Bone-Mounted Robotic System in Minimally Invasive Spinal Surgery for Osteoporosis Patients: Clinical and Radiological Outcomes

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Purpose: Severe complications, including screw loosening events and low fusion rates, in spinal fusion surgery using the traditional open method are problematic. This retrospective study aimed to evaluate the rate of screw loosening and the clinical outcomes of bone-mounted miniature robot-assisted pedicle screw placement in patients treated for degenerative spinal disease.

Patients and Methods: Data were collected from the medical records of 118 patients (mean age, 69 years). Differences in clinical outcomes, including the Oswestry disability index, visual analog scale score, screw loosening rate, cage fusion rate, and complications, were evaluated among different bone mineral densities.

Results: The screw loosening and cage fusion rates for all patients, normal bone mineral density, osteopenia, and osteoporosis groups were 12%, 8.6%, 13.1%, and 14%, respectively, and 85.3%, 93%, 82.5%, and 81.4%, respectively. There was a higher screw loosening rate and a lower cage fusion rate in the osteopenia and osteoporosis groups than in the normal bone density group. The accuracy of the screw placement was 97.3%. There were no statistically significant differences in the Oswestry disability index and visual analog scale scores, and no major complications for dural tear or vascular or visceral injury.

Conclusion: Our study demonstrated an acceptable screw loosening rate in patients with osteoporosis compared to that in patients with normal bone mineral density. The robotic system resulted in accurate screw placement in patients with osteoporosis.

Keywords: robotic surgery, screw loosening rate, spinal fixation, spinal fusion, spinal fusion rate

Introduction

Pedicle screw insertion is an essential step for spinal fusion surgery and can offer strength for cage fusion. However, insertion of the transpedicle screw is associated with several complications such as screw loosening, screw pullout, and breakage. Screw loosening is a common complication, varying from less than 1% to 15% in non-osteoporotic patients and up to 60% in osteoporotic subjects. The rate of screw loosening is significantly related to low bone quality in osteopenia and osteoporotic bones. Various solutions have been suggested to reduce such events, including the use of conical pedicle screws, increase in the diameter and length of screws, use of expandable or coated pedicle screws, application of a cannulated pedicle screw for bone cement augmentation, and different screw insertion techniques such as bicortical fixation and cortical bone screw trajectory.

High screw loosening rate up to 54% was reported in open spine surgery by free-hand placement of pedicle screw. For free-hand techniques, screw malposition rate of up to 29% is reported in the literature. A study using human lumbar vertebrae proved that lateral violation of the pedicle attenuated the biomechanical strength of the redirection screw, and
the biomechanical data were correlated with bone mineral density.\(^6\) Robot-assisted spine surgery has recently emerged as a viable tool to enable less invasive and higher-precision surgery.\(^7\) Many studies have demonstrated that robot-assisted screw placement results in greater accuracy than conventional pedicle screw placement.\(^8\)–\(^11\) Most studies concerning robotic spinal surgery have discussed the accuracy of spinal instrumentation,\(^12\) radiation exposure,\(^12\) accessibility, cost-effectiveness, decrease in complication rate, and the learning curve for the surgeon.\(^13\)–\(^17\) However, the screw loosening rate, spinal fusion rate, and functional outcomes in spinal surgery with the assistance of a robotic system have rarely been discussed, especially in patients with osteoporosis.

Screw loosening events and cage nonunion remain major complications in osteoporosis patients undergoing spinal fixation and fusion surgery. To solve this problem, many new methods have been introduced, including minimally invasive surgery with the assistance of a robotic system.\(^18\) We hypothesize that robot-assisted spine surgery can improve the accuracy of pedicle screw placement, which can reduce the screw loosening rate and further increase the cage fusion rate. This study aimed to evaluate the clinical benefits of using a robotic system in patients with osteoporosis who underwent minimally invasive spinal fixation and fusion surgery.

