

REVIEW

# The Characteristics of Tumor Microenvironment in Triple Negative Breast Cancer

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Department of Pharmacy, Zhujiang Hospital, Southern Medical University, Guangzhou, 510282, People's Republic of Abstract: Triple-negative breast cancer (TNBC) is a special subtype of breast cancer, accounting for 10-20% of breast cancers with high intrinsic heterogeneity. Its unique immune microenvironment, including high expression of vascular endothelial growth factors, tumor infiltrating lymphocytes (TILs), tumor-associated macrophages (TAMs), and other molecules that promote the growth and migration of tumor cells, has been shown to play a dual role in the occurrence, growth, and metastasis of TNBC. Understanding the TNBC microenvironment is of great significance for the prognosis and treatment of TNBC. In this article, we describe the composition and function of immune cells in the TNBC microenvironment and summarize the major cytokine growth factors and chemokines in the TNBC microenvironment. Finally, we discuss the progress of TNBC, cytokine-induced killer cell therapy, and immune checkpoint therapy.

**Keywords:** triple negative breast cancer, microenvironment, immune cells, immunotherapy

### Introduction

Breast cancer is currently the world's highest incidence and mortality rate of female diseases. It is a serious threat to women's life and health and has attracted attention in various fields. The 2018 Global Cancer Survey data show that breast cancer has the highest incidence of malignant tumors among women worldwide, accounting for 15% of all malignant tumor deaths, ranking 6th in the mortality rate of female malignant tumors in China, and shows a rapid growth trend. 1-4

Triple-negative breast cancer (TNBC) is a special subtype of breast cancer, accounting for 10-20% of breast cancers. It is an estrogen-like, progesterone-like, and human skin growth factors 2 (Her2) negative invasive breast cancer with internal heterogeneity. 5,6 TNBC is usually composed of bio-invasive and histologically high-level tumors with poor prognosis (five-year survival rate is only about 60%), a high recurrence rate (recurrence within 3 years after diagnosis), short survival, and development with clinical, pathological, and genetic factors. The vast majority of TNBCs are highly invasive catheter cancers with higher distant metastasis rates and worse prognoses, accounting for 10% to 24% of all breast cancers. 7-9 TNBC is divided into substrate cell sample 1, substrate cell type 2, immunomodulation type, interstate type, interstate stem cell type, and tube cavity androgen-like body type. The immunomodulation type is most common. This subtype is closely related to the tumor immune response, has a high level of immune immersion, is the most immunogenic subtype in breast cancer, and is expected to develop immunotherapy.

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Tumor immunotherapy refers to stimulating or regulating the body's immune system, improving tumor micro-environmental immune status, breaking tumor immunosuppression, and enhancing the killing of tumor cells. The tumor microenvironment refers to the environment in which the tumor occurs, grows, and metastasizes, including the tissue environment in which the tumor is located and the internal environment of the tumor cell itself. The tumor microenvironment consists of non-immune cells such as fibroblasts, endotheliocytes, vascular smooth muscle cells, and immune cells such as T lymphocytes, macrophages, natural killer cells (NK) cells, degenerate cells, and cytokines. As the site of tumor cell immune surveillance and immune escape, the tumor immune microenvironment is closely related to cancer occurrence, development, and prognostication.<sup>8,10,11</sup> Its unique immune microenvironment, including high expression of vascular endothelial growth factors, tumor infiltrating lymphocytes (TILs), tumor-associated macrophages (TAMs), and other molecules that promote the growth and migration of tumor cells, has been shown to play a dual role in the occurrence, growth, and metastasis of TNBC. 12-19 The study found that the TNBC immune microenvironment plays a role in the occurrence, growth, and metastasis of TNBC. The increase in tumor antigen expression provides the premise for immune system recognition and tumor removal and tumor infiltrating lymphocytes play a role in tumor cell killing and removal, but the high expression of immune checkpoints inhibits immune cell function promotes tumor immune escape. 10,14,16,17,19 Furthermore, there is a lack of information regarding the TNBC immuno-micro-environment. Therefore, it is important to understand the TNBC immune microenvironment for the prognostication and treatment of TNBC.

This paper mainly introduces the immune cells and related factors in the TNBC microenvironment, discusses the current situation of TNBC treatment, and summarizes the cytological characteristics of the TNBC microenvironment and its role in prognosis, in order to: (I) understand the components and functional characteristics of immune cells in the TNBC microenvironment; (II) summarize the main cytokines, growth factors, and chemokines in the TNBC microenvironment; and (III) introduce the progress of TNBC and cytokine-induced killer cell therapy and immune checkpoint therapy.

# Immune Cells in TNBC Microenvironment

# Tumor Infiltrating Lymphocytes (TILs)

A large number of TILs infiltrate the TNBC microenvironment. TILs have intrinsic immunogenicity in TNBC. 20,21 An endogenous antitumor immune response was used to induce favorable tumor microenvironment (TME), inhibit tumor progression, and improve the recurrence-free survival rate of TNBC patients.<sup>5,22–28</sup> It has been found that malignant tumors with high immunogenicity (high level of TILs) may grow rapidly and have a high rate of tumor necrosis.<sup>5</sup> I In another study, it was found that the high TIL group had a more negative threshold level of Ki-67 (<14%), which verified that TNBC patients with high TIL levels may have lower KI-67 levels, less proliferation of malignant tumor cells, and a positive response to treatment.<sup>29</sup> A meta-analysis of the prognostic value of TILs in TNBC showed that a high TIL level was significantly associated with a better survival outcome in TNBC, and the authors concluded that TIL status should be considered a strong prognostic factor for this subtype of breast cancer.<sup>30</sup> According to a 2014 metaanalysis, TIL levels were positively correlated with the prognosis of patients with TNBC. The more matrix TIL TNBC patients had, the better the prognosis was, and the higher the TIL level, the higher the pathologic complete response rate (pCR) and survival rate. 29-34 Other studies have shown that low TIL levels and poor long-term survival outcomes (10%) are independent and intrinsic prognostic factors for early TNBC patients who have not received systematic treatment, which strongly predicts the risk of digital radiography (DR) and poor long-term survival outcomes. Studies have compared the treatment rate of TNBC patients with different TIL levels, and that of TNBC patients with high TIL levels has a higher treatment rate (OR 2.14, 95%: CI 1.43-3.19), indicating that high levels of TILs are a positive prognostic factor for TNBC patients.<sup>23</sup> In addition, a study on the application of magnetic resonance imaging (MRI) in TNBC confirmed that TNBC with high TIL levels had a higher average tumor roundness score, which means that TIL levels were positively correlated with the roundness of TNBC tumors, which is often used as a descriptive term for benign lesions. 29,35 In conclusion, TNBC patients with higher TIL levels have a better prognosis.

