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A Nomogram Based on Comorbidities and Infection Location to Predict 30 Days Mortality of Immunocompromised Patients in ICU: A Retrospective Cohort Study

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<sup>1</sup>Department of Respiratory Medicine, Quanzhou First Hospital Affiliated to Fujian Medical University, Quanzhou, Fujian, People's Republic of China; <sup>2</sup>Department of Medicine and Therapeutics, Faculty of Medicine, The Chinese University of Hong Kong, Hong Kong Special Administrative Region, People's Republic of China **Background:** The existing comorbidity indexes, like Charlson Comorbidity Index (CCI) and the Elixhauser Comorbidity Index (ECI), do not take infection factors into account for critically ill patients with immunocompromise, bringing about a decrease of prediction accuracy. Therefore, we attempted to incorporate infection location into the analysis to construct a rapid comorbidity scoring system independent of laboratory tests.

**Methods:** Data were extracted from the Multiparameter Intelligent Monitoring in Intensive Care III database. A total of 3904 critically ill patients with immunocompromise admitted to ICU were enrolled and assigned into training or validation sets according to the date of ICU admission. The predictive nomogram was constructed in the training set based on logistic regression analysis and then undergone validation in the validation set in comparison with SOFA, CCI and ECI.

**Results:** Factors eligible for the nomogram included patient's age, gender, ethnicity, underlying disease of immunocompromise like metastatic cancer and leukemia, possible infection on admission including pulmonary infection, urinary tract infection and blood infection, and one comorbidity, coagulopathy. The nomogram we developed exhibited better discrimination than SOFA, CCI and ECI with an area under the receiver operating characteristic curve (AUC) of 0.739 (95% CI 0.707–0.771) and 0.746 (95% CI 0.713–0.779) in the training and validation sets, respectively. Combining the nomogram and SOFA could bring a new prediction model with a superior predictive effect in both sets (training set AUC = 0.803 95% CI 0.777–0.828, validation set AUC = 0.818 95% CI 0.783–0.854). The calibration curve exhibited coherence between the nomogram and ideal observation for two cohorts (p>0.05). Decision curve analysis revealed the clinical usefulness of the nomogram in both sets.

**Conclusion:** We established a nomogram that could provide an accurate assessment of 30 days ICU mortality in critically ill patients with immunocompromise, which can be employed to evaluate the short-term prognosis of those patients and bring more clinical benefits without dependence on laboratory tests.

**Keywords:** immunocompromised patients, intensive care unit, large observational database, 30 days ICU mortality, nomogram

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### Introduction

From the clinical point of view, the treatments of critically ill patients have always been a difficult point. Immunocompromise, accounting for a growing proportion of patients with severe illness, makes managements for those even harder.<sup>1</sup> More severe comorbidities and more vulnerability to infection in immunocompromised

International Journal of General Medicine 2021:14 10281-10292

10281

© 2021 Guo and Guo. This work is published and licensed by Dove Medical Press Limited. The full terms of this license are available at https://www.dovepress.com/terms work you hereby accept the Terms. Non-commercial uses of the work are permitted without any further permission from Dove Medical Press Limited, provided the work is properly attributed. For permission for commercial use of this work, please see paragraphs 4.2 and 5 of our Terms (https://www.dovepress.com/terms.php). patients might bring about the increased need of medical resources including a number of tests, the followed treatments, and extra nursing care. Some uncommon diseases or conditions in the ICU, like AIDS, malignant tumors, leukemia, lymphoma, autoimmune diseases, stem cells or organ transplants, will lead to decrease in immune cells or long-term and high-dose use of corticosteroids and immunosuppressors, finally immunocompromise followed by a bad ending.<sup>2,3</sup> Nowadays, more and more people are suffering from these disorders. For example, there are nearly 37.8 million person living with HIV worldwide, corresponding to about 50% increase since the early 2000s.<sup>4</sup> Similarly, the global prevalence of autoimmune diseases is approximately 3%, up to 25% require hospitalization and, of these, above 30% call for ICU admission.<sup>5</sup> The same is true for malignant tumors, with one malignancy diagnosis in every six patients treated in European ICUs.<sup>6</sup> Immunocompromise is defined as having a weakened immune system with less resistance to infections and more fragility to some comorbidities. For these patients, multidisciplinary treatments and nursing are required for better outcomes.