**Materials and Methods**

**Study Sources**

This retrospective study evaluated the rate of screw loosening and the clinical outcomes of spinal fusion surgery with bone-mounted miniature robot-assisted pedicle screw insertion in patients with osteoporosis. Between May 2013 and April 2016, 208 consecutive patients with degenerative spine disease underwent surgery for minimally invasive spinal fixation and fusion with bone-mounted miniature robot-assisted pedicle screw insertion. A total of 118 patients with a mean age of 69 years (range, 50–91 years) (Table 1) were included in this study according to the inclusion and exclusion criteria. Of these, 88 were women, and 33 were men. Bone mineral density (BMD) was evaluated preoperatively by dual-energy X-ray absorptiometry (DEXA) (Hologic QDR-4500SL, Waltham, MA) in all patients. The flowchart of the study design is shown in Figure 1. This retrospective study was approved by the institutional review board and ethics committee of Kaohsiung Medical University Hospital. Informed consent was obtained from all patients. This case series is reported in accordance with the PROCESS guidelines.\(^19\)

**Selection of Participants**

The inclusion criteria consisted of: (1) patients diagnosed with degenerative spine disease, such as degenerative spondylolisthesis, disc herniation, and spinal stenosis; (2) all patients evaluated by DEXA measurement of the spine to determine the BMD; (3) all patients with back pain and varying neurological deficits such as radiation pain, numbness,

<table>
<thead>
<tr>
<th>Characteristics/Number</th>
<th>Total (118)</th>
<th>(A) Normal (36)</th>
<th>(B) Osteopenia (36)</th>
<th>(C) Osteoporosis (46)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age (years)</td>
<td>68.88±8.28</td>
<td>67.31±9.95</td>
<td>69.58±7.09</td>
<td>69.57±7.7</td>
</tr>
<tr>
<td>Female (%)</td>
<td>84.75%</td>
<td>66.67%</td>
<td>94.44%*</td>
<td>91.3%*</td>
</tr>
<tr>
<td>Body mass index</td>
<td>25.55±2.41</td>
<td>26±4.04</td>
<td>25.24±2.99</td>
<td>25.45±3.22</td>
</tr>
<tr>
<td>T-score</td>
<td>−1.88±1.51</td>
<td>−0.06±0.82</td>
<td>−1.81±0.46*</td>
<td>−3.37±0.55*</td>
</tr>
<tr>
<td>Bone mineral density</td>
<td>0.95±0.18</td>
<td>1.17±0.12</td>
<td>0.96±0.08*</td>
<td>0.78±0.06*</td>
</tr>
<tr>
<td>Screw loosening rate</td>
<td>12%</td>
<td>8.6%</td>
<td>13.1%*</td>
<td>14%*</td>
</tr>
<tr>
<td>Cage fusion rate</td>
<td>85.3%</td>
<td>93%</td>
<td>82.5%*</td>
<td>81.4%*</td>
</tr>
<tr>
<td>ODI score</td>
<td>24±14.67</td>
<td>23.16±12.67</td>
<td>24.2±12.56</td>
<td>24.42±17.62</td>
</tr>
<tr>
<td>VAS score</td>
<td>0.95±1.24</td>
<td>0.5±0.72</td>
<td>1.6±1.28</td>
<td>1.12±1.43</td>
</tr>
<tr>
<td>Follow-up time (months)</td>
<td>14.32±8.09</td>
<td>14.5±8.17</td>
<td>14.22±8.92</td>
<td>14.26±7.5</td>
</tr>
</tbody>
</table>

Notes: This table summarizes the basic characteristics and clinical and radiological outcomes of the 118 patients enrolled in the current study. The above results show a relatively higher male proportion, a higher cage fusion rate, and a lower screw loosening rate in the normal bone mineral density group. However, there were no statistically significant differences in age, body mass index, duration of follow-up, Oswestry disability index (ODI), or visual analog score (VAS) among the three groups. *(A p-value of less than 0.05 in the Chi-square test was defined as statistically significant).
and weakness, refractory to conservative treatment for six months; and (4) all patients undergoing pedicle screw fixation with the assistance of the bone-mounted miniature robotic system followed by minimally invasive surgery-transforaminal lumbar interbody fusion or posterior lumbar interbody fusion.

The exclusion criteria were as follows: (1) spinal malignancy, (2) spinal infection, (3) not undergoing examination with DEXA, and (4) use of cement augmentation for fixation of the screws.