TILs include CD3+T and CD20+B cells, and CD38+/CD138+ plasma cells, among which infiltration of CD3+T cells is relatively common. CD3+T cells are co-differentiated

antigens of mature T cells and are expressed on the surface of all mature T cells, representing total T lymphocytes in the tissue. The CD3+T cell population was further divided into CD8+ T lymphocytes, CD4+ helper T lymphocytes, and CD4+ regulatory T cells (Tregs) (Figure 1). CD8+ T lymphocytes are abundant in the TNBC microenvironment, and many studies have reported the relationship between TILs and the prognosis of TNBC, suggesting that the high expression of CD8+ T lymphocytes is related to a better clinical prognosis of TNBC. CD4+ helper T lymphocytes can be divided into Th1 and Th2 subtypes, according to the secreted cytokines. Th1 cells are mainly involved in cellular immunity, while Th2 cells are involved in humoral immunity. CD4+ helper T lymphocytes assist CD8+ T lymphocyte-mediated cell killing to play an active role in the tumor immune response. 36-38 Tregs, which account for 10% of all CD4+ T lymphocytes in the peripheral blood of normal people, rise to between 30 and 50% in tumor lesions, inhibit the activation of CD8+T and CD4+T lymphocytes, and play an important role in immune suppression and angiogenesis. 39,40

In immune subsets, CD8+ lymphocytes are the main effectors of the anti-tumor immune response. Activated by major histocompatibility complex (MHC) molecules expressed on tumor cells, CD8+ T lymphocytes differentiate into cytotoxic CD8+T lymphocytes, which release interferon-γ (IFN-γ) to kill tumor cells and play an anti-tumor role. Cytotoxic CD8+ T lymphocytes are active CD8+ T lymphocytes with anti-tumor immunity that play a key role in adaptive immune defense against exogenous factors and tumor cells. In the case of TNBC, an increased number

of CD8+ T lymphocytes were found to have infiltrated the TNBC microenvironment. CD8+ T lymphocytes have been reported to be associated with improved clinical outcomes in TNBC and a better response to systemic treatment of TNBC. In addition, CD8+T lymphocyte infiltration may be related to the increased survival time of patients with TNBC. Studies have shown that the more CD8+T lymphocyte infiltration, the better the prognosis of TNBC. However, this relationship does not exist in other types of breast cancer, therefore it can be used as an independent prognostic factor for TNBC. A1,42 In TNBC, the effect of CD8+ T lymphocytes on tumor progression depends not only on their number and distribution, but also on their activity. Studies have shown that CD8+ T lymphocytes are more active in hormone receptor-negative breast cancer.

The role of CD4+ T lymphocytes in tumor regression during breast cancer chemotherapy is poorly understood in current studies regarding the dynamic function of CD4+T cell subsets during breast cancer progression, in which CD4+T cell subsets are decisive factors for breast cancer clinical outcomes. Therefore, a better understanding of the nature and function of CD4+T lymphocyte subsets in tumor immunity is very important for TNBC immunotherapy. CD4+ T lymphocytes are mainly divided into CD4+ lymphocytes and CD4+ Τ regulatory T lymphocytes. CD4+ helper T lymphocytes are rare in breast cancers. In TNBC, CD4+ helper T lymphocytes are activated by MHC molecules, differentiated into Th1, Th2, and other subtypes based on the level of cytokines in the tumor microenvironment, and play a regulatory role in the

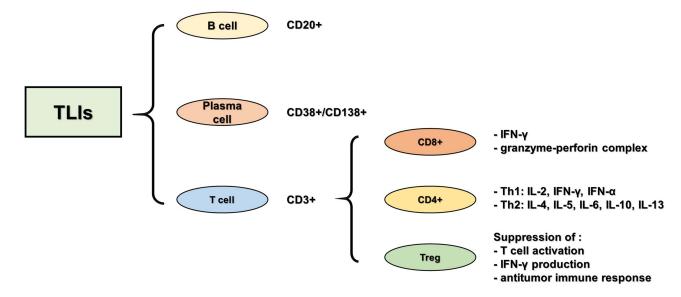


Figure I Classification of neoplastic infiltrating lymphocytes.

immune system by regulating B cell CD8+ T lymphocytes and macrophages.<sup>44</sup> With increased efforts, the specific mechanism and role of CD4+ helper T lymphocytes in the development and treatment of tumors will be further revealed, which is helpful to better judge the prognosis. CD4+ Tregs are subsets of CD4+ T lymphocytes with an immunophenotype of CD4+ CD25+ FOXP3+. In addition, FOXP3+ is an important marker of Tregs. In a normal immune environment, Tregs regulate and suppress the immune response to prevent an autoimmune response. In TNBC, Tregs induce an immunosuppressive microenvironment, inhibit the activation of CD8+ and CD4+T lymphocytes, and prevent the body's anti-tumor immune response.<sup>45</sup> The immunosuppressive Treg subset accumulated in the TNBC microenvironment, and FOXP3+ cell infiltration was the highest in the microenvironment compared to surrounding areas. Studies have shown that FOXP3+ Tregs can reduce autoantigen immune responses, inhibit anti-tumor immunity, and can predict poor prognosis. 46-48 At present, the infiltration of Tregs is a prognostic indicator of TNBC and the monitoring and treatment of infiltrated Tregs in TNBC lesions can provide greater benefits to patients.

