# ORIGINAL RESEARCH

Incidence, Mortality and Survival in Young People with Co-Occurring Mental Disorders and Substance Use: A Retrospective Linked Routine Data Study in Wales

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<sup>1</sup>Population Data Science, Swansea University Medical School, Swansea, SA2 8PP, UK; <sup>2</sup>Abertawe Medical Partnership, Swansea, SA1 INE, UK **Introduction:** Mental disorder (MD) and substance use (SUD) are associated with poorer than average health and greater mortality. We analysed routine primary care (WLGP) and inpatient admission (PEDW) data to estimate incidence of co-occurring (CC) MD and SUD, and to estimate all-cause mortality and survival with CC, in children and young people in Wales, UK.

**Methods:** A retrospective population-based electronic cohort study using data from the Secure Anonymised Information Linkage (SAIL) Databank. Participants were 958,603 individuals aged 11–25 between 2008 and 2017. We estimated first ever incidence of CC, plotted Kaplan–Meier survival curves and carried out Cox regression to estimate hazard ratios (HR) for risk of death by condition group (CC; MD or SUD only; NC).

**Results:** Higher incidence of CC in WLGP and PEDW was associated with male sex, older age and greater deprivation. Male to female IRRs (95% CI) were 1.18 (1.12–1.24) in WLGP and 1.17 (1.10–1.24) in PEDW, oldest to youngest IRRs were 24.80 (21.20–29.40) in WLGP and 4.50 (4.08–4.98) in PEDW and most to least deprived IRRs were 3.28 (3.00–3.58) in WLGP and 2.59 (2.36–2.84) in PEDW. Incidence in WLGP significantly decreased between 2008 and 2017 (IRR = 0.88, 95% CI 0.78–0.99); the greatest reduction occurred in the most deprived quintile (IRR 0.67, 95% CI 0.54–0.82). Incidence of hospital admissions remained stable (IRR = 0.95, 95% CI 0.84–1.08). Risk of death was significantly higher for CC compared with NC (HR = 8.7, 95% CI 7.5–10.0).

**Conclusion:** Male sex, older age and greater deprivation were associated with higher CC incidence, although the gap between WIMD quintiles has decreased. CC was associated with greater risk of death. Mental health and substance misuse services should be provided in ways that remove barriers, and are acceptable and accessible to all, particularly those at greatest risk, or who are less likely to engage.

**Keywords:** routine data linkage, mental disorder, substance use, co-occurring conditions, dual diagnosis, mental health of young people

# Introduction

Mental disorders (MD) and use of substances such as illegal drugs or alcohol (SUD) together account for 7.4% of the global burden of disease and are the leading causes of years lived with disability (YLD).<sup>1</sup> They frequently co-occur;<sup>2</sup> among users of community mental health team (CMHT) and drug and alcohol services in four UK cities in 2001–2002, 44% of CMHT service users reported

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SUD, with 75% of drug service users and 85% of alcohol service users reporting one or more MD.<sup>3</sup> In the UK during the 2000s, MD and SUD were found to be strongly associated with poorer than average health and greater risk of premature death.<sup>4</sup> During the 1990s, prevalence of CC recorded in routine primary care data in England and Wales significantly increased.<sup>5</sup> A study of birth cohorts from the UK (births in 1946) and New Zealand (births in 1972-73) and survey data from the USA in the late 1990s and early 2000s suggested that at least half of adult mental disorders began in adolescence, with anxiety disorders typically presenting earlier than substance use disorders and psychotic disorders.<sup>6</sup> There may be long-term consequences for children and young people with these diagnoses, increasing the likelihood of poorer social, physical and mental health outcomes during the course of their lives.<sup>7</sup> A range of individuals and services are involved in the provision of care for children and young people with MD or SUD in Wales, including parents and carers, schools, primary care, specialist child and adolescent mental health services (CAMHS) and children's social care.<sup>8</sup>

Studies from the late 1990s onwards have identified a complex epidemiological picture for MD and SUD in young people in the UK. In people under the age of 20 in England and Wales, incidence of anxiety and depression diagnosis is declining, but incidence of associated symptoms, and the prescription of antidepressants and anxiolytics, is increasing.<sup>9-12</sup> Survey data show an increase in emotional disorders in young people up to the age of 19 in England, particularly older female adolescents.<sup>10</sup> Between 2001 and 2016, the proportion of 8-24 year olds in England reporting that they drink alcohol has fallen.<sup>13</sup> contacts with primary care relating to alcohol dependency in people under 25 in the UK have declined since 2005,<sup>14</sup> abstinence in young people aged 16-24 in England increased between 2005 and 2015,<sup>15</sup> and alcoholrelated emergency admissions for 10 to 18 year olds in Wales decreased between 2006 and 2011.<sup>16</sup> However following declining rates of reported drug use by young people in England between 2001 and 2014, rates since 2014 are increasing for both 11–15 year olds<sup>17</sup> and 16– 24 year olds<sup>18</sup> and poisoning events associated with alcohol and opioids (including prescribed opioids) increased between 1998 and 2014, particularly among females in the UK using opioids.<sup>19</sup> People aged 10-19 years old in England between 1997 and 2012 were at significantly increased risk of death or further emergency admission in the 10 year period following a drug or alcohol-related hospital admission<sup>20</sup> and SUD was a significant risk factor for progression to suicidal behaviour in young people under 22 in the UK who self-harm or express suicidal thoughts.<sup>21</sup>

The authors are not aware of any recent studies using routine health data in the UK to examine trends and outcomes for children and young people with CC, and the National Institute for Health and Care Excellence (NICE), the body responsible for producing clinical guidelines covering the NHS in England, Wales and Northern Ireland, has identified a need for research in this area.<sup>22</sup> The aims of this study were: 1) to use routine health data from primary care, inpatient admissions and death registrations to estimate first recorded incidence of CC in children and young people aged 11–25 in Wales, UK; 2) to estimate all-cause mortality rate and 10 year survival with CC in this population; and 3) to compare survival and mortality for individuals with codes for either CC, a record of either MD or SUD or no relevant codes recorded.

# Methods

# Design

A retrospective population-based electronic cohort study was conducted using linked routine primary care, hospital inpatient admissions and mortality data.

# Data Source

The data source for this study was Secure Anonymised Information Linkage (SAIL) Databank, a secure repository established and managed by Swansea University Medical School, Wales. It houses anonymised health and related datasets describing the Welsh population, which can be linked for research purposes.<sup>23,24</sup> Datasets (Table 1) were prepared within the Adolescent Mental Health Data Platform.<sup>25</sup> We used data for the period 2008–2017 inclusive; data were available for the full study period from all datasets.

