ORIGINAL RESEARCH

# Clinical Characteristics, Treatment Patterns, and Healthcare Costs and Utilization for Hepatocellular Carcinoma (HCC) Patients Treated at a Large Referral Center in Washington State 2007–2018

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<sup>1</sup>Hutchinson Institute for Cancer Outcomes Research, Fred Hutchinson Cancer Research Center, Seattle, WA, USA; <sup>2</sup>Division of Medical Oncology, University of Washington, Seattle, WA, USA; <sup>3</sup>AstraZeneca, Gaithersburg, MD, USA **Introduction:** Though the treatment landscape for hepatocellular carcinoma (HCC) has evolved significantly with the refinement of liver-directed therapy techniques and the introduction of new drugs, few studies have investigated the impact of the changing treatment landscape on lifetime treatment costs, particularly in Barcelona Clinic Liver Cancer (BCLC) stage C disease. We sought to investigate real-world clinical characteristics, treatment patterns, and healthcare costs in a cohort of HCC patients treated at a single high-volume institution in Washington (WA) state.

**Methods:** We conducted a retrospective cohort study of patients diagnosed with HCC between 2007 and 2018 using abstracted electronic medical record (EMR) data linked to cancer registry data and health claims from commercial plans, Medicare, and Medicaid. We described clinical and treatment characteristics, including BCLC stage and Child Pugh score. We investigated median survival and mean lifetime treatment costs by BCLC stage using Kaplan–Meier cost estimator methods. A multivariate Cox proportional hazards model was used to investigate factors associated with overall survival.

**Results:** The final cohort included 215 patients, the majority of whom were white (71%), male (68%), and with underlying hepatitis C (61%). Mean per patient lifetime costs were highest in BCLC A and BCLC C patients. Mean lifetime costs in BCLC A patients (\$292,134) was driven by surgery, hospital, pharmacy, imaging, and outpatient costs. Chemotherapy costs were highest in BCLC C patients, though not the predominant area of spending. Median survival was highest in patients with BCLC 0 and A disease; BCLC stage C and higher area deprivation index (ADI) were associated with poorer survival.

**Conclusion:** In a cohort of WA state HCC patients, mean lifetime costs were highest in patients with BCLC A disease, attributable to surgery and hospital costs. As increased utilization of newer and less toxic therapies improves survival in BCLC C patients, mean lifetime costs in this group may also rise.

Keywords: hepatocellular carcinoma, health economics, health services research, cost of care

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#### Introduction

Hepatocellular carcinoma (HCC) is the fifth leading cause of cancer-related death in men and the eighth leading cause of cancer-related death in women in the United States (US). In 2020, an estimated 30,160 individuals are expected to die from this disease. HCC typically develops in the context of chronic liver disease, most commonly from hepatitis B or C virus infection and chronic excessive alcohol

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1597

consumption. In the US, the obesity epidemic has also contributed to increasing rates of HCC due to a rise in type 2 diabetes and non-alcoholic fatty liver disease (NAFLD).

Over the last several years, the treatment landscape for HCC has changed significantly. In addition to the increased utilization of catheter-based therapies such as radioembolization and chemoembolization, several newly Food and Drug Administration (FDA)-approved systemic therapeutic options such as cabozantinib, nivolumab, lenvatinib, and combination therapy with atezolizumab + bevacizumab have expanded the portfolio of treatment options in advanced and metastatic HCC. However, despite emerging therapies, HCC can be particularly challenging to manage given the frequent coexistence of cirrhosis and other comorbidities. Previous studies have reported significant economic and healthcare utilization impacts of HCC in the United States due to drug therapies, hospitalizations, and imaging studies.<sup>2-4</sup> However, these studies have not been updated to reflect the likely increased economic impact related to newer therapeutics and diagnostics.

In the context of recent changes in HCC treatment, we sought to investigate real-world clinical characteristics, treatment patterns, healthcare utilization, and costs in patients with HCC treated at a single high-volume institution. Focusing on a single institution HCC population diagnosed in 2007–2018, we used a combination of medical record and health claims data to describe the clinical characteristics of newly diagnosed HCC patients and provide an estimate of the healthcare resource utilization and direct medical costs associated with diagnosis and treatment.