For critically ill patients, SOFA score can provide an accurate assessment for prognosis, but the reliance on laboratory tests makes it limited. As a classic nonspecific comorbidity assessment tool. Charlson Comorbidity Index (CCI) and the Elixhauser Comorbidity Index (ECI) can be used for rapid prognosis evaluation of admitted patients without the support of laboratory tests, which has gained increasing attention in clinical practice.<sup>7,8</sup> An increasing number of studies have demonstrated that, both CCI and ECI could effectively predict the prognosis of severely ill patients, enabling more detailed managements to be conducted in the patients with poor prognosis in the first place. $^{9-11}$ 

However, there are some limitations of CCI and ECI, such as failure to include certain conditions that would make patients ineligible to be assigned to an arm of a - study,<sup>12</sup> Especially, with the absence of attention to infection, CCI and ECI have noteworthy limitations in predicting the prognosis of immunocompromised patients, for whom infection is an important cause of ICU admission.<sup>13</sup> To our knowledge, few studies have been conducted to determine a comorbidity score as a predictor of prognosis for critically ill patients with immunocompromise. Therefore, the purpose of our study is to generate a better prediction model to evaluate the

prognosis of immunocompromised patients in ICU more accurately and more promptly.

## Materials and Methods Data Source

Our observational study was conducted using data retrieved from the Medical Information Mart for Intensive Care (MIMIC III v1.4) open-source clinical database, which is licensed under a Creative Commons Attribution 4.0 International License (http://creativecom mons.org/licenses/by/4.0). This database contains information for more than 58,000 patients who were admitted to the intensive care unit (ICU) of the Beth Israel Deaconess Medical Center from 2001 to 2012.<sup>14</sup> All data in the database was classified into 26 tables recording various individual information, such as demographic characteristics, treatment measures, nursing notes, and laboratory tests. Besides, it contains prognostic data obtained from the hospital and laboratory health record systems reporting the hospital mortality, or from the Social Security Administration Death Master File recording the out-ofhospital survival data. To access the database, we completed courses in protecting human research participants, signed an agreement to use data from the database appropriately and not to divulge patients' information and finally got official permission.<sup>14</sup> PgAdmin (version 4.1, Bedford, USA), a working platform used to operate structured query language (SQL), was performed to extract data.

### Study Population

Inclusion criteria: patients with 1) at least one of the immunocompromised conditions; 2) age between 18 and 100 years; 3) spending at least 24 hours in the ICU. The immunocompromised condition was defined by suffering from underlying diseases, including human immunodeficiency virus (HIV) infection or acquired immune deficiency syndrome (AIDS), solid tumor with or without metastasis, leukemia, lymphoma, stem cell or solid organ transplantation and autoimmune disease.<sup>15–17</sup> Those diseases were established by the ICD-9-CM codes, which has been repeatedly verified and widely used in various studies.<sup>18,19</sup> Exclusion criteria are pregnancy or some surgically related comorbidities like severe trauma, burns and vital organ surgery. For all patients, only the data of the first ICU admission was included in our study.

### Data Extraction

Data of the demographic features (age, gender, BMI, and ethnicity), bacteriological laboratory outcomes, types of immunocompromised condition (including HIV/AIDS, solid-state tumors, metastatic cancer, transplantation, leukemia, lymphoma, and autoimmune disease), presence of any comorbidities or complications (including congestive heart failure, cardiac arrhythmias, valvular disease, chronic pulmonary disease, etc.), disease severity scores, and comorbidity indexes on the ICU admission was elicited from the MIMIC-III database. The site of infection was first identified through the diagnosis provided by the database, which was determined by clinicians based on symptoms, physical assessment, and history taking, and then further verified by positive culturing results.<sup>20</sup> Comorbidities were erected by the Elixhauser, a table created from past retrieval code summarized in the ICD-9-CM codes. Data extraction was performed by PostgreSQL (version 10, www. postgresql.org). No missing data was found in all comorbidities' variables and most of the commonly used information. To deal with missing data on height and weight, regression imputation was used to estimate the missing value.

## Statistical Analysis and Nomogram Development

Descriptive statistics were represented at baseline using mean with standard deviations or median with interquartile ranges for continuous variables and frequencies (percentage) for categorical variables. According to the time of ICU admission, the earlier 70% patients were selected as the training set (t set), and the other 30% patients from later time period as the validation set (v set). Patient characteristics were compared between survivors and non-survivors in both sets. The Student's *t*-test, Wilcoxon rank-sum test, or Kruskal–Wallis test was performed for comparisons of continuous baseline characteristics as appropriate. Chi-square test was performed for categorical data.