Patients Grouping

The patients were grouped according to the World Health Organization’s diagnostic criteria into normal BMD, osteopenia, and osteoporosis, if the patient’s T-score was greater than −1.0 (T-score > −1.0 SD, standard deviation), equal or less than −1.0 and equal or greater than −2.5 (−1.0 SD ≥ T-score ≥ −2.5 SD), or less than −2.5 (T-score < −2.5 SD), respectively. All patients were categorized into three groups: the normal BMD group (36 patients), osteopenia group (36 patients), and osteoporosis group (46 patients).

Surgical Techniques

There were six surgeons participating in the surgeries. All the surgeons had experiences of 50 or more cases before participating in this study. All procedures were performed by the same surgical team, with prone positioning required for
robotic-assisted pedicle screw placement. The Renaissance robotic system procedures included preoperative planning, mounting, registration, robot assembly, drilling execution, Kirschner wire (K-wire) insertion, and cannulated screw insertion. Insertion of the guided K-wire along the preoperatively scheduled trajectory was assisted by the Renaissance robotic system. After the insertion of the guided K-wire, the instruments of the Renaissance robotic system, including the mounting framework and robotic arm, were removed. The pedicle screw was then placed through a guided K-wire. Minimally invasive surgery means avoidance of muscular destruction during pedicle screw placement through the guided K-wire. Then we inserted the cage by trans-foraminal or posterior lumbar interbody access for cage placement. In this study, the Medtronic pedicle screw system (ReBorn Essence lumbar fixation system cannulated polyaxial screw) or DePuy Spine System (VIPER lumbar fixation system cannulated polyaxial screw) was used.

The screws were inserted along the guided K-wire and placed by the robotic arm, with screw diameters varying from 4.5 to 7.5 mm. Spinal fusion surgery was performed by transformaminal interbody fusion or posterior lumbar interbody fusion, with interbody fusion using Capstone (Medtronic Sofamor Danek) or Zimmer Biomet trabecular metal cages. Autologous bone from the resected lamina was placed in the interbody space to supplement the bone fusion. The surgical procedures for K-wire insertion, with the assistance of the Renaissance robotic system, are shown in Figures 2 and 3.

Surgical Outcomes
Clinical outcomes, including the Oswestry disability index (ODI), visual analog scale (VAS), screw loosening rate, cage fusion rate, position of the screw, and complications, were evaluated with a mean follow-up period of 14 months (range: 4–42 months). There were two observers participating in the study and not being aware of the characteristics of every individual. They evaluated screw position and bone fusion independently. When encountering different results of screw position and bone fusion, the third observer was consulted to make the final decision.

Functional Outcomes
Patients’ functional outcomes were assessed using the VAS and the ODI scoring system.

Accuracy of the K-Wire Placement
The accuracy of K-wire placement was assessed with an intraoperative robotic grading system, a technique developed by Dr. Tsai, which has yet to be validated.21–23

Position of the Screw Placement
The position and accuracy of screw placement were assessed on immediate postoperative and follow-up radiographs by using a technique developed in the literature.24

Screw Loosening and Bone Non-Union
Screw loosening and bone nonunion were checked on a plain roentgenogram during postoperative follow-up. Anteroposterior, lateral, and flexion-extension lumbosacral X-ray plain films were obtained to evaluate pedicle screw loosening and position. Screw loosening events were defined as a clear zone around a pedicle screw on anteroposterior or lateral radiographs when the radiolucency was 1 mm or wider at the bone-screw interface.1

Bone fusion was determined on lateral radiographs when: (1) clear trabecular bone bridging across the segment to be fused was seen; (2) translation of 3 mm or less and an angulation of 5° or less on full flexion-extension lateral radiographs; and (3) continuous bone growth connecting the vertebral bodies was seen.25–27