#### **Methods**

# Study Design and Data Sources

We conducted a retrospective cohort study of patients diagnosed with HCC between 2007 and 2018 at a single clinical cancer center (Seattle Cancer Care Alliance (SCCA)/University of Washington (UW)). We linked data from the electronic medical record (EMR) data warehouse at SCCA/UW to the Hutchinson Institute for Cancer Outcomes Research (HICOR) data repository, which links cancer registry records from the Western Washington Surveillance Epidemiology and End Results (SEER) to insurance enrollment and claims data from regional commercial payers (Premera Blue Cross and Regence Blue

Shield), Medicare, and Medicaid. This study received approval from the Fred Hutchinson Cancer Consortium Institutional Review Board (IRB). All data acquisition, linkage, analysis, and reporting procedures adhered to the relevant data use agreements and privacy regulations.

# Study Cohort

All patients from the SCCA/UW EMR data warehouse as having HCC or "liver cancer" as a primary diagnosis during the period of interest (2007-2018) and matched with a record in the HICOR data repository (resided in one of the 13 Western Washington CSS counties at the time of diagnosis and had evidence of enrollment in Premera Blue Cross, Regence Blue Shield, Medicare, or Medicaid) were identified. Of these, only patients who were age 18 years or greater, had continuous insurance enrollment for at least one month following diagnosis, had at least one claim identifying receipt of care at SCCA/UW by Clinic Tax Identification Numbers (TIN) codes, and had available records in the EMR for abstraction (and confirmed HCC on chart abstraction) were included in analysis. Patients were excluded if their diagnosis year was missing, if their diagnosis and death date were the same, and if the diagnosis was made by autopsy or death certificate. These exclusions were applied to ensure that all patients in the final cohort were indeed seen or treated at UW/SCCA and had sufficient observation time and claims data available to describe healthcare utilization and estimate costs. Given that this is a patterns of care study at a single treatment center rather than a population-based analysis of all HCC patients diagnosed in the region, these exclusion criteria were felt to be necessary to accomplish the stated study objectives.

# Demographic and Clinical Data

Demographic and clinical data were obtained from both the regional cancer registry and the EMR. Age at diagnosis, race/ethnicity, insurance type, HCC diagnosis year, and American Joint Committee on Cancer (AJCC) diagnosis stage were all obtained from the cancer registry. International Classification of Diseases (ICD-9 and ICD-10) diagnosis codes from insurance claims were used to assign each patient a Charlson Comorbidity Index (CCI) score, a predictor of mortality in patients with multiple specific comorbidities. Residential zip codes obtained from the tumor registry were used to assign each individual an area deprivation index (ADI) score. ADI is a measure of socioeconomic disadvantage at the census

Dovepress Shankaran et al

block level, ranked 1-10, with 10 being the most disadvantaged decile.<sup>6</sup> This index is a composite of variables that assess factors including educational attainment, income and housing at an area level and correlates with mortality disparities. Data abstractors reviewed the EMR for additional demographic and clinical characteristics at diagnosis including primary language, marital status, smoking status, alcohol use, BCLC stage, Child Pugh scores, extent of disease (number of metastatic sites), and the presence of other cancer comorbidities. For patients in whom Child Pugh and BCLC score were not identified in the EMR, these scores were imputed using laboratory (albumin, INR, total bilirubin) and other clinical data (presence of ascites, hepatic encephalopathy, HCC nodule number, size, and location) available in the EMR, when possible.

#### Treatment and Healthcare Utilization

All treatment received from diagnosis until end of followup (either disenrollment from their insurance plan or end of available claims in the HICOR data repository) was determined from healthcare claims and was categorized as systemic therapy, catheter-based therapy, ablation, and surgery. In addition, utilization of endoscopy, advanced imaging, and emergency department (ED) and hospital admissions were determined from administrative claims. Utilization of systemic chemotherapeutics following diagnosis was abstracted from the EMR. Patients who enrolled in at least one clinical trial were identified. In addition, all chemotherapy administration from diagnosis until end of follow-up (disenrollment of end of available claims in Dec 2019) or death was identified from claims using J codes for specific drugs (including in the outpatient prescription files) and chemotherapy administration codes.

