Treatment of human astrocytoma U87 cells with silicon dioxide nanoparticles lowers their survival and alters their expression of mitochondrial and cell signaling proteins

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Abstract: Recent evidence suggests silicon dioxide micro- and nanoparticles induce cytotoxic effects on lung cells. Thus, there is an increasing concern regarding their potential health hazard. Nevertheless, the putative toxicity of nanoparticles in mammalian cells has not yet been systematically investigated. We previously noted that several metallic oxide nanoparticles exert differential cytotoxic effects on human neural and nonneural cells. Therefore, we hypothesized that silicon dioxide nanoparticles induce cytotoxicity in U87 cells by lowering their survival by decreasing cell survival signaling and disturbing mitochondrial function. To investigate this hypothesis, we determined the activities of the key mitochondrial enzymes, citrate synthase and malate dehydrogenase, in astrocytoma U87 cells treated with silicon dioxide nanoparticles. In addition, we studied the expression of the mitochondrial DNA-encoded proteins, cytochrome C oxidase II and nicotinamide adenine dinucleotide (NADPH) dehydrogenase subunit 6, and cell signaling pathway protein extracellular signal-regulated kinase (ERK) and phosphorylated ERK in treated U87 cells. The activated form of ERK controls cell growth, differentiation, and proliferation. In parallel, we determined survival of U87 cells after treating them with various concentrations of silicon dioxide nanoparticles. Our results indicated that treatment with silicon dioxide nanoparticles induced decreases in U87 cell survival in a dose-related manner. The activities of citrate synthase and malate dehydrogenase in treated U87 cells were increased, possibly due to an energetic compensation in surviving cells. However, the expression of mitochondrial DNA-encoded cytochrome C oxidase subunit II and NADH dehydrogenase subunit 6 and the cell signaling protein ERK and phosphorylated ERK were altered in the treated U87 cells, suggesting that silicon dioxide nanoparticles induced disruption of mitochondrial DNA-encoded protein expression, leading to decreased mitochondrial energy production and decreased cell survival/proliferation signaling. Thus, our results strongly suggest that the cytotoxicity of silicon dioxide nanoparticles in human neural cells implicates altered mitochondrial function and cell survival/proliferation signaling.

Keywords: cytotoxicity, silicon dioxide nanoparticles, mitochondrial enzyme, extracellular signaling regulated kinase, cell signaling, neural cells

Introduction

According to the Environmental Protection Agency, exposure to nanomaterials can occur during the manufacturing or production process, and also has the potential to pollute the environment,¹ in this case the occupational environment. A case in point is exposure to silicon dioxide nanoparticles because silicon dioxide, including a range of its particle sizes, is being used in cosmetics, food,² and drug formulations.³

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In general, silicon dioxide is viewed as a nontoxic substance and its industrial applications are numerous.\textsuperscript{2–4} Nevertheless, there have been reports, at least in the last two decades, that silicon dioxide particles are not as harmless as they were previously assumed to be.

When the size of silicon dioxide is above the micrometer scale (ie, >1 \( \mu \)m), it appears to be benign to human, insects, and microorganisms. However, diatomaceous earth, which contains more than 85% of amorphous silicon dioxide, is used as insecticide in dust form,\textsuperscript{5} but its toxicity to insects is not well defined. When the size of silicon dioxide is in the micrometer scale and below, several toxic effects have been observed in humans and animals. Most noticeable is the disease of silicosis in humans,\textsuperscript{5} resulting from prolonged exposure to crystalline silica dust, and similar symptoms have also been reported in rats.\textsuperscript{6} Thus far, silicon dioxide toxicity reports have been almost exclusively on particle sizes at the micrometer scale, and mostly concerning pulmonary injuries or lung inflammation.\textsuperscript{5,6} Few studies have been conducted on silicon dioxide at the nanometer scale,\textsuperscript{6,6} and even fewer studies so far reported have been conducted with human cells.

In macroscopic sizes, silicon dioxide is not known to be cytotoxic.\textsuperscript{2,3,5,6} However, a recent study demonstrated that exposure of amorphous spherical silicon dioxide nanoparticles of different sizes induced decreases in viability of human endothelial cells, an expression of their cytotoxicity which was apparently dependent on their particle size.\textsuperscript{7} Nevertheless, few, if any, studies have examined the putative cytotoxic effects of silicon dioxide nanoparticles on human neural cells.