Other studies analyzed the changes in the ratio of CD8+T lymphocytes, FOXP3+ Tregs, and CD8+/FOXP3+ in residual tumors of more than 100 TNBC patients after chemotherapy. The results showed that an increased number of CD8+T lymphocytes, along with an increased CD8+/FOXP3+ ratio were significantly correlated with improved clinical efficacy, and that a high number of CD8+T lymphocytes and CD8+/FOXP3+ ratio predicted good survival in TNBC patients without pCR. This study showed that the CD8+/FOXP3+ ratio, measured after chemotherapy, was associated with TNBC prognosis.<sup>49</sup>

# Tumor-Associated Macrophages (TAMs)

Macrophages are an important part of the host defense system. They are divided into specific functional subsets according to the polarized phenotype and play an important role in the early days and adaptive immune response. TAMs originate from circulating blood monocytes and differentiate into macrophages after exosmosis, which are important components of the tumor microenvironment. Tumor cells secrete cytokines and chemokines and recruit macrophages from the peripheral blood and tissue into solid tumor tissue to form a tumor microenvironment. TAMs account for up to 50 and 80% of mesenchymal cells and are closely related to the occurrence and

development of tumors.<sup>50–52</sup> Studies have shown that TAMs can reduce the immune effect of TILs and promote the anti-tumor immune activity of Tregs.<sup>53–55</sup>

TAMs are composed of two distinct subtypes: M1-type macrophages (classical macrophages) and M2-type macrophages (replacement-activated macrophages). M1-type macrophages are associated with an inflammatory response and induce a Th1 immune response by releasing proinflammatory cytokines. M2-type macrophages are associated with tumor progression, and their secretion of interleukin-10 (IL-10) and transforming growth factor-β (TGF-β) inhibit the Th1 immune response and promote tumor invasion and metastasis. Furthermore, M2-type macrophages can also secrete angiogenic factors such as VEGF, promote tumor angiogenesis, and provide nutrition and metastasis pathways for tumor growth (Figure 2). Breast cancer cells secrete cytokines to promote M1-type macrophage polarization into M2-type states including IL-2, IL-10, TGF-β, and macrophage colony stimulating factor (M-CSF). On this basis, TNBC secretes more granulocyte colony stimulating factor (G-CSF), which promotes the transformation of M1type macrophages into the M2-type state to a greater extent. 14,19,56,57

Studies have found that TAMs directly and indirectly promote tumorigenesis and development. The specific mechanisms include: (I) Promoting tumor cell division. TAMs secrete growth factors such as basic fibroblast growth factor-2 (BFGF-2), epidermal growth factor (EGF), platelet-derived growth factor (PDGF), and TGFβ, which directly promote tumor cell division. (II) Promoting the generation of tumor blood vessels. On the one hand, TAMs release angiogenic factors and growth factors, such as VEGF, TNF-β, IL-8, and cellulose growth factor family, etc. On the other hand, TAMs express enzymes that regulate angiogenesis, such as matrix metalloproteinases MMP-2, MMP-7, MMP-9, MMP-12, and cox-2 (COX-2), which promote angiogenesis. (III) Induction of tumor invasion. TAMs can produce a variety of enzymes that can degrade the extracellular matrix, such as MMP-2, MMP-9, and urokinase-type

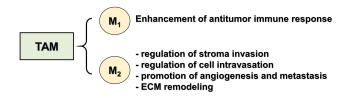


Figure 2 Type of tumor-associated macrophages.

plasminogen activator, promote ECM degradation, and facilitate tumor cell invasion and metastasis. (IV) Participate in immunosuppression and escape. TAMs secrete cytokines, such as TGF-β and IL-13, which inhibit the proliferation and differentiation of lymphocytes, including lymphocytes activating killer (LAK) cells, NK cells, and cytotoxic T lymphocytes (CTL). <sup>58–63</sup> Other studies have shown that TAMs play an immunosuppressive role through the following mechanisms: (I) secreting inhibitory cytokines, (II) reducing the anti-tumor immune effect of TILs, (III) promoting Treg infiltration, and (IV) generation of reactive oxygen species. <sup>14</sup>

Studies have shown that highly invasive TAMs are significantly correlated with tumor size, lymph node metastasis, and the degree of differentiation. TAMs co-cultured with MDA-MB-231 cells have greater invasive-ness and morphological changes. TAMs undergo polarized activation and have the ability to induce tumors and promote tumor progression and metastasis. In TNBC, TAMs promote the occurrence and development of tumors, and directly and indirectly regulate the expression of programmed death ligands (PD-1/PD-L1) in the tumor environment, which is closely related to the poor prognosis of tumor patients. <sup>14,64</sup>

# Cancer-Associated Fibroblasts (CAFs)

Cancer-Associated Fibroblasts (CAFs) are an activated form of fibroblast with a high degree of heterogeneity accompanied by dynamic changes in tumor development. The marker commonly used to detect CAFs is α-SMA. Sources of CAFs include: (1) CAFs are activated and proliferated by resident fibroblasts, and tumor cells secrete TGF-β and PDGF, thereby activating normal fibroblasts in the tissue to transform into CAFs; (2) Bone marrow-derived stem cells differentiate: tumors recruit bone marrow-derived progenitors or mesenchymal stem cells to differentiate into CAFs; (3) Pericyte transdifferentiation: stellate cell (pericyte) transdifferentiation is the main source of CAFs in liver and pancreas, and plays an important regulatory role in the development of liver cancer and pancreatic cancer.