#### Healthcare Costs

Mean lifetime costs for all patients was determined by tabulating all paid claims from diagnosis to death or end of follow-up. Costs were grouped by the type of associated claim: chemotherapy, surgery, ablation, catheter-based therapy, endoscopic procedures, radiation, imaging, and pharmacy. Inpatient, ED, and outpatient costs attributable to specific procedures were included in the total costs of the corresponding procedure; other inpatient, ED, and outpatient costs not attributable to the specific procedures are grouped separately.

#### Statistical Methods

Summary statistics were used to describe the demographic and clinical characteristics of the study population. Median survival for the entire cohort and for BCLC stage groups was determined using Kaplan-Meier methods, adjusting for censoring. A Cox proportional hazards model estimated the association between BCLC stage, Child Pugh score, and survival, adjusting for relevant clinical and demographic factors such as age, race, CCI, ADI, and insurance type, respecting the minimum ratio of 10 events per predictor.<sup>7,8</sup> For these analyses, BCLC D and unknown patients were excluded due to very small numbers. In addition, BCLC 0 and A patients were combined to improve statistical power and given that BCLC 0 and A patients follow a generally similar trajectory in terms of treatments and prognosis. The proportional hazards assumption was checked using Kaplan-Meier curves, ensuring that the curves were parallel and thus assumption was satisfied. The proportion of patients receiving specific therapies or procedures were reported. The mean number of imaging studies and endoscopic procedures per patient was also reported. The Kaplan-Meier sample average (KMSA) cost estimator method was used to calculate total and mean per patient lifetime costs. This method sums the Kaplan-Meier probability of surviving to the beginning of each month multiplied by the mean costs for patients alive at the beginning of each month. This approach avoids bias associated with limiting cost estimation only to patients who have died during follow-up and accounts for censoring of individuals who disenroll. 9-11 SAS® analytic software (version 9.4) was used data cleaning and analysis; R software (version 3.5.1) was used for calculating KMSA cost estimates.

# Reporting Requirements

In accordance with the SEER data use requirements, cell sizes of 11 or less were not reported in any of the tables and, where appropriate, categories were combined such that results could be reported while abiding by these guidelines.

#### Results

A total of 1912 potential records associated with a diagnosis of HCC or "liver cancer" were identified from the EMR data warehouse at UW/SCCA of which 1395 records matched to the HICOR database (cancer diagnosed in one of the 13 Western Washington SEER

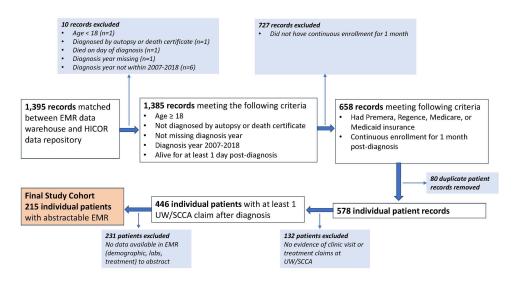


Figure I Study Cohort.

counties and had evidence of enrollment with either Premera, Regence, Medicare, or Medicaid). After applying the exclusion criteria discussed above and eliminating duplicate records, the final study cohort included 215 individual patients with available EMR data, cancer registry records, and health claims available for analysis (Figure 1).

Most patients in the final cohort were male (68.4%), white (70.7%), and married (63.3%). There were a substantial proportion (20%) of Asian patients, the largest fraction of which were Vietnamese-speaking, reflective of the large Asian immigrant population in the Puget Sound region in Western WA and the higher risk of chronic hepatitis B infection and HCC in Asians (Table 1). Approximately 61% of the cohort had hepatitis C virus, and over 75% had documented cirrhosis. Alcohol abuse was common (46%) in this population. Most patients had Child Pugh A (76%) disease and either BCLC 0 or A stage (50.6%). A very small proportion of patients had missing Child Pugh scores (<2%) or unknown BCLC stage (6%).

#### Treatment Characteristics

Because of the predominance of earlier stage patients, surgery (including transplantation) or ablative therapy was the initial treatment for 42.7% of patients (Table 2). Another 48.8% received embolization (either TACE or radioembolization) as the initial form of therapy. Only 3% of patients received chemotherapy or radiation as their initial treatment. Of the 23% of patients who were identified in the EMR as having received chemotherapy at

some point after diagnosis, 32% were participants in clinical trials. A total of 115 (53.5%) patients were identified from claims data as having received chemotherapy, predominantly sorafenib. There was little evidence of receipt of newer agents such as afatinib, pembrolizumab, lenvatinib, or cabozantinib in the claims data, though review of EMR suggested use of some of these agents in clinical trials.