There was an early study indicating that silica particles (in the micrometer and nanometer ranges) when introduced into the brains of rats and mice induced an inflammatory response in brain astrocytes and macrophages, and the degeneration of some adjacent axons and axon terminals, as elucidated by light and electron microscopy.\textsuperscript{8} Furthermore, silicon and aluminum were found to be co-localized in the central region of senile plaque cores in the cortex of patients with senile dementia of the Alzheimer type,\textsuperscript{9} and the accumulated silicon and aluminum appeared to be localized, at least in part, in lipofuscin granules in the brains of patients who died with Alzheimer’s disease.\textsuperscript{10} Consequently, these early studies\textsuperscript{8–10} suggest that silicon dioxide nanoparticles may exert cytotoxic effects on neural cells.

We recently found that exposure to titanium dioxide and two other metallic oxide (namely, ZnO and MgO) nanoparticles induced differential cytotoxicity on human neural cells.\textsuperscript{11} Because of our recent findings\textsuperscript{11} and the increasing evidence that a variety of nanoparticles (including silicon dioxide-containing nanoparticles) can cross the blood–brain barrier,\textsuperscript{12,13} we initiated this study to investigate the putative cytotoxic effect(s) of silicon dioxide nanoparticles on human neural cells. In particular, we focused on investigation of the putative cytotoxic effects of these nanoparticles on mitochondrial function and survival cell signaling in human astrocytoma U87 cells that are astrocyte-like in view of the fact that they are good models of astrocytes normally found in the brain in vivo\textsuperscript{14,15} and that astrocytes in the brain are functionally coupled to endothelial cells and hence the functions of the blood–brain barrier.\textsuperscript{16,17}

Because of the aforementioned considerations, we hypothesized that silicon dioxide nanoparticles induce cytotoxicity in U87 cells by disturbing mitochondrial function and by lowering cell survival via decreasing cell survival signaling. To investigate our hypothesis, we determined the effects of treatment with various concentrations of silicon dioxide nanoparticles on the activities of two key mitochondrial enzymes, ie, citrate synthase and malate dehydrogenase, and expression of the mitochondrial DNA-encoded proteins, cytochrome oxidase subunit II and NADH dehydrogenase subunit 6, and the cell survival signaling pathway protein ERK and phosphorylated ERK in U87 cells.

**Materials and methods**

**Materials**

Silicon dioxide nanoparticles (size 12 nm) were purchased from STREM Chemicals, Newburyport, MA. Dulbecco’s modified Eagle’s medium (DMEM) and other chemicals were purchased from Sigma-Aldrich (St. Louis, MO). U87 cells were obtained from American Type Culture Collection (Manassas, VA).

**Cell culture**

Human U87 astrocytoma cells were cultured using DMEM supplemented with 10% (v/v) fetal bovine serum and antimycotic solution. The cells were maintained in a 75 cm\textsuperscript{2} flask containing 20 mL medium, incubated at 37°C in a CO\textsubscript{2} incubator. When the cells reached 70%–80% confluency, they were harvested either by scrapping or trypsinization and transferred to other flasks.

**Preparation of stock suspension of silicon dioxide nanoparticles**

The silicon dioxide nanoparticles stock suspension was first prepared by suspending 50 mg of silicon dioxide nanoparticles in a 100 mL conical flask containing sterile phosphate-buffered saline. The suspension was then placed...
in a magnetic stirrer to allow the mixture to stir continuously at room temperature before further dilutions were made from the stock (which was being continuously stirred) to be used at specified concentrations and then mixed for use in treating cells.

**MTT assay to assess cell viability**

Cells were seeded (2000 cells/well) onto a 96-well plate and allowed to attach to the bottom of each well (inner diameter 0.6 cm) for an hour. Silicon dioxide nanoparticles were then added at specified concentrations (0.1–100 µg/mL) to each well containing 0.2 mL with the cells attached at the bottom and mixed. The plates so prepared were incubated for 48 hours at 37°C. MTT dye was then added to each well and the plate incubated for another four hours at 37°C. The purple crystals formed in cells were dissolved using dimethyl sulfoxide and the absorbance of the resultant material in the wells was measured at 567 nm using the microplate reader as described previously.11

