# ORIGINAL RESEARCH Expression and Significance of TNF- $\alpha$ and NF- $\kappa$ B/p65 in Cutaneous Lichen Planus

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**Purpose:** The purpose of this study was to explore the expression of TNF- $\alpha$  and NF- $\kappa$ B/p65 in Lichen planus skin lesions and their correlation with the pathogenesis of Lichen planus.

Patients and Methods: The case group consisted of 30 individuals diagnosed with LP based on clinical and histopathologic examination. The control group consisted of 10 individuals from an Orthopedic Department with normal skin. TNF-α and NF-κB/p65 expression in skin tissue samples was detected by immunohistochemistry.

**Results:** The immunohistochemical results showed that TNF- $\alpha$  and NF- $\kappa$ B/p65 expression levels were significantly higher in LP skin lesions than normal skin tissues (P  $\leq 0.05$ ). Positive TNF- $\alpha$  staining mainly occurred in the cytoplasm of keratinocytes of the stratum granulosum, stratum spinosum, and stratum basale in the epidermis and lymphocytes in the superficial dermis. Positive NF-KB/p65 staining mainly occurred in the nucleus and cytoplasm of keratinocytes of the stratum spinosum and stratum basale in the epidermis and lymphocytes in the superficial dermis.

**Conclusion:** TNF- $\alpha$  and NF- $\kappa$ B/p65 are overexpressed in cutaneous LP. The two are positively correlated in LP, suggesting that they both play essential roles in the pathogenesis of LP.

**Keywords:** lichen planus, TNF- $\alpha$ , NF- $\kappa$ B/p65, staining, immunohistochemistry

#### Introduction

Lichen planus (LP) is a chronic inflammatory disease affecting the skin, mucosa, and appendages. Its etiology is not fully known. Studies have shown that T cell-mediated immune responses are closely related to the disease,<sup>1,2</sup> which eventually leads to the apoptosis of keratinocytes.

Nuclear factor kappaB/p65 (NF- $\kappa$ B/p65) is a multidirectional transcription factor that can influence the transcription of many genes. Nuclear factor kappaB/p65 (NF- $\kappa$ B/p65) is a multidirectional transcription factor that can influence the transcription of many genes. It plays an essential role in physiological and pathological processes such as the immune response, the inflammatory response, cell differentiation, and apoptosis. It also plays a vital role in the inflammatory process of oral LP like proinflammatory, proangiogenic, and immunoregulatory activity.<sup>3</sup> At rest, it is bound to its inhibitory protein and is expressed in the cytoplasm in the form of a trimer. When cells are stimulated, it can be activated, enter the nucleus, bind to binding sites on its target genes, and produce an inflammatory response,<sup>4</sup> and it plays an essential role in various autoimmune diseases (systemic lupus erythematosus, multiple sclerosis, inflammatory bowel disease).<sup>5</sup>

Tumor necrosis factor-alpha (TNF- $\alpha$ ) is an inflammatory cytokine that can be produced by various cells, including monocytes, macrophages, keratinocytes, and activated T lymphocytes, and it has extensive biological activity.<sup>6</sup> In particular, it is related to the proliferation and differentiation of various cells.

There are few studies on the relationship between TNF- $\alpha$  and NF- $\kappa$ B/p65 in the pathogenesis of LP. In this study, we aimed to explore the expression of TNF- $\alpha$  and NF- $\kappa$ B/p65 in LP skin lesions and their association with the pathogenesis of LP.

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# **Materials and Methods**

#### **Participants**

The case group consisted of 30 randomly selected LP patients who were treated at the Dermatology Department of the Affiliated Hospital of Inner Mongolia Minzu University from December 2017 to December 2019. The control group consisted of 10 individuals randomly selected from the Orthopedic Department who had no significant dermatological or systemic diseases.

The patients in the case group were clinically diagnosed with LP by a dermatologist (based on dermoscopy, involving the presence of prominent Wickham striae) and histopathologically diagnosed by a pathologist (Figure 1). The patients had not received any LP-causing medications (beta-blockers, methyldopa, penicillamine, quinidine), glucocorticoids, immunosuppressants, or other treatments in the prior 6 months. There was no significant history of other comorbidities, including other dermatological diseases. A serological test was also performed to rule out hepatitis C, which can cause LP-like cutaneous eruptions.

