Risk Factors of Pulmonary Hypertension in Patients on Hemodialysis: A Single Center Study

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Introduction: Pulmonary hypertension (PH) is an underestimated cardiovascular consequence and a mortality predictor in patients on hemodialysis (HD). Thus, we studied its prevalence, risk factors, association with inflammation/oxidative stress, and cardiac changes in HD patients.

Methods: This was a single-center cross-sectional observational study conducted at a tertiary care hospital. Patients aged >18 years on hemodialysis for at least three months were included and divided into those with and without PH; patients with secondary causes for PH were excluded. Clinical characteristics, HD-related factors, lab parameters (C-reactive protein and malondialdehyde with thiol assay were used as markers of inflammation and oxidative stress, respectively), and echocardiography details were compared. PH was defined as a mean pulmonary artery pressure of >25 mmHg at rest, and it was further divided as mild (25–40 mmHg), moderate (40–60 mmHg), and severe (>60 mmHg).

Results: Of 52 patients, 28 patients had PH (mild 24, moderate 4, and none had severe PH) with prevalence of 54%. No difference was found in clinical characteristics, dialysis-related factors, biochemical parameters including inflammation (C-reactive protein; $p=0.76$), or oxidative stress (thiol; $p=0.36$ and MDA; $p=0.46$) between the groups. When compared to individuals without PH, HD patients with PH exhibited significantly more mitral regurgitation ($p=0.002$).

Conclusion: Hemodialysis patients have a high prevalence of PH. PH was significantly associated with the presence of mitral regurgitation on echocardiography. Our study did not find differences in traditional risk factors, HD-related factors, and inflammation/oxidative markers between the groups with and without PH.

Keywords: chronic kidney disease, hemodialysis, inflammation, oxidative stress, pulmonary hypertension

Introduction

Pulmonary hypertension (PH) is exceedingly prevalent in both pre-dialysis and dialysis-dependent populations but is often overlooked and underestimated. Endothelial dysfunction and remodeling of the medial and intimal layers of the pulmonary vasculature characterize PH, resulting in constrictive and occlusive vascular lesions.¹

PH in patients on any kind of dialysis have a median prevalence of 38% (8–70%), 40% (16–70%) on hemodialysis (HD), and 19% (8–37%) on peritoneal dialysis (PD).² Pulmonary hypertension is linked to an increased risk of death and cardiovascular events in CKD patients. End stage renal disease (ESRD) patients on dialysis have a higher risk than patients with CKD stages 1 to 5.³

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The normal pulmonary artery pressure is 14 ± 3 mmHg, with a maximum of 20 mmHg, while resting mean pulmonary artery pressure of >25 mmHg is considered PH. PH in patients with chronic renal failure is classified as “category 5: PH with unknown multifactorial etiology” by the World Health Organization (WHO).⁴

The risk factors and causes for PH in HD patients remain unknown and have not been addressed specifically. Previous research has revealed that age, type of arteriovenous fistula (AVF), bone mineral abnormalities, length of HD, fluid overload condition, and systolic and diastolic dysfunction may all play a role,^{5–9} but these risk factors vary with each study and definitive factors for the development of PH are yet to be identified.

The AVF influence on development of PH in hemodialysis patients depend upon the type of AVF, duration of usage, and blood flow rates across the AVF. This is probably due to increased cardiac output (CO) causing elevated pulmonary artery pressures, however the association is yet to be established.^{10–16}

Oxidative stress and inflammation are highly prevalent in the hemodialysis population. Increased oxidant activity and decreased antioxidant ability causes excessive oxidative stress and factors such as malnutrition, chronic volume overload, and autonomic dysfunction lead to a persistent inflammatory state. Both oxidative stress as well as persistent inflammation eventually causes endothelial dysfunction which contributes to the development of cardiac dysfunction. However, the role of oxidative stress and inflammation in the development of PH is yet to be fully established.⁷

As a result, this cross-sectional study was undertaken in hemodialysis patients to determine the prevalence and risk factors associated with PH, its association with inflammation/oxidative stress, and structural as well as functional cardiac changes.