In the training set, univariate logical analysis was used to preliminarily identify the risk factors for 30 days ICU mortality, and then the stepwise forward logical analysis was employed to verified these factors. Variance inflation factor (VIF) was taken to test collinearity between continuous variables, and VIF  $\leq 5$  was seen as non-collinearity.<sup>21</sup> Then, the independent risk factors (p < 0.05) obtained from the above analysis, like age, gender, ethnicity, metastatic cancer, leukemia, pulmonary infection, urinary tract infection, blood infection, and coagulopathy, were included in the full model to acquire a nomogram in predicting the

probability of short-term ICU death. Then, a new model was obtained by combining the nomogram and the SOFA with univariate logical analysis to further verify the clinical value of the nomogram. The discriminative ability of the nomogram and the nomogram merged with SOFA was assessed in comparison with SOFA, CCI and ECI in the training and validation sets by estimating the area under the receiver operating characteristic curve (AUROC).<sup>22,23</sup> The calibration curve was used to evaluate the coherence between the nomogram and ideal observation. The clinical practicality of the predictive nomogram was conducted by the decision curve analysis. Stata/IC 15.1 software (StataCorp, Texas, USA) and R software (version 4.0.0, <u>www.r-project.org</u>) were employed for the statistical analysis.

### Results

### Characteristics of Participants

After screening by the inclusion and exclusion criteria, a total of 3904 patients were included in our analysis. Training and validation sets were obtained by a ratio of 7:3 according to the time of ICU admission (an earlier time period for model development and later years in the study period for validation), and then the baseline characteristics of both sets of patients are exhibited in Table 1. The 30 days ICU mortality of the training set and verification set were 13.8% and 12.4%, respectively. Significant differences in SOFA (t and v set p<0.001) were observed between the survivors and the non-survivors in both sets, the same to CCI (t and v set p=0.010) and ECI (t and v p<0.001). Patients with diseases causing immunocompromise, like solid-state tumors (t set p=0.003, v set p=0.325), metastatic cancer (t set p=0.002, v set p=0.001), leukemia (t set p=0.021, v set p=0.620), or organ transplantation (t set p=0.112, v set p=0.005), have been found to have a higher 30 day ICU mortality. Besides, participants with congestive heart failure (t set p=0.418, v set p=0.031), pulmonary circulatory disease (t set p=0.014, v set p=0.755), diabetes with complications (t set p=0.046, v set p=0.848), coagulopathy (t and v set Patients' characteristics at ICU admission0.001) and renal failure (t set p=0.031, v set p=0.345), are more likely to die in a short term during ICU stay.

# Development of a Nomogram in the Training Set

The univariate logistic model was conducted to identify significant variables. Then, by further verification of the

 Table I Patients' characteristics at ICU admission

	Training	set(n=2732)		Validatio		
Variables	Survivor	Non-Survivor	Р	Survivor Non-Survivor		Р
	(n=2386)	(n=346)		(n=1007)	(n=165)	
Age(years,±SD)	64.8±14.8	67.8±14.6	<0.001	65.8±15.1	65.4±15.5	0.756
Male(n%)	1386(58.1)	163(47.1)	<0.001	604(60.0)	93(56.4)	0.380
BMI(kg/m <sup>2</sup> ,±SD)	46.5±9.5	45.1±9.9	0.010	46.5±9.7	46.9±11.7	0.607
Ethnicity(n%)			0.121			<0.001
white	1805(75.6)	245(70.8)		759(75.4)	103(62.4)	
black	131(8.8)	27(7.8)		80(7.9)	21(12.7)	
asian	66(2.8)	8(2.3)		37(3.7)	6(3.6)	
others	304(12.7)	50(14.5)		131(13.0)	35(21.2)	
Immunocompromised condition(n%)						
HIV/AIDS	77(3.2)	6(1.7)	0.130	41(4.1)	5(3.0)	0.523
Solid-state tumors	535(22.4)	53(15.3)	0.003	23(22.8)	21(19.4)	0.325
Metastatic cancer	866(36.3)	155(44.8)	0.002	321(31.9)	82(79.7)	<0.001
Transplantation	502(21.0)	60(17.3)	0.112	225(22.3)	21(12.7)	0.005
Leukemia	229(9.6)	47(13.6)	0.021	92(9.1)	17(10.3)	0.620
Lymphoma	251(10.5)	37(10.7)	0.922	118(11.8)	12(7.3)	0.092
Autoimmune disease	86(3.6)	12(3.5)	0.899	37(3.7)	5(3.0)	0.680
Infection location(n%)						
Lung	268(11.2)	120(34.7)	<0.001	5(  .4)	59(35.8)	<0.001
Blood	116(4.9)	41(11.8)	<0.001	34(3.4)	20(12.1)	<0.001
Urine	167(7.1)	35(10.1)	0.038	73(7.2)	13(7.9)	0.774
Gastrointestinal tract	25(1.0)	3(1.2)	0.854	10(1.0)	l (0.6)	0.633
Catheter	16(0.7)	I (0.3)	0.399	4(0.4)	0(0.0)	0.417
Abscess	6(0.3)	I (0.3)	0.897	3(0.3)	0(0.0)	0.483
Thoracic cavity	6(0.3)	0(0.0)	0.350	4(0.4)	0(0.0)	0.686
Abdominal cavity	5(0.2)	I (0.2)	0.768	1(0.1)	0(0.0)	0.417
Skin/soft tissue	4(0.2)	I (0.2)	0.622	3(0.3)	0(0.0)	0.783
Foreign matter	I (0.0)	0(0.0)	0.703	2(0.2)	0(0.0)	0.567
Others	70(2.9)	7(2.0)	0.339	25(2.5)	2(1.2)	0.313
Complication(n%)						
Congestive heart failure	393(16.5)	63(18.2)	0.418	158(15.7)	37(22.4)	0.031
Cardiac arrhythmias	489(20.5)	85(24.6)	0.082	205(20.4)	44(26.7)	0.066