CAFs reduce anti-tumor immunity, enhance tumor cell proliferation and invasion, promote neoangiogenesis of tumor cells, reshape the extracellular matrix (EMC), and contribute to the formation of an immunosuppressive microenvironment. Studies have shown that CAFs autophagy can improve the in vitro migration of TNBC cell lines MDA-MD-231 and BT-549 cells. Other

studies have shown that CAFs may promote the development of TNBC by activating TGF-β. 75 Co-culture experiments demonstrated that interactions between basal-like breast cancer cells and fibroblasts induced high levels of interleukin-chemokine expression, including IL-6, IL-8, CXCL1, CXCL3, and TGF-β. 76 In addition, CXCL16 expressed by myeloid cells activates CAFs and recruits more myeloid cells and fibroblasts in TNBC.<sup>77</sup> There are four CAF subgroups in the microenvironment of human breast cancer, with different accumulation in different subtypes. There are four CAF subgroups in the microenvironment of human breast cancer, with different accumulation in different subtypes. Another fibroblast subgroup, CAF-S4, showed no such activity. TNBC can be divided into two subgroups according to the enrichment degree of CAF-S1 or CAF-S4. Compared with the enrichment of CAF-S4 in TNBC, the enrichment of CAF-S1 in TNBC was more aggressive and the infiltration of CD8+ T cells was lower.13

# Tumor Associated Neutrophils (TANs)

Neutrophils account for about 60% of all white blood cells in circulation and are the first line of defense at sites of infection or inflammation. In inflammatory tissues, neutrophils interact with macrophages, dendritic cells, natural killer cells, lymphocytes, and mesenchymal cells in a complex bi-directional manner. Activated neutrophils release a variety of chemokines and peroxidases (MPO), activate and recruit monocytes/macrophages to the inflammatory sites. <sup>12,78,79</sup>

Tumor associated neutrophils (TANs) are an important component of tumor microenvironment and can be activated under various conditions to directly lyse tumor cells or induce antitumor function through cytotoxicity, acting as immunosuppressive cells.80 TAN is divided into two phenotypes, N1 and N2. The N2-type neutrophils promotes tumor growth, and blocking TGF- or enhancing IFN- converts TANs to the anti-tumor N1-type neutrophils. TGF-β had similar effects on the activation of M2like TAMs and N2-like TANs, suggesting that TAMs and TANs are closely related in tumor microenvironment. 81-83 Studies have shown that TANs in TNBC promotes tumor proliferation, migration, invasion and metastasis and inhibits anti-tumor immunity. In addition, TNBC cells secrete granulocyte-macrophage colony stimulating factor (GM-CSF), which stimulates TANs to release tumor suppressor

M, promotes angiogenesis, and improves tumor cell infiltration.<sup>84</sup>

# NK Cell

NK Cell is involved in the innate immune cytotoxic cells, mainly derived from bone marrow hematopoietic stem cells. It is distributed in peripheral lymphatic non-lymphoid and lymphoid organs and tissues. As the first line of defense against tumor and infection, NK Cells are activated by a series of germline encoded surface receptors without specific antigen stimulation, and has a good prospect in the field of immunotherapy.

NK cells have the ability to induce tumor cell death in the absence of specific immunity. When activated NK cells come into contact with tumor cells, they release perforin/ granzyme, secreting cytokines such as TNF-α and IFN-γ, which play a cytolysis role. NK cells effectively recognize and kill tumor cells through MHC-I down-regulation mechanism, which is a common mechanism for cancer cells to avoid T cell recognition. A recent meta-analysis showed that ArNKG2D and DNAM1 ligands were expressed in NK cells in the microenvironment of breast cancer. 57,85 It was also reported that patients with higher expression of NCR3 (NKp30), NCR1 (NKp46), CD96 CRTAM DNAM1 and NKG2D had better survival rate, and the increase of NK cells was positively correlated with better pathological reaction. On the other hand, a significant correlation between BASELINE tumor infiltrating NK cells and pCR was observed in HER2-positive cancers.86,87

# Cancer-Associated Adipocytes (CAAs)

Cancer-associated adipocytes (CAAs) are understudied cells from the tumor microenvironment. CAAs is one of the cellular components that constitute the microenvironment of breast cancer. It was initially determined to provide large energy-storage metabolites with high energy, from which tumor cells capture metabolites such as ketones, fatty acids, pyruvate and lactic acid. In breast cancer microenvironment, CAAs secretes chemokine ligand 2 (CCL2), chemokine ligand 5 (CCL5), interleukin-1 (IL-1), interleukin-6 (IL-6), tumor necrosis factor-α (TNF-α), and vascular endothelial growth factor (VEGF). 88-91 Increased levels of CCL5, CCL2 and inflammatory cytokines IL-6 and TNF-αpromote tumor cell proliferation and invasion and angiogenesis. 15 There is evidence that CAAs promotes the migration of breast cancer cells by secreting IL-6 and CCL2, increases the aggressiveness of cancer cells, and drives tumor progression. 88,92 Adipocytes also enhanced the invasiveness of MDA-MB-231 cells, and this effect was partly induced by CCL5, which was negatively correlated with OS. 90 Studies have shown that breast cancer cells can stimulate adipocytes to release fatty acids, and co-culture with adipocytes can promote the growth and migration of McF-7 and MDA-MB-231 cells. 93 Other studies have shown that CAAs metabolic reprogramming drives cancer progression, and that CAAs metabolic reprogramming can be attributed to its potentially high tumor-promoting ability. In addition, the expression of PD-L1 in CAAs prevents the anti-tumor function of CD8+ T cells. Lipoinhibitors selectively reduce the expression of PD-L1 in CAAs and contribute to the immunotherapy of breast cancer. 15