**Determination of mitochondrial enzyme activity**

The U87 cells were cultured in DMEM in 75 cm² flasks. When the cells were 70%–80% confluent, silicon dioxide nanoparticle treatments were initiated. The mitochondrial function of the untreated and treated U87 cells was assessed by measuring activities of two tricarboxylic acid cycle enzymes, citrate synthase and malate dehydrogenase, in U87 cells treated with silicon dioxide nanoparticles at 0, 25, 50, or 100 µg/mL by previously published methods.18 The untreated U87 cells served as the control. Citrate synthase and malate dehydrogenase activity in cell homogenates was measured at 412 nm and 340 nm, respectively, using an ultraviolet-VIS spectrophotometer.

**Western blot analysis**

Expression of the mitochondrial DNA-encoded proteins, NADPH dehydrogenase subunit 6 and cytochrome C oxidase subunit II, and the cell signaling proteins ERK and phosphorylated ERK were determined by Western blot analysis. The U87 cells treated with or without (ie, control) silicon dioxide nanoparticles were collected and homogenized in a buffer containing 5 mM HEPES and 250 mM sucrose, along with protease inhibitors as described previously.15 Protein content of the homogenates was then determined using the bicinechonic acid technique with a microplate reader.11 Briefly, equal amounts of protein from the samples were loaded onto the lanes of the gels, and the proteins were separated by polyacrylamide gel electrophoresis and transferred to a polyvinylidene fluoride membrane.19 Monoclonal antibodies against the respective proteins were then used to probe the proteins of interest. The polyvinylidene fluoride membrane containing the target protein was then developed using the chemiluminescence technique on an X-ray film to assess the extent of expression of respective proteins.19

**Assessment of cellular morphology**

The morphology of the U87 cells, treated with silicon dioxide nanoparticles for 48 hours at 37°C using specified concentrations, were compared with that of the control or untreated U87 cells by using bright field light microscopy. The images were obtained using a Leica light microscope at 400 x magnification (Leica DM IRB; Leica, Bannockburn, IL) equipped with a digital camera (Leica DFC 300FX; Leica).15

**Statistical analysis**

Data analysis was performed employing one way ANOVA followed by Dunnett’s post hoc test using SPSS software (SPSS Inc., Chicago, IL). All data reported are mean ± SEM of three individual experiments. Statistical significance was set at P < 0.05.

**Results**

**Effect of nanoparticles on human U87 astrocytoma cell survival**

To determine the effect of silicon dioxide nanoparticles on cell survival, U87 cells were exposed to silicon dioxide nanoparticles for 48 hours at concentrations ranging from 0.1 to 100 µg/mL. At lower treatment concentrations, from 0.1 to 10 µg/mL, the nanoparticles did not affect viability of the U87 cells (Figure 1). However, at treatment concentrations of 25 µg/mL and higher, silicon dioxide nanoparticles induced concentration-related decreases in survival of U87 cells.
At the highest treatment level of 100 µg/mL, less than 30% of the cells survived (Figure 1).

**Effect on mitochondrial function in human U87 astrocytoma cells**

Because cell survival critically depends on mitochondrial functions being maintained at a normal physiologic level, we determined the effect of silicon dioxide nanoparticles on mitochondrial function in U87 cells by monitoring the activities of citrate synthase and malate dehydrogenase. Both enzymes are nuclear DNA-encoded; these enzyme proteins are synthesized in the endoplasmic reticulum and then imported into the mitochondrial matrix compartment.

At treatment concentrations of 25–100 µg/mL for 48 hours, silicon dioxide nanoparticles induced dose-related increases in citrate synthase activities in U87 cells (Figure 2). On the other hand, although at the same concentrations the nanoparticles also induced significantly increased activity in malate dehydrogenase in U87 cells, the increases were not dose-related (Figure 3). Using the same nanoparticle concentrations for treatment of U87 cells, there was a dosedependent decrease in cell survival (Figure 1), and it is likely that the remaining surviving U87 cells were compensating by upregulation of citrate synthase and, to a less extent, malate dehydrogenase, so as to maintain their energy production via tricarboxylic acid cycle metabolism for survival.