# Measures

#### Clinical Coding for Case Definitions

# Read V2 Codes: Substance Use and Co-Occurring Conditions

With clinical input and based on published literature<sup>14,26,27</sup> we compiled a list of SUD-related Read v2 codes, including diagnoses, symptoms, observations, medications, behaviours (eg "injecting drug user"), referrals and contacts with other services. We included codes for alcohol

Dataset	Definition	Variables Included in the Study
The Welsh Demographic Service Dataset (WDSD)	History for all individuals who have been registered with a GP practice in Wales. It includes registration start and end dates and anonymised address history.	<ul> <li>Start and end dates for registration with a Welsh GP</li> <li>Week of Birth</li> <li>Sex</li> <li>Welsh Index of Multiple</li> <li>Deprivation (WIMD) 2011</li> <li>quintile</li> </ul>
Welsh Longitudinal General Practice dataset (WLGP)	Event history for each individual registered with a SAIL-supplying GP practice. Clinical information in the dataset is primarily stored as Read version two (Read v2) codes. This dataset does not cover the entire Welsh population; SAIL currently receives data from approximately 80% of GP practices in Wales.	<ul> <li>Read v2 clinical codes for MD and SUD</li> <li>Event dates</li> </ul>
Patient Episodes Dataset for Wales (PEDW)	Event history of inpatient episodes and admissions taking place in Welsh hospitals, or where Welsh residents are treated in English hospitals.	<ul> <li>ICD-10 clinical codes for MD and SUD</li> <li>Event dates</li> </ul>
Office for National Statistics (ONS) Annual District Deaths Extract (ADDE)	Death record of all individuals usually resident in Wales. It includes cause of death information derived from death certificates.	• Date of death

Note: All data preparation and analysis was performed using IBM DB2 v11.1.4.4 and R software.

and illegal drugs but excluded tobacco, in keeping with similar studies.<sup>5,28</sup> We included codes designating MD due to substance use, which were classified as CC without requiring the presence of a second MD or SUD code (for example Read v2 codes in section Eu%, designating "Mental and behavioural disorders due to psychoactive substance use"): this included codes for mental and behavioural disorders due to acute intoxication, as there is an association between contact with services for acute intoxication and subsequent suicide risk.<sup>29</sup>

We included only those prescriptions relevant to treatment for substance use, and excluded those used primarily for pain management. We included disulfiram, naltrexone, lofexidine, acamprosate and methadone, as almost all recipients had a history of SUD. For buprenorphine we included only those Read v2 codes where 10% or fewer recipients had no history of SUD. We excluded alcohol Read v2 codes requiring an associated value of units relating to consumption volumes, because we could not be confident that on their own these codes denoted SUD.

### International Classification of Diseases (ICD-10) Codes: Substance Use and Co-Occurring Conditions

ICD-10 codes<sup>30</sup> were initially identified by cross-mapping with SUD Read v2 codes. We then searched the literature

to identify any additional codes:<sup>26,27,31–33</sup> these were cross-mapped and added to the Read v2 code list, to ensure consistency. As with Read v2 codes, ICD-10 codes designating MD due to substance use were classified as CC.

#### Read V2 and ICD-10 Codes: Mental Disorders

MD codes were sourced from the Adolescent Mental Health Data Platform (ADP) Concept Library.<sup>25</sup> We included codes for depression, anxiety, severe mental illness (SMI; schizo-phrenia, schizotypal and delusional disorders, bipolar disorder, other mood-related disorders and other severe mental illness),<sup>9,12,34,35</sup> eating disorders,<sup>36</sup> Attention Deficit Hyperactivity Disorder (ADHD),<sup>37</sup> Autistic Spectrum Disorder (ASD),<sup>37</sup> conduct disorders<sup>37</sup> and developmental disorders.<sup>38</sup> Codes included both diagnoses of conditions and associated symptoms, but did not include prescription of medication associated with these conditions.

All code lists can be found in <u>Additional File A1</u>.

#### Factors and Covariates

We obtained data on factors and covariates for age, sex, and Welsh Index of Multiple Deprivation (WIMD) 2011 quintile, an area-based measure of relative deprivation in Wales.<sup>39</sup> We divided age into four groups; 11–14, 15–17,

18–21 and 22–25 years of age (collapsed into two groups; 11–17 and 18–25, where numbers were too low to report). Age was defined at the end of each reporting year for incidence and at the start of the study window for mortality and survival. Individuals with null or contradictory indicators for sex were excluded. WIMD 2011 was derived from the 2001 census Local Super Output Area (LSOA) in which individuals were registered at the end of each year (or next nearest available record, where a record at registration end had no WIMD) for incidence, and at the start of follow-up period (or nearest available record) for mortality and survival. We did not carry out any additional imputation for missing values.

#### Analysis Methods: Incidence

#### Individuals Included

Using WDSD as the primary population, we identified individuals having their 11th - 25th birthdays between 1st January 2008 and 31st December 2017.<sup>9,12</sup> We included only periods during which individuals were registered with a SAIL supplying GP practice. For analysis of WLGP data, we excluded the first six months of each GP registration period, to minimise the designation of prevalent cases as new incident cases due to re-recording of patient history when individuals move between GP practices.9,12 We did not apply this exclusion to the inpatient data, as there is no retrospective coding in inpatients. The data collection start date was therefore the latest of; SAIL GP registration start date (plus six months for WLGP data); first day of 11th birthday year or 1st Jan 2008. The data collection end date was the earliest of SAIL GP registration end date; last day of 25th birthday year, date of death or 31st December 2017. An individual could contribute more than one period of data; for example, where they had moved between SAIL and non-SAIL GP practices or migrated out of Wales and subsequently returned. The denominator for incidence was person years at risk (PYAR), to reflect individuals present in the data for only part of a year.<sup>5,9,12</sup>

#### MD and SUD Indicators

Incident cases were identified separately in primary care data (WLGP) and inpatient data (PEDW) using Read v2 and ICD-10 code lists. We excluded codes designating a history of a particular condition, as they do not distinguish between ongoing and historical conditions.

#### Incidence Measures

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First recorded incidence was defined as the date of the first occurrence in the patient history of a CC code, or in the

absence of such a code, the latter of the first MD or the first SUD code (the first of which could appear at any time in the patient history). An incident event was recorded only once for each individual, regardless of how many periods of data they contributed to the study population.

We plotted annual first recorded incidence rates to describe trends over time. Poisson regression, with an offset allowing for comparison of rates, was initially undertaken to model counts of CC incidence by year, sex, age band and WIMD quintile. The degree of over-dispersion was estimated using the Quasi-Poisson method<sup>40</sup> and as the data were found to be overdispersed, we ran the final analysis using Negative Binomial regression. Rates were reported as annual incidence per 1000 PYAR and incidence rate ratios (IRR) adjusted for sex, age and WIMD quintile, with 95% Confidence Intervals (CI).

#### Analysis Methods: Mortality

We extracted from the incidence cohort a subset of individuals born between 1983 and 1997 and registered with a SAIL-supplying GP practice on 1st January 2008. We followed these individuals for 10 years, from 1st January 2008 to 31st December 2017. Therefore, the oldest age cohort, (those born in 1983), was followed up from the year of their 25th birthday to year of their 34th birthday and the youngest age cohort (those born in 1997) was followed up from the year of their 11th birthday to the year of their 20th birthday. In this cohort each individual provided only one period of data; the start date of follow-up was 1st January 2008 and the end date was the earliest of death, 31st December 2017 or last date of registration with a SAIL-supplying GP practice (date of loss to follow-up).