# Unique Features of Immune Cells in TNBC Microenvironment

TNBC accounts for 10%-20% of breast cancer and is the subtype with the worst prognosis. Various cell components in the TNBC microenvironment can be used as prognostic factors to predict the clinical results of TNBC and guide the treatment of TNBC. The tumor microenvironment consists of tumor cells and a variety of stromal cells, including tumor-related immune cells, fibroblasts and adipocytes. The microenvironment components and functions of different subtypes of breast cancer are different. For example, the cell infiltration rate of TILs and TAMs in the TNBC microenvironment is higher than that of other subtypes of breast cancer. In TNBC, M2-type macrophages are polymorphic in anti-inflammatory and stem cell renewal, and are significantly up-regulated compared with other subtypes of breast cancer. In addition, CAAs in TNBC microenvironment had a more significant effect on tumor cell growth and invasion (Table 1).66,94

# Cytokines in the TNBC Microenvironment

One of the important factors of tumor microenvironment (TME) is tumor interstitial fluid (TIF) containing tumor secretory bodies. 95 TIF surrounds tumor cells and stromal cells, and contains a variety of cytokines, nutrients, and other factors that determine the outcome of virtually every aspect of tumor angiogenesis, growth, metastasis, and treatment. With the expansion of cancer immunotherapy to TME, TIF and its various cytokines become an important source of new targets for cancer therapy.

Table I The Main Cell Components in Microenvironment of Different Subtypes of Breast Cancer

Cell Type	Luminal	TNBC	Prognostic Significance (TNBC)
TIL	Lower number	Higher numbers	Positive correlation (CD8+ T cells and Tregs)
TAM	-Lower amount -Suppression -Show round shape -Slight increase in M <sub>2</sub> markers	-Higher amount -Promotion or no influence -Exhibit polymorphism -Significant up-regulation in M <sub>2</sub> markers	Negative correlation
CAF	-Proliferation -Estrogen action in stroma	-Migration -Up-regulation of immune response genes in stroma	Negative correlation
CAA	Promotion in growth and aggressive	A more pronounced effect on the growth and aggression	Negative correlation

Angiogenesis is one of the main features of cancer. Tumor cells and stromal cells secrete cytokines and growth factors that stimulate endothelial cell proliferation and establish blood vessels to promote growth and blood-borne metastasis. Among all known angiogenic factors, VEGF is closely associated with tumor cell invasion and metastasis, and upregulation under hypoxia conditions. 96-99 Preclinical and clinical studies have shown that VEGF ACTS as a target for reducing angiogenesis. VEGF blocking has been shown to promote ovarian, cervical cancer, colorectal, kidney cancer, lung cancer, and breast cancer survival, especially when combined with chemotherapy, to effectively slow tumor growth. 95,100,101 The level of VEGF in plasma may be a biomarker for anti-angiogenic therapy in tumors. TNBC expresses high levels of VEGF and molecules that promote tumor cell growth and migration. VEGF is an important proangiogenic factor in the TNBC microenvironment, and its expression is highly dysregulated, which is of great significance for the prognosis of TNBC. In TNBC, VEGF binds to endothelial cell surface receptors, which affecting tumor growth. It was found that VEGF can induce the adhesion and migration of MDA-MB-231 cells when co-cultured with endothelial cells. In addition, TNBC can express endothelial markers and form vascular-like channels through the differentiation of endothelial cells in vitro and in vivo, generating blood space surrounded by tumor cells. Research data showed that VEGF level in the TNBC microenvironment was significantly higher than that in non-TNBC tumors, which was 3 times and 1.5 times as high as that in the ER/ PR positive group and her-2 positive group. 66,102-105

TNBC exists in a variety of cytokines and chemokines, including IFN- $\gamma$ , TNF- $\alpha$ , TGF- $\beta$ , IL-6, IL-8, IL-2, IL-15, IL-18 and IL-1 $\beta$ , which influence tumor growth, metastasis and drug resistance, mediated immune suppression and antitumor activity, plays an important role in micro environment.

IFN-γ and its transcriptional regulator STAT1 participate in the immune response, promote M1 macrophage polarization, and activate the body's anti-tumor response. TAMs can induce PD-L1 expression by secreting IFN-γ and activating JAK/STAT3 pathways. Studies have shown that activation of the JAK/STAT signaling pathway in TNBC can somehow inhibit tumor growth. More recently, IFN-γ associated mRNA profiles have been proposed as predictors of PD-1 blocking clinical responses. <sup>14,106,107</sup>

TGF- $\beta$  is a multifunctional cytokine belonging to the TGF superfamily that is involved in the production of Tregs and inhibits the effector function of CTLs (cytotoxic T cells) in the tumor microenvironment of mice model. TGF- $\beta$  can also increase the inhibitory activity of TAMs and promote tumor escape by promoting M1-to-M2 phenotype polarization and inducing PD-L1 up-regulation.<sup>14</sup>

IL-6 is a pluripotent cytokine and a classic inflammatory cytokine that interferes with the expression of cell adhesion and surface antigen molecules, regulates a variety of cell functions, including proliferation, differentiation and immune defense, participates in TNBC growth, and is related to TNBC prognosis. 14,108,109 IL-6 enhances the cell lysis capacity of NK cells and promotes the proliferation and differentiation of bone marrow-derived cells. Under the condition of IL-6 deficiency, the

expression of PD-L1 was enhanced, and the inhibitory activity of anti-PD-L1 antibody in vivo was significantly enhanced. TNBC cells secrete a large amount of IL-6, which interacts with IL-6 receptors in LEC to activate the JAK2-STAT3 signaling pathway, up-regulate CCL5 and VEGF in LEC, and induce the synthesis of CCL5 and VEGF in lung lymphocytes (LEC). 110 CCL5 is a widely studied chemokine, which can recruit leukocytes to the inflammatory site and bind with CCR5 to enhance the ability of TNBC metastasis and invasion and promote the TNBC lymph node metastasis. 110,111 VEGF contributes to lymph node angiogenesis, promotes TNBC cells to generate blood vessels in LEC to maintain growth, and helps tumor cells to spread to the outside of the lung. Studies have found that inhibition of CCR5 or VEGF can prevent TNBC tumor metastasis to the lungs. 110,112