#### Survival

Median survival was highest in patients with BCLC 0/A disease (7.49 years) and lower in BCLC B (2.85 years) and BCLC C (2.13 years) disease (Table 3, Figure 2). In a Cox proportional hazards model, higher ADI and BCLC C stage was associated with poorer survival (Table 4).

# Healthcare Utilization and Lifetime Treatment Costs

Mean number of imaging studies (CT, PET, MRI) per patient from diagnosis to death or end of follow-up was 14.4. Mean number of ED visits and inpatient hospital stays per patient were 4.5 and 1.6, respectively. The top five categories of spending for the overall patient cohort included outpatient clinic visits, other services (eg laboratory, skilled nursing facility costs, hospice, dialysis), ED and hospital visits, pharmacy, and surgery (Table 5). However, the types and amount of spending by category differed by BCLC stage grouping (Table 6). In general, mean lifetime costs were highest in early BCLC stage patients and lowest in BCLC D patients, likely due to the

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Table I Demographic and Clinical Characteristics

Demographic Characteristic (n=215)	Median, N (%)
Age (Mean, Median)	63.7, 64
Sex	
Male	147 (68.4%)
Female	68 (31.6%)
Diagnosis year	<u>.</u>
2007–2009	15 (6.9%)
2010	14 (6.5%)
2011	45 (20.9%)
2012	28 (13%)
2013	34 (15.8%)
2014	29 (13.5%)
2015	21 (9.8%)
2016	13 (6.0%)
2017–2018	19 (8.8%)
Insurance Type	
Commercial (Regence/Premera)	76 (35.3%)
Medicaid	16 (7.4%)
Medicare	105 (48.8%)
Multiple	18 (8.4%)
Race*	
White	152 (70.7%)
Black	14 (6.5%)
Asian	40 (18.6%)
Primary language	
English	188 (87.4%)
Vietnamese	13 (6.1%)
Other or unknown	14 (6.5%)
Marital status	
Married or partnered	136 (63.3%)
Divorced/separated	15 (7%)
Widowed	14 (6.5%)
Single	25 (11.6%)
Unknown	25 (11.6%)

(Continued)

Table I (Continued).

