Nasopharyngeal Carriage and Antibiogram of Pneumococcal and Other Bacterial Pathogens from Children with Sickle Cell Disease in Tanzania

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**Background:** Bacterial infections contribute significantly to morbidity and mortality in sickle cell disease (SCD) patients, particularly children under five years of age. In Tanzania, prophylaxis against pneumococcal infection among children with SCD advocates the use of both oral penicillin V (PV) and pneumococcal vaccines (PNV). Therefore, this study aimed to investigate nasopharyngeal carriage and antibiogram of *Streptococcus pneumoniae* (*S. pneumoniae*) and *Staphylococcus aureus* (*S. aureus*) in children with SCD in Tanzania.

**Methods:** This cross-sectional study was undertaken at the two Sickle Pan-African Research Consortium (SPARCO) study sites in Dar es Salaam, Tanzania. The study was conducted for six months and enrolled children with SCD between the ages of 6 to 59-months. A semi-structured questionnaire was used to collect patient data. Nasopharyngeal swabs were collected from all participants and cultured for *Streptococcus pneumoniae* and other bacterial isolates. Antimicrobial susceptibility tests of the isolates were done using the disc diffusion method.

**Results:** Out of 204 participants, the overall prevalence of bacterial carriage was 53.4%, with *S. aureus* (23.5%), coagulase-negative Staphylococci (CoNS) (23%) and *S. pneumoniae* (7.8%) being commonly isolated. In antibiotic susceptibility testing, *S. aureus* isolates were most resistant to penicillin (81.8%), whereas 81.3% of *S. pneumoniae* isolates were resistant to co-trimoxazole. The least antimicrobial resistance was observed for chloramphenicol for both *S. aureus* and *S. pneumoniae* isolates (6.3% versus 0%). The proportion of multi-drug resistance (MDR) was 66.7% for *S. aureus* isolates and 25% for *S. pneumoniae* isolates.

**Conclusion:** There are substantially high nasopharyngeal carriage pathogenic bacteria in children with SCD in Dar es Salaam, Tanzania. The presence of MDR strains to the commonly used antibiotics suggests the need to reconsider optimizing antimicrobial prophylaxis in children with SCD and advocacy on pneumococcal vaccines.

**Keywords:** pneumococcal carriage, bacterial pathogens, pneumococcal prophylaxis, antimicrobial resistance

**Introduction**

Sickle cell disease (SCD) is a group of inherited red blood cell disorders in which hemoglobin is structurally abnormal, resulting in the episodic formation of sickle-shaped red blood cells and a wide range of clinical manifestations.1 The World Health Organization (WHO) estimates that 300,000 children are born with sickle cell disease (SCD) each year, 75% of whom are in sub-Saharan Africa.2,3 In Tanzania, it is estimated that there are between 8000 and 11,000 children born with SCD annually, making this the third country with the highest number of SCD-related births in the world.4 The
described prevalence of sickle cell trait (SCT) in Tanzania is 13%, with the highest prevalence range of 16.6% to 22.5% in northwestern Tanzania, whereas the prevalence of SCD range from 0.5% to 1.5%.

Bacterial infections are the primary cause of morbidity and mortality among children. In Tanzania, pneumococcal disease resulted in more than one out of every five deaths in children younger than five years of age. Functional asplenia, negatively altered antibody production, poor opsonization, and decreased phagocytosis are among the factors that increase the risk of bacterial infections among patients with SCD. Children with SCD are 600 times more likely to develop the invasive disease with *Streptococcus pneumoniae* (*S. pneumoniae*) than their age-and-sex matched controls. Therefore, the Tanzania standard treatment guideline recommends using pneumococcal prophylaxis, especially in patients with SCD. The prophylaxis includes using oral phenoxy-methyl penicillin/penicillin V (PV) for children up to age five years and immunization against *S. pneumoniae* using pneumococcal conjugate vaccine (PCV-13). Moreover, PCV-13 has been incorporated into the childhood vaccination program in Tanzania since 2012. The program prescribes that all children are vaccinated starting from two months of age regardless of sickle cell status. Furthermore, the pneumococcal polysaccharide vaccine (PPSV-23) is recommended for children with SCD at two years and after every five years of life. The use of pneumococcal prophylaxis has been very effective, with a significant reduction of the incidence of invasive pneumococcal disease by 90.8% among children below two years and by 93.4% among children below five years living with SCD.