### **Ethics** Approval

The Medical Ethics Committee of the Affiliated Hospital of Inner Mongolia Minzu University reviewed and approved the research, and the participants gave written informed consent. The research was carried out following the Helsinki Declaration.

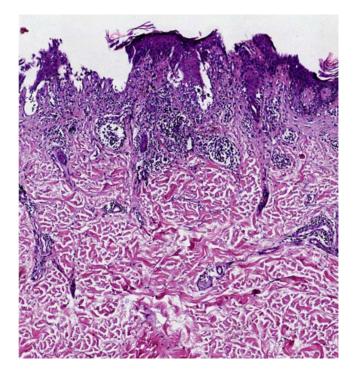


Figure I HE staining of LP (case group) showing hyperkeratosis of the epidermis, band like infiltration of lymphocytes.(HE x 100).

#### **Tissue Sample Collection**

After using local anesthetic (2% lignocaine), a 5-mm skin punch biopsy was taken from a new LP lesion of each of the individuals in the case group and from the back of each individual in the control group by a dermatologist (Xue Mei) at the Dermatology Department of our hospital. The tissue samples were then transported to the Pathology Department for hematoxylin and eosin staining followed by immunohistochemical analysis.

#### Immunohistochemical Analysis

A two-step immunohistochemical analysis, using an immunohistochemical series working solution kit (Wuhan Beiyinlai Biotechnology Co., Ltd, Wuhan, China), was performed to detect the expression of TNF- $\alpha$  and NF- $\kappa$ B/p65 in the tissues. First, the tissues were fixed with 10% neutral buffer formaldehyde, dehydrated with conventional ethanol, embedded in paraffin, cut into 4- $\mu$ m sections, and subjected to dewaxing and hydration. Diluted 3% H<sub>2</sub>O<sub>2</sub> solution was used to block endogenous peroxidase activity. The sections were then rinsed for 2 min with phosphate-buffered saline (PBS) three times. Heat-induced antigen retrieval was conducted using a pressure cooker method.

Rat anti-human TNF-α polyclonal antibody (dilution: 1:50) or rat anti-human NF-κB/p65 polyclonal antibody (dilution: 1:50) (Wuhan Beiyinlai Biotechnology Co., Ltd, Wuhan, China) were then added dropwise and the sections were incubated at 4°C overnight. The sections were then rinsed for 2 min with PBS three times. Next, 3.3'-diaminobenzidine (DAB) color development using DAB chromogenic solution (Wuhan Beiyinlai Biotechnology Co., Ltd, Wuhan, China) was conducted. Lastly, hematoxylin counterstaining, dehydration, and sealing of the slides were performed.

The positive control for TNF- $\alpha$  staining was human stomach tissue and the positive control for NF- $\kappa$ B/p65 staining was human colon cancer tissue with lymphocytic infiltration. As a negative control, PBS was used instead of primary antibody.

The immunohistochemical results were independently analyzed by two researchers who were unaware of the participants' clinical data. Brownish-yellow particles in the cytoplasm or nucleus were considered to indicate positive staining for TNF- $\alpha$  or NF- $\kappa$ B/p65. To semi-quantify the results, a slide was randomly selected for each participant and observed under a microscope's high-power field. Five fields were randomly selected for each slide. The results were classed as negative, weakly positive, moderately positive and strongly positive if  $\leq 10\%$  (-), 11-25% (+), 26-50% (++), and  $\geq 50\%$  (+++), respectively, of the cells were positively stained. The two researchers collected data and analyzed the immunohistochemical staining results separately.

### Statistical Analysis

The data were analyzed using SPSS 25.0 software. The normally distributed data are expressed as mean  $\pm$  standard deviation ( $\overline{X} \pm s$ ) and the categorical variables are expressed as frequency (percentage). The groups were compared by independent-samples *t*-tests (t) and chi-square tests ( $\chi$ 2). A Spearman rank correlation analysis between TNF- $\alpha$  and NF- $\kappa$ B/p65 expression was also performed. P  $\leq$  0.05 was considered statistically significant.

### Results

Among the 30 individuals with LP, 17 were male (56.6%), and 13 were female (43.33%). Their ages ranged from 21 to 62 years (mean age  $40.43\pm9.96$ ). Among the ten individuals in the control group, 5 were male (50%), and 5 were female (50%). Their ages ranged from 20 to 66 years (mean age  $43.80\pm11.93$ ). There were no significant differences in age, and gender distribution between the two groups (Table 1). In the case group the LP lesions were mostly on the forearm, waist, and lower limbs, with the largest lesion being 5×5 cm. Some cases presented with oral mucosal involvement.