We searched the patient record to identify the first occurrence of MD, SUD and CC codes, at any time between birth and end of follow-up, including codes designating a history of a particular condition. Using the ONS Annual District Deaths Extract (ADDE) we identified individuals who had died during the study window. We compared the proportion of deaths among those with a history of CC, either SUD or MD, and neither SUD or MD (NC). We calculated observed unadjusted mortality rates per 1000 PYAR for each condition group, by age, sex and WIMD quintile.

We included individuals with no prior history of SUD, who died following a single episode involving use of a substance, in either the SUD or CC groups (depending on the codes in their history). We carried out a sensitivity analysis examining the impact of designating these individuals as NC.

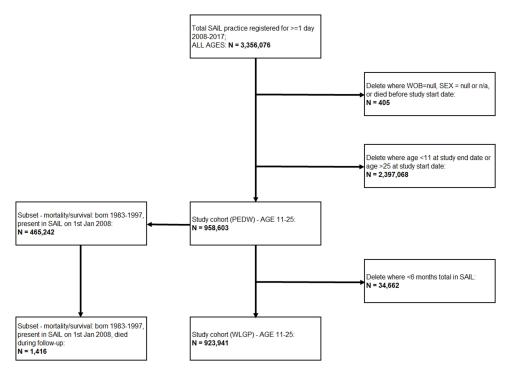


Figure I Flow diagram of study cohorts.

#### Analysis Methods: Survival

Using the subset of individuals present in SAIL on 1st January 2008, we estimated survival from start of follow up time (1st January 2008); the outcome variable was death. The exposure variable was condition group (NC; MD only; SUD only; CC). We right censored follow up time to the earliest of data collection end date or end of follow up. We plotted Kaplan-Meier survival curves, with significance of difference assessed by log rank tests. We performed Cox regression to derive hazard ratios (HR) comparing risk of all-cause death for individuals with CC in their history with those with SUD or MD only and those with NC, adjusted for sex, WIMD quintile and age band at start of follow-up. We tested the proportional hazards assumption by plotting Schoenfeld residuals. We then repeated the analysis with condition group as a time-dependent variable (as first event in each condition group could occur at any time), WIMD quintile as a two-level group (60% least deprived; 40% most deprived) and age at start of follow-up as a continuous instead of a categorical variable.<sup>41</sup>

We adopted an Alpha level of 0.05 for all statistical analyses.

# Results

# Study Populations

Figure 1 shows a flow diagram of the study cohorts. The WLGP incidence cohort consisted of 923,941 individuals

contributing 4,391,444 PYAR and the PEDW incidence cohort consisted of 958,603 individuals contributing 4,545,876 PYAR. The mortality cohort consisted of 465,242 individuals, contributing 3,746,991 PYAR (mean = 8.1 years, SD = 3.1 years), of whom 1416 died during the 10-year follow-up period.

Table 2 summarises the proportion of the incidence cohorts with codes for SUD only, MD only or CC at any time in their history up to 2017. In the WLGP cohort, 75.4% were NC, 21.8% were MD only, 0.8% were SUD only and 2.0% were CC. About 70.4% of individuals with SUD also had a code for MD and 8.4% of individuals with MD also had a code for SUD. In the PEDW cohort, 94.8% were NC, 2.9% were MD only, 0.5% were SUD only and 1.9% were CC. About 79.7% of individuals with SUD also had a code for MD and 38.8% of individuals with MD also had a code for SUD.

Table 3 summarises the condition groups (based on events at any time up to 2017) of the 923,941 individuals present in both the WLGP and PEDW incidence cohorts, by sex and across both settings (primary care and hospital admission). Overall, a greater proportion of females than males had a record of MD in either setting (26.0%, 95% CI 25.9–26.2 compared with 17.0%, 95% CI 16.9–17.1), whereas more males than females had a record for SUD or CC (1.0%, 95% CI 1.0–1.1 compared with 0.6%, 95% CI 0.6–0.6 for SUD and 3.9%, 95% CI 3.9–4.0 compared

		Total	n	% (95% CI)
WLGP	% of total with NC	923941	696691	75.4 (75.3–75.5)
	% of total with MD only	923941	200981	21.8 (21.7–21.8)
	% of total with SUD only	923941	7778	0.8 (0.8–0.9)
	% of total with CC	923941	18491	2.0 (2.0–2.0)
	% of MD with SUD	219472	18491	8.4 (8.3–8.5)
	% of SUD with MD	26269	18491	70.4 (69.8–70.9)
PEDW	% of total with NC	958603	908363	94.8 (94.7–94.8)
	% of total with MD only	958603	27985	2.9 (2.9–3.0)
	% of total with SUD only	958603	4515	0.5 (0.5–0.5)
	% of total with CC	958603	17740	1.9 (1.8–1.9)
	% of MD with SUD	45725	17740	38.8 (38.4–39.2)
	% of SUD with MD	22255	17740	79.7 (79.2–80.2)

#### Table 2 WLGP and PEDW Cohorts by Condition Group

Abbreviations: WLGP, Welsh Longitudinal General Practice; PEDW, Patient Episodes Dataset for Wales; NC, No condition; MD, Mental Disorder; SUD, substance use; CC, Co-occurring MD and SUD.