Despite the evidence of the effectiveness of pneumococcal prophylaxis, studies conducted among patients with SCD have reported nasopharyngeal carriage of *S. pneumoniae*. Besides *S. pneumoniae*, high prevalence of *Staphylococcus aureus* (*S. aureus*), *Hemophilus influenza*, non-Typhi *Salmonella* species, *Klebsiella pneumoniae*, and *Escherichia coli* carriage have also been reported. This could be due to widespread overuse of antibiotics, the spread of resistant strains, poor compliance to prophylaxis uses, and lack of vaccines available to protect against all strains of pneumococcus.

While Tanzania is among the highest affected region with SCD, there is still a scarcity of data regarding the spectrum of bacterial carriage among patients with SCD and the appropriate antibacterial susceptibility profiles that can optimally guide these patients’ proper and efficient management. Therefore, this study aimed to investigate nasopharyngeal carriage and antibiogram of *S. pneumoniae* and *S. aureus* in children with SCD in Tanzania.

**Methodology**

**Study Design and Site**

This was a cross-sectional study involving children with SCD attending sickle cell clinics from February to June 2021. The study was conducted at the Sickle Pan-African Research Consortium (SPARCO) study sites in Dar es Salaam, Tanzania. The study sites were Temeke Regional Referral Hospital and Muhimbili National Hospital. The selected study sites conduct routine sickle cell clinics, have an SCD database that has enrolled more than 400 confirmed children with SCD under five years of age and have trained health care personnel who manage patients with SCD.

**Study Population**

Pneumococcal prophylaxis in children with SCD includes the use of oral PV twice daily for all children with SCD until five years of age and immunization against pneumococcal infection using PCV-13 and PPSV-23. Therefore, based on the criteria guiding pneumococcal prophylaxis, the study population constituted of children with SCD aged between 6 to 59-months. The exclusion criteria were children receiving any other antibiotics during the study period and those contra-indicated to use penicillins.

**Sample Size and Sampling Technique**

The sample size was calculated based on the cross-sectional study design whereby prevalence (P) was the pneumococcal nasopharynx carriage among children with SCD, which was reported to be 15.3%. Therefore, a total of 204 children with SCD were enrolled. The consecutive sampling technique was used to enroll participants within the specified study period.
Data Collection

A semi-structured questionnaire was constructed by the study team and used to collect study variables such as socio-demographic characteristics (age, sex, daycare attendance), clinical data (flu, cough, difficulty in breathing, fever, and any other symptom), use of pneumococcal prophylaxis (PV and PNV), previous use of antibiotics within three months prior the participant’s enrollment and laboratory data (microorganism isolated and *S. pneumoniae* antimicrobial susceptibility profile). The questions in the questionnaire were designed to answer the study objectives and the tool was pretested before the enrollment of study participants. The investigators and research assistants administered the questionnaire to the parent/guardian. The records of PV and PNV administration were verified using the antenatal clinic cards and sickle cell passports. The patients’ files were used to check for clinical data and previous use of antibiotics.

Nasopharyngeal Swab Collection

The sample was collected from the nasopharynx of all enrolled children by trained personnel using a using nylon-tipped pediatrics size nasopharyngeal swab (Copan diagnostics, Murrieta, CA). Each swab was immersed immediately into a test tube containing Amies® transport medium (Oxoid, England). After that, the samples were transported cooled to the respective laboratories at the selected hospitals for culture, primary gram stain, identification and antibiotic sensitivity testing.

Laboratory Tests

Isolation and Identification of Pathogenic Bacteria

Isolation and identification of bacterial were done according to the Central Pathology Laboratory- Muhimbili National Hospital and Temeke Regional Hospital Laboratory protocols (unpublished data). Briefly, nasopharyngeal swabs were inoculated onto blood agar plates, chocolate, and MacConkey agar in duplicate. For blood agar and MacConkey agar plates, one plate for each media was incubated aerobically at 37°C for 18–24 hours. Whereas the remaining blood and chocolate agar plates were incubated anaerobically at 37°C in 5% CO₂ for 18–24 hours. Identification of bacteria was based on the standard microbiological techniques which included gram staining, catalase test, coagulase test reactions and optochin and bacitracin sensitivity testing.

