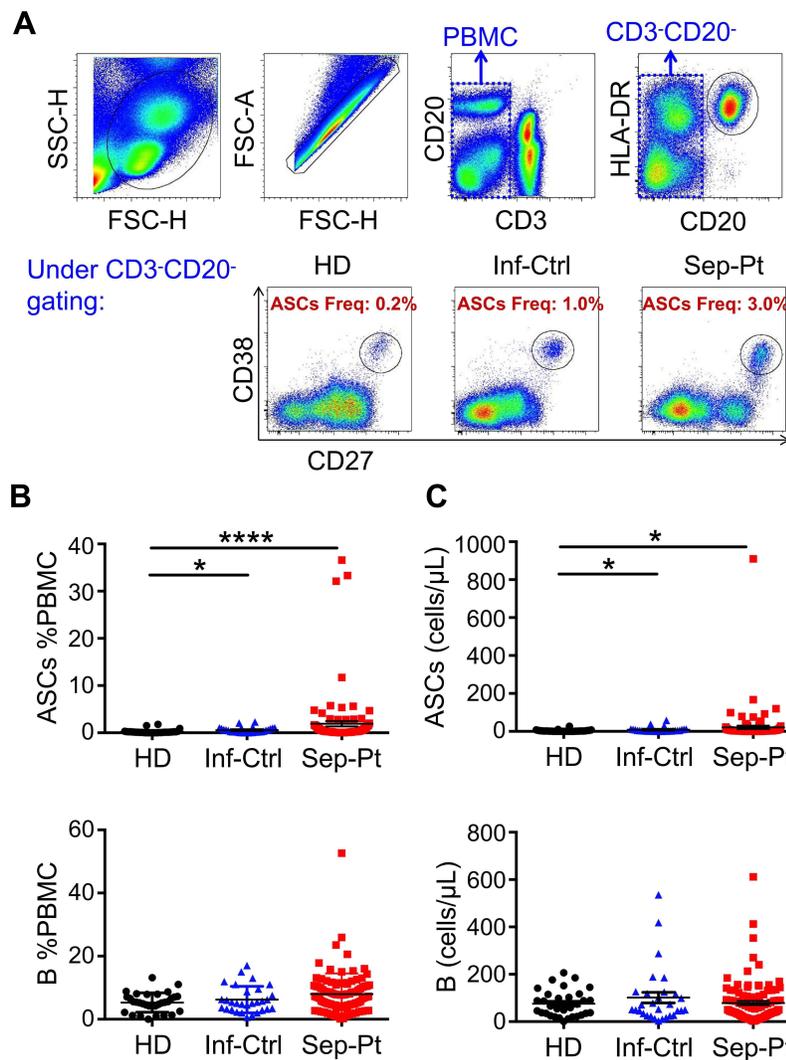
**Note:** Numbers are n (%), means  $\pm$  SEM, or median (interquartile range).

**Abbreviations:** HD, healthy donors; Inf-Ctrl, infectious controls; Sep-Pt, septic patients; SOFA, score sequential organ failure assessment score; APACHE II, acute physiology and chronic health evaluation II; Hb, hemoglobin; PCT, procalcitonin; CRP, C-reactive protein.

functions in stimulating T cells as B cells do,<sup>24</sup> CFSE labeled healthy donor-born CD4<sup>+</sup> T cells were cultured with septic ASCs at ratio of 2:1 under stimulation of anti-CD3. Statistical analysis elucidated that the percentage of proliferating CFSE<sup>lo</sup>CD4<sup>+</sup> T cells increased distinctly in the presence of septic ASCs than those cultured alone (Figure 3C and D).

Next, we investigated the capacity of septic ASCs to influence production of pro- and anti-inflammatory cytokines by T cells. On the 5th day after co-culture, cells were re-stimulated with PMA and Ionomycin for 5 h in the presence of Golgi Stop. Later, CD4<sup>+</sup> T cells were analyzed for intracellular cytokines IFN- $\gamma$ , IL-17 and IL-4. We observed addition of septic ASCs raised the production of IFN- $\gamma$  and IL-17 in CD4<sup>+</sup> T cells under co-culture system (Figure 3E and F).