Group	n	Sex (M/F)	Age (Years)		
Case	30	17/13	40.43±9.96		
Control	10	5/5	43.80±11.93		
Р		P>0.05	<i>P</i> >0.05		
	1				

Table I Comparison of Demographic Details of Two Groups

## TNF- $\alpha$ Staining Results

Among the 30 LP patients in the case group, 5 were strongly positive, 10 were moderately positive, 9 were weakly positive, and 6 were negative. Among the 10 controls in the control group, 1 was weakly positive and 9 were negative. The positive TNF- $\alpha$  staining was significantly higher in the LP group than the control group ( $\chi 2 = 4.02$ , P  $\leq 0.05$ ) (Table 2). In LP lesions (case group), the TNF- $\alpha$  staining was mainly in the cytoplasm of keratinocytes in the epidermal granular layer, spinous layer, and basal layer, and lymphocytes in the superficial dermis (Figure 2A–C). In normal skin tissue (control group), the same areas were negative or weakly positive (Figure 2D). TNF- $\alpha$  expression was significantly higher in the LP group than the control group (P  $\leq 0.05$ ) (Table 3).

# NF-KB/p65 Staining Results

Among the 30 LP patients in the case group, 4 were strongly positive, 10 were moderately positive, 8 were weakly positive, and 8 were negative. Among the 10 controls in the control group, 1 was weakly positive and 9 were negative. The positive NF- $\kappa$ B/p65 staining was significantly higher in the LP group than the control group ( $\chi 2 = 3.17$ , P  $\leq 0.05$ ) (Table 2). In LP lesions (case group), the NF- $\kappa$ B/p65 staining was mainly in the nucleus and cytoplasm of keratinocytes in the spinous layer and basal layer of epidermis, and lymphocytes in the spinous layer and basal layer and cytoplasm of keratinocytes in the spinous layer and basal layer and cytoplasm of keratinocytes in the spinous layer and basal layer and lymphocytes in the spinous layer and basal layer and significantly higher in the control group (Figure 3D). NF- $\kappa$ B/p65 expression was significantly higher in the CP group than the control group (P  $\leq 0.05$ ) (Table 3).

### Correlation Between TNF- $\alpha$ and NF- $\kappa$ B/p65 Expression in LP Tissues

Spearman rank correlation analysis showed that TNF- $\alpha$  expression was positively correlated with NF- $\kappa$ B/p65 expression ( $r_s = 0.627$ , P < 0.001).

# Discussion

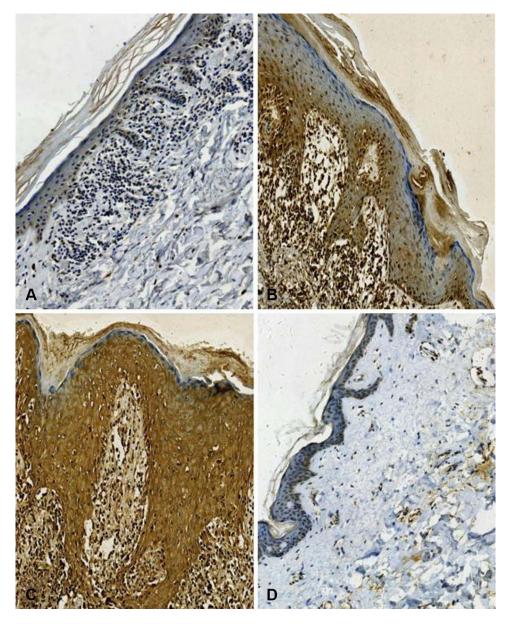
The histopathological characteristics of LP include hyperkeratosis of the epidermis, focal wedge-shaped thickening of the granular layer, irregular thickening of the spinous layer, liquefaction degeneration of basal cells, banded infiltration of lymphocytes, and the appearance of colloidal bodies in the superficial dermis.<sup>1</sup> However, the precise mechanism underlying these changes is not known.