Table 3 Comparis	son of WLGP a	nd PEDW by	Condition Group
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		Male		Female		Total	
		n	% (95% CI)	n	% (95% CI)	n	% (95% CI)
Across both settings	MD only	78415	17.0 (16.9–17.1)	120408	26.0 (25.9–26.2)	198823	21.5 (21.4–21.6)
	SUD only	4809	1.0 (1.0–1.1)	2719	0.6 (0.6–0.6)	7528	0.8 (0.8–0.8)
	CC	18166	3.9 (3.9–4.0)	14140	3.1 (3.0–3.1)	32306	3.5 (3.5–3.5)
	NC	360096	78.0 (77.9–78.1)	325188	70.3 (70.2–70.4)	685284	74.2 (74.1–74.3)
WLGP: MD only	PEDW: MD only	6828	1.5 (1.4–1.5)	13074	2.8 (2.8–2.9)	19902	2.2 (2.1–2.2)
	PEDW: SUD only	660	0.1 (0.1–0.2)	1329	0.3 (0.3–0.3)	1989	0.2 (0.2–0.2)
	PEDW: CC	2641	0.6 (0.6–0.6)	3282	0.7 (0.7–0.7)	5923	0.6 (0.6–0.7)
	PEDW: NC	68792	14.9 (14.8–15)	104375	22.6 (22.4–22.7)	173167	18.7 (18.7–18.8)
WLGP: SUD only	PEDW: MD only	63	0.0 (0.0-0.0)	35	0.0 (0.0-0.0)	98	0.0 (0.0–0.0)
	PEDW: SUD only	157	0.0 (0.0-0.0)	112	0.0 (0.0-0.0)	269	0.0 (0.0–0.0)
	PEDW: CC	1110	0.2 (0.2-0.3)	547	0.1 (0.1-0.1)	1657	0.2 (0.2–0.2)
	PEDW: NC	3904	0.8 (0.8-0.9)	1850	0.4 (0.4-0.4)	5754	0.6 (0.6–0.6)
WLGP: CC	PEDW: MD only	888	0.2 (0.2–0.2)	1062	0.2 (0.2–0.2)	1950	0.2 (0.2–0.2)
	PEDW: SUD only	380	0.1 (0.1–0.1)	342	0.1 (0.1–0.1)	722	0.1 (0.1–0.1)
	PEDW: CC	3352	0.7 (0.7–0.8)	2534	0.5 (0.5–0.6)	5886	0.6 (0.6–0.7)
	PEDW: NC	6096	1.3 (1.3–1.4)	3837	0.8 (0.8–0.9)	9933	1.1 (1.1–1.1)
WLGP: NC	PEDW: MD only PEDW: SUD only PEDW: CC PEDW: NC Total	2795 748 2976 360096 461486	0.6 (0.6–0.6) 0.2 (0.2–0.2) 0.6 (0.6–0.7) 78.0 (77.9–78.1)	2959 757 1172 325188 462455	0.6 (0.6–0.7) 0.2 (0.2–0.2) 0.3 (0.2–0.3) 70.3 (70.2–70.4)	5754 1505 4148 685284 923941	0.6 (0.6–0.6) 0.2 (0.2–0.2) 0.4 (0.4–0.5) 74.2 (74.1–74.3)

Abbreviations: WLGP, Welsh Longitudinal General Practice; PEDW, Patient Episodes Dataset for Wales; NC, No condition; MD, Mental Disorder; SUD, substance use; CC, Co-occurring MD and SUD.

with 3.1%, 95% CI 3.0–3.1 for CC). A higher proportion of males than females had no record of a condition in either setting (78.0%, 95% CI 77.9–78.1 compared with 70.3%, 95% CI 70.2–70.4).

A greater proportion of females than males had only a primary care record with an MD (22.6%, 95% CI 22.4– 22.7 compared with 14.9%, 95% CI 14.9–15.0). In the WLGP SUD only and CC groups, the proportion of

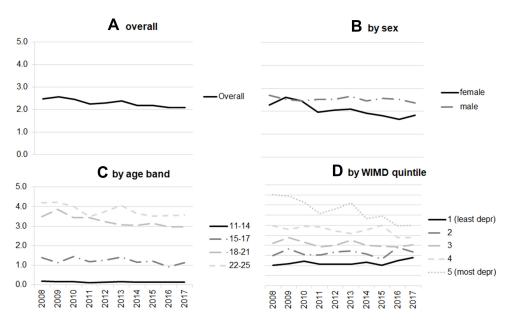


Figure 2 Incidence of CC by year (WLGP).

males with no PEDW record was greater than that for females; 0.8% (95% CI 0.8–0.9) compared with 0.4% (95% CI 0.4–0.4) for SUD only and 1.3% (95% CI 1.3–1.4) compared with 0.8% (95% CI 0.8–0.9) for the CC group. Across both sexes, 86.2% of the WLGP MD only group (173,167 out of 200,891), 74.0% of the WLGP SUD only group (5754 out of 7778) and 53.7% of the WLGP CC group (9933 out of 18,491) had not had a relevant PEDW admission. Of the 696,691 individuals in the WLGP NC group, 5754 (0.8%) were MD only in PEDW, 1505 (0.2%) were SUD only in PEDW and 4148 (0.6%) were CC in PEDW.

Of the 1416 individuals in the mortality cohort who died during follow-up, 1020 (72.0%) were male and 396 (28.0%) were female. Six hundred and seven (42.9%) were NC, 417 (29.4%) were MD only, 60 (4.2%) were SUD only and 332 (23.4%) were CC (0.2% of the NC group, 0.3% of MD only, 0.9% of SUD only and 1.2% of CC). Of the 165,835 individuals with MD and/or SUD, 809 (0.5%) died during follow-up.

#### Incidence

Figures 2 and 3 summarise trends in CC incidence rate per 1000 PYAR between 2008 and 2017 by sex, age and WIMD quintile, presented separately for WLGP and PEDW. Table 4 summarises the incidence of CC by sex, age, WIMD and year, including IRRs adjusted for sex, age and WIMD quintile, derived from Negative Binomial regression.

Overall incidence in WLGP significantly reduced over the period (2.49, 95% CI 2.35–2.64 in 2008 and 2.10, 95% CI 1.97–2.24 in 2017, IRR = 0.88, 95% CI 0.78–0.99). Incidence in PEDW was stable (2.27, 95% CI 2.13–2.41 in 2008 and 2.17, 95% CI 2.03–2.31 in 2017, IRR = 0.95, 95% CI 0.84–1.08).

Incidence for males (WLGP = 2.53, 95% CI 2.46-2.60; PEDW = 2.37, 95% CI 2.31-2.43) was significantly higher than for females (WLGP = 2.07, 95% CI 2.01-2.13; PEDW = 1.94, 95% CI 1.88-1.99), IRR = 1.18 (95% CI 1.12-1.24) for WLGP and IRR = 1.17 (95% CI 1.10-1.24) for PEDW. Incidence among females in WLGP (but not PEDW) declined whereas for males it remained stable; incidence from WLGP for females in 2008 was 2.27(95% CI 2.08-2.48) and in 2017 was 1.82 (95% CI 1.64-2.01, IRR = 0.82, 95% CI 0.71-0.94).

Higher incidence was significantly related to increasing age: incidence in WLGP increased from 0.15 (95% CI 0.13–0.18) for 11–14 year olds to 3.81 (95% CI 3.70–3.91) for 22–25 year olds (IRR = 24.80, 95% CI 21.20–29.40); and in PEDW from 0.60 (95% CI 0.56– 0.65) for 11–14 year olds to 2.77 (95% CI 2.69–2.86) for 22–25 year olds (IRR = 4.50, 95% CI 4.08–4.98). The association between higher incidence and increasing age was stronger for primary care than for hospital admissions, with rates in WLGP lower than in PEDW in the youngest age band but higher in the oldest; this was evident in greater IRRs in WLGP between age bands.

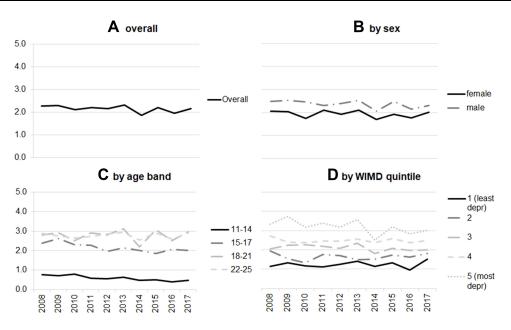


Figure 3 Incidence of CC by year (PEDW).