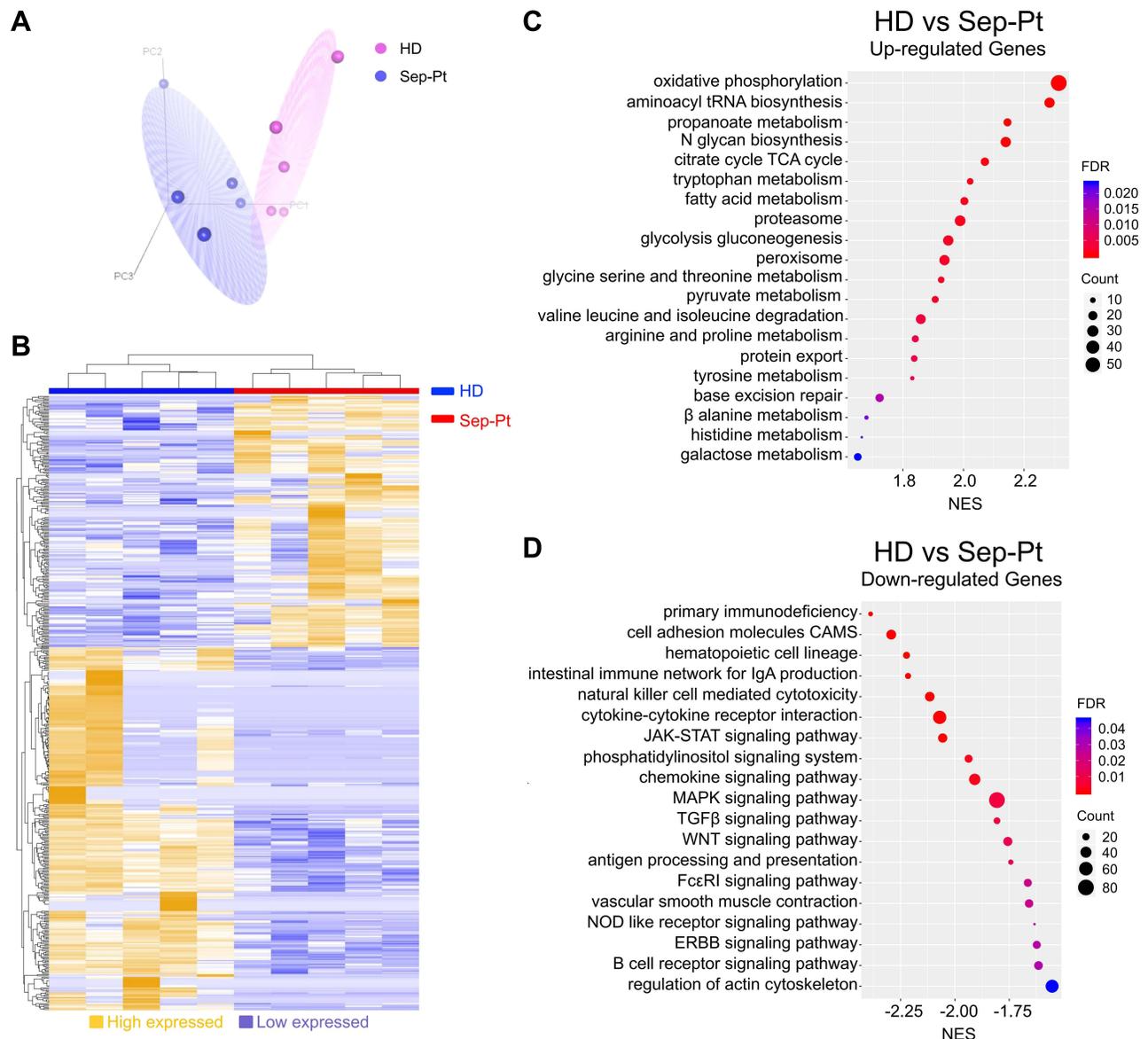
T follicular helper (Tfh) cells are T cells specialized in providing help to B cells.<sup>24</sup> On the surface marker level, Tfh cells are generally characterized by expression of CXCR5 and PD-1 with different subsets being identified based on CXCR3.<sup>25</sup> In our experiments, we classified Tfh cells into CXCR3<sup>+</sup> and CXCR3<sup>-</sup> subsets (Figure 4A) and found both subsets were positively correlated with ASCs from periphery blood in elderly Sep-Pt group (Figure 4B). To sum up, septic ASCs promoted T cells proliferation, modulated the production of cytokines by CD4<sup>+</sup> T cells, in addition, they correlated positively with peripheral Tfh cells.



**Figure 1** Circulating antibody-secreting cells (ASCs) increase in elderly septic patients. **(A)** Representative flow plots of CD27<sup>hi</sup>CD38<sup>hi</sup> ASCs among HD (healthy donors), Inf-Ctrl (infectious controls) and Sep-Pt (septic patients) groups. ASCs Freq, ASCs Freq of PBMC. **(B and C)** Quantification of ASCs %PBMC and ASCs (B cells as control) among HD (n = 32), Inf-Ctrl (n = 30) and Sep-Pt (n = 103) groups showing ASCs are increased in elderly Sep-Pt compared to HD and Inf-Ctrl groups. \**p* < 0.05; \*\*\*\**p* < 0.0001 by Dunn's test with multiple comparison correction. Error bars represent standard deviation.

### Prediction of Mortality by Circulating ASCs Concentrations

To further confirm the phenomenon of these increased number of cells, we looked deeper into the two layers of 103 septic patients, which included sepsis and septic shock group in line with sepsis classification 3.0. The comparisons of the diagnostic accuracy of ASCs, together with other routine laboratory parameters for diagnosis of the disease are shown in [Table 2](#). 58 patients were diagnosed with sepsis (58/103), the rest with septic shock (45/103). There are statistically significant differences in vasopressor use (*p* < 0.0001), platelets (*p* = 0.0001), bilirubin (*p* = 0.0339), creatinine (*p* = 0.0084), and lactic acid (*p* = 0.0043) between sepsis and septic shock groups. Moreover, in septic shock group, the level of ASCs varied between survivors and non-survivors (*p* = 0.040) ([Table 3](#)). However, no such difference existed in sepsis group ([Table S1](#)). In receiver operating characteristic (ROC) curve analysis, areas under ROC curve (AUC) of ASCs (AUC = 0.697, *p* = 0.040) was inferior to PCT (AUC = 0.672, *p* = 0.073) or CRP (AUC = 0.544, *p* = 0.643) ([Figure 5A](#)), with optimal cut-off value of ASCs and PCT being 6.36 cells/μL and 24.82 ng/mL, respectively. Afterwards, we used the cut-off value to classify ASCs, PCT into ASCs-c and PCT-c, which either is equal to “1” (higher than the optimal cut-off value) or “0” (lower than the optimal cut-off). CRP, lactic acid, and B cells were re-defined in the same way. As a result, univariate analysis revealed that variables of PCT-c (OR 0.144, 95% CI