IL-8, IL-2, IL-15, etc. are important indicators of immune response in breast cancer and are differentially expressed in different subgroups of breast cancer. Compared with other subtypes of breast cancer, IL-8 is most expressed in the TNBC stroma. IL-8, an immunerelated cytokine and inflammatory mediator, has tumorigenic and angiogenic properties, and is most expressed in TNBC. Studies have found that the expression of IL-8 in the interstitial of cancer is related to the prognosis of TNBC patients, and is negatively correlated with the metastasis and local recurrence of TNBC. IL-8 is secreted by monocytes and endothelial cells. IL-8 secreted by tumor cells, invasive neutrophils and tumor-related macrophages participates in the angiogenesis, proliferation and cells of tumor microenvironment. 110,113 The IL-8 receptors CXCR1 and CXCR2 selectively form dimers. CXCR1 interacts with IL-6 and IL-8, while CXCR2 binds to IL-1, IL-2, IL-3, IL-5, IL-6, IL-7 and IL-8. CXCR1 plays a role in IL-8-induced immune response chemotaxis, and CXCR2 plays a role in cell migration. IL-8 binds to CXCR2 with a higher affinity than CXCR1, which is a key receptor for several types of tumor metastasis. IL-8 is a key secretory factor for TNBC tumor growth and metastasis, and CXCR1 and CXCR2 are potential therapeutic targets for metastatic triple-negative breast cancer. 114-119 TNBC cell secretion of IL-8, related to the tumor microenvironment in tumor CXCR1 on fibroblast cells and macrophages, CXCR2 receptor interactions, activate CXCR1, CXCR2 receptors, induction of STAT3 phosphorylation, which increases the expression of IL-8 and stromal cells secrete, and the matrix of cancer associated fibroblasts and macrophages secretion of IL-8 with TNBC CXCR1, CXCR2 receptors on the cell interaction, promote TNBC extravasation and engraftment tumor growth and metastasis. Studies have found that TNBC cells co-cultured with fibroblasts or macrophages have higher proliferation and migration ability, while inhibition of IL-8 signaling pathway can reduce the proliferation and migration of TNBC cells. 110

In TNBC, IL-2 or IL-15 cytokine stimulation can significantly increase the NK-mediated ADCC in the microenvironment and improve the anti-tumor ability of NK cells. IL-15 has emerged as a potential new candidate for immunotherapy for cancer, especially in combination with other drugs. IL-15 is structurally related to IL-2 and has a synergistic effect, promoting IL-2 cell proliferation, promoting T cell differentiation, stimulating B cells to produce immunoglobulin, activating NK cells and enhancing NK cytotoxic function. I20,121 Compared with IL-2, IL-15 has no significant effect on Treg cells, does not mediate cell death (AICD), and is less toxic and does not cause capillary leakage syndrome in mice and non-human primates. IL-15 can induce NK cell proliferation and survival and enhance its cytotoxic function.

IL-18 is a multipotent cytokine member of the IL-1 family. It is produced by several cells, including macrophages, and plays a pro-inflammatory and anti-inflammatory role. IL-18 is involved in regulating the expression of PD-1 in TNBC microenvironment. IL-18 in tumor microenvironment increases the number of immunosuppressive NK cells and induces PD-1 expression in a subset of NK cells. The level of tumor-derived IL-18 was significantly associated with poor survival in TNBC patients. I24,125 In addition, in TNBC, IL-1β interferes with T-cell-mediated immune response, induces tumor angiogenesis, and has carcinogenic effects. Il-10 is an important factor for mononuclear macrophages to participate in the immune process of the body, while IL-12 can inhibit tumor growth by inducing a strong cellular immune response. I4

# TNBC and Cytokine-Induced Killer Cells (CIK)

Cytokine-induced killer cells (CIK), a subset of cytotoxic T lymphocytes with a CD3+ CD56+ immunophenotype, is an Immunoreactive host effector cell and an ideal candidate cell type for adoptive immunotherapy for tumors. Adoptive immunotherapy collects immune cells from human body, transforms and amplifies them

in vitro, and infuses them back into human body for antitumor response. The CIK is a heterogeneous group of immune-effector cells extracted from PBMCs, stimulated by multiple cytokines such as IFN- $\beta$  and IL-2, which are amplified to sufficient levels to kill cancer cells without targeting healthy cells or tissues. 126,129

CIK proliferates rapidly, which has few side effects and anti-tumor activity. It can directly kill tumor cells and regulate and enhance the immune function of host cells in vivo. CIK cells secrete cytokines such as IFN-y, IL-2 and TNF- $\alpha$ , activate the anti-tumor activity of macrophages, induce the immune damage caused by chemotherapy drugs, enhance the immune monitoring function of the body, and inhibit the growth of tumor cells. 128-132 Tregs in the TNBC microenvironment can inhibit the activation and proliferation of T lymphocytes, prevent the proliferation of NK cells, limit the anti-tumor effect of immune cells, induce inhibitory cytokines, eliminate effector cells, promote the immune escape of tumor cells, and promote the development of tumor. CIK can reduce the Tregs ratio, increase the proportion of CD4+ T cells and CD8+ T cells, and reduce or eliminate the immunosuppressive state of tumor microenvironment. 128,133,134