Materials and Methods

A single-center cross-sectional study was conducted in the dialysis unit, Department of Nephrology at Kasturba Medical College, Manipal from June 2016 to May 2017. The study protocol conforms to the ethical guidelines of the 1975 Declaration of Helsinki as reflected in a priori approval by the institution’s human research committee. The study protocol was approved by the institutional ethics committee of Kasturba Medical College, Manipal Academy of Higher Education. Accordingly, informed consent was obtained from each patient enrolled in the study.

Inclusion Criteria

- All chronic kidney disease patients aged above 18 years on maintenance hemodialysis for at least three months were included.

Exclusion Criteria

- Those with valvular heart disease, pulmonary obstructive and restrictive diseases, congenital heart diseases, connective tissue diseases, HIV infection, chronic pulmonary thromboembolism, obstructive sleep apnea, chronic liver disease, hypothyroidism, and hyperthyroidism were excluded.

The baseline demographic, biochemical, and clinical data were collected for all patients. The patients were categorized into two groups based on the presence or absence of PH. These two groups were compared for:

1. Clinical characteristics which included age, gender, etiology of end stage renal disease (ESRD), presence of hypertension, cerebrovascular accident, smoking, and ischemic heart disease.
2. Dialysis-related factors such as presence of arteriovenous fistula (AVF), AVF flow rates, dialysis vintage, residual urine output, volume status, and ultrafiltration rate per session.
3. Volume status assessment: volume status was assessed in all patients by standard clinical method as per KDIGO recommendation which included presence of peripheral edema, rise in jugular venous pressure, and blood pressure control by a single nephrologist. Ultrafiltration rate was estimated for each session and all were dialysed to reach their target dry weight.
4. AVF flow rate (mL/min) estimation was done by using Doppler ultrasonography with a 7 MHz linear probe using the cross-sectional area (cm^2) and the mean velocity (cm/s).
5. Lab parameters such as hemoglobin, albumin, calcium, phosphorus, and parathormone (PTH).
6. Inflammatory and oxidative stress biomarkers: C-reactive protein (CRP), malondialdehyde (MDA), and thiol assay.

CRP, thiol, and MDA level estimation: pre-HD blood was collected in plain vacutainer tubes, plasma was immediately separated from blood by centrifugation at $1000 \times g$ for

15 min at 4 °C and samples were stored at -70 °C until usage. Biochemical analyses were done according to the following principles: CRP assay by immunoturbidimetric method, thiol (-SH) assay by Ellman's method [spectrophotometric method using dinitrobenzene (DTNB)], and MDA assay by Kei Satoh's method.

1. Structural and functional cardiac changes: electrocardiogram (ECG) and echocardiography (ECHO) were analyzed one hour post hemodialysis for all patients. The ECG was reviewed for features associated with PH such as right atrial (RA), right ventricular (RV) strain pattern, RV enlargement, left ventricular (LV) strain pattern, and wall motion abnormalities.

The Bernoulli equation was used to determine estimated pulmonary artery systolic pressure (ePASP) from tricuspid regurgitant velocity (TRV) and estimated right atrium (RA) pressure [$ePASP = 4(TRV)^2 + RA \text{ pressure}$]. The inferior vena cava (IVC) diameter as well as collapse were used to determine whether the RA pressure was normal, moderate, or excessive (3, 8, and 15 mmHg, respectively). Further, the mean pulmonary artery pressure (mPAP) was calculated from ePASP using a standard formula ($mPAP = 0.61(ePASP) + 2 \text{ mmHg}$).^{17,18}

At rest, PH was defined as an estimated mPAP of more than 25 mmHg. Mild PH (mPAP >25 up to 40 mmHg), moderate PH (mPAP >40 up to 60 mmHg), and severe PH (mPAP >60 mmHg) were all classified.¹⁹

Other parameters compared between the two groups were LV ejection fraction, mitral regurgitation (MR), presence of left ventricular hypertrophy (LVH), regional wall motion abnormality (RWMA), left ventricular systolic dysfunction (LVSD), right ventricular systolic dysfunction (RVSD), and left ventricular diastolic dysfunction (LVDD).