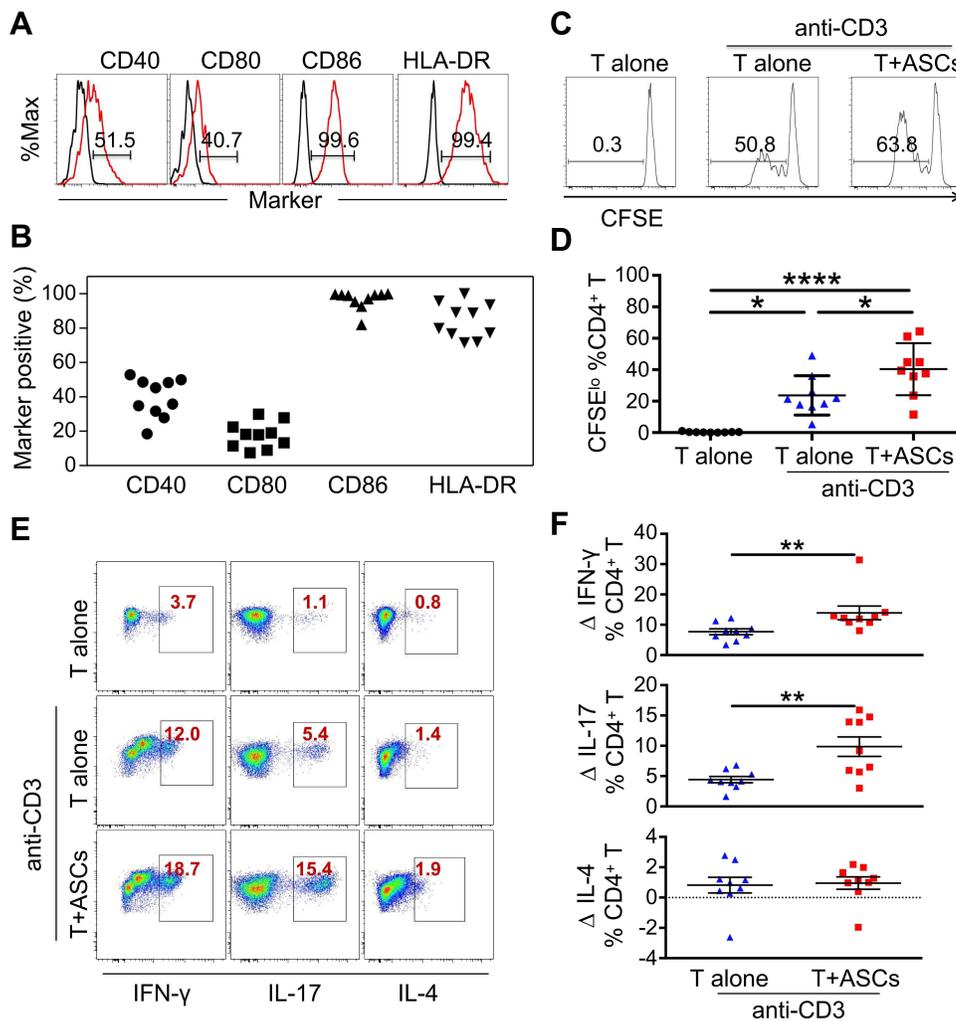


**Figure 2** Septic ASCs exhibit unique molecular features. **(A)** Principal component analysis (PCA) of the global ASCs transcriptome between HD (purple spheres,  $n = 5$ ) and Sep-Pt groups (blue spheres,  $n = 5$ ). **(B)** Heat map of different genes expression in ASCs between HD and Sep-Pt groups. 210 highly expressed genes are in orange, and 303 lowly expressed genes are in blue. HD group in blue, Sep-Pt group in red. The data were normalized and hierarchically clustered (Spearman uncentered algorithm, average linkage). **(C and D)** Normalized enrichment score for gene ontology biological processes.

0.035–0.601,  $p = 0.008$ ), and ASCs-c (OR 0.078, 95% CI 0.013–0.470,  $p = 0.005$ ) were markedly correlated with the prognosis of septic shock. Multivariable analysis Hosmer and Lemeshow test revealed that circulating ASCs level (OR 0.107, 95% CI 0.016–0.725,  $p = 0.022$ ) and PCT (OR 0.196, 95% CI 0.041–0.933,  $p = 0.041$ ) were both negatively correlated with prognosis of septic shock, indicating these two parameters were independently protective factors of septic shock in elderly patients (Table 4).

## Circulating ASCs Levels in Predicting the Survival Rate of 28-Day Mortality in Septic Shock

Subsequently, we used the two parameters mentioned above to develop a predictive nomogram for septic shock in elderly patients. The total points corresponded to a predicted unfavorable outcome in septic shock (Figure 5B). What's more, septic shock patients with a higher PCT level ( $> 24.82$  ng/mL) had obviously better outcomes than those with