The greatest advantage of CIK cells in the treatment of malignant tumors is safety. Compared with LAK (lymphokine-activated killer cells), CIK enhances tumor cell lysis activity and reduces toxicity. In decade of clinical studies, CIK therapy has been shown to provide positive clinical outcomes in patients with multiple types of cancer. At present, CIK has been widely involved in adjuvant and chemotherapy of cancer, and combined treatment of a variety of blood and solid tumors including TNBC, such as renal cell carcinoma, gastric cancer, non-small cell lung cancer, colon cancer and liver cancer has good efficacy and safety. In the treatment of

Conventional chemotherapy combined with CIK immunotherapy is an optimized treatment strategy, which can significantly improve the prognosis of TNBC patients. <sup>138</sup> Based on the synergistic effect of chemotherapy, the immunosuppressive factors in TNBC microenvironment were removed, which was beneficial to the antitumor function of immune effector cells. <sup>141</sup> CIK eliminates potential or residual tumor cells after chemotherapy, including drug-resistant tumor cells. <sup>142,143</sup> In addition, CIK has a strong tumor killing effect, reducing the risk of recurrence and metastasis. <sup>144,145</sup> CIK therapy combined with chemotherapy can reduce the recurrence and metastasis of TNBC patients after surgery, prolong the overall

survival time with minimal side effects, and have a stronger impact in the relatively early stage of the disease. Early TNBC patients benefited the most from CIK therapy, which is consistent with the results of some existing studies on CIK immunotherapy for other early tumors. 146,147 On the one hand, the immune function of patients with advanced cancer is inhibited by tumor, which prevents the initial expansion of CIK cells and affects the activity of CIK cells infused. On the other hand, advanced metastatic cancer cells may evolve at the molecular level to evade immune surveillance or immunotherapy. 128

The TNBC genome is unstable with strong antigenicity, and the expression of TILs and PD-L1 is high, making it an appropriate target for immunotherapy. 126,128 Immune checkpoint inhibitors, such as anti-PD-1 and anti-PD-L1 antibodies, have made breakthroughs in clinical practice, promoting in-depth studies on the efficacy and safety of autologous CIK cell therapy and chemotherapy in TNBC patients. In conclusion, CIK cell immunotherapy may be a new strategy for TNBC-assisted therapy, but not all patients treated with CIK are expected to have improved outcomes. Therefore, how to improve the efficacy of CIK cells in TNBC treatment is worthy of further study.

# TNBC with Immune Checkpoint

TNBC has the worst prognosis among the different subtypes of breast cancer. Currently, radiotherapy and chemotherapy are the main treatments for TNBC, but patients have residual disease after chemotherapy, showing a worse overall survival rate than the luminal type of breast cancer. 148,149 These results indicate that chemotherapy alone is not enough to treat TNBC, and more advanced treatment methods are needed to improve the prognosis of this specific patient subgroups. For many years, immunotherapy has not been considered suitable for TNBC, until recently as some studies have found various effective immunotherapy drugs. 150 Currently, immunotherapy is being developed as a new treatment regimen for TNBC. Cancer immunotherapy includes immune checkpoint inhibitors, cytokine/adoptive cell therapy, and cancer vaccines. Immune checkpoints are a group of different regulatory points in the adaptive immune system and play an important role in self-tolerance and anti-tumor immunity. Most clinical trials of immune checkpoint blockade have focused on cytotoxic T lymphocyte-associated antigen 4 (CTLA-4) PD-1/PD-L1 (Figure and Table 2). 148,151,152

# Tumor Microenvironment Activated T cell Tumor cell PD-L1 - CTLA-4 - Suppressive cytokines

Figure 3 The main immune cells involved in immune checkpoint regulation and their interactions in tumor microenvironment.

Among all breast cancer subtypes, PD-L1 accounts for 20–50%, and its expression rate in TNBC is relatively high. <sup>148,150,153–155</sup> PD-L1 positivity in immune cells correlates with a favorable prognosis <sup>156–158</sup> Stovgaard et al 2021 found that PD-L1 expression was associated with improved survival, perhaps due to the more active immune response of lymphocytes with high PD-L1 expression in the TNBC microenvironment. <sup>159</sup> The pathological complete response rate of TNBC patients with high PD-L1

Table 2 Clinical Trial of Immunotherapy for TNBC

	1		l .
NCT	Subjects	Treatment	Status
02768701	Terminal	Cyclophosphamide,	ANR
	TNBC	Pembrolizumab Injection	
04024800	Terminal	AE37 peptide vaccination,	R
	TNBC	Pembrolizumab Injection	
02977468	Early TNBC	Pembrolizumab Injection	R
	(Node-		
	negative)		
02555657	Terminal	Pembrolizumab Injection	ANR
	TNBC		
02819518	Terminal	Pembrolizumab Injection,	ANR
	TNBC	Paclitaxel Injection	
		(AlbuminBound)	
03639948	Early TNBC	Pembrolizumab Injection	R
03498716	Early TNBC	Atezolizumab	R
03164993	Terminal	Atezolizumab	R
	TNBC		
03197935	Early TNBC	Atezolizumab	ANR
03012100	Early TNBC	Cyclophosphamide, $\alpha$ -	R
		polypeptide vaccine	

Abbreviations: ANR, active, not recruiting; R, recruiting.

expression was as high as 44.4%, while that of TNBC patients without PD-L1 positive immune cells was 2.6%. Therefore, TNBC expression of PD-L1 can be used as a potential biomarker for clinical applications and targeting PD-1 or PD-L1 may be an option for treating TNBC. 160