Statistical Analysis

All continuous variables which were regularly distributed used mean±standard deviation (SD) to characterize it and independent Student's *t*-tests was used to compare these variables between the non-PH and PH groups. The non-normally distributed variables were reported as medians (25–75%) and compared between the PH and non-PH groups using non-parametric testing. The significance of the results was determined at a 5% level of significance ($p\text{-value} < 0.05$). The data were analyzed using the Statistical Package for the Social Sciences (SPSS) (version 20.0, IBM Corp. 2010, Armonk, NY, USA).

Figure 1 Prevalence of PH in HD patients (n=28).

Results

A total of 52 individuals were analyzed during the study period, among them 28 (54%) had PH. The majority of HD patients had mild PH, with 24 (85.7%) showing mild PH, 4 (14.3%) showing moderate PH, and none showing severe PH (Figure 1). Our population's average age was 54.86 ± 9.2 years, with the majority men (77%).

On comparing the clinical features between patients with and without PH, none of the clinical or dialysis-related risk factors like age, gender, etiology of ESRD, presence of hypertension ($p=0.12$), ischemic heart disease ($p=0.53$), smoking, CVA, access type ($p=0.13$), AVF flow rates, hypervolemia, dialysis vintage ($p=0.42$), residual urine output ($p=0.32$), and UF rate ($p=0.83$) had any statistically significant impact on occurrence of PH (Table 1). Similarly, among laboratory parameters, anemia, hypoalbuminemia, hyperparathyroidism, and ferritin levels were not found to be risk factors in our hemodialysis population ($p > 0.05$) (Table 2).

The presence of inflammation was assessed by doing CRP in our hemodialysis patients. The median CRP level was 2.0 mg/L in patients with PH and was 2.2 mg/L in patients without PH. It was not statistically significant ($p=0.76$).

To see the severity of oxidative stress and its association with PH among our patients both thiol assay and malondialdehyde assay were done. The malondialdehyde levels were comparable between the groups ($p=0.46$). Patients with PH had slightly higher median thiol levels (304 $\mu\text{mol/L}$) than those without PH (223.9 $\mu\text{mol/L}$) with

Table 1 Clinical Characteristics and Dialysis Related Factors of HD Patients

Characteristics	Total (n=52)	With PH (n= 28)	Without PH (n=24)	"p" value (<0.05 significant)
Age (in years) ^a	54.86±9.2	56.5±9.3	57.1±5.3	0.31
Males	40 (76.9%)	19 (67.8%)	21 (87.5%)	0.09
Etiology of ESRD:				
Diabetes mellitus	17 (32.6%)	10 (35.7%)	7 (29.1%)	0.61
Hypertension	7 (13.4%)	4 (14.2%)	3 (12.5%)	0.86
Glomerulonephritis	15 (28.8%)	8 (28.5%)	7 (29.1%)	0.97
Interstitial	6 (11.5%)	3 (10.7%)	3 (12.5%)	0.85
APKD	3 (5.7%)	2 (7.1%)	1 (4.1%)	0.66
Other	4 (7.6%)	1 (3.5%)	3 (12.5%)	0.26
Hypertension	51 (98%)	28 (100%)	23 (95.8%)	0.12
Cerebrovascular accident	2 (3.8%)	1 (3.5%)	1 (4.1%)	0.91
Ischemic heart disease	7 (13.4%)	3 (10.7%)	4 (16.6%)	0.53
Smoking	2 (3.8%)	1 (3.5%)	1 (4.1%)	0.27
Arteriovenous fistula	48 (92.3%)	26 (92.8%)	22 (91.6%)	0.13
AV fistula flow rates (mL/min)		754.5 (572–1098)	780 (598–1168)	0.37
Vintage on dialysis (in months) ^b	36 (22–91)	30 (22–84)	47 (24–97)	0.42
Residual urine (<100 mL)	34 (65.3%)	20 (71.4%)	14 (58.3%)	0.32
Hypervolemia by clinical method	32 (61.5%)	17 (60.5%)	15 (62.5%)	0.94
Ultrafiltration rate (mL/hour/kg) ^b	15 (11–18)	14 (12–16)	13 (11–15)	0.86