Antibiotic Susceptibility Testing

Antibiotic susceptibility testing for *S. pneumoniae* and *S. aureus* was done by using the Kirby Bauer disc diffusion method according to criteria set by Clinical Laboratory and Standard Institute (CLSI) 31st edition 2020. For *S. pneumoniae*, isolated colonies were inoculated on sheep blood agar, incubated in 5% CO₂ for 16 to 18 hours at 37°C and *S. aureus* on Mueller-Hinton agar plates and incubated in an aerobic environment for 16 to 18 hours at 37°C. For *S. aureus*, the turbidity of the inoculated organism was standardized to a 0.5 McFarland standard then uniformly swabbed over the Mueller–Hinton agar plate. The antibiotic disk was applied into the agar medium and then incubated face up at 37 °C for 16 to 18 hours. The antimicrobial discs of interest were chosen according to the prescribing patterns in local settings as shown in Table 1.

<table>
<thead>
<tr>
<th>Antibiotic</th>
<th><em>S. aureus</em></th>
<th><em>S. pneumoniae</em></th>
</tr>
</thead>
<tbody>
<tr>
<td>Cefoxitin</td>
<td>30 μg</td>
<td>30 μg</td>
</tr>
<tr>
<td>Chloramphenicol</td>
<td>30 μg</td>
<td>30 μg</td>
</tr>
<tr>
<td>Ciprofloxacin</td>
<td>5 μg</td>
<td>5 μg</td>
</tr>
<tr>
<td>Trimethoprim/Sulfamethoxazole</td>
<td>1.25/23.75 μg</td>
<td>1.25/23.75 μg</td>
</tr>
<tr>
<td>Doxycycline</td>
<td>30 μg</td>
<td>30 μg</td>
</tr>
<tr>
<td>Erythromycin</td>
<td>15 μg</td>
<td>15 μg</td>
</tr>
<tr>
<td>Gentamycin</td>
<td>10 μg</td>
<td>10 μg</td>
</tr>
<tr>
<td>Penicillin G</td>
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<td>1 μg</td>
</tr>
<tr>
<td>Oxacillin</td>
<td>–</td>
<td>1 μg</td>
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</table>
Whereas oxacillin was tested for *S. pneumoniae*, cefoxitin was tested as a substitute for oxacillin according to the CLSI guidelines as oxacillin disk was not reliable for *S. aureus* testing. *S. aureus* isolates that displayed resistance to cefoxitin (zones of inhibition less than 22 mm), were phenotypically identified as methicillin resistance *S. aureus* (MRSA). Resistant and intermediate isolates were all referred to as non-susceptible. MDR was defined as non-susceptibility to three or more classes of antimicrobial agents including the β-lactams (ie, penicillin).\(^{22,23}\)

**Data Analysis**

Data were entered into MS Excel and imported into the Statistical package for social scientist ver. 23 (IBM SPSS Statistics) for analysis. Descriptive analysis including computation of arithmetic means, frequencies and percentages were presented for the study variables. The primary outcome (dependent variable) was the prevalence of pathogenic bacterial carriage in children with SCD. A univariate and multivariable logistic regression model was performed to determine factors associated with pathogenic bacterial carriage and the results were presented with odds ratios, p-values and 95% confidence intervals. A p-value of <0.05 was considered statistically significant.

**Ethical Consideration**

The study commenced after obtaining ethical clearance from the Muhimbili University of Health and Allied Sciences (MUHAS) review board with registration MUHAS-REC-08-2020-339. Permission to conduct the study in the selected hospitals was acquired from the hospital in-charges. Signed consents were requested from parents/guardians, followed by the accent from older children. For confidentiality purposes, each participant was given a unique study identification number. The authors confirm that the study complied with the Declaration of Helsinki.

**Results**

**Baseline Characteristics of Study Participants**

Most (61.8%) of the participants were of the age >3 years old (median 44 months; range 6–59 months). More than half were males (52.9%), and majority resided in Dar es Salaam (88.0%). The rest were from Pwani, Morogoro, Kilimanjaro, Tanga, Rukwa, Ruvuma, Singida and Njombe regions. About a third (29.9%) of participants had respiratory tract symptoms and 22.1% had used other antibiotics such as Amoxicillin, Ampiclox, Cephalexin and Co-trimoxazole in the last three months prior to the study period. The demographic and clinical characteristics of the study participants are presented in Table 2.