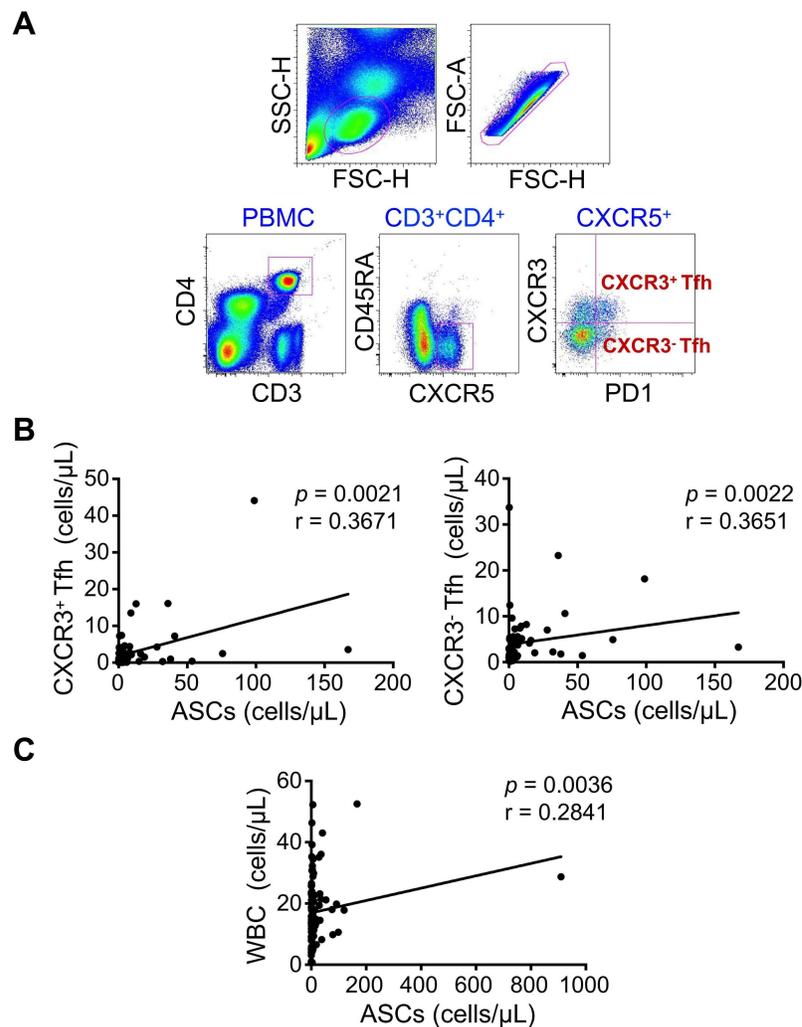


**Figure 3** Septic ASCs promote healthy donor-born T cells proliferation and functions. **(A)** The expression of co-stimulatory molecules was analyzed by flow cytometry on gated CD27<sup>hi</sup>CD38<sup>hi</sup> ASCs. Septic ASCs are in red, isotypes are in black. The numbers in the gates represent cells percentages. **(B)** The statistical analysis of co-stimulatory molecules CD40, CD80, CD86, and HLA-DR on CD27<sup>hi</sup>CD38<sup>hi</sup> ASCs from 10 Septic patients. **(C)** CFSE-labeled CD4<sup>+</sup> T cells isolated from a healthy donor were stimulated with or without plate-bound anti-CD3 Abs and mixed with septic ASCs at 2:1 ratio for 5 days. Representative results from 1 of 9 independent experiments are shown. Numbers on histograms represent the percentage of proliferating T cells. **(D)** The statistical analysis showing the percentage of proliferating CFSE<sup>lo</sup>CD4<sup>+</sup> T cells are significantly increased in the presence of septic ASCs than cultured alone (Student's *t*-test, paired parametric test). **(E)** The percentage of IFN- $\gamma$ -, IL-17- and IL-4-producing CD4<sup>+</sup> T cells cultured with septic ASCs were measured by intracellular cytokines staining at day 5 after stimulation. The dot plots represent 1 of 9 independent experiments. **(F)** The summarized data for cytokines produced by CD4<sup>+</sup> T cells under co-culture system with or without septic ASCs. Numbers represent the absolute change ( $\Delta$ ) in percentage of IFN- $\gamma$ -, IL-17- and IL-4-producing T cells upon addition of septic ASCs, compared to CD4<sup>+</sup> T cells alone. \**p* < 0.05; \*\**p* < 0.01; \*\*\*\**p* < 0.0001 by Dunn's test with multiple comparison correction or by Wilcoxon matched pairs signed rank test. Error bars represent mean  $\pm$  SEM.

lower level ( $\leq 24.82$  ng/mL) (Log rank test, *p* = 0.032) in 28-day mortality (Figure 5C). Meantime, circulating ASCs levels > 6.36 cells/ $\mu$ L even presented a better prognosis than those  $\leq 6.36$  cells/ $\mu$ L (Log rank test, *p* = 0.006) in septic shock patients (Figure 5D). Moreover, some laboratory indicators and inflammatory mediators, such as hemoglobin, neutrophil, and monocytes, showed visible differences between ASCs highly and lowly produced groups in septic shock patients (Figure 5E). Thus, we identified ASCs population as a best model for prediction of 28-day mortality risk for septic shock.

### Kinetic Analysis of IgA<sup>+</sup> ASCs and Immunoglobulins in Septic Shock Patients

Since circulating ASCs population had a good ability to predict mortality in septic shock, we wondered how these cells displayed in different time points. In accordance with the outcome of disease, we further evaluated ASCs level between survived and deceased septic shock patients on day 1, 3 and 7, among which 13 survived patients were involved. No significant difference was found in absolute number of ASCs in survived group (Figure 6A), however, it did slightly



**Figure 4** Septic ASCs correlate positively with peripheral T follicular helper (Tfh). **(A)** Representative flow plots of  $CD3^+CD4^+CXCR5^+PD1^{hi}CXCR3^+$  and  $CD3^+CD4^+CXCR5^+PD1^{hi}CXCR3^-$  Tfh cells. **(B)** Representative prevalence of  $CXCR3^+$ Tfh and  $CXCR3^-$ Tfh cells from individual subjects in septic patients ( $n = 68$ ), significant correlations are found between the frequency of Tfh cells and septic ASCs. Each dot represents one individual. The solid line represents the linear growth trend. **(C)** Correlation between circulating septic ASCs and WBC ( $n = 68$ ).  $r$ , correlative coefficient.  $p$  values were determined by Spearman's rank correlation.

increase on day 7 compared to day 1 in deceased group (Figure 6A). It's noteworthy that there was a visible decrease of ASCs counts on day 1 in deceased than survived group (Figure 6A).