As negative regulators of immune activation, CTLA-4 and PD-1 inhibit the antitumor effects of the tumor microenvironment. 161-164 A number of clinical trials are currently evaluating checkpoint inhibitor combinations (blocking CTLA-4 and PD-1/PD-L1 pathways) to improve response rates. For example, a phase 1b/2 clinical study of KN046 combined with nab-paclitaxel in patients with TNBC was recently announced by Alphamab Oncology at the American Association for Cancer Research (AACR 2021) (Clinical Trial Number: KN046-203; NCT03872791). KN046 is a diabody of PD-L1/CTLA-4, independently developed by Alphamab Oncology. The results showed that the combination of KN046 with nab-paclitaxel was well tolerated and effective, showing potentially clinically significant benefits in progression-free survival (PFS) and overall survival (OS), especially in patients with PD-L1 positive TNBC. The results showed that the combination of CTLA-4 and PD-1/PD-L1 immune checkpoint inhibitors is a promising immunotherapy method for TNBC. The combination of two different classes of antibody drugs overcame the immunosuppressive effect of tumor tissues and transformed the immunosuppressive condition of the tumor microenvironment into a matrix environment with anticancer function,

showing a significant effect in the treatment of TNBC <sup>148,150,153,154,165,166</sup>

### **Discussion**

TNBC is the most aggressive and metastatic subtype of breast cancer with high intrinsic heterogeneity. The lack of estrogen receptor (ER), progesterone receptor (PR), and HER-2 expression means that there are few targeted drugs available to patients with TNBC. Thus, chemoradiotherapy is the first choice of systemic treatment for patients with TNBC. Anthracyclines and taxoid anticancer drugs are still the preferred treatment for patients with early cancer. For patients with terminal cancer, new chemotherapeutic agents, such as paclitaxel injection (albumin bound) and eribulin mesylate, have made some progress. However, only 10-15% of patients with TNBC respond to standard chemotherapy, with remission lasting only 2–3 months, which makes the treatment of TNBC a difficult problem. Therefore, it is necessary to develop new treatment strategies, such as TNBC immunotherapy and cell therapy, and combine traditional radiotherapy and chemotherapy to comprehensively improve the efficacy of TNBC patients. In a recent study, Prof. Schmid et al demonstrated that immunotherapy can significantly reduce the risk of TNBC recurrence. Among patients with early TNBC, the percentage with a pathological complete response was significantly higher among those who received pembrolizumab plus neoadjuvant chemotherapy than among those who received placebo plus neoadjuvant chemotherapy. Other studies have shown that atezolizumab plus nab-paclitaxel prolonged progression-free survival among patients with metastatic TNBC in both the intention-to-treat population and the PD-L1-positive subgroup. 151,152 These results indicate that immunotherapy is gradually being applied in the treatment of TNBC and has achieved certain results.

Immunotherapy and cell therapy are closely related to the functional characteristics of tumor microenvironmental components. TNBC immunotherapy and cell therapy targets are mostly derived from the unique immune microenvironment of TNBC, and previous studies have shown that the prognosis of TNBC is closely related to biomarkers in the microenvironment. <sup>7,9,10,16,17,19</sup> For example, CD8+ T lymphocytes and CD4+ T lymphocytes are positive predictors of the long-term prognosis of TNBC. TNBC with higher TILs showed better short-term and long-term prognoses. High levels of TILs (CD8+, CD4+, and FOXP3+)-specific phenotypes positively predicted long-term progression. However, **TAMs** are an independent factor for poor prognosis of TNBC. They promote the occurrence and development of tumors, directly and indirectly regulating the expression of PD-1/ PD-L1 in the tumor environment, and are significantly correlated with tumor size, lymph node metastasis, and degree of differentiation. CAFs, TANs, and CAAs, as important predictors of poor prognosis in TNBC, also play important regulatory functions in tumor occurrence, development, and metastasis. In addition, the TNBC microenvironment has an important impact on the malignant behavior and growth of tumor cells and surrounding cells, and its unique microenvironment can reprogram surrounding cells, offset the progress of cancer cells, develop unique signaling pathways, and form a positive feedback loop, thus affecting the targeted therapy for TNBC tumor cells.<sup>66</sup> The TNBC microenvironment is also associated with the characteristics of TNBC, immune system suppression, avoidance of immune detection, and drug resistance. In the microenvironment, various immune cells, immune factors, and tumor cells interact with each other. On the one hand, specific immune responses and innate immune responses are used to monitor TNBC and inhibit the occurrence, development, and metastasis of tumor cells. In contrast, immunosuppressive cells, immunosuppressive factors, phenotypic remodeling of tumor cells, and secreted immunosuppressive factors can cause immune escape of tumor cells. 7,9,13,14,16,17

At present, research on the TNBC immune microenvironment is mainly limited to TILs, TAMS, and CAFs, while there are few basic studies on other cells in the microenvironment, such as TANs, NK cells, and CAAs, and the biological characteristics such as the distribution, function, and interaction of immune cells and cytokines in the TNBC microenvironment, have not been fully clarified. There is still a lack of more powerful bioinformatics analysis tools, leading to the personalized precision treatment of TNBC patients still facing many challenges. Therefore, more in vitro and in vivo experiments are needed to clarify the relationship between the components in the TNBC microenvironment, in order to further study the function and characteristics of the components in the TNBC microenvironment. It is believed that with the further advancement of functional genomics such as TNBC proteomics and immunohistology, as well as the development of new bioinformatics tools and immunotherapy technologies, the TNBC microenvironment will be further explored and studied

to promote the precise and individualized treatment of TNBC.

### **Conclusion**

In conclusion, an in-depth study of the components and functions of the TNBC microenvironment will aid in further understanding of the unique characteristics of TNBC, reveal the heterogeneity of TNBC, provide accurate prognosis prediction, improve the accuracy of TNBC clinical immunity and cell therapy, and develop new treatment strategies for TNBC.

### **Disclosure**

The authors report no conflicts of interest for this work.

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