Higher incidence was associated with greater deprivation; the lowest incidence rates were among the least deprived quintile (WLGP = 1.13, 95% CI 1.07-1.21; PEDW = 1.24, 95% CI 1.17–1.31;) with the highest among the most deprived quintile (WLGP = 3.75, 95%CI 3.63-3.87; PEDW = 3.20, 95% CI 3.10-3.32), IRR (WLGP) = 3.28 (95% CI 3.00-3.58) and IRR (PEDW) = 2.59, 95% CI 2.36–2.84, with rates declining in the intermediate quintiles as deprivation reduced. Between 2008 and 2017, the gap between most and least deprived quintiles reduced considerably in WLGP, with a significant reduction in the most deprived quintile and a significant increase in the least deprived quintile. In 2008, incidence was 4.52 (95% CI 4.12-4.94) in the most deprived quintile and 1.02 (95% CI 0.82–1.24) in the least deprived quintile; by 2017 incidence was 3.00 (95% CI 2.67-3.37) in the most deprived quintile and 1.39 (95% CI 1.14-1.66) in the least deprived quintile (IRR for change in most deprived quintile; 0.67, 95% CI 0.54-0.82; IRR for change in least deprived quintile; 1.38, 95% CI 1.01-1.89). This was not observed in PEDW. An interaction between WIMD quintile and year was significant for the most deprived quintile in 2014, (IRR = 0.64, 95% CI 0.44-0.93), 2016 (IRR = 0.52, 95% CI 0.36-0.76) and 2017 (IRR = 0.48, 95% CI 0.34-0.70), and for the second-most deprived quintile in 2016 (IRR = 0.63, 95% CI 0.43-0.93) and 2017 (IRR = 0.57, 95% CI 0.39-0.84), but not significant for any other year and quintile combination. Results for regression

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including interaction terms are shown in <u>Additional</u> File A2.

### Mortality

Figure 4 summarises observed unadjusted mortality rates for each condition group per 1000 PYAR, by sex, age at start of follow-up and WIMD quintile. The highest rate was for individuals with CC (1.38, 95% CI 1.24-1.54), followed by those with SUD only (1.11, 95% CI 0.85-1.43); these rates were not significantly different but both were significantly higher than rates for MD only (0.36, 95% CI 0.33-0.40) and for NC (0.26, 95% CI 0.24-0.29); unadjusted rate ratios (RR) and 95% CIs were CC to MD; 3.84 (3.82–3.85), CC to NC; 5.21 (5.19–5.25), SUD to MD; 3.10 (3.07-3.12), SUD to NC; 4.21 (4.17-4.24). Rates were significantly higher for males than females for all condition groups except SUD only, and were significantly higher for those aged 18-25 at start of follow-up than those aged 11-17, for all condition groups except SUD only. Rates for the most deprived WIMD quintile were higher than any of the other quintiles, but other than in the NC group (most deprived = 0.33, 95% CI 0.28-0.39, least deprived = 0.20, 95% CI 0.16-0.24, RR 1.66, 95% CI 1.65–1.68) there were no significant differences by deprivation other than between the most deprived quintile (1.62, 95% CI 1.36-1.91) and the second least deprived quintile (0.93, 95% CI 0.62-1.35) in the CC group (RR 1.73, 95% CI 1.71-1.76).

		WLGP Events			PEDW Events			
		Incidence (95% CI)	IRR (95% CI)	p-value	Incidence (95% CI)	IRR (95% CI)	p-value	
Sex	Female	2.07(2.01-2.13)	Ref		1.94(1.88–1.99)	Ref		
	Male	2.53(2.46–2.60)	1.18 (1.12, 1.24)	<0.001	2.37(2.31–2.43)	1.17 (1.10, 1.24)	<0.001	
Age	11–14	0.15(0.13-0.18)	Ref		0.60(0.56–0.65)	Ref		
	15–17	1.23(1.16–1.31)	8.10 (6.84, 9.66)	<0.001	2.17(2.07–2.27)	3.67 (3.30, 4.08)	<0.001	
	18-21	3.27(3.17-3.37)	21.80 (18.6, 25.8)	<0.001	2.77(2.68–2.87)	4.73 (4.29, 5.24)	<0.001	
	22–25	3.81(3.70–3.91)	24.80 (21.2, 29.4)	<0.001	2.77(2.69–2.86)	4.50 (4.08, 4.98)	<0.001	
WIMD	l (least)	1.13(1.07–1.21)	Ref		1.24(1.17–1.31)	Ref		
quintile	2	1.63(1.54–1.72)	1.43 (1.30, 1.59)	<0.001	1.65(1.56–1.74)	1.34 (1.21, 1.49)	<0.001	
	3	2.08(1.98-2.18)	1.84 (1.68, 2.03)	<0.001	2.11(2.01–2.21)	1.74 (1.58, 1.92)	<0.001	
	4	2.75(2.64-2.87)	2.43 (2.22, 2.66)	<0.001	2.48(2.38–2.59)	2.02 (1.84, 2.23)	<0.001	
	5 (most)	3.75(3.63-3.87)	3.28 (3.00, 3.58)	<0.001	3.20(3.10-3.32)	2.59 (2.36, 2.84)	<0.001	
	n/a	0.49(0.32-0.72)	0.34 (0.23, 0.50)	<0.001	1.12(0.88–1.40)	0.78 (0.61, 1.00)	0.052	
Year	2008	2.49(2.35-2.64)	Ref		2.27(2.13–2.41)	Ref		
	2009	2.56(2.42-2.72)	1.03 (0.92, 1.15)	0.640	2.29(2.16–2.43)	1.00 (0.88, 1.13)	0.997	
	2010	2.45(2.31-2.60)	0.99 (0.89, 1.11)	0.908	2.11(1.98–2.24)	0.93 (0.82, 1.05)	0.256	
	2011	2.25(2.11–2.39)	0.90 (0.80, 1.01)	0.834	2.21(2.07–2.35)	0.95 (0.84, 1.08)	0.413	
	2012	2.29(2.15-2.44)	0.92 (0.82, 1.03)	0.139	2.16(2.02-2.30)	0.92 (0.81, 1.05)	0.211	
	2013	2.39(2.24-2.54)	0.95 (0.85, 1.07)	0.403	2.32(2.18–2.47)	0.99 (0.88, 1.13)	0.921	
	2014	2.19(2.05-2.34)	0.88 (0.79, 0.99)	0.040	1.88(1.75–2.01)	0.82 (0.72, 0.93)	0.002	
	2015	2.19(2.05-2.34)	0.88 (0.78, 0.99)	0.032	2.20(2.07-2.35)	0.94 (0.83, 1.07)	0.337	
	2016	2.09(1.95-2.23)	0.86 (0.76, 0.96)	0.010	1.96(1.83-2.10)	0.85 (0.75, 0.96)	0.011	
	2017	2.10(1.97-2.24)	0.88 (0.78, 0.99)	0.039	2.17(2.03-2.31)	0.95 (0.84, 1.08)	0.430	

Abbreviations: WLGP, Welsh Longitudinal General Practice; PEDW, Patient Episodes Dataset for Wales; WIMD, Welsh Index of Multiple Deprivation.