ASCs are critical for secreting immunoglobulins (Ig), so we detected the level of Ig in plasma from these patients. Similar tendency seemed to be found among IgG, IgM and IgA in septic shock patients, a significant increase of IgA appeared in survived group on day 7 compared to day 3, meanwhile, IgA in deceased group decreased on day 3 (Figure 6B). What's more, IgA expression in circulating ASCs was measured. From results shown in Figure 6C, we observed a lowest point of  $IgA^+$  ASCs in deceased patients on day 3, with expression lower than those in survived group at the same time point. We concluded that antibody production activity of  $IgA^+$  ASCs was grossly different between survived and deceased septic shock patients on day 3. Kinetic changes of ASCs,  $IgA^+$  ASCs and Ig in sepsis group were shown in Figure S1, with similar patterns of ASCs counts except one patient in deceased group peaking unexpectedly at the onset of disease.

## Discussion

Sepsis is a disease that seriously endangers life and health; septic shock, the more severe type is with rapid onset, high mortality, and limit of effective prognostic indicators. Immunosuppression is the main cause of death in patients with

**Table 2** Clinical and Laboratory Data Between Sepsis and Septic Shock Groups

Variables	Survivors	Non-Survivors	p value
Age (year)	80 ± 8	80 ± 8	0.9288
Gender (male/female)	35/23	25/20	0.6249
ICU days	8 (0, 12)	6 (2, 10)	0.6463
Vasopressor use, n (%)	12 (20.7)	43 (95.6)	<0.0001
WBC (cells/μL)	14.1 (10.8–21.3)	17.8 (9.5–22.9)	0.9529
Hb (g/L)	108.5 (93.8–124.5)	107.0 (84.5–120.0)	0.5433
Platelets (×10 <sup>9</sup> /L)	160.0 (72.8–255.3)	95.0 (55.5–132.0)	0.0001
Bilirubin (μmol/L)	17.0 (9.9–24.4)	21.8 (13.9–42.0)	0.0339
Creatinine (μmol/L)	93.0 (67.5–197.0)	152.0 (98.5–208.5)	0.0084
PCT (ng/mL)	4.2 (0.9–15.4)	12.1 (1.8–34.8)	0.1396
CRP (mg/L)	95.3 (57.2–152.0)	103.0 (48.6–160.2)	0.8234
Lactic acid (mmol/L)	1.7 (1.0–2.5)	2.6 (2.0–5.1)	0.0043
B (cells/μL)	43.9 (25.2, 105.4)	53.9 (18.8, 107.4)	0.7251
ASCs (cells/μL)	3.1 (0.9, 13.3)	2.6 (0.8, 5.1)	0.2003

**Note:** Numbers are n (%), means ± SEM, or median (interquartile range).

**Abbreviations:** PCT procalcitonin; CRP C-reactive protein; ASCs antibody-secreting cells.

**Table 3** Clinical Data and Laboratory Data in Survivors and Non-Survivors of Septic Shock Group at Day 1

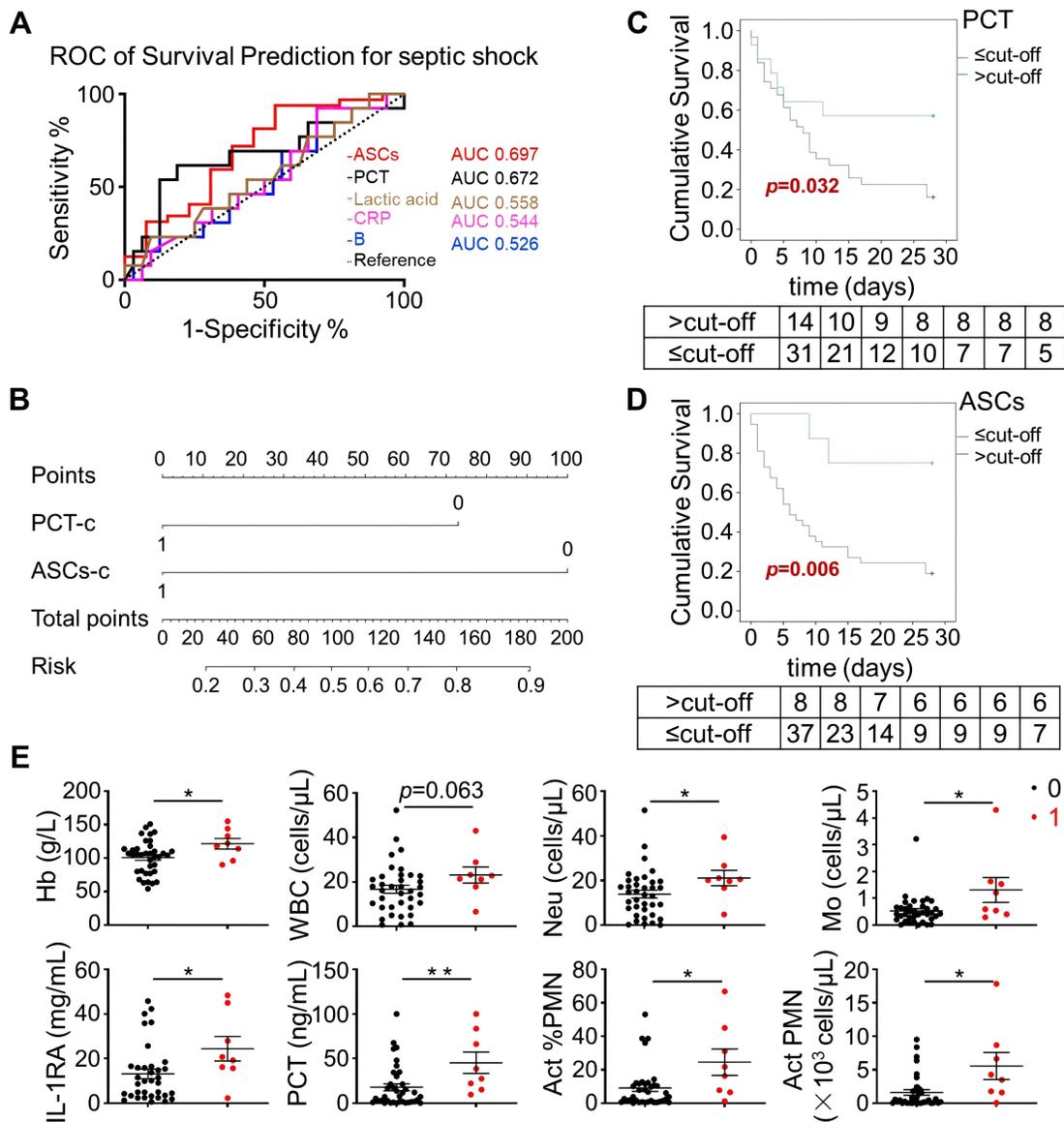
Variables	Survivors	Non-Survivors	p value
Cases	13	32	
Age (year)	80 ± 8	80 ± 8	0.660
Gender (male/female)	8/5	17/15	0.607
PCT (ng/mL)	35.0 (3.2–57.2)	8.7 (1.7–21.3)	0.074
CRP (mg/L)	103.0 (65.6–178.4)	105.2 (35.8, 160.1)	0.652
Lactic acid (mmol/L)	2.5 (1.7–4.5)	2.6 (2.0, 5.4)	0.556
B (cells/μL)	50.5 (22.8–118.6)	58.0 (17.6–107.4)	0.795
ASCs (cells/μL)	4.3 (1.4–27.1)	2.4 (0.7–3.6)	0.040