Pneumococcal prophylaxis use among children with SCD displayed in Figure 1 demonstrates that all children (100%) received PCV-13 immunization and 94.6% were also using daily PV tablets.

**Bacterial Isolated from the Nasopharynx of the Participants**

The overall carriage prevalence of bacterial was 53.4%, with *S. aureus* and coagulase-negative Staphylococci (CoNS) as the commonest colonizers (23.5% Vs 23%), followed by *S. pneumoniae* (7.8%). The rest (2.5%) of the isolates (*Serratia species, Enterobacter species, Klebsiella species* and *Pseudomonas species*) rarely colonized the study participants. Two participants had both *S. aureus* and *S. pneumoniae* nasopharyngeal carriage. The prevalence of bacterial carriage from the nasopharynx of the participants is presented in Figure 2.

**Risk Factors for Colonization of Bacterial Pathogens Among Children with SCD**

The results of the logistic regression analysis indicated that children with SCD residing from regions outside Dar es salaam had a high prevalence of nasopharyngeal bacterial pathogens carriage (aOR = 3.24; 95% CI = 1.41–7.4). The presence of CoNS carriage was significantly associated with the lower prevalence of bacterial pathogens among children with SCD (38.9% Vs 8.5%). Participants with the absence of non-pathogenic CoNS colonization had 7.26 (95% CI 2.43–21.69) times the odds of pathogenic bacterial carriage than those who had CoNS. Details of the risk factor analysis are presented in Table 3.
Patterns of Antimicrobial Resistance Among *S. aureus* and *S. pneumoniae* Isolates

In the current study, penicillin was the antimicrobial to which the *S. aureus* isolates displayed the highest resistance (81.8%). A total of 42% of *S. aureus* isolates were resistant to cefoxitin (MRSA). On the other hand, *S. pneumoniae* was highly resistant to co-trimoxazole (81.3%). A quarter (25%) of *S. pneumoniae* isolates were resistant to penicillin. The lowest antimicrobial resistance was recorded with chloramphenicol with both *S. aureus* and *S. pneumoniae* (6.3% versus 0%). The proportion of MDR (resistance to more than two antibiotic classes) were 66.7% for *S. aureus* isolates and 25% for *S. pneumoniae* isolates. Details of the prevalence of antibacterial resistance of *S. aureus* and *S. pneumoniae* isolates are shown in Figure 3A and B.

**Discussion**

Globally, patients with SCD have many and different unmet needs both in prevention and treatment of clinical manifestations. It is estimated that up to 90% of patients with SCD reside in low-middle income countries (LMICs), and 90% of children with SCD in LMICs die before their fifth birthday. Therefore, this study aimed to investigate...
nasopharyngeal carriage and antibiogram of *S. pneumoniae* and *S. aureus* in children with SCD in Tanzania. The antibiotic resistance profile was also determined. To our knowledge, this is the first study focusing on children with SCD under five years since the incorporation of PCV-13 (pneumococcal vaccine) into the childhood vaccination program in late 2012 in Tanzania.

The overall nasopharyngeal bacterial carriage among children with SCD was 54.3%. The isolated bacterial flora belonged to both the Gram-positive and Gram-negative categories, comprising *S. aureus*, CoNS, *S. pneumoniae*, *Serratia* species, *Enterobacter* species, *Klebsiella* species and *Pseudomonas* species. Notably, while other bacteria isolates were present in low numbers, *S. aureus* and *S. pneumoniae* prevalence were 23.5% and 7.8%, respectively, underscoring the domination of *S. aureus* amongst isolated nasopharyngeal colonizers.

The human nasopharynx is the primary reservoir for *S. pneumoniae*, but not *S. aureus*. Several studies conducted post PCV-13 vaccine rollout have also reported a higher prevalence of *S. aureus* nasopharyngeal colonization in relation to *S. pneumoniae* among children with SCD.\(^{27,28}\) Therefore, the significantly higher carriage of *S. aureus* relative to *S. pneumoniae* among the participants of our study, as well as the presence of the other nasopharyngeal colonizers in low numbers, suggest the possible modification of the nasopharyngeal microbiota which can be a result of the use of pneumococcal prophylaxis in children with SCD.\(^{29}\) This raises legitimate concerns, as *S. aureus*, although a commensal,
has evolved into a significant human pathogen over the years, causing mild to severe infections, including folliculitis and furunculosis, meningitis, and septicemia, pneumonia, endocarditis, and osteomyelitis.\textsuperscript{30,31} Therefore, its presence in the nasopharynx significantly predicts subsequent invasive infections.\textsuperscript{32,33}

The prevalence of \textit{S. aureus} within this study population was lower than reported in similar studies conducted in Ghana and Brazil where higher nasopharyngeal prevalence of 57.9\% and 44.8\%, respectively, have been documented.\textsuperscript{27,28} The differences could be due to the nature of the study population, pneumococcal prophylaxis uses between the two populations, and the antimicrobial resistance pattern differences.