Of 392 deaths among the SUD only and CC groups, we identified six who died in hospital with no records for SUD until their final admission. Reclassifying these as NC in the analysis made no significant difference. We included MD and SUD events occurring at any age from birth to end of follow-up; average age at first recorded event in either data source was 19.6 years of age (SD 5.9) for MD and 20.0 years of age (SD 4.7) for SUD.

#### Survival

Figures 5–11 show plots of Kaplan–Meier survival curves with p-values derived from Log Rank tests, by condition group, sex, age band at start of follow-up and WIMD quintile. Due to risk of statistical disclosure arising from small counts, the curves for SUD only were excluded from Figures 6–11. To further prevent statistical disclosure, age at start of follow-up and WIMD quintile were collapsed to two levels (11–17 and 18–25; least deprived 60or quintiles 1–3 and most deprived 40%, or quintiles 4 and 5).

Survival was significantly different for individuals with CC, NC or MD only, for both males and females

(p<0.0001, Figure 5). Figures 6–11 show that survival for males was significantly lower than for females in all condition groups and in both age bands at p<0.0001, and for 11–17 year olds with CC at p<0.05. The group who were 18–25 at start of follow-up had significantly lower survival for all conditions (all significant at p<0.01) except females with NC where there was no significant difference by age. Results by WIMD group were mixed; survival for both males and females with NC was significantly lower for the more deprived group (females = p<0.05; males = p<0.001). Differences in survival between the least and most deprived females with MD only and CC, and between the least and most deprived males with CC were not significant; differences between the least and most deprived males with MD only were significant at p<0.05.

Figure 12 summarises the results of a Cox regression with death from all cause as the outcome. Results showed that compared to the NC group, the risk of death during the study window was significantly higher for individuals with MD only (HR = 2.7, 95% CI 2.4-3.1), with SUD only (HR = 4.5, 95% CI 3.4-5.9) and with CC (HR = 8.7, 95% CI 7.5-10.0).

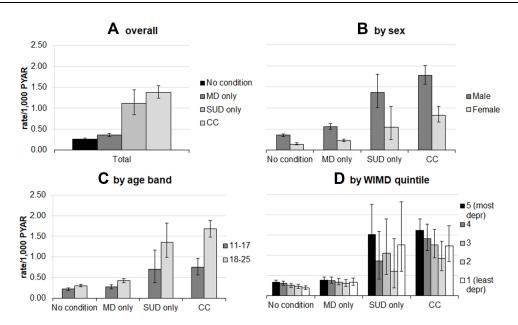


Figure 4 Observed unadjusted mortality rate/1000 PYAR for deaths (all cause) - overall, by sex, age at start of follow-up and WIMD quintile.

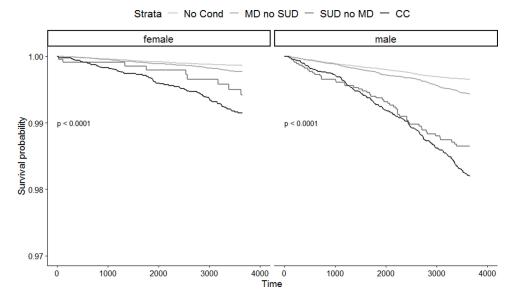


Figure 5 Kaplan Meier survival curve - by sex, stratified by condition group.

# Discussion

# Main Findings in the Context of Previous Studies

In keeping with previous studies we found a high degree of overlap between cases of MD and SUD,<sup>1–3</sup> particularly for SUD in secondary care where almost 80% with SUD also had an MD, as shown in Table 2. The overlap for MD, particularly in primary care, was lower, with around 8% of those with MD also having a record of an SUD; this may reflect the large proportion of patients with MD who are

managed in primary care without ever being admitted to hospital.

Incidence of CC in young people aged 11–25 between 2008 and 2017 was stable in secondary care and decreased in primary care, particularly for females and among 11–17 year olds. Similar trends have been identified in studies using routine data to separately estimate incidence or prevalence of MD<sup>9,11,12,34</sup> and SUD.<sup>14,16</sup> The gap in primary care incidence rates between the most and least deprived quintiles has narrowed, due to a reduction in the most

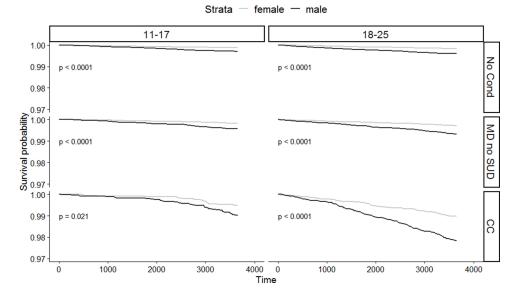
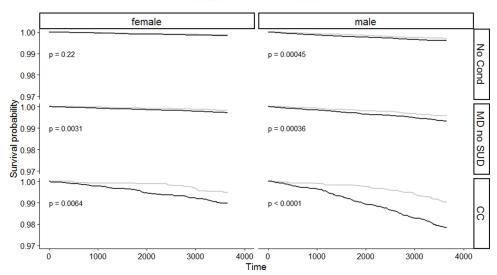


Figure 6 Kaplan Meier survival curve - by age at start of follow-up and condition group, stratified by sex.



Strata - 11-17 - 18-25

Figure 7 Kaplan Meier survival curve - by sex and condition group, stratified by age at start of follow-up.

deprived quintile and a smaller but significant increase in the least deprived quintile. An interaction between WIMD quintile and year (visible in Figure 2, panel D) was nonsignificant for most terms until 2014, but with some significant results for the most deprived quintiles in the most recent years, suggesting a significantly greater reduction in incidence among the most deprived. However, there remains a strong positive association between greater incidence and greater deprivation, as well as male sex and older age, as shown in Figure 2, Figure 3 and Table 4. Observed unadjusted mortality was significantly higher among individuals with a diagnosis of CC, and to a lesser extent among those with a diagnosis of SUD or MD only, than among individuals with NC, as shown in Figure 4. Survival was significantly lower for individuals with CC, particularly for males and those in the older age band at start of follow-up, as shown in Figures 6–11. Compared to the NC group, the hazard ratio for death was 8.7 times greater in the CC group, 4.5 times greater in the SUD only group and 2.7 times greater in the MD only group, as shown in

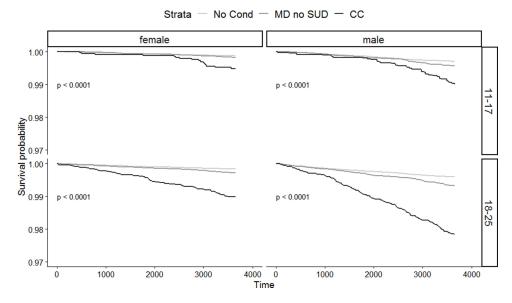
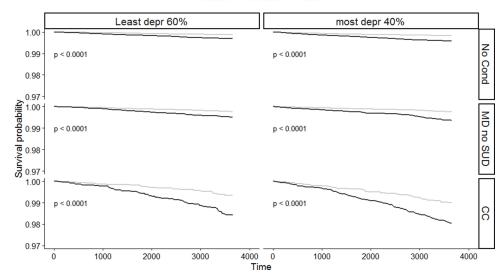


Figure 8 Kaplan Meier survival curve - by sex and age at start of follow-up, stratified by condition group.