**Effects of nanoparticles on mitochondrial DNA-encoded and cell signaling protein expression**

Because silicon dioxide nanoparticles induced dose-related decreases in survival of U87 cells at concentrations of 25–100 µg/mL over 48 hours (Figure 1), we investigated the possibility that these decreases in survival can be attributed to the nanoparticle-induced alterations in expression of mitochondrial DNA-encoded and cell signaling proteins (Figures 4–6).

At treatment concentrations of 25–100 µg/mL for 48 hours, silicon dioxide nanoparticles induced dose-related decreases in the expression of cytochrome C oxidase subunit II and NADH dehydrogenase subunit 6 (two mitochondrial DNA-encoded peptides) in U87 cells (Figures 4 and 5). These results strongly suggested treatment with silicon dioxide nanoparticles altered the normal functioning of the mitochondrial genome in U87 cells. A likely consequence...
Two other experiments yielded essentially the same trend of results. Silicon dioxide nanoparticles at 50 µg/mL; lane 4, lysate of U87 cells treated with silicon dioxide nanoparticles at 100 µg/mL. The blots were from a typical experiment. Two other experiments yielded essentially the same trend of results.

Figure 5 Effect of treatment with silicon dioxide nanoparticles on expression of nicotinamide adenine dinucleotide (NADH) dehydrogenase subunit 6 in human astrocytoma U87 cells. U87 cells were treated at specified concentrations of silicon dioxide nanoparticles for 48 hours. Then cell lysates of treated and untreated (ie, control) U87 cells were prepared as described in Materials and methods. The expression of NADH dehydrogenase subunit 5, encoded by mitochondrial DNA, was determined by Western blot analysis using β-actin as the loading control: Lane 1, lysate of untreated or control U87 cells; lane 2, lysate of U87 cells treated with silicon dioxide nanoparticles at 25 µg/mL; lane 3, lysate of U87 cells treated with silicon dioxide nanoparticles at 50 µg/mL; lane 4, lysate of U87 cells treated with silicon dioxide nanoparticles at 100 µg/mL. The blots were from a typical experiment. Two other experiments yielded essentially the same trend of results.

Figure 6 Effect of treatment with silicon dioxide nanoparticles on expression of extracellular signal regulated kinase (ERK) and phosphorylated ERK (p-ERK) in human astrocytoma U87 cells. U87 cells were treated at specified concentrations of silicon dioxide nanoparticles for 48 hours. Then cell lysates of treated and untreated (ie, control) U87 cells were prepared as described in Materials and methods. The expression of ERK and phosphorylated ERK was determined by Western blot analysis using β-actin as the loading control: Lane marked C, lysate of untreated or control U87 cells; lane marked 1, lysate of U87 cells treated with silicon dioxide nanoparticles at 1 µg/mL; lane marked 10, lysate of U87 cells treated with silicon dioxide nanoparticles at 10 µg/mL; lane marked 50, lysate of U87 cells treated with silicon dioxide nanoparticles at 50 µg/mL. The blots were from a typical experiment. Two other experiments yielded essentially the same trend of results.

Other than their effect of depressing the mitochondrial respiratory chain, thereby decreasing oxidative phosphorylation, treatment of U87 cells with silicon dioxide nanoparticles could also lower survival of U87 cells through altering cell signaling pathway(s) that regulate(s) cell survival and proliferation. We therefore investigated this possibility by examining the effect of these nanoparticles on expression of ERK and phosphorylated ERK proteins. We observed that when U87 cells were treated with silicon dioxide nanoparticles 1–50 µg/mL for 48 hours, the protein expression of phosphorylated ERK showed a dose-related decrease, while that of ERK protein remained essentially unchanged (Figure 6), suggesting that a lowering of this cell survival/proliferation signaling mechanism could, at least in part, account for the dose-related decrease in U87 cell survival induced by these nanoparticles.

Effect on morphology of U87 cells

To ascertain whether or not treatment of U87 cells with silicon dioxide nanoparticles led to any gross changes in their morphology, we examined the cells under bright field light microscopy (Figure 7). We observed that as we exposed U87 cells to increasing concentrations of silicon dioxide nanoparticles higher than 25 µg/mL, the cells became more and more enlarged and swollen, suggesting that they assumed a pathologic appearance (Figure 7).