Complications
Complications included displacement of the screws, broken screws, bending screws, fractured pedicles, dural tears, and vascular and visceral problems.
The procedures of robotic surgery. The surgical technique was combined with a secondary registration during surgery to increase the accuracy of pedicle screw placement, guided with a bone-mounted miniature robot system. (A and B) Preoperative planning: preoperative computed tomography images are converted to three-dimensional reconstruction images, and the best trajectory and appropriate size of screw, including the diameter and length, are decided on the working station of the robotic system. (C) Attachment to the patient’s spine: The bone-mounted frame is applied and fixed on the patient’s spine firmly. (D) Robot packaging and initiation: The robot is attached to the bone-mounted frame. Appropriate channel for the robot attachment is decided by the system, allowing the robotic arm to operate according to the preoperative plan. Drilling implementation: A guiding tube is inserted to the entry point of the pedicle screw along the trajectory decided by the robotic arm. Drilling along the guiding tube is subsequently performed by a surgeon, and then a Kirschner-wire is inserted along the drilling tract.
Secondary registration. (A) Anteroposterior view of the guided pin inserted with assistance of the robotic system. (B) Oblique view of the guided pin inserted with assistance of the robotic system. (C) and (D) Secondary registration (Reregistration): After implantation of the Kirschner-wire, anteroposterior and oblique radiographic plain films are obtained again. Using the same system, registration with the preoperative computed tomography images is again performed. Then, the deviation of the guided pins is evaluated compared with the original trajectory designed by the robotic system preoperatively.
Statistical Analysis
Statistical analysis was performed using SPSS software (version 19.0; SPSS, Inc., Chicago, IL, USA), and the data are presented as mean±SD. An independent *t*-test was performed to evaluate variables including age, body mass index, follow-up time, T-score, BMD, screw loosening rate, cage fusion rate, postoperative ODI, and VAS, while a chi-square test was performed to evaluate sex among the groups with different bone densities. Statistical significance was set at *p*< 0.05.

Results
Clinical Characteristics
The T-scores and bone mineral densities of the three groups (normal BMD, osteopenia, and osteoporosis) are shown in Table 1. The average T-scores of the normal, osteopenia, and osteoporosis groups were −0.06±0.82, −1.81±0.46, and −3.37±0.55 SD, respectively, and the BMD measures of the normal, osteopenia, and osteoporosis groups were 1.17±0.12, 0.96±0.08, and 0.78±0.06 gm/cm³, respectively. There were no significant differences in the clinical characteristics among the normal, osteopenia, and osteoporosis patients in terms of age, body mass index, and duration of follow-up. The results showed a higher proportion of female patients in the osteopenia and osteoporosis groups than in the normal BMD group. All three groups were compared with a statistically significant *p*-value of less than 0.05. Statistically significant differences were observed among the three groups (Table 1).

Surgical Outcomes
Functional Outcomes
The ODI and VAS scores were evaluated at the final follow-up visit. The average ODI scores of the normal, osteopenia, and osteoporosis patient groups were 23.16±12.67, 24.24±12.56, and 24.42±17.62, respectively, with the average VASs of these groups being 0.5±0.72, 1.16±1.28, and 1.12±1.43, respectively. There were no statistically significant differences in the ODI and VAS scores among the three groups (Table 1).

Screw Loosening
A total of 691 pedicle screws were inserted to achieve spinal stabilization. Fourteen (2.02%), 619 (89.58%), and 58 (8.39%) screws were placed at the thoracic, lumbar, and sacral spinal levels, respectively. The screw loosening rates were 83/691 (12%) in all groups, 19/221 (8.6%) in the normal group, 28/214 (13.1%) in the osteopenia group, and 36/256 (14%) in the osteoporosis group. The screw loosening rate was higher in the osteopenia and osteoporosis groups than that in the normal bone density group (*p*<0.05).

Bone Fusion
In our study, 190 spinal segments underwent cage fusion. The total spinal fusion rate was 162/190 (85.3%). There was no significant difference in the fusion levels among the normal, osteopenia, and osteoporosis groups. The fusion rates of the three different groups were as follows: 53/57 (93%) in the normal group, 52/63 (82.5%) in the osteopenia group, and 57/70 (81.4%) in the osteoporosis group. There was a lower cage fusion rate in the osteopenia and osteoporosis groups than that in the normal bone density group (*p*<0.05).