Strata - female - male

Figure 9 Kaplan Meier survival curve - by WIMD group and condition group, stratified by sex.

Figure 12. Alcohol and drug use have been shown to commonly precede suicide.<sup>42</sup> Our findings are consistent with previous studies suggesting individuals with a history of alcohol use disorder are at significantly increased risk of death,<sup>29</sup> even in the absence of a co-occurring MD.<sup>43</sup> They may also suggest that there is undiagnosed or unrecorded MD among individuals with SUD-related service contacts. MD (particularly with comorbid SUD) is associated with allcause mortality rates significantly higher than those for the general population: as well as the inherent risk of death directly attributable to substance use, there may be greater medical morbidity, which is not always well recognised by service providers.<sup>44</sup> There is a well-established association between deprivation, male sex and increased risk of death.<sup>45</sup> Higher mortality but lower contact with services among males may indicate greater unmet need in this group, although no association can be assumed without further analysis.

# Strengths and Limitations

This was a large-scale population study using linked routine health data comprising the records of nearly

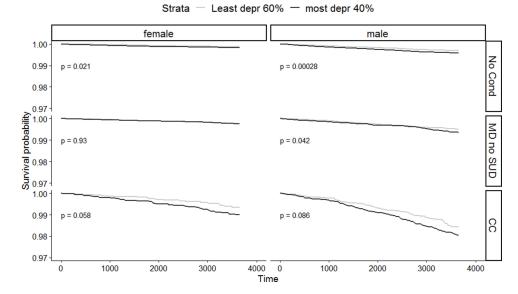
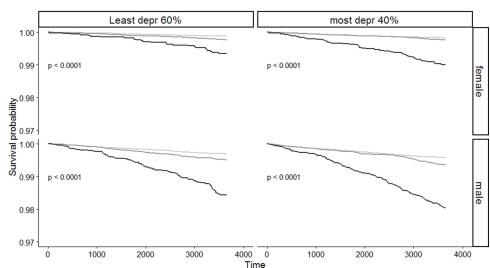


Figure 10 Kaplan Meier survival curve - by sex and condition group, stratified by WIMD group.



Strata — No Cond — MD no SUD — CC

Figure 11 Kaplan Meier survival curve - by WIMD group and sex, stratified by condition group.

one million participants in Wales, providing a sufficiently large number of outcomes (CC cases and deaths) to support our estimations. We used the ONS ADDE to ascertain date of death, which is a near-complete record and is considered the gold standard for death records.<sup>46</sup> Although the SAIL Databank dataset holds records for 80% of GP practices in Wales, the data in SAIL is broadly representative of the Welsh population in terms of sex, age and deprivation. Routine data may vary in quality between sources, and this may affect dataset linkage; to mitigate

this we used only those records where there was sufficient level of confidence in matching quality.<sup>24</sup>

Alcohol use disorders, particularly hazardous and harmful drinking (as opposed to dependent drinking) are under-recorded by GPs, particularly for men and younger people.<sup>47</sup> This is also likely to be the case for illegal drug use.<sup>48,49</sup> Rates of recording may vary over time or between GP practices, due to experience, training, practice protocols and government policies.<sup>50</sup> The exclusion of codes relating to consumption levels may also mean that some

ConditionGroup	No cond (N=400261)	reference	I			
	MD no SUD (N=140165)	2.7 (2.4 - 3.1)		⊢∎⊣		<0.001 ***
	SUD no MD (N=11886)	4.5 (3.4 - 5.9)		<b>⊢</b> ∎_		<0.001 ***
	CC (N=27995)	8.7 (7.5 - 10.0)			<b>⊢</b> ∎i	<0.001 ***
Sex	female (N=295053)	reference	I			
	male <i>(N=285254)</i>	2.5 (2.3 - 2.8)		⊢∎⊣		<0.001 ***
Age_yrs	(N=580307)	1.0 (1.0 - 1.1)	-			<0.001 ***
WIMD_two_level	Least_depr_6 (N=325304)	<sup>0%</sup> reference	I			
	Most_depr_40 (N=255003)	0% 1.3 <i>(1.1 - 1.4)</i>	⊢∎⊣			<0.001 ***
# Events: 1416; Glob	al p-value (Log-	Rank): 2.1996	e-269			
AIC: 35090.52; Conc	ordance Index: (	0.73 <sup>1</sup>	2	2	5 10	

Figure 12 Forest plot showing hazard ratios derived from Cox regression - by condition group, sex, age band at start of follow-up and WIMD group. Note: \*\*\*Significant at p<0.001.

Abbreviations: COND\_GROUP\_SEQ\_TD, Condition group (time-dependent variable); SEX\_CHAR, sex, AGE\_YRS - age at start of follow up; WIMD\_TWO\_LEVEL, WIMD quintile group (least deprived 60%, or quintiles I-3 and most deprived 40%, or quintiles 4 and 5); MD, Mental Disorder; SUD, substance use; CC, Co-occurring MD and SUD.

individuals with problematic but non-dependent alcohol consumption are not detected. Estimated rates of SUD derived from routine primary care data should therefore be considered as a minimum. The analysis should be interpreted as examining coding behaviour as much as clinical indicators.<sup>36</sup>

The identification of cases within this study is limited by the availability of full patient history in the WLGP and PEDW datasets. We did not include individuals attending Emergency Departments; inclusion of this dataset would very likely increase the incidence of CC as it would include individuals not admitted to hospital and those who are reluctant to seek help from their GP. Incident cases are defined as the first recorded occurrence of a code, but we cannot be certain that these events genuinely represent the onset of a condition.<sup>51</sup> The rates presented are therefore a measure of contacts with services.<sup>52</sup>

We estimated mortality and survival for death from all causes, and did not consider specific causes. SUD and MD are (both individually and in combination) associated with an increased risk of death from specific causes such as suicide, as well as deaths from natural causes.<sup>20,26,29,35,42–44</sup> Future studies should examine the relationship between CC and specific causes of death, and in particular the relationship between CC and death by suicide.