Notes: ^aMean; ^bMedian.

Table 2 Laboratory Parameters in HD Patients

Parameters	Total (n=52)	With PH (n= 28)	Without PH (n=24)	"p" value (<0.05 significant)
Hemoglobin (g/L) ^a	9.7±0.9	9.1±1.2	10.2±0.6	0.14
Calcium (mg/dl) ^a	8.5±0.4	8.7±0.5	8.2±0.3	0.16
Phosphorus (mg/dl) ^a	4.4±1.1	4.5±0.6	4.7±1.7	0.08
Albumin (g/L) ^a	4.05±0.3	4.1±0.2	4±0.3	0.12
PTH (pg/mL) ^b	333 (175–561)	366 (182–544)	219.4 (156–520)	0.66
Serum ferritin (mg) ^b	1214 (990–1781)	1224 (1037–1987)	1204 (376–1681)	0.64
C-Reactive protein (mg/L) ^b	2.1 (1.3–5.3)	2 (1.3–5.8)	2.2 (1.5–4)	0.76
Thiol (μmol/L) ^b	266 (182–605)	304 (146–776)	223.9 (187–417)	0.36
Malondialdehyde (μmol/L) ^b	0.199 (0.174–0.226)	0.198 (0.178–0.221)	0.202 (0.174–0.234)	0.46

Notes: ^aMean; ^bMedian.

no statistical significance ($p=0.36$). In this study, both inflammatory markers and oxidative stress markers level were similar irrespective of presence of PH (Table 2).

Echocardiographic findings:

Ejection fraction (EF), RWMA, LVSD, LVH, RVSD, and LVDD were analysed without significant difference (p -value>0.05) (Table 3). However, among 28 patients with PH, 23 patients had mitral regurgitation whereas it was seen

Table 3 Echocardiographic Findings in HD Patients

Echocardiographic Findings	Total (n=52)	With PH (n= 28)	Without PH (n=24)	"p" value (<0.05 significant)
EF (%) ^a	63.5 (57.7–66)	62 (53–65)	65 (62–67)	0.31
LVH	37 (71.1%)	18 (64.2%)	19 (79.1%)	0.49
RWMA	7 (13.4%)	4 (14.2%)	3 (12.5%)	0.63
MR ^b	32 (61.5%)	23 (82.1%)	9 (37.5%)	0.002
LVSD	9 (17.3%)	8 (28.5%)	1 (4.1%)	0.09
LVDD	13 (25%)	8 (28.5%)	5 (20.8%)	0.58
RVSD	4 (7.6%)	4 (14.2%)	0	0.07

Notes: ^aMedian; ^b"p" value <0.05 significant.

Abbreviations: EF, ejection fraction; LVH, left ventricular hypertrophy; RWMA, regional wall motion abnormality; MR, mitral regurgitation; LVSD, left ventricular systolic dysfunction; LVDD, left ventricular diastolic dysfunction; RVSD, right ventricular systolic dysfunction.

only among 9 patients without PH, thus a statistically significant difference was noted ($p=0.002$) (Table 3).