\textit{S. pneumoniae} nasopharyngeal carriage is a precursor for invasive pneumococcal disease; as a result, reduction or prevention of nasopharyngeal \textit{S. pneumoniae} carriage may reduce the transmission of pneumococci. In this study, all enrolled children had received three doses of PCV-13 and over 90\% were also using PV tablets for prophylaxis. Despite the recommendation on the use of PPSV-23 for children with SCD from two years old, none of SCD children were reported to have received this vaccine in this study. The prevalence of \textit{S. pneumoniae} carriage in this population was lower compared to that previously reported in Tanzania among children without SCD (31\%),\textsuperscript{22} and those reported in children with SCD in other African countries such as Gabon (13.8\%), Uganda (33\%) and Ghana (39.1\%).\textsuperscript{14–16}

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<th>Variable</th>
<th>Proportion</th>
<th>cOR</th>
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<th>p-value</th>
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</table>
The prevalence observed in this study could be due to a high number of participants using pneumococcal prophylaxis compared to the previous studies. Several studies have proven that PCV-13 and PV prophylaxis significantly reduce pneumococcal carriage.\textsuperscript{14,34,35}

One of the protective factors against nasopharyngeal pathogenic bacterial carriage observed among children in this study was the presence of CoNS. These are generally non-pathogenic commensals of humans and other animals and are antagonistic to\textit{S. aureus}. Recent studies have described several interactions between CoNS and\textit{S. aureus} that share similar host niches.\textsuperscript{36–38} CoNS strains have been reported to prevent\textit{S. aureus} colonization,\textsuperscript{39} an observation made in this study.

Children residing in regions outside Dar es salaam had a high bacterial carriage. This could be hypothesized by the fact that SPARCO clinics providing services to SCD patients are well established in Dar es Salaam compared to other regions in Tanzania and offer care and training of not only to health care professionals but also to caregivers and patients with SCD. Those living outside Dar es salaam incur more cost to attend the clinic, resulting in frequent interruptions in clinic attendance, contributing to inadequate intake of pneumococcal prophylaxis provided at the clinic, leading to poor

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Figure 3 (A) Antibacterial resistance prevalence of \textit{S. aureus} isolates (\textit{n} = 48). (B) Antibacterial resistance prevalence of \textit{S. pneumoniae} isolates (\textit{n} = 16).

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related health outcomes. Previous studies have also reported age as the risk factor whereby the colonization rate rises from birth until it peaks around 1–2 years, followed by the decline.\textsuperscript{40,41} Other determinants of bacterial carriage among children with SCD reported in previous studies include the presence of respiratory symptoms, daycare attendance, and self-medication.\textsuperscript{16,42–45} Disparities in these determinants show dissimilarity in the risk factors that could result in the bacterial carriage from one population to another. Moreover, the variations in the uptake of interventions required to prevent infections within the population may also change risk factors. Hence, these studies need to be conducted in various settings so that the findings can help design interventions suitable for that population.

Monitoring antimicrobial resistance among children with SCD using penicillin prophylaxis is critical for planning a successful therapeutic guideline and preventing further emergence of antibiotic resistance. The highest antibiotic resistance rate exhibited by \textit{S. aureus} was recorded against penicillin, which was expected. Penicillin is routinely used among SCD individuals for prophylactic purposes against \textit{S. pneumoniae} infections. Moreover, other recent \textit{S. aureus} studies conducted in children with SCD have reported similar resistance rates against it.\textsuperscript{16,42} In this study, resistance to penicillin was recorded in 25\% of pneumococcal isolates, which is lower than that reported among SCD population in Ghana (37.4\%) and Uganda (100\%).\textsuperscript{14,16} The prevalence of resistant strains in the population may be directly linked to the high usage of antibiotics in Tanzania, where antibiotics are more prescribed in children than adults.\textsuperscript{46} Penicillins have been on the market for a very long time; this coupled with the high rates of irrational antibiotics use in the country,\textsuperscript{47,48} contributes significantly to the increasing burden of drug resistance to this group of antibiotics. In contrast to our findings, high resistance rates to penicillin (ranging from 70.6\% to 100\%) have been reported in other several studies conducted in general population in Tanzania.\textsuperscript{49–51} This threatens the effectiveness of PV for prophylaxis of pneumococcal infections among children with SCD especially in African countries.