**Note:** Numbers are n (%), means ± SEM, or median (interquartile range).

**Abbreviations:** PCT, procalcitonin; CRP, C-reactive protein; ASCs, antibody-secreting cells.

sepsis in hospitals, and how to reduce the mortality in this stage is of critical difficulty for current management. At present, the prognostic indicators, especially good immune index for septic shock cannot meet their needs in clinical practice. Here, we estimated immune index in peripheral blood from elderly septic patients (Sep-Pt) and found ASCs were significantly increased in elderly Sep-Pt compared to controls (HD and Inf-Ctrl) groups. Besides, these ASCs had particularly co-stimulatory molecules, like CD40, CD80, CD86 and HLA-DR. They could promote T cells proliferation, as well as the production of cytokines, and correlate positively with peripheral Tfh cells in elderly Sep-Pt group. Within Sep-Pt group, we divided the disease into two layers, which are sepsis and septic shock; and found circulating ASCs decreased in septic shock patients at day 1 predicted an unfavorable outcome. Taken together, these results would imply that elevated circulating ASCs population could be expected as a precise prognostic marker to distinguish septic patients and associated with a dismal outcome.

ASCs (also named plasma cells, PCs) are vital for a functional adaptive immune system, responsible for production of antibodies to eliminate foreign antigens.<sup>26</sup> A study demonstrated the frequency of CD19<sup>+</sup>CD27<sup>hi</sup> ASCs was dramatically increased in acute phase of Kawasaki disease (KD).<sup>27</sup> Ashenafi et al also revealed a greater proportion of circulating plasmablasts (PB), which is CD3<sup>-</sup>CD19<sup>+</sup>CD20<sup>-</sup>CD27<sup>hi</sup>CD38<sup>hi</sup>, was found in adult participants with active TB.<sup>28</sup> In contrast, Sealy et al showed that ASCs were fractionally reduced, but nonetheless observed in respiratory tract tissues in the absence of eosinophils by evaluating virus-specific responses 1 month and 4 months following an intranasal virus



**Figure 5** Circulating ASCs were decreased in septic shock group which predicted an unfavorable outcome. **(A)** Area under the receiver operator characteristic (AUC) curves for survive showing ASCs, PCT, lactic acid, CRP, and B cells model. For ASCs, a cut-off value of 6.36 cells/ $\mu$ L was associated with a sensitivity of 93.75% (95% confidence interval (CI) = 79.19% to 99.23%), a specificity of 46.15% CI = 19.22% to 74.87%), and an AUC of 0.697 for determining in-hospital survive. For PCT, a cut-off value of 24.82 ng/mL was associated with a sensitivity of 61.54% (95% CI = 31.58% to 86.14%), a specificity of 81.25% (95% CI = 63.56% to 92.79%), and an AUC of 0.672 for determining survive. The dashed line represents the ROC based on chance alone and has a c-statistic of 0.5. **(B)** A nomogram predicting the probability of risk of septic shock patients. The probability is calculated by drawing a line to the point on the axis for PCT-c and ASCs-c. The points for each variable are summed and located on the total point line. Next, a vertical line is projected from the point to the predicted probability bottom scale to obtain the individual probability of bad outcome in elderly septic patients. For PCT-c and ASCs-c, “1” refers to the score higher than optimal cut-off value of PCT and ASCs. **(C and D)** Kaplan-Meier curves for cumulative survival of septic shock groups with different level of PCT and ASCs score; the number of patients at risk was listed below the survival curves. **(E)** Laboratory indicators and inflammatory mediators showed differences between ASCs highly and lowly produced patients. \* $p < 0.05$ ; \*\* $p < 0.01$  by unpaired *t*-test. Error bars represent mean  $\pm$  SEM.

infection of eosinophil-null mice.<sup>29</sup> In our data, the absolute number and the percentage of ASCs in Sep-Pt group remarkably increased compared to HD group. It has been reported that the inflammatory environment of different infectious or autoimmune diseases promotes the expansion of this compartment, especially CD38<sup>+</sup> population.<sup>30</sup> Meanwhile, CD27 expression is vital for memory B cells development, as the ligand of CD27 yields critical signals that control the entry of B cells into the pathway to develop into ASCs.<sup>31</sup> These facts are comparable in our finding that CD27<sup>+</sup>CD38<sup>+</sup> cells expanded in Inf-Ctrl and Sep-Pt groups, indicating these increased proportion are most likely associated with infection. ASCs responses are more rapid and shorter-lived than antibody responses,<sup>32</sup> nevertheless,