In recent years, it has been found that T lymphocyte-mediated autoimmune response is closely related to LP.<sup>2,7</sup> Local TNF- $\alpha$  production and release in LP lesions are critical in immune system. In LP, TNF- $\alpha$  binding to tumor necrosis factor receptor-1 (TNFR-1) can promote apoptosis.<sup>8</sup> The increased release of TNF- $\alpha$  in LP damages the mucosa and interferes with the tissue repair program via induction of a soluble natural antagonist of IL-22, decreases the collagen content, aggregates with adhesion molecules (integrins, selectins, cadherins) and aggravates the inflammatory response.<sup>9,10</sup> Some researchers have found a correlation between TNF- $\alpha$  and the number of lymphocytes infiltrating LP lesions.<sup>11</sup> Additionally, there is a significant increase in TNF- $\alpha$  in epidermal keratinocytes and superficial dermal lymphocytes at the liquefaction site of basal cells in LP.<sup>12</sup> Thus, it can be seen that TNF- $\alpha$  plays an essential role in the pathogenesis of LP. Studies of oral LP have shown that after TNF- $\alpha$  binds to its receptors, it activates intracellular signaling pathways, regulates the expression of adhesion factors and chemokines, mediates the inflammatory response, increases the expression of NF- $\kappa$ B/p65, and thereby participates in the pathogenesis of oral LP.<sup>13–15</sup> In addition, the promoter of the

Group	n	TNF-α			X <sup>2</sup>	NF-кB/p65					<b>X</b> <sup>2</sup>		
		-	+	++	+++	Positive %		-	+	++	+++	Positive%	
Case Control	30 10	6 9	9 1	10 0	5 0	24 (80.0)* I (10)*	4.02	8 9	8 I	10 0	4 0	22 (73.3) <sup>#</sup> I (I0) <sup>#</sup>	3.17

Table 2 Positive Expression Rates of TNF- $\alpha$  and NF- $\kappa$ B/p65 (%)

**Note**:  $*P \le 0.05$ ,  $^{\#}P \le 0.05$  compared with control group.



**Figure 2** Immunohistochemical staining of TNF- $\alpha$  in case group (Brownish-yellow particles in the cytoplasm) (**A**) TNF- $\alpha$  weak staining (magnification, x200), (**B**) TNF- $\alpha$  moderate staining (magnification, x200), (**C**) TNF- $\alpha$  strong staining (magnification, x200), (**D**) TNF- $\alpha$  negative staining in control group (magnification, x200).

TNF- $\alpha$  gene contains an NF- $\kappa$ B/p65-binding site.<sup>13–15</sup> Thus, TNF- $\alpha$  can act as a downstream factor of the NF- $\kappa$ B/p65 signaling pathway to regulate the inflammatory response. In psoriasis, the activity of NF- $\kappa$ B/p65 plays a vital role in TNF- $\alpha$ -mediated apoptosis.<sup>15</sup> Up-regulation of NF- $\kappa$ B/p65 can promote the transcription of TNF- $\alpha$ , and the release of

Group	n	TNF-α	NF-кB/р65				
Case	30	30.37±17.99*	28.47±18.08▲				
Control	10	9.40±2.55*	9.90±3.90▲				
t/χ2		3.64	3.20				
Р		P<0.01	P<0.01				

Table 3	Comparison	of	TNF-α	and	NF-κB/p65
Expression	Levels in Tis	sue	of LP G	roup a	and Control
Group $(\overline{X})$	±s)				

**Note**:  $*P \le 0.05$ ,  $^{\blacktriangle}P \le 0.05$  compared with control group.

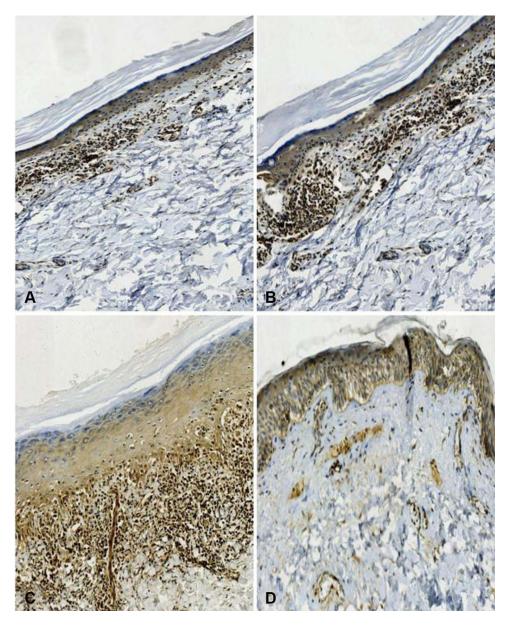


Figure 3 Immunohistochemical staining of NF- $\kappa$ B/p65 in case group (Brownish-yellow particles in the nucleus and cytoplasm) (**A**) NF- $\kappa$ B/p65 weak staining (magnification, x200), (**B**) NF- $\kappa$ B/p65 moderate staining (magnification, x200), (**C**) NF- $\kappa$ B/p65 strong staining (magnification, x200), (**D**) NF- $\kappa$ B/p65 negative staining in control group (magnification, x200).