(Continued)

### Table I (Continued).

	Training	set(n=2732)		Validation set(n=1172)		
Variables	Survivor	Survivor Non-Survivor		Survivor	Non-Survivor	Р
	(n=2386)	(n=346)		(n=1007)	(n=165)	
Valvular disease	164(6.9)	28(8.1)	0.407	84(8.3)	11(6.7)	0.465
Chronic pulmonary	438(18.4)	71(20.5)	0.334	170(16.9)	36(21.8)	0.123
Pulmonary circulation	112(4.7)	27(7.8)	0.014	55(5.5)	10(6.1)	0.755
Peripheral vascular	145(6.1)	25(7.2)	0.409	63(6.3)	7(4.2)	0.312
Hypertension	281(11.8)	31(9.0)	0.124	117(11.6)	14(8.5)	0.236
Cerebrovascular disease	184(7.7)	29(8.4)	0.664	105(10.4)	15(9.1)	0.600
Dementia	35(1.5)	3(0.9)	0.373	14(1.4)	2(1.2)	0.855
Paralysis	64(2.7)	8(2.3)	0.688	34(3.4)	4(2.4)	0.522
Other neurological	139(5.9)	19(5.5)	0.803	69(6.9)	7(4.2)	0.207
Diabetes uncomplicated	432(18.1)	56(16.2)	0.383	188(18.7)	26(15.8)	0.370
Diabetes complicated	109(6.9)	14(4.0)	0.046	65(6.5)	10(6.1)	0.848
Hypothyroidism	238(10.0)	34(9.8)	0.931	120(11.9)	10(6.1)	0.026
Liver disease	240(10.1)	32(10.0)	0.638	94(9.3)	15(9.1)	0.920
Peptic ulcer	I (0.0)	0(0.0)	0.703	1(0.1)	0(0.0)	0.686
Rheumatoid arthritis	96(4.0)	14(4.0)	0.984	40(4.0)	8(4.8)	0.599
Coagulopathy	402(16.8)	91(26.3)	<0.001	177(17.6)	53(32.1)	<0.001
Renal failure	406(16.8)	43(12.4)	0.031	170(16.9)	23(13.9)	0.345
Obesity	65(2.7)	9(2.6)	0.895	29(2.9)	5(3.0)	0.915
Weight loss	130(5.4)	18(5.2)	0.850	58(5.8)	9(5.5)	0.876
Blood loss anemia	60(2.5)	9(2.6)	0.924	25(2.5)	l (0.6)	0.129
Deficiency anemias	525(22.0)	72(20.8)	0.615	232(23.0)	28(17.0)	0.082
Alcohol abuse	107(4.5)	14(4.0)	0.711	35(3.5)	7(4.2)	0.623
Drug abuse	55(2.3)	4(1.2)	0.169	20(2.0)	l (0.6)	0.215
Psychoses	60(2.5)	6(1.7)	0.377	27(2.7)	2(1.2)	0.260
SOFA(IQR)	4(2-6)	7(4-11)	<0.001	4(2-6)	7(5-10)	<0.001
CCI(IQR)	7(5-9)	7(5-10)	0.010	7(5-9)	7(6-10)	0.010
ECI(IQR)	12(6-17)	15(9-19)	<0.001	11(5-16)	14(10-20)	<0.001