A high rate of co-trimoxazole-resistant \textit{S. pneumoniae} colonizing the nasopharynx was also observed in this study. Co-trimoxazole is widely used in resource-constrained countries, including Tanzania. A previous study indicated that co-trimoxazole use increases the risk of carriage of co-trimoxazole-resistant \textit{S. pneumoniae}.$^{52}$ Pneumococcal resistance to co-trimoxazole among children with SCD has been reported in other studies conducted in Africa.\textsuperscript{14,16} The high rate of co-trimoxazole-resistant \textit{S. pneumoniae} colonizing the nasopharynx observed in this study is also in line with previous findings reported from HIV-infected populations in Tanzania.\textsuperscript{49,53}

In this study, a total of 42\% of isolates were phenotypically categorized as MRSA. Carriage of MRSA has also been reported in previous studies conducted in SCD patients.\textsuperscript{27,42} MRSA can cause a range of difficult-to-treat infections such as osteomyelitis, meningitis, pneumonia, lung abscess, and empyema.\textsuperscript{54} Resistance to erythromycin, ciprofloxacin, cefoxitin and gentamicin have been previously reported in \textit{S. aureus} and \textit{S. pneumoniae}.\textsuperscript{16,27,42,55} The low rate of chloramphenicol resistance in both staphylococcal and pneumococcal strains, although comforting, lacks clinical significance, as the drug is rarely used in clinical practice.

The 66.7\% proportion of MDR observed in \textit{S. aureus} isolated from this study appears to be within the range of those reported in other previous studies (62.3–100\%).\textsuperscript{42,56} The presence of MDR strains may be due to the irrational use of antimicrobials in the community whereby the antibiotics are sold without prescriptions and extensively used in farming. The prevalence of pneumococcal MDR observed (25\%) is lower than that reported in children with SCD in Ghana (34.3\%). However, the reported pneumococcal MDR prevalence, is similar to the prevalence recently reported among HIV-infected patients (26.3\%)\textsuperscript{39} and in healthy children (23\%) in Tanzania.\textsuperscript{22} This is very disturbing as patients with SCD have a relatively higher risk of pneumococcal infections when compared to the general population.

**Conclusion**

This study concludes that bacterial carriage is common among children with SCD in Tanzania, whereby \textit{S. aureus} is currently dominating. \textit{S. aureus} and \textit{S. pneumoniae} strains carried by the children with SCD showed resistance to commonly used antibiotics, with MRSA and MDR being significantly high. The isolated pathogens in this study have been implicated in various invasive infections in patients with SCD. The high levels of bacterial resistance have important implications for preventing and treating such infections in the population. Since this study enrolled only children with SCD attending sickle cell clinics under SPARCO Tanzania, we recommend that further studies be conducted countrywide to determine the bacterial carriage and antibiotics resistance pattern required for decision-
making. We also recommend continuous intensification of public health education against irrational antibiotic use. There is also a need to monitor patients with SCD for invasive bacterial diseases due to *S. aureus*.

**Acknowledgment**

We thank children who enrolled as participants in this study as well as their parents/guardians for providing their cooperation throughout the study period. We acknowledge the support we received from the management and healthcare providers at Muhimbili National Hospital and Temeke Regional Referral Hospital in Dar es Salaam. We also recognize the coordination, mentorship and technical support received from SPARCO-Tanzania team.

**Funding**

Research reported in this publication was supported by the National Heart, Lung and Blood Institute of the National Institutes of Health under Award Number U24 HL135881 (Sickle Pan-African Research Consortium—SPARCO) and U01 HL156853 (SPARCO-Tanzania). The content is solely the authors' responsibility and does not necessarily represent the official views of the National Institutes of Health.

**Disclosure**

The authors report no conflicts of interest in this work.

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