Area Deprivation Index         0-5       152 (70.6%)         6-10       63 (29.3%)         Smoking status*         Current smoker (at diagnosis)       33 (15.4%)         Former smoker       95 (44.2%)         Clinical Characteristic       Median, N (%)         AJCC Stage       49 (22.8%)         II       49 (22.8%)         III       30 (14.0%)         IV       12 (5.6%)         Unknown stage       26 (12.1%)         Hepatitis C virus (HCV)       132 (61.4%)         Hepatitis B virus (HBV)       39 (18.1%)         Received anti-viral therapy for either HBV or HCV       110 (51.2%)         History of EtOH abuse       98 (45.6%)         Documented cirrhosis       165 (76.7%)         Known varices or variceal bleed       93 (43.2%)         NASH/NAFLD       21 (9.8%)         Charlson Comorbidity Index score       0         1       70 (32.6%)         ≥ 2       59 (27.4%)         Missing       32 (14.9%)         Comorbidities       82 (38.1%)         CAD/MI       34 (15.8%)         Chronic kidney injury       59 (27.4%)	Demographic Characteristic (n=215)	Median, N (%)
Smoking status*   Gurrent smoker (at diagnosis)   33 (15.4%)   Former smoker   95 (44.2%)	Area Deprivation Index	
Smoking status*           Current smoker (at diagnosis)         33 (15.4%)           Former smoker         83 (38.6%)           Never smoker         95 (44.2%)           Clinical Characteristic         Median, N (%)           AJCC Stage           98 (45.6%)               49 (22.8%)	0–5	152 (70.6%)
Current smoker (at diagnosis)       33 (15.4%)         Former smoker       83 (38.6%)         Never smoker       95 (44.2%)         Clinical Characteristic       Median, N (%)         AJCC Stage         98 (45.6%)             49 (22.8%)           12 (5.6%)             10   12 (5.6%)         12 (5.6%)           Unknown stage       26 (12.1%)           Hepatitis C virus (HCV)       132 (61.4%)           Hepatitis B virus (HBV)       39 (18.1%)           Received anti-viral therapy for either HBV or HCV       110 (51.2%)           History of EtOH abuse       98 (45.6%)           Documented cirrhosis       165 (76.7%)           Known varices or variceal bleed       93 (43.2%)           NASH/NAFLD       21 (9.8%)           Charlson Comorbidity Index score       0             70 (32.6%)       ≥ 2           Missing       32 (14.9%)           Comorbidities       Diabetes           Diabetes       82 (38.1%)           COPD or asthma       41 (19%)	6–10	63 (29.3%)
Former smoker   83 (38.6%)     Never smoker   95 (44.2%)     Clinical Characteristic   Median, N (%)     AJCC Stage   98 (45.6%)     II	Smoking status*	
Never smoker       95 (44.2%)         Clinical Characteristic       Median, N (%)         AJCC Stage       98 (45.6%)         II       49 (22.8%)         III       30 (14.0%)         IV       12 (5.6%)         Unknown stage       26 (12.1%)         Hepatitis C virus (HCV)       132 (61.4%)         Hepatitis B virus (HBV)       39 (18.1%)         Received anti-viral therapy for either HBV or HCV       110 (51.2%)         History of EtOH abuse       98 (45.6%)         Documented cirrhosis       165 (76.7%)         Known varices or variceal bleed       93 (43.2%)         NASH/NAFLD       21 (9.8%)         Charlson Comorbidity Index score         0       54 (25.1%)         1       70 (32.6%)         ≥ 2       59 (27.4%)         Missing       32 (14.9%)         Comorbidities         Diabetes       82 (38.1%)         CAD/MI       34 (15.8%)         COPD or asthma       41 (19%)	Current smoker (at diagnosis)	33 (15.4%)
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AJCC Stage	Never smoker	95 (44.2%)
1	Clinical Characteristic	,
II	AJCC Stage	
III   30 (14.0%)     IV   12 (5.6%)     Unknown stage   26 (12.1%)     Hepatitis C virus (HCV)   132 (61.4%)     Hepatitis B virus (HBV)   39 (18.1%)     Received anti-viral therapy for either HBV or HCV     History of EtOH abuse   98 (45.6%)     Documented cirrhosis   165 (76.7%)     Known varices or variceal bleed   93 (43.2%)     NASH/NAFLD   21 (9.8%)     Charlson Comorbidity Index score     0	T	98 (45.6%)
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Unknown stage 26 (12.1%)  Hepatitis C virus (HCV) 132 (61.4%)  Received anti-viral therapy for either HBV or HCV  History of EtOH abuse 98 (45.6%)  Documented cirrhosis 165 (76.7%)  Known varices or variceal bleed 93 (43.2%)  NASH/NAFLD 21 (9.8%)  Charlson Comorbidity Index score  0 54 (25.1%)  1 70 (32.6%)  ≥ 2 59 (27.4%)  Missing 32 (14.9%)  Comorbidities  Diabetes 82 (38.1%)  CAD/MI 34 (15.8%)  COPD or asthma	III	30 (14.0%)
Hepatitis C virus (HCV)       132 (61.4%)         Hepatitis B virus (HBV)       39 (18.1%)         Received anti-viral therapy for either HBV or HCV       110 (51.2%)         History of EtOH abuse       98 (45.6%)         Documented cirrhosis       165 (76.7%)         Known varices or variceal bleed       93 (43.2%)         NASH/NAFLD       21 (9.8%)         Charlson Comorbidity Index score       54 (25.1%)         I       70 (32.6%)         ≥ 2       59 (27.4%)         Missing       32 (14.9%)         Comorbidities       82 (38.1%)         CAD/MI       34 (15.8%)         COPD or asthma       41 (19%)	IV	12 (5.6%)
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HCV         History of EtOH abuse       98 (45.6%)         Documented cirrhosis       165 (76.7%)         Known varices or variceal bleed       93 (43.2%)         NASH/NAFLD       21 (9.8%)         Charlson Comorbidity Index score         0       54 (25.1%)         1       70 (32.6%)         ≥ 2       59 (27.4%)         Missing       32 (14.9%)         Comorbidities       82 (38.1%)         CAD/MI       34 (15.8%)         COPD or asthma       41 (19%)	Hepatitis B virus (HBV)	39 (18.1%)
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Comorbidities           Diabetes         82 (38.1%)           CAD/MI         34 (15.8%)           COPD or asthma         41 (19%)	≥ 2	59 (27.4%)
Diabetes         82 (38.1%)           CAD/MI         34 (15.8%)           COPD or asthma         41 (19%)	Missing	32 (14.9%)
CAD/MI 34 (15.8%)  COPD or asthma 41 (19%)	Comorbidities	
COPD or asthma 41 (19%)	Diabetes	82 (38.1%)
	CAD/MI	34 (15.8%)
Chronic kidney injury 59 (27.4%)	COPD or asthma	41 (19%)
	Chronic kidney injury	59 (27.4%)