Discussion

Few, if any, recent studies have addressed the cytotoxic effects of silicon dioxide nanoparticles in neural cells. As far as we are aware, ours is the first to report the cytotoxic effects of silicon dioxide nanoparticles on human astrocytoma U87 cells and some of the underlying subcellular mechanisms. Consistent with our hypothesis that silicon dioxide nanoparticles induce cytotoxicity in U87 cells by disturbing mitochondrial function and by lowering cell survival via decreasing cell survival signaling, we found that treatment with silicon dioxide nanoparticles induced concentration-related lowering of protein expression of the mitochondrial DNA-encoded cytochrome C oxidase subunit II and NADH dehydrogenase subunit 6, two key components of the mitochondrial respiratory chain, and phosphorylated ERK, a key cell survival/proliferation signaling molecule in U87 cells. It is noteworthy that we found those changes in protein expression at concentrations of silicon dioxide nanoparticles that correlated with those inducing concentration-related decreases in survival of U87 cells.
As emphasized earlier, the initial rationale for focusing our studies on U87 cells was that few studies have addressed the putative cytotoxic effects of silicon dioxide nanoparticles on neural cells, especially those from the human brain. More importantly, not only do astrocytes have many physiologic roles (eg, metabolic trafficking, neurotransmitter cycling, protecting neurons from pathophysiologic assaults), they also play pathophysiologic roles in disease states (eg, neuroinflammation in neurodegenerative diseases such as Alzheimer’s disease and Parkinson’s disease). Furthermore, it is relevant to note that although U87 are neurotumor cells, they possess many of the “normal” physiologic characteristics of astrocytes detected in primary cultures of astrocytes and astrocytes in the brain in vivo. Thus, U87 cells constitute a good model system in vitro for brain astrocytes. Consequently, we have employed U87 cells as a model system to elucidate the putative cytotoxicity of nanoparticles on neural cells in a systematic manner.

One neurotoxic effect of a neurotoxicant, such as manganese, is via a lowering of cell survival/proliferation signaling molecule expression, leading ultimately to death of neural cells. Consistent with this observation is our finding in this study that treatment of U87 cells with increasing concentrations of silicon dioxide nanoparticles induced a dose-related decrease in protein expression of phosphorylated ERK (Figure 6), one important cell survival/proliferation signaling molecule. This effect correlated quite well with the dose-related decrease in survival of these cells (Figure 1) and alterations in their morphology (Figure 7) induced by the nanoparticles. Moreover, we also found that in U87 cells treated in the same nanoparticle dose

![Figure 7](image-url)
range, there was a concentration-related decrease in protein expression of mitochondrial DNA-encoded cytochrome C oxidase subunit II and NADH dehydrogenase subunit 6 (Figures 4 and 5).

Our present findings that exposure of human astrocytoma U87 cells to silicon dioxide induced dose-related decreases in cell survival and alteration of their mitochondrial structure and function (Figures 1–6) are similar to those of Chen et al who demonstrated that exposure of human brain microvascular endothelial cells to aluminum oxide nanoparticles induced decreased viability of those cells, alteration in their mitochondrial membrane potential, and a lowering of their tight junction protein expression. Consequently, in this context, our finding that silicon dioxide nanoparticles induced a dose-related lowering of the expression of cytochrome C oxidase subunit II and NADH dehydrogenase subunit 6 is of pathophysiologic importance and interest in several respects. Because these two peptides are structural components of the mitochondrial respiratory chain complexes IV and I, respectively, and have key functional roles in that respiratory chain, decreased expression of these peptides induced by the nanoparticles likely results in dysfunction in the mitochondrial respiratory chain and oxidative phosphorylation, leading ultimately to decreased adenosine triphosphate synthesis. Consequently, the decreased expression of the two mitochondrial DNA-encoded peptides could likely contribute to decreasing U87 cell survival induced by silicon dioxide nanoparticles through necrotic cell death as a result of energy failure.

This conclusion is certainly consistent with our observation that the U87 cells assumed an increasingly swollen appearance, a hallmark of necrosis, as we increased their treatment levels of silicon dioxide nanoparticles (Figure 7). The decreased expression of cytochrome C oxidase subunit II and NADH dehydrogenase subunit 6 peptides induced by the nanoparticles could reflect the effect of the nanoparticles on altering communication between the mitochondrial genome and the nuclear genome, decreasing mitochondrial peptide synthesis, disruption of protein targeting to mitochondria, and/or a combination of these mechanisms. The nanoparticle-induced decrease in protein expression of the two peptides could also result from the overall effect of the nanoparticles on survival/proliferation signaling in U87 cells. Clearly, these are novel but mechanistically relevant possibilities that deserve further investigation.