Discussion

The prevalence of PH in hemodialysis is high and varies from 20 to 70%. In the present study it was 54% with the majority having mild PH. This is similar to prior Western and Indian studies wherein the prevalence varies from 16 to 70%. The reason for such heterogeneity in the prevalence of PH is attributed to the variation in population ethnicity, comorbid conditions, and inclusion criteria of the prior studies. Despite the fact that these studies used different criteria and are not truly equivalent, the majority of them found a greater prevalence of PH in HD patients.^{2,5,6,8,9}

PH in patients with renal dysfunction is multifactorial with specific risk factors being unclear. The overall pathogenesis of PH remains poorly understood and is attributed to various risk factors like underlying patient's age, presence of cardiac dysfunction, vintage on dialysis, presence of an arteriovenous fistula, exposure to dialyzer membranes, chronic fluid overload state, persistent anemia, untreated bone mineral disorder, uremic toxins, and uremic vasculopathy.⁵

In this study, the mean age of HD patients with PH was 56.5 ± 9.3 years and the majority were males with no significant effect found between age as well as gender on prevalence of PH. Studies by Mazdeh et al ($n=62$; $p=0.58$)²⁰ and Patel et al ($n=100$; $p=0.402$)²¹ showed similar results wherein there was no association between age and PH.

The study by Tarras et al ($n=200$; $p=0.37$)²² was similar to this study wherein no effect of gender on PH prevalence was observed.

Also, there was no statistically significant association found between other proposed risk factors like diabetes, hypertension, smoking, CVA, and IHD with prevalence of PH in our study. It is similar to the results seen in the retrospective analysis by Zhang et al ($n=170$).⁸

In literature, the hemodynamic impact of AVF in hemodialysis patients is yet to be established. While the majority of authors believe that there are links between the vintage of hemodialysis (via AVF) and the development of PH,^{10–12} there are differing views on the involvement of AVF in the occurrence of PH. In a small prospective research of 12 patients, Yigla et al¹³ showed the influence of increasing cardiac output (CO) after AVF creation on the rise of pulmonary arterial pressure (PAP). Afzal et al¹¹ mentioned in a recent article that among the factors contributing to the development of PH, AVF could be one of the causes of unexplained elevated PAP. Other researchers, on the other hand, discovered no link between AVF flow rates and development of PH similar to our finding.¹⁴ The majority of evidence suggests that the establishment of AVF is not the key determinant of the development of PH in individuals with ESRD.^{15,16}

In the study by Mehta et al,⁶ higher prevalence of PH was seen in patients who had longer dialysis

vintage ($p < 0.001$) and the study by Pabst et al ($n = 62$)²³ showed reduction in prevalence of PH immediately post dialysis which was attributed to ultrafiltration. However, in our study dialysis-related factors were similar between the groups. None of the laboratory risk factors like anemia, serum calcium, phosphorus, albumin, PTH, and ferritin were found to be significantly associated with PH which is similar to previous studies.^{8,9}

This study did not reveal any significant association with inflammation (CRP levels) and oxidative stress (MDA and thiol assay) in our hemodialysis patients. This is unlike the recent study by Sonkar et al ($n = 82$)⁷ wherein a higher level of inflammatory marker (alpha-1-acid glycoprotein) was seen in HD patients with PH. Another study by Smukowska-Gorynia et al²⁴ revealed higher levels of MDA in patients with PH but it included non-CKD and non-HD-dependent patients. This may be due to different populations and the various markers used in different studies. The disparity in the results highlights the need for further studies to establish the role of oxidative stress and inflammation in the development of PH in hemodialysis patients. In this study, few echocardiographic findings were significantly different between patients with and without PH. Among them, although left and right ventricular dysfunction were strikingly noted in patients with PH, these were not statistically significant. This is similar to studies by Agarwal¹ and Ramasubbu et al²⁵ which revealed a multifactorial mechanism induced by elevated mean arterial pressure, anemia-mediated hypoxic stress, uremia induced cardiac myocyte dysregulation, chronic volume overload, and impairment of cardiac function by microvascular and macrovascular coronary artery disease, all of which causes subclinical left and right ventricular dysfunction thus contributing to increased pulmonary capillary wedge pressure and ultimately leading to PH.