Notes: Continuous data are presented as mean ± standard deviation (SD) or median (interquartile ranges), and categorical data are presented as frequency (percentage). Abbreviations: SOFA, Sequential organ failure assessment; CCI, Charlson Comorbidity Indexs; ECI, Elixhauser Comorbidity Indexs. stepwise forward logical analysis, the independent risk parameters related to 30 days ICU mortality of critically ill patients with immunocompromise were confirmed as shown in Table 2. Finally, the predicted nomogram was plotted by assigning a weighted score for each of the independent risk predictive factors. The higher total scores calculated from the sum of the appointed points for each prognostic indicator in the nomogram, the higher the risk of decease. The nomogram is shown in Figure 1. To employ the nomogram for calculation of the survival probability, we first draw a vertical line from each variable upward to the points axis to obtain the value for each variable and then sum up all the values to get the total points (ie, with pulmonary infection = 10 points). Lastly, we draw a vertical line from the total points axis to the prob line, then the predicted probability of 30 days ICU death is achieved.

### Validation of the Nomogram

The nomogram model was validated in the training and the validation sets. The good predictive performance of the nomogram was confirmed for predicting 30 days ICU survival with an area under curve (AUC) of 0.739 (95%)

CI 0.707–0.771) in the training sets and an AUC of 0.746 (95% CI 0.713–0.779) in the validation sets (Figure 2). As shown in Figure 3, calibration curves revealed that prediction of 30 days ICU survival by the nomogram in both sets had a high fitting degree with the actual survival values.

## Comparison of Nomogram, SOFA, CCI, ECI and Nomogram Merged with SOFA

Nomogram and SOFA were combined into a new variable through logical analysis, followed by subsequent analysis. The AUC of the receiver operating characteristic curves (ROC) was used to compare the predictive accuracy of nomogram, SOFA, CCI, ECI and the nomogram merged with SOFA for 30 days ICU mortality of critically ill patients with immunocompromise. As shown in Figure 2, we found that the AUC of nomogram (t set AUC=0.741, v set AUC=0.734) was close to that of SOFA (t set AUC=0.724, v set AUC=0.759), and greater than those of CCI (t set AUC=0.543, v set AUC=0.562) and ECI (t set AUC=0.603, v set AUC=0.642) in both sets, indicating that the predictive nomogram had better discrimination than CCI and ECI in predicting the 30 days ICU mortality

 Table 2 Independent risk factors associated with 30 days ICU mortality of critically ill patients with immunocompromise in the training group

	Multi	variate Lo	gistic An	alysis	Stepwise Logistic Analysis			
Variables	OR	CIS	5%	p value	OR	CI	95%	p value
Age(years)	1.017	1.008	1.026	<0.001	1.016	1.008	1.025	<0.001
Gender(female)	1.520	1.189	1.944	0.001	1.601	1.259	2.036	<0.001
BMI(kg/m²)	0.993	0.980	1.007	0.312				
Ethnicity	0.918	0.784	1.075	0.288				
Solid-state tumors	0.701	0.480	1.026	0.067				
Metastatic cancer	1.425	1.059	1.918	0.020	1.721	1.335	2.218	<0.001
Leukemia	1.480	0.987	2.218	0.058	1.692	1.159	2.470	0.006
Pulmonary infection	5.800	4.382	7.680	<0.001	5.904	4.464	7.809	<0.001
Urinary tract infection	2.287	1.517	3.448	<0.001	2.263	1.504	3.404	<0.001
Blood infection	4.307	2.870	6.462	<0.001	4.293	2.864	6.432	<0.001
Pulmonary circulation	1.415	0.885	2.261	0.147				
Diabetes complicated	0.798	0.437	1.455	0.461				
Coagulopathy	1.688	1.270	2.244	<0.001	1.718	1.296	2.278	<0.001
Renal failure	0.754	0.522	1.088	0.132				

Abbreviations: OR, odd ratio; Cl, confidence interval.