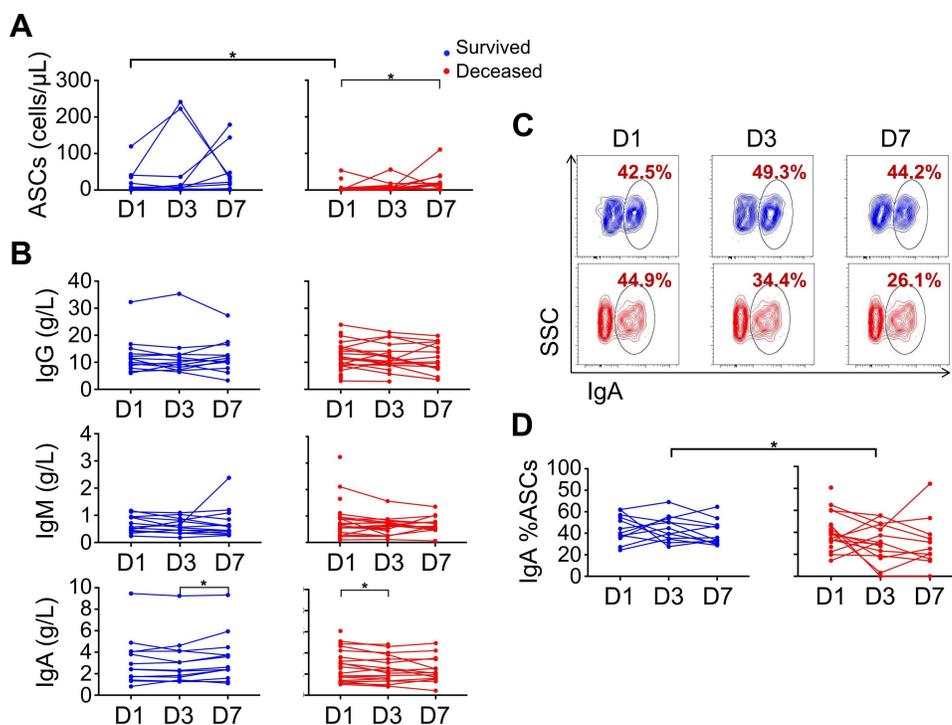
**Table 4** Univariate and Multivariate Analysis of Septic Shock Group

Variables	Univariate Analysis			Multivariate Analysis		
	OR	95% CI	p value	OR	95% CI	p value
Age (year)	1.007	0.832–1.089	0.852			
Gender (male)	1.765	0.491–6.337	0.384			
PCT-c	0.144	0.035–0.601	0.008	0.196	0.041–0.933	0.041
CRP-c	0.183	0.021–1.610	0.126			
Lactic acid-c	0.900	0.197–4.108	0.892			
B-c	0.571	0.145–2.247	0.423			
ASCs-c	0.078	0.013–0.470	0.005	0.107	0.016–0.725	0.022

**Abbreviations:** B-c, B cells cut-off; CRP-c, C-reactive protein cut-off; lactic acid-c, lactic acid cut-off; PCT-c, procalcitonin cut-off; ASCs-c, antibody-secreting cells cut-off.

the relevance of abnormally ASCs numbers in response to infection presentation, such as sepsis, is unclear. Further studies will be needed to clarify this mechanism in septic patients.

As a more severe case of sepsis, septic shock is typically characterized by an initial cytokine-mediated hyperinflammation to an immunosuppressive phase.<sup>33–35</sup> The numbers of CD4, CD8, and HLA-DR positive cells (such as dendritic cells, macrophages, and B cells) were notably reduced in sepsis as reported.<sup>34</sup> Considering ASCs are developed from antigen-activated B cells which go through several essential stages before maturity, the changes on their surface are easy to be found. So, we investigated the co-stimulatory molecules of CD40, CD80, CD86 and HLA-DR on ASCs isolated from septic patients. CD86 is reported of a high level in circulating CD19<sup>+</sup> B cells in septic shock patients than healthy donors, whereas CD80 was lower in survivors than non-survivors at ICU admission.<sup>16</sup> What's more, most circulating ASCs do express high levels of HLA-DR and CD86 in MS.<sup>36</sup> In our study, we also revealed the distinct



**Figure 6** Kinetic analysis of IgA<sup>+</sup> ASCs and immunoglobulin in septic shock patients. **(A)** The statistical analysis plots of ASCs in survived and deceased group at day 1, 3 and 7 after diagnosis of septic shock. For survived group n = 13, and for deceased group n = 32 at day 1, n = 20 at day 3 and n = 15 at day 7. **(B)** The statistical analysis of IgG, IgM and IgA among different time points. **(C)** The representative flow plots of IgA<sup>+</sup> %ASCs in survived and deceased patients at different time points. **(D)** The summarized data IgA<sup>+</sup> %ASCs in septic shock patients. \*p < 0.05 by Mann–Whitney test. Red dots represent survived patients, blue dots represent deceased patients.

expression of these co-stimulators in Sep-Pt group, and increased expression of these circulating antigens suggests apoptosis susceptibility may be involved in this immune disorder of disease.

By using anti-CD3 antibody, which binds CD3 on surface of T cells, could later stimulate signal and activate T cells.<sup>23</sup> In our data, we found anti-CD3 promoted slight production of cytokines like IL-17 and IFN- $\gamma$ ; regardless of that, in the presence of septic ASCs, this phenomenon was distinctly enlarged, which showed the same tendency as B cells did (data not showed). Therefore, it suggests these septic ASCs might have similar functions in stimulating T cells.