Position of the Screw
The distribution of the postoperative position of screw placement was examined using radiographs, with displacement classified into four groups: superior, inferior, medial, and lateral. The accuracies of the three different groups were as follows: 217/221, 98.2% in the normal group; 202/214, 94.4% in the osteopenia group; and 253/256, 98.8% in the osteoporosis group. The accuracy of the screw placement in all the three groups was 97.3% (672/691).

Complications
In total, four cases were encountered due to mechanical complications, including two broken screws and two fractured pedicles. There were no major complications of dural tear or vascular or visceral injuries.
Discussion

Several factors might affect the stability of pedicle screw placement, including bone quality or density, screw design, and surgical technique. Several biomechanical studies have demonstrated a high correlation between bone density and stability of pedicle screw in vitro. In the literature, screw loosening rates ranging from 13.2% to 54.7% have been reported. The spinal loosening rate in open spinal surgery for single level posterolateral fusion and fixation is 18.3%. In contrast to a single level, a very high screw loosening rate of 54.7% was reported in cases that received multi-level fusion surgery for degenerative disease of the lumbar spine. In osteoporosis, screw loosening rates of 22.2% in patients undergoing surgery for transforaminal lumbar interbody fusion and 32.3% in cases undergoing surgery for posterior lumbar interbody fusion have been reported. Multi-level spinal instruments and osteoporosis seem to be risk factors predisposing to screw loosening events. The total screw loosening rate in our study was 12%, and that for osteoporotic patients was 14%, which is lower than the rates of 22.2% and 32.3% in osteoporotic patients undergoing transforaminal lumbar interbody fusion and posterior lumbar interbody fusion, respectively, reported in the literature. Our study showed significant differences in screw loosening rates between the normal, osteopenia, and osteoporosis groups. BMD is thought to be a crucial factor influencing the development of screw loosening events in pedicle screw fixation, and some authors have reported a threshold for BMD that determines the result of screw loosening events. It might predispose an increase in screw loosening rate if BMD is below the value of such an established threshold. Although low BMD is still a risk factor for screw loosening, as shown in the results of our study, minimally invasive surgery accompanied with robotic assistance seems to improve the screw loosening rate in osteoporosis compared with the results of the literature.

The interface between the cancellous bone and the screw is another factor that influences screw stability. Pedicle screws achieve fixation by anchoring the cancellous bone inside the pedicles of the vertebrae rather than in the cortical bone of the pedicles. Bone remodeling is most prominent on the cancellous bone surfaces. Excessive remodeling poses a risk to bone strength as it destabilizes bone and introduces stress concentrators. In general, the maximal anchoring surface on the cancellous bone is very important for screw stability due to compromised cancellous bone in an osteoporotic group. We hypothesize that excellent accuracy of pedicle screw placement could attenuate cortical violation and increase the interface between the pedicle screw and the cancellous bone in the osteoporosis group.

The present study shows that the Renaissance robotic system offers high accuracy in pedicle screw placement. The accuracy in related studies has been reported to range from 85% to 100%. Devito et al reviewed 3271 pedicle screw placements in 635 patients from 14 medical centers and reported an accuracy of 98.3%. Kantelhardt et al compared pedicle screw placements performed manually with those in which the Renaissance robotic system was used and reported higher accuracy in a group of patients who underwent robotic spinal surgery. Le et al found that robot-assisted procedures are more accurate and have higher fusion rates than fluoroscopy-assisted procedures. The Renaissance robotic system can guide the surgeon to choose a better intraoperative pedicle screw trajectory safely and efficiently. According to the system used by Kou et al, the intraoperative accuracy of the screw is up to 98.74%. Taken together, the robotic system offers high accuracy in pedicle screw placement during surgery and prevents repeated tapping procedures.

Our study found significant differences in the screw loosening rates between the normal, osteopenia, and osteoporosis groups. Low bone mineral density is a predisposing factor for screw loosening events, as also demonstrated in our study. However, our osteoporotic group presented a relatively low screw loosening rate compared to that reported in the literature. There are several possible explanations for these results. We infer that the low screw loosening rate in the osteoporosis group might be attributed to the excellent accuracy of screw placement, which attenuates cortical violation of the pedicle and reduction of muscular destruction in minimally invasive surgery.