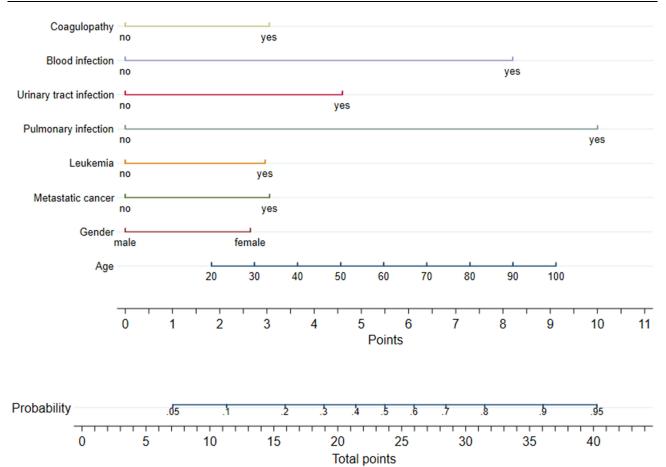


Figure I Nomograms predicting 30 days ICU mortality of critically ill patients with immunocompromise on ICU admission.

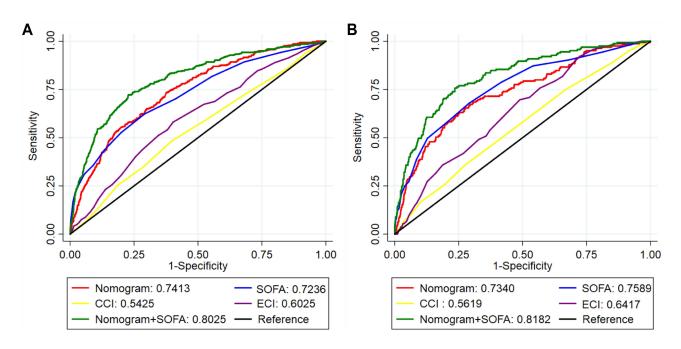


Figure 2 The ROC curve of the prediction nomogram, SOFA, CCI, ECI and the nomogram SOFA combined model in the training set (A) and validation set (B). Abbreviations: SOFA, sequential Organ Failure Assessment; CCI, Charlson Comorbidity Indexes; ECI, Elixhauser Comorbidity Indexes.

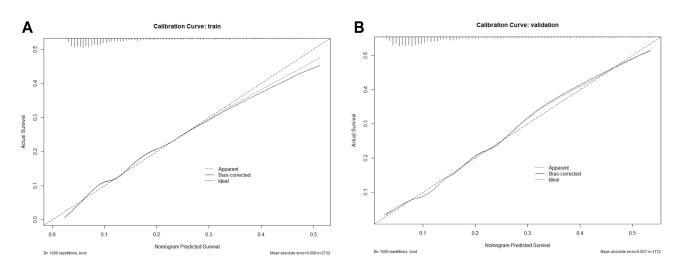


Figure 3 The calibration curves for the prediction of 30 days ICU mortality of critically ill patients with immunocompromise in the training set (A) and validation set (B).

of critically ill patients with Immunocompromise, meanwhile, as good as SOFA. When we merged the nomogram and SOFA to obtain a new prediction model, the AUC results suggested that the model had a superior predictive effect on ICU short-term mortality in both sets (t set AUC=0.803, v set AUC=0.818; more details exhibited in Table 3). The calibration plot revealed fit of the nomogram predicting the risk of death in both sets. In addition, decision curve analysis (DCA) revealed that nomogram

**Table 3** Comparison of predictive models in predicting the 30 days

 ICU mortality of critically ill patients with immunocompromise

Random groups	Predictive Model	AUROC	AUROC-CI95%	
Training set	Nomogram	0.741	0.714	0.769
	SOFA	0.724	0.693	0.754
	CCI	0.543	0.510	0.575
	ECI	0.603	0.571	0.634
	Nomogram+SOFA	0.803	0.777	0.828
Validation set	Nomogram	0.734	0.691	0.777
	SOFA	0.759	0.718	0.800
	CCI	0.562	0.515	0.609
	ECI	0.642	0.599	0.684
	Nomogram+SOFA	0.818	0.783	0.854

Abbreviations: AUROC, area under the receiver operating characteristic curve; SOFA, Sequential organ failure assessment; CCI, Charlson Comorbidity Indexs; ECI, Elixhauser Comorbidity Indexs. and SOFA could bring the most net benefits for clinical application with great diagnostic value and uniting of the two could highly strengthen the benefits (Figure 4). All of the above testified the stability and superior predictive effects of this nomogram.