However, among patients with and without PH, there was a statistically significant difference in the occurrence of mitral regurgitation in our study. This is similar to a study by Yigla et al,²⁶ in which 37/127 patients had PH, and those with PH had a significantly higher prevalence of mitral regurgitation (54% vs 13% in those without PH), as well as a higher prevalence of

LV systolic dysfunction. Also, the study by Cirit et al ($n = 56$)²⁷ found that in patients on both HD and PD, 100% of those with PH had mitral valve insufficiency, compared to 79% of those without PH. The most common cause of pulmonary hypertension is left heart disease. Patients with CKD are known to have LV systolic and diastolic dysfunction (overt or subclinical), LV hypertrophy, and LV dilation along with elevated LV end-diastolic pressures. Secondary mitral regurgitation of various degrees are seen caused by the above mentioned changes in LV structure and function. Longstanding elevated LVEDP is known to be a key factor in the development of pulmonary hypertension. Therefore, secondary mitral regurgitation and pulmonary hypertension in patients with CKD share common underlying causes. The strong association seen between pulmonary hypertension and mitral regurgitation in our study can be explained by this common underlying pathophysiology.²⁸

PH in CKD can occur through multiple mechanisms as discussed earlier. In our study, other than the presence of significant mitral regurgitation in HD patients with PH, none of the other factors were found significant. Previous studies though identified few other risk factors, there is no uniformity and risk factors vary with each study as summarized in Table 4.

Limitations

The cross-sectional design of our study prevents the establishment of a temporal relationship. Limited by small sample size and diagnosis of PH was not established by right heart catheterization. Volume status assessment was performed by standard clinical methods instead of objective assessment using bioimpedance or by inferior vena cava diameter and collapsibility.

Conclusion

HD patients have a higher prevalence of PH. Presence of traditional risk factors, dialysis-related factors, anemia, components of CKD-MBD, and inflammation/oxidative stress did not influence the prevalence of PH in our HD population. HD patients with PH have both left and right systolic dysfunction and it is significantly associated with the presence of mitral regurgitation.

Table 4 Comparing with Other Studies in HD Patients with PH

Author and Year of Publication	Type of Study	Mean Age (Years)	HD Patients with PH	PH Prevalence	Risk Factors Identified in the Study
Our study	Cross-sectional study	54.86±9.2	n=28	54%	Significant mitral regurgitation was observed in HD patients with PH
Sonkar et al 2020 ⁷	Cross-sectional study	43.48±14.65	n=82	25.6%	Inflammatory indicators were higher and ejection fraction decreased
Zhang et al 2020 ⁸	Retrospective study	52.95±14.21	n=491	34.6%	Left and right atria sizes as well as LV ejection fraction
Engole et al 2020 ²⁹	Cross-sectional study	52.6±15.9	n=85	29.4%	Vascular access change, arrhythmia, and diastolic dysfunction
Nithiya et al 2020 ⁹	Prospective study	47.5±13.7	n=57	48.7%	Increased left atrial diameter
Mehta et al 2019 ⁶	Prospective study	47.85±13.09	n=88	<6 months on HD: 2.7%; 6–12 months on HD: 43%; >12 months on HD: 54.1%	Increased dialysis vintage and patients with arteriovenous fistula
Singh et al 2018 ³⁰	Cross-sectional study	47.2	n=50	34%	LV end diastolic pressure and mean ejection fractions
Arun et al 2018 ⁵	Cross-sectional study	43.53±14.63	n=108	On 3/7 HD: 42.1% On 2/7 HD: 45%	Anemia, higher interdialytic weight gain, LV systolic dysfunction, LV diastolic dysfunction
Fabbian et al 2010 ¹⁰	Prospective study	60±13	n=29	58.6%	Diabetes, increased dialysis vintage
Yigla et al 2003 ³¹	Prospective study	58.8±15.7	n=58	39.7	Anemia, patients with arteriovenous fistula

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