Three hypotheses are proposed to explain how stability can be enhanced by increasing the accuracy of screw placement. First, the precise trajectory confirms the maximal anchoring surface between the screw and cancellous bone, and better pullout forces were determined for screws implanted with less cortical violation in the trajectory tract. A larger anchoring surface on the osteoporotic cancellous bone indicates higher stability of the transpedicle screw. Second, a guided K-wire was inserted into the pedicle with assistance from the robotic arm before performing the transpedicle screw. The robotic system requires fewer tapping events using an electronic drill to insert the guided pins.
into the pedicles, with the minimum number of tapping events to the pedicles guaranteeing less destruction of the pedicles. Finally, we replaced any malpositioned pins with the assistance of the robotic system after the secondary registration. The severity of pedicle destruction after replacement of these guided pins with the assistance of the robotic arm was less than that caused by repositioning by the trocar using the freehand method. Repeated tapping with a trocar on the pedicle worsened the destruction of the cancellous bone of the pedicle. Pedicle screw tracts were not overly tapped or repeatedly augmented using the robotic system. Less destruction of the cancellous bone of the pedicle seemed to contribute to the higher stability of the transpedicle screws, especially in the osteoporotic group.

In addition to the excellent accuracy of pedicle screw placement, minimally invasive spinal surgery is considered a key factor in reducing the screw loosening rate in osteoporosis patients. Minimally invasive spinal surgery has low screw loosening rates of 0–7.14% and high fusion rates of 94.8–100%.45–48 In the literature, less paraspinal muscle degeneration is noted in the operation of minimally invasive transforaminal lumbar interbody fusion than in conventional open surgery.49 The increased cross-sectional area of the multifidus and the psoas major muscle after spinal stabilization exercise enhances spinal stability and reduces pain in degenerative disc disease.50 Therefore, minimally invasive spinal surgery can reduce excessive spinal tissue damage related to surgery, thereby reducing the screw loosening rate and increasing the cage fusion rate. Moreover, previous literature also reported that patients with osteoporosis who cannot tolerate traditional open surgery may be treated with percutaneous posterior fixation techniques.51 Taken together, minimally invasive spinal fusion surgery was performed after the insertion of guided pins with the assistance of a robotic system. In addition to the precise position of the transpedicle screw, preserved paraspinal muscle might be another reason for the relatively low screw loosening rate in patients with osteoporosis. In our study, we performed minimally invasive surgery accompanied by the assistance of a robotic system to place the pedicle screw percutaneously and preserve the paraspinal muscle group. Cage fusion was achieved by transforaminal lumbar interbody fusion or posterior lumbar interbody fusion.

This study had several limitations. This was not a prospective study, and the sample size of the patient group was small. In addition, the follow-up period was too short to confirm definitive loosening and fusion rates. However, this report represents the clinical outcomes of minimally invasive spinal surgery with the assistance of a robotic system in patients with osteoporosis. A larger prospective clinical trial with a longer follow-up period will be performed in the future.

**Conclusion**

Accurate placement of the transpedicle screws with robotic assistance and less paraspinal muscle destruction in surgeries for degenerative spine disease could be the main factors lowering the screw loosening rate, especially in patients with osteoporosis. A more accurate trajectory of the pedicle screws leads to a stronger anchoring force in the cancellous bone, which plays a key role in fixation with the transpedicle screws. Although osteoporosis is a risk factor, our study demonstrated an acceptable screw loosening rate in osteoporosis patients compared with patients with normal BMD, and the robotic system offered an excellent result in patients with osteoporosis. Accordingly, the bone-mounted robotic system could serve as an effective surgical choice for spinal fixation and fusion surgery in patients with osteoporosis.

**Acknowledgments**

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**Ethics Approval and Informed Consent**

This retrospective study is approved by the institutional review board and ethics committee of Kaohsiung Medical University Hospital. Informed consent is obtained from all patients. The study is complied with the Declaration of Helsinki.

**Disclosure**

The authors declare that they have no conflicts of interest in this work.
References