### Discussion

Up to now, there have been many disease severity scores that can be used to make a preliminary assessment of the prognosis of severe patients. Most of them have been proved accurate by repeated clinical trials. Among them, SOFA is very representative and widely adopted in clinical work. Hence, SOFA was selected as a comparison of the nomogram in our research. SOFA was established in the early 1990s and has been suggested to play a useful role in assessing the prognosis of critically ill patients since then. It is now widely used in ICU as an evaluation standard for sepsis.<sup>24</sup> However, its dependence on laboratory results makes it impracticable to conduct a prognostic assessment for the first time of admission. Herein, we developed the nomogram independent of laboratory test results. Our research show that the nomogram could be applied as a well-performing prediction model for assessing critically ill patients under the risk of 30-day mortality, which might improve the practicability with similar predictive performance compared to SOFA.

As critically ill patients are usually accompanied with a variety of comorbidities, the condition of comorbidities obtained by a simple inquiry at the time of admission could serve as the earliest clinical data for doctors to assess the patient's prognosis. Through systematic scoring

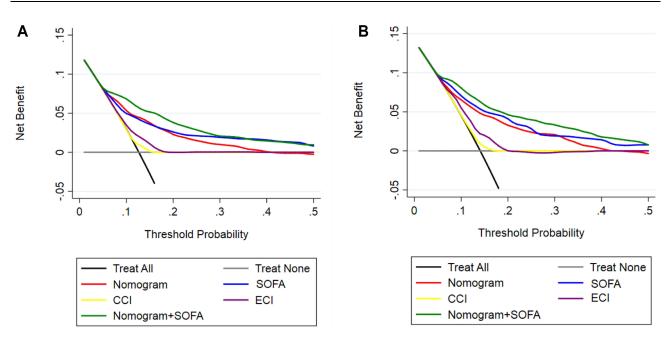


Figure 4 The DCA curve of medical intervention in critically ill patients with immunocompromise with the nomogram, SOFA, CCI, ECI and the nomogram+SOFA model in the training set (A) and validation set (B). Abbreviation: DCA, decision curve analysis.

system, the understanding of comorbidities on treatment outcome is becoming more and more mature.<sup>12</sup> Among them, CCI and ECI, which have been fully validated in a number of studies, are most widely used,<sup>25,26</sup> to predict the prognosis of critically ill patients without laboratory results.<sup>7,12</sup> Therefore, we focused our attention on the prognostic value of the two for critically ill patients with immunocompromise. Unfortunately, according to our preliminary analysis, the efficacy of CCI and ECI in evaluating the short-term survival of critically ill patients with immunocompromise is not satisfactory (AUC < 0.65). It is well known that patients with immunocompromised status, such as AIDS, malignant tumors, organ transplantation, etc, are more likely to acquire infection, and infection is often the root cause of exacerbation of other complications and deterioration of general conditions, eventually leading to ICU admission.<sup>13,27-29</sup> We therefore suggest the inclusion of infections in the comorbidity index system. The results showed that our prediction nomogram could serve as a more reliable prediction model with better discrimination than CCI and ECI, which could reach the SOFA level without the support of laboratory test results. And when we combine the nomogram with SOFA, a much better predictor of short-term mortality will be achieved (AUC > 0.8). DCA results also showed more net benefits of treatment guided by the current nomogram than CCI and

ECI. Furthermore, more net benefits could be achieved when the nomogram and SOFA were combined.

As indicated in the nomogram, malignancy with metastasis and leukemia are associated with poor prognosis in the underlying diseases leading to immunocompromise. More attention should be paid when we are dealing with such immunocompromised patients. However, AIDS, which is highly emphasized in CCI, is not an independent influence factor in our analysis. We assumed the fundamental reason might lie in the inclusion of infection factors. From various studies, infections are closely related to the short-term outcome of AIDS.<sup>30</sup> Thus, the inclusion of infections will lessen the impact of AIDS and ultimately lead to its exclusion.

After taking into account a variety of comorbidities, our nomogram shows that coagulopathy significantly affects the short-term survival of critically ill immunocompromised patients. None of the congestive heart failure (CHF), arrhythmia, and chronic obstructive pulmonary disease (COPD) are identified as independent risk factors in our study, divergent from the marking criterion of CCI and ECI. We assume the reason might be that most common diseases, like CHF, COPD and so on, could be well settled with low short-term mortality following the current clinical practice guidelines.<sup>31,32</sup> Nevertheless, the occurrence of coagulopathy has been certified by many studies to be related to the poor prognosis of

patients with immunocompromised status such as malignant tumor and organ transplantation.<sup>33–35</sup> Meanwhile, dynamic changes in coagulopathy are considered to be associated with the prognosis of severe infection.<sup>36</sup> This reminds us that immunocompromised patients with coagulopathy require to be given more attention in ICU.