(Continued)

Shankaran et al Dovepress

Table I (Continued).

Demographic Characteristic (n=215)	Median, N (%)			
Venous thromboembolism	22 (10.2%)			
Stroke	13 (6%)			
History of second cancers	70 (32.6%)			
Child Pugh category (at diagnosis)*				
A	163 (76%)			
Non-A (B or C)	48 (22.3%)			
BCLC Stage Grouping (at diagnosis)				
BCLC 0	12 (5.6%)			
BCLC A	97 (45.1%)			
BCLC B	43 (20%)			
BCLC C	40 (18.6%)			
BCLC D or Unknown*	23 (10.7%)			
Developed distant metastases				
Yes	47 (22.4%)			
No	132 (62.9%)			
Unknown	31 (14.8%)			

Notes: \*Due to SEER reporting requirements, tables cannot contain cell sizes ≤ 11. Thus, certain categories were grouped (eg Child Pugh B/C and BCLC D/unknown). In addition, unknown/other race and unknown smoking status could not be reported in the table.

limited therapeutic interventions that can be offered to this cohort. In general, costs associated with surgery and ablation were highest in BCLC 0 patients and declined consistently with increasing stage while costs for chemotherapy and catheter-based therapies increased with stage (Table 6). BCLC A patients had the highest mean lifetime costs (\$292,134), driven largely by costs for surgery, hospital-based care, advanced imaging, pharmacy, and outpatient visits. BCLC C patients had the next highest lifetime costs (\$255,431) with similarly high spending for imaging, outpatient visits, pharmacy, and hospital care but also higher costs for chemotherapy and interventional radiology. Chemotherapy costs were over twice as high in BCLC C patients compared with BCLC A patients (\$10,799 vs \$2998) but was not the predominant category of spending even among BCLC C patients.

Table 2 Treatment Characteristics

Treatment	N (%)		
Treatment with TACE and/or systemic therapy (EMR)			
None	98 (45.6%)		
TACE (with doxorubicin)	90 (41.8%)		
Median number of rounds	2 (range I–7)		
Systemic chemotherapy	50 (23%)		
Clinical trial participant	16 (32%)		
Initial therapy post-diagnosis (EMR)			
Surgery or Transplant	50 (23.2%)		
Ablation	42 (19.5%)		
TACE (or TACE+Ablation)	65 (30.8%)		
Radioembolization	39 (18%)		
Chemotherapy or Radiation	13 (6%)		
Treatment post-diagnosis (Claims)	·		
Radiofrequency ablation	72 (33.5%)		
Catheter-based therapy	132 (61.4%)		
Radioembolization	61 (28.4%)		
Chemoembolization	132 (61.4%)		
Radiation	43 (20%)		
Transplant surgery	30 (14%)		
Surgical resection	32 (14.9%)		
Chemotherapy	115 (53.5%)		
Sorafenib	24 (11.2%)		
Other <sup>a</sup>	18 (8.4%)		
Chemotherapy administration	46 (21.4%)		

**Notes**: <sup>a</sup>Includes nivolumab, lenvatinib, cabozantinib, afatinib, capecitabine, pembrolizumab, ramucirumab, and regorafenib.

Table 3 Median Survival by BCLC Category<sup>a</sup>

BCLC Stage	Median Survival in Year			
BCLC 0 and A*	7.49 (95% CI 5.39-NR)			
BCLC B	2.85 (95% CI 2.12–7.17)			
BCLC C	2.13 (95% CI 1.31–4.62)			

**Notes**: <sup>a</sup>Due to small numbers, median survival for BCLC D and BCLC unknown were not calculated. \*BCLC 0 and A stage patients were grouped together for this analysis given the low number of BCLC 0 patients; moreover, these patients are treated similarly and literature shows that their prognoses are similar.