TNF- $\alpha$  can further increase the activity of NF- $\kappa$ B/p65 and thereby mediate the inflammatory response, forming a repeated regulatory feedback loop and participating in the pathogenesis of psoriasis.<sup>15</sup>

Therefore, we speculated that TNF- $\alpha$  and NF- $\kappa$ B/p65 may be simultaneously involved in the pathogenesis of LP, with their expression being positively correlated. In this study, TNF- $\alpha$  and NF- $\kappa$ B/p65 expression in LP skin lesions was detected by immunohistochemistry. It was found that the positive staining of TNF- $\alpha$  and NF- $\kappa$ B/p65 were significantly higher in LP skin lesions than in the control group. Additionally, Spearman rank correlation analysis showed that TNF- $\alpha$  and NF- $\kappa$ B/p65 expression levels were positively correlated. Among the LP patients, 4 patients with extensive lesions had strongly positive staining for both TNF- $\alpha$  and NF- $\kappa$ B/p65 and 1 patient (with bullous LP) had strongly positive staining for TNF- $\alpha$  or NF- $\kappa$ B/p65, in contrast, among the controls, none had strongly positive or moderately positive staining for either TNF- $\alpha$  or NF- $\kappa$ B/p65. These results indicate that TNF- $\alpha$  and NF- $\kappa$ B/p65 are involved in the pathogenesis of LP. We speculate that T cells are activated in LP lesions and release a large amount of TNF- $\alpha$ . TNF- $\alpha$  may further up-regulate NF- $\kappa$ B/p65, and NF- $\kappa$ B/p65

may promote TNF- $\alpha$  release, forming a vicious cycle in the inflammatory environment, leading to lymphocyte infiltration, and thereby mediating the chronic inflammatory process of LP.

Our study showed immunohistochemical staining in LP lesions for TNF- $\alpha$  and NF- $\kappa$ B/p65, which showed that they participate in the pathogenesis of LP, and both markers were positively correlated. The use of TNF- $\alpha$  inhibitors for the management of LP has already been reported in the literature. Yarom et al reported that etanercept was effective for treating oral LP, and Chao et al reported that adalimumab led to the remission of cutaneous and mucosal LP.<sup>16,17</sup> Etanercept was also reported to be effective for the treatment of nail LP.<sup>18</sup> However, there is also some evidence that TNF- $\alpha$  inhibitors can paradoxically cause LP-like eruptions.<sup>19</sup> Some studies have hypothesized that lichenoid eruptions in genetically predisposed individuals develop due to cross-regulation between interferon I and TNF- $\alpha$  that causes these cytokines neutralize each other.<sup>19</sup> In these individuals, drug-induced inhibition of TNF- $\alpha$  raises the levels of interferon I, activating T cells and dendritic cells and producing an inflammatory response that leads to the appearance of lesions.<sup>20</sup> Thus, TNF- $\alpha$  inhibition can be considered to be both anti- or pro-inflammatory.<sup>20–22</sup>

#### Conclusion

We found that TNF- $\alpha$  and NF- $\kappa$ B/p65 may participate in the pathogenesis of LP and are positively correlated with each other in LP, which deepens the understanding of the pathogenesis of LP. Therefore, inhibiting both TNF- $\alpha$  and NF- $\kappa$ B/p65 may significantly reduce the occurrence and development of LP. This indicates that targeted therapy against both TNF- $\alpha$  and NF- $\kappa$ B/p65 could be used to treat LP.

#### **Ethics Statement**

The research involving human participants was reviewed and approved by the Medical Ethics Committee of The Affiliated Hospital of Inner Mongolia Minzu University. The participants provided their written informed consent to participate in this study. The research was carried out following the Helsinki Declaration.

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### **Author Contributions**

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising, or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

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### Disclosure

The authors report no conflicts of interest in this work.

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