We did not include personality disorders (PD) in our definition of MD, although PD commonly co-occurs with SUD;<sup>53</sup> this is because SUD is considered a diagnostic criterion for borderline personality disorder.<sup>54</sup> We grouped together use of alcohol and drugs, and did not consider the impact of specific substances, the severity of usage or the impact of using specific combinations of substances. We have included SUD codes indicating varying degrees of severity; for example we included as CC all episodes with codes for mental or behavioural disorders due to psychoactive substance use, which includes episodes of acute intoxication "resulting in disturbances in level of cognition, perception, consciousness, affect or behaviour".<sup>30</sup> We will be undertaking further studies to examine the role of (and interaction between) specific substances such as alcohol and cocaine. These studies will also consider the relationship between CC, mortality and specific types of mental disorder. As incidence of different types of mental disorder varies by age,<sup>10</sup> we will consider the relationship between type of disorder, age at diagnosis and outcome.

# Policy, Research and Practice Implications

Individuals who have had contact with primary care or inpatient services related to CC (as well as those with SUD or MD only) in their patient history are at significantly

increased risk of death; these contacts may offer an opportunity to identify particularly vulnerable individuals in need of specialist intervention.

CC incidence rates for younger age bands were lower in primary care than in hospital admissions, which was unexpected, given that GP practices should receive and record notification of any inpatient admissions and that primary care may be the first place individuals turn to for help with SUD.<sup>50</sup> This finding supports existing evidence of under-recording of SUD in primary care (but in this instance may relate to the recording of SUD, MD or both). There are well documented sensitivities about discussing and recording SUD in primary care<sup>50</sup> which may be amplified for younger patients. Survival and mortality rates were significantly poorer for individuals with CC, but were also significantly worse for individuals with SUD only, suggesting that SUD (with or without co-occurring MD) is a key risk factor, particularly for males. Alternatively this may be due to undiagnosed MD among substance users. Mental health and substance misuse service providers should work in partnership to ensure that substance use does not create barriers preventing access to mental health support.<sup>55</sup> Health. education and social care services in contact with young people should ensure they are discussing substance use and offering advice, support and onward referral to specialist services where required.<sup>56</sup> Accessible and acceptable services need to be available to those who are at greater risk, or who are less likely to engage, such as young men and those living in the most deprived areas, and use co-produced approaches that are designed to meet their needs.<sup>57</sup> However a "glass ceiling" effect may limit the value of studies identifying risk factors for low prevalence events, and it has been recommended that prediction rules should not be used in isolation.58 A contextual safeguarding approach<sup>59</sup> may help to identify specific locations where at-risk young people are likely to be, allowing early intervention and prevention to be delivered by youth service hubs and detached youth workers, providing an opportunity to reach young people who would otherwise not engage with services. Further studies should also consider whether the reduction in incidence in the most deprived WIMD quintile is due to genuine decreases in MD and SUD, or is a consequence of increasing difficulty with accessing services.

This study did not consider subcategories of death; we included deaths from all causes, as previous studies have indicated that MD and SUD increases the risk of natural as well as unnatural deaths. However it is likely that risks of natural and unnatural death (particularly suicide) are not equal, and are affected by the presence or absence of CC. This may also be the case for risk of non-lethal self-harm

among individuals with CC, which was not considered in this study. Risk may vary according to the type and combination of substance used, particularly whether both alcohol and drugs are used. Future studies should examine the relationship between CC and different causes of death, including suicide, and should also consider the impact of and relationship between specific substances, such as cocaine and alcohol (where coding is sufficiently granular).

# Conclusion

CC is associated with significantly greater mortality in children and young people. Incidence of CC in children and young people in Wales between 2008 and 2017 decreased in primary care and remained stable in secondary care, with significantly higher incidence associated with male sex, increasing age and greater deprivation. In primary care, the gap in incidence between the most and least deprived quintiles has reduced; rates remain highest in areas with greatest deprivation, but as well as a significant decrease in the most deprived quintile, rates significantly increased in the least deprived quintile. Mortality was significantly higher among individuals with a diagnosis of CC, and to a lesser extent among those with a diagnosis of SUD or MD only, compared with individuals with NC. The higher mortality rate for individuals with SUD (with or without mental disorder) may indicate substance use as a key risk factor, or alternatively may be indicative of undiagnosed or unrecorded mental disorder in substance using individuals. All services coming into contact with children and young people, including primary care, education, youth services and CAMHS, should be adequately resourced to provide advice, support or referral to appropriate services where there are concerns about mental health or substance use.

# **Abbreviations**

ADDE, Annual District Deaths Extract; ADHD, Attention Deficit Hyperactivity Disorder; ADP, Adolescent Mental Health Data Platform; ASD, Autistic Spectrum Disorder; CAMHS, Child and Adolescent Mental Health Services; CC, co-occurring conditions; CMHT, Community Mental Health Team; ICD-10, International Classification of Diseases, Tenth Revision; IRR, Incidence rate ratio; LSOA, Local Super-Output Area; MD, mental disorder; NC, Neither condition (no MD or SUD); NICE, National Institute for Health and Care Excellence; PEDW, Patient Episode Dataset for Wales; PYAR, person years at risk; SAIL Databank, Secure Anonymised Information Linkage Databank; SMI, severe mental illness (schizophrenia, schizotypal and delusional disorders, bipolar disorder, other mood-related disorders and

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other severe mental illness); SUD, substance use; WDSD, Welsh Demographic Service Dataset; WIMD, Welsh Index of Multiple Deprivation; WLGP, Welsh Longitudinal GP Dataset.

# **Data Sharing Statement**

This study utilises data hosted by the SAIL Databank, Swansea University Medical School. These data are not publicly available, but can be accessed for research purposes subject to approval by the SAIL Databank Information Governance Review Panel (IGRP). Further information about the SAIL Databank application process is available at <u>https://saildatabank.com/application-process/</u>.

# Ethical Approval and Consent to Participate

The study was approved by the SAIL Databank Information Governance Review Panel (IGRP), an independent body comprising representatives from a range of organisations including the British Medical Association (BMA) Cymru, Welsh Government, Public Health Wales, National Research Ethics Service, Digital Health and Care Wales (DHCW), Swansea Bay University Local Health Board and members of the public. As the study utilised only anonymised data, informed consent was not required. The study was approved under IGRP number 0667.

# Acknowledgments

ADP and the author(s) would like to acknowledge the data providers who supplied the datasets enabling this research study. The views expressed are entirely those of the authors and should not be assumed to be the same as those of ADP or MQ Mental Health Research Charity. This study makes use of anonymised data held in the Secure Anonymised Information Linkage (SAIL) Databank (project number 0667). We would like to acknowledge all the data providers who make anonymised data available for research. This work uses data provided by patients and collected by the NHS as part of their care and support.

# **Author Contributions**

Study conception: AJ, JK and SR. Study design: SR, AJ, AW and JK. Clinical guidance including creation of code lists: AJ and JK. Data preparation: SR. Statistical analysis: SR, AJ and AW. Original draft written by SR and AJ. Draft and final manuscript edited and reviewed by all

authors. All authors contributed to data analysis, drafting or revising the article, gave final approval of the version to be published, agreed to the submitted journal, and agree to be accountable for all aspects of the work.

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# Disclosure

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