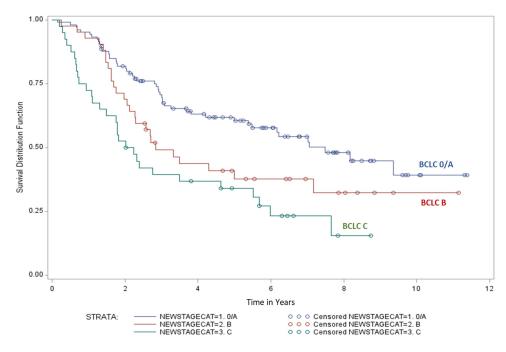


Figure 2 Median Survival by BCLC Stage Group.

### **Discussion**

In summary, we conducted one of the first analyses of health care utilization, costs, and outcomes using EMR, cancer registry, and claims data in a large cohort of HCC patients. We found that healthcare utilization and costs differed by BCLC stage. Costs associated with advanced imaging, hospital-based care, and outpatient visits were highest in the overall cohort and in each BCLC category. Despite the increase in costs for chemotherapy and catheter-based therapies with increasing BCLC stage, these costs did not comprise the predominant area of spending. This was true even among BCLC C patients for whom chemotherapy utilization might be expected to represent the costliest aspect of care. Our findings contrast with a previous analysis estimating the annual financial burden of HCC in the United States using 1999 SEER-Medicare data.<sup>3</sup> In that analysis by Lang et al, total costs were highest in localized disease and significantly lower in more advanced disease with an overall per-patient annual cost of \$32,907.3 Our study shows that the overall costs were much higher and similar across BCLC 0-C disease, likely reflecting newer techniques and therapies in advanced disease. As utilization of newer and less toxic systemic therapies increases, chemotherapy costs may comprise a greater proportion of spending in BCLC C patients and potentially push lifetime costs highest in this group. Despite the differences noted above, lifetime

treatment costs were in a similar range across BCLC categories 0-C, in contrast to median survival, which varied significantly by BCLC stage and was much lower in BCLC C patients compared with BCLC 0 and A patients. Significant increases in cost without improvements in survival, as is true more generally in oncology, may place undue financial burdens on patients and the healthcare system. Indeed, recent studies have concluded that many newer therapies for advanced HCC are not cost-effective by accepted thresholds given the high price of these agents relative to the modest survival benefits. 12-18 Our study provides a baseline cost estimate for future real-world cost analyses as newer therapies are increasingly taken up in clinical practice. If newer therapies for advanced BCLC stage HCC have improved toxicity profiles and result in decreased inpatient and ED utilization and outpatient care, the higher costs of the treatments may be offset by savings in other areas. At the same time, less costly but similarly effective interventions across all BCLC stages should be investigated as a strategy to decrease healthcare spending while maintaining outcomes.

Several limitations should be acknowledged in interpreting our study findings. First, because our data repository only includes patients with specific types of health insurance, we were not able to report on healthcare utilization and costs for patients who lacked health insurance or were insured by a payer outside our linkage. We expect

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Table 4 Factors Associated with Survival in HCC

Factor*	HR	95% CI	P value
<b>Age</b> ≥ <b>65</b> (ref < 65)	1.57	0.97–2.56	0.07
Female sex (ref male)	0.69	0.44-1.08	0.10
Comorbidity score (ref 0)			
I	0.85	0.50-1.45	0.56
2	0.81	0.45-1.45	0.48
Area Deprivation Index (ADI) 6-10 (ref ADI 0-5)**	1.57	1.02-2.4	0.039
History of EtOH abuse (ref no EtOH)	0.87	0.57-1.33	0.52
Health payer (ref commercial insu	urance)		
Medicare	1.41	0.85-2.3	0.19
Medicaid or multiple	1.762	0.86–3.6	0.12
Child Pugh B or higher (ref Child Pugh A)	0.71	0.37-1.35	0.30
BCLC stage (ref BCLC 0 + A)			
BCLC B	1.49	0.90–2.5	0.12
BCLC C**	2.8	1.67-4.67	<0.001