The nomogram shows that, after the effects of age and other factors are standardized, the top three with the strongest influence on the predictive ability of short-term prognosis are all infectious diseases, as expected.<sup>37,38</sup> Among them, pulmonary infection is the most influential, followed by blood infection and urinary tract infection, of which similar results have been reported in previous studies<sup>39–44</sup>. These are the sites of infection associated with short-term death in immunocompromised patients admitted to ICU, and previous studies have confirmed that immunocompromised patients suffer from these infections tend to have poorer prognosis, like longer hospital stays, more difficult to relieve symptoms, or higher mortality.<sup>45–50</sup> This means that consideration of immunocompromised patients with these types of infections on ICU admission requires to be strengthened.

With regard to demographic factors, after standardizing the effects of factors such as comorbidities and infections, we found that gender could influence participants' short-term prognosis. Among patients with immunocompromise, women had a higher short-term ICU mortality rate than men. Current researches have produced conflicting results as to whether gender affect outcomes of critically ill patients.<sup>51,52</sup> The reason for such a phenomenon may be related to the difference in physical quality or some other factors, for example, the fact that currently established treatment guidelines do not distinguish between genders.

Immunocompromised is a very broad term and is poorly defined. A study published in JMMA 2018 by Azoulay et al defined immunocompromise as long-term or high-dose use of steroids or other immunosuppressant drugs, organ transplants history, and accompanied by tumors or some diseases cause immune system damaged.<sup>15</sup> In recent years, Sheth et al<sup>16</sup> and Lu et al<sup>17</sup> used this definition in respective research with data extracted from MIMIC database. Therefore, we also refer to the above author's scheme and define immunocompromised by a similar way (carrying diseases including HIV/AIDS, solid-state tumors, metastatic cancer, transplantation, leukemia, lymphoma, or autoimmune disease). Of course, these seven conditions cannot completely include all immunocompromised stations. However, since there is no precise international specification for immunocompromise, this definitional method is relatively feasible and practical.<sup>17</sup>

Using Mimic III database, one of the biggest advantages of our research is the sufficient sample size, which gives us a large number of data to include numerous variables for analysis, greatly increasing the statistical power of our results. Some shortcomings in our research should be addressed as well. First, data of Mimic III database recorded from 2001, which is relatively backward in terms of treatment. For example, the lack of some current advanced treatment options, like ECMO. may cause overestimation the risks of some factors. Second, both the training set and validation set are derived from the same database. If validation set could use another existing clinical data, the conclusion of our research will be more persuasive since database bias could be excluded. In addition, due to the lack of relevant data, long-term use of corticosteroids and reduction of immune cells is not adopted as the criteria for the definition of immunocompromised status. Instead, diseases or causing immunocompromise were accompanying employed as the inclusion criteria, which may lead to underestimation. Finally, for the limitations of Mimic III database, we could only analyze the infection location based on the results instead of the time-based dynamic analysis, which will produce certain deviations in our results. Also, because regression imputation was used to deal with missing data on height and weight, the standard error is reduced.<sup>53</sup>

### Conclusion

We established a nomogram based on demographic characteristics, comorbidities, and possible infection localization to provide an accurate assessment of 30 days ICU mortality in critically ill patients with immunocompromise. This may enable patients with poor short-term prognosis to be recognized and to receive adequate attention and treatments before the results of the laboratory tests are reported, thus leading to a greater degree of benefit in terms of short-term prognosis.

## **Data Sharing Statement**

The full datasets used in this study are available from the corresponding author on reasonable request. However, reanalysis of the full data for other use requires approval by the MIMIC-III Institute.

# Ethics Approval and Consent to Participate

All the data presented in this study were extracted from MIMIC-III online database, which was approved by the Massachusetts Institute of Technology (Cambridge, MA) and Beth Israel Deaconess Medical Center (Boston, MA),

and consent was obtained for the original data collection. Therefore, the ethical approval statement and the need for informed consent were waived for this manuscript.

### Funding

There is no funding to report.

### Disclosure

There is no conflict of interest related to this work.

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