As a key T helper cells in GCs, T follicular helper (Tfh) cells contribute to B cells clonal expansion, somatic hypermutation, and high-affinity antibody maturation.<sup>37,38</sup> They help with memory B cells development and are associated with production of ASCs together with antibody responses.<sup>39-41</sup> We observed that circulating septic ASCs were positively correlated with both types of Tfh cells which are CD3<sup>+</sup>CD4<sup>+</sup>CXCR5<sup>+</sup>PD1<sup>hi</sup>CXCR3<sup>+</sup> and CD3<sup>+</sup>CD4<sup>+</sup>CXCR5<sup>+</sup>PD1<sup>hi</sup>CXCR3<sup>-</sup>. Similarly, the numbers of memory B cells and ASCs, but not naive B cells, are reported to positively correlate with Tfh cells at the onset and after 24 h of sepsis.<sup>42</sup>

Immunoglobulins, which are products of mature B cells, help eradicate pathogens during sepsis, and many studies have indicated that low Ig concentrations predict high mortality.<sup>43-46</sup> In our results, septic shock patients showed lower IgA expression at day 3 than day 1 in non-survival group, and it raised at day 7 in survival group; meanwhile IgA<sup>+</sup> proportion in circulating ASCs was lower at day 3 in non-survival compared to survival group. Comparably, Venet et al observed low levels of IgG concentrations in septic shock patients, with no apparent association with mortality.<sup>44</sup> Despite that, we still noticed a slight decreased tendency of IgG and IgM as time went by in non-survival septic shock patients, which conforms to research that indicate Ig play important roles in the outcome of patients. In a study, within 254 children patients received IgM-enriched IVIg treatment for sepsis or septic shock, 150 of them who received for 5 days had a lower mortality rate than those who received only for 3 days.<sup>47</sup> The protective relationship between endogenous IVIg levels and sepsis mortality has also been clarified; and patients with moderate (SOFA < 8) but not severe (SOFA  $\geq$  8) sepsis had a risk level of Ig for mortality.<sup>47</sup> In consequence, future research should pay more attention to investigating the relationship between value of Ig and prognosis of disease.

Currently, CRP, PCT, and SOFA scores are widely used in clinical diagnosis of sepsis. PCT is generally believed to have higher specificity than CRP, however, both can be inaccurate in non-infectious conditions.<sup>48</sup> Other molecules such as troponin and cell-free DNA have also been reported.<sup>49,50</sup> In our results, we showed that circulating ASCs decreased in septic shock group at day 1, predicted an unfavorable outcome; and patients with low ASCs and PCT levels had higher 28-day mortality in septic shock. On the contrary, a report proved ASCs-rich infiltration tended to occur later in kidney allografts and resulted in an adverse outcome.<sup>51</sup> Considering septic shock with a more severe period of immune dysfunction, already set the body in the situation of immunosuppression, in which an active immune status should be inspired; and that may be why in septic shock group, the more ASCs patients produced, the better outcome they obtained. However, in allografts condition, when body already prepares normal immune environment, the appearance of too many ASCs may associate with cell-mediated and/or antibody-mediated rejection, thus leading to harmful endings.

We are aware there are limitations in this research. Firstly, the number cohort is restricted for elderly sepsis study; besides, since ASCs are age dependent, we should enlarge population of patients in future. Secondly, we did not measure the length of incubation period for disease, and unfortunately some patients had already injected with hydrocortisone, which could influence ASCs frequency. Thirdly, some enrolled patients were treated with sepsis management including vasopressors or antibiotics, which could also affect some of results in this finding.

Highlights: initially, we performed a prospective controlled study of ASCs among ICU elderly patients with sepsis and septic shock. Besides, the researches were conducted conforming to the latest Sepsis 3.0 definitions. Next, this is the first report examining prognostic value of ASCs in sepsis, especially for elderly septic shock patients. Through a strict clinical design, the value of ASCs and their related immunological indicators would be used as new immunological biomarkers for prognosis of elderly septic shock, which is expected to provide important clues for effective treatment and management of this disease. Sepsis impacts immunity after developing into the “immunosuppression stage”, which actively makes the body produce large numbers of ASCs. Exploring the phenotypes and functions of these cells is another important innovation point in this work. ASCs themselves and related immune molecules would hopefully be used as novel prognostic biomarkers, and the pathway may be a potential therapeutic target.

## Conclusion

Our study revealed the complex nature of immune disorders in sepsis and identified circulating ASCs population as a useful biomarker for predicting mortality in elderly septic patients, which provided a novel clue to combat this severe disease.

## Abbreviations

AECOPD, Acute Exacerbation of Chronic Obstructive Pulmonary Disease; APACHE II, Acute Physiology and Chronic Health Evaluation; ASCs, antibody-secreting cells; AUC, Areas under the ROC curve; CAP, community acquired pneumonia; CFSE, carboxyfluorescein succinimidyl ester; CRP, C-reactive protein; ED, emergency department; GCs, germinal centers; GSEA, Gene set enrichment analysis; Hb, hemoglobin; HD, healthy donors; Inf-Ctrl, infectious controls; IFN, interferon; IL, interleukin; Ig, immunoglobulins; IVIG, intravenous immunoglobulin; KD, Kawasaki disease; PB, plasmablasts; PBMC, Peripheral blood mononuclear cells; PCA, principal components analysis; PCs, plasma cells; PCT, procalcitonin; PMA, phorbol 12-myristate 13-acetate; RNA-seq, RNA sequencing; ROC, receiver operating characteristic; Sep-Pt, septic patients; SLE, systemic lupus erythematosus; SOFA, Sepsis Organ Failure Assessment score; Tfh, T follicular helper; WBC, white blood cells.

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## Disclosure

The authors report no conflicts of interest in this work.

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