Notes: \*Model excluded patients with Child Pugh unknown; BCLC unknown/D;  ${\bf EtOH\ abuse\ history\ unknown.\ **Factors\ associated\ with\ significantly\ worse\ survival}$ are bolded.

that patients with HCC who lack health insurance have very poor outcomes and limited access to treatment given the high cost of care; the exclusion of these individuals from our analysis therefore limits generalizability. We also limited our analyses to patients with continuous enrollment for at least one month following diagnosis, which is typical of many other studies using administrative claims data to assess patterns of care; this requirement may also have excluded patients who disenrolled shortly after diagnosis and either remained uninsured or enrolled in an alternate health plan not represented in our database. Next, we could not determine BCLC stage and Child Pugh score in a substantial proportion of patients in our sample because of lack of adequate documentation of liver function, ECOG Performance Status, and imaging characteristics in the EMR. This information is also not available in the cancer registry or claims database but is critical to

**Table 5** Mean Overall per Patient Lifetime Costs

Total Costs (Total Treatment) <sup>a</sup>	\$ 258,628.40
All surgery	\$ 23,498.85
Ablation	\$ 2627.41
Interventional radiology (Catheter therapies)	\$ 7627.17
Endoscopic procedures	\$ 1131.54
Chemotherapy and administration	\$ 4905.31
Radiation	\$ 3613.68
Imaging	\$ 9154.66
Emergency Department (ED) and Inpatient costs (combined)	\$ 47,161.79
Inpatient costs	\$ 44,930.79
ED costs	\$ 2231.00
Outpatient costs	\$ 62,949.03
Pharmacy <sup>b</sup>	\$ 30,715.04
Other Costs <sup>c</sup>	\$ 52,323.73

Notes: <sup>a</sup>All inpatient, ED, and outpatient costs related to a specific procedure are included in the total costs for that procedure; other inpatient, ED, and outpatient costs not attributable to the specific procedures are grouped separately. bPharmacy costs reflect all non-chemotherapy drug costs, but could include supportive therapies (eg colony stimulating factors and anti-nausea medications), or potentially oral chemotherapy not otherwise captured in the chemotherapy category. Cother costs include costs not otherwise categorized such as laboratory services, skilled nursing facility, hospice, dialysis services.

treatment decision making and survival in HCC. Finally, our analysis likely underestimates the proportion of patients who received newer chemotherapy agents, given that many newer agents obtained through compassionate use or clinical trial could not be identified from claims data. Thus, our lifetime cost estimates may underestimate actual costs that would have been incurred had these individuals received treatment billed as standard of care, outside of a clinical trial.

Despite the limitations, our study clearly shows differences in survival, healthcare utilization, and lifetime treatment costs by BCLC stage group in a cohort of patients seen at a large referral center in WA state. Our findings establish a clear reference point for real world lifetime treatment costs in HCC that can be used to frame future analyses, particularly as new treatment strategies emerge.

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Table 6 Mean per Patient Lifetime Costs by BCLC Stage Group

Cost Type	BCLC 0	BCLC A	BCLC B	BCLC C	BCLC D	Unknown
Total Costs	\$226,279	\$292,134	\$170,940	\$255,431	\$123,702	\$221,198
All surgery	\$55,907	\$28,862	\$3489	\$17,148	\$348	\$53,044
Ablation	\$7679	\$3168	\$1557	\$823	\$1489	\$3712
Interventional radiology (Catheter therapies)	\$2713	\$8259	\$8311	\$10,013	\$2332	\$1894
Endoscopic procedures	\$459	\$1299	\$840	\$1664	\$314	\$441
Chemotherapy and administration	\$291	\$2998	\$5297	\$10,799	\$1017	\$6353
Radiation	\$0	\$3323	\$2375	\$4768	\$4569	\$8747
Imaging	\$8758	\$9824	\$8355	\$10,154	\$2598	\$8777
Inpatient costs	\$27,086	\$52,576	\$38,216	\$27,624	\$27,893	\$90,215
Emergency Department costs	\$5930	\$2529	\$1368	\$1637	\$1226	\$2732
Outpatient costs	\$42,516	\$66,595	\$60,117	\$59,130	\$22,303	\$46,902
Pharmacy	\$19,131	\$39,788	\$11,108	\$32,096	\$22,395	\$6930
Other costs	\$51,874	\$53,911	\$28,532	\$76,710	\$34,026	\$13,259

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