Unlike the downregulation of the protein expression of mitochondrial DNA-encoded cytochrome C oxidase subunit II and NADH dehydrogenase subunit 6, and the survival/proliferation signaling molecule phosphorylated ERK, treatment of U87 cells with silicon dioxide nanoparticles induced concentration-related increases in the activity of citrate synthase and malate dehydrogenase, both of which are located in the mitochondrial matrix (Figures 2 and 3). Because the increases in citrate synthase and malate dehydrogenase activity were observed at nanoparticle concentrations that also induced dose-related decreases in U87 cell survival (Figure 1), one possible, and likely, explanation for the increased citrate synthase and malate dehydrogenase activity is that the surviving cells were showing compensatory/adaptive mechanisms involving upregulation of tricarboxylic acid cycle enzymes in the mitochondrial matrix of surviving or dying cells, or both, in the face of downregulation of mitochondrial DNA-encoded respiratory chain components, such as cytochrome C oxidase subunit II and NADH dehydrogenase subunit 6. Consequently, this upregulation of tricarboxylic acid cycle enzymes may offset (or compensate for) the effect on mitochondrial energetics through the downregulation of respiratory chain components induced by the nanoparticles. Only future studies can elucidate whether this mechanistic possibility is tenable because they are beyond the scope of the present study.

Because ours is the first study to report on the cytotoxic effects of silicon dioxide nanoparticles on human neural cells, only a few comparisons can be made with the effects of silicon dioxide nanoparticles on neural and peripheral cell types because the literature in this area of research is rather scant. For example, Napierska et al demonstrated exposure of human endothelial cells to amorphous spherical silicon dioxide nanoparticles induced decreases in their viability and this effect was apparently dependent on their particle size. Several groups had also shown that silicon dioxide nanoparticles decreased viability of some peripheral human and other mammalian cell types. Thus, recent reports as well as the findings of this study, are in accord in emphasizing that silicon dioxide nanoparticles induces decreased viability of a variety of human and other mammalian cell types. Nevertheless, more systematic studies are required to elucidate fully the molecular mechanisms underlying the cytotoxicity of these nanoparticles.

As we discussed previously, one early study indicated that when silicon nanoparticles were introduced directly into the brains of rats and mice, they induced an inflammatory response in brain astrocytes. Furthermore, silicon was found in senile plaque cores and in lipofuscin granules in the cortex of patients who died of Alzheimer’s disease.
In view of these earlier findings, because astrocytes play important roles in neuroinflammation, and U87 cells constitute a good cell model for astrocytes, the results of this study may have pathophysiologic implications in the inflammation in astrocytes in Alzheimer’s disease in particular and in neuroinflammation in general. Thus, the mechanistic connection between the inflammatory response in Alzheimer’s disease, neuroinflammation, and the cytotoxic effects of silicon dioxide nanoparticles in neural cells merits further investigation.

Conclusion

This study is the first to report on the cytotoxic effects of silicon dioxide nanoparticles on human astrocytoma U87 cells, and some of the underlying subcellular mechanisms. Consistent with our hypothesis, we found that treatment with silicon dioxide nanoparticles induced concentration-related lowering of protein expression in the mitochondrial DNA-encoded cytochrome C oxidase subunit II and NADH dehydrogenase subunit 6, two key components of the mitochondrial respiratory chain, and phosphorylated ERK, a key cell survival/proliferation signaling molecule in U87 cells. We also noted those changes in protein expression induced by silicon dioxide nanoparticles correlated with concentration-related decreases in survival of U87 cells induced by the nanoparticles. On the other hand, we observed upregulation of citrate synthase and malate dehydrogenase, two key tricarboxylic acid cycle enzymes. Those changes were likely compensatory/adaptive mechanism of dying and/or surviving U87 cells. Thus, our findings may assume pathophysiologic importance in neuroinflammation and Alzheimer’s disease and in the environmental health impact of human exposure to these nanoparticles. This is obviously a worthwhile area for further study.

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Disclosure

The authors report no conflicts of interest in this work.

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