

Effect of Endobronchial Coils on Exercise Tolerance and Lung Functions in Patients with Severe Emphysema – A Retrospective Cohort Study of 48 Patients

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Background: Lung volume reduction with endobronchial coils treatment (ECT), for patients with severe emphysema, has shown modest improvement in exercise capacity and lung functions in clinical trials, yet the benefit of this procedure is still unclear.

Methods: We conducted a multicenter retrospective cohort study including all patients who underwent ECT in Israel and a propensity score matched control group of patients with chronic obstructive pulmonary disease (COPD) that were treated with usual care. The primary outcome was six-minute walk test distance (6MWT), secondary outcomes were lung function tests and patient survival.

Results: Overall, 46 patients were included in the ECT group. Their mean 6MWT at baseline and at 6 and at 24 months post procedure was 331.0 ± 101.4 , 372.9 ± 76.8 and 338.8 ± 104.8 , respectively (overall $P=0.04$, pairwise comparison: baseline to 6 months ($P=0.1$), baseline to 24 months ($P=1.0$)). Mean FEV1 values at baseline and at 6 and at 24 months post procedure were 0.86 ± 0.38 , 0.92 ± 0.37 and 0.82 ± 0.36 liters, respectively (overall $P=0.003$, pairwise comparison: baseline to 6 months ($P=0.04$), baseline to 24 months ($P=0.75$)). The median 6MWT for the ECT and control groups at 24 months were 333.0 (262.5–390) and 280 (210–405), respectively ($P=0.16$). There was no difference in overall survival ($P=0.84$). Heterogenous emphysema was a significant predictor of treatment success in univariate analysis ($p=0.004$).

Conclusion: Lung volume reduction with endobronchial coils may improve the exercise capacity and FEV1 of COPD patients. However, the majority of the effect was diminished after 24 months. The current state of evidence does not support regulatory approval of ECT and warrant its use only after consideration of the benefit-harm ratio in a highly selected patient population.

Keywords: emphysema, endobronchial coils, lung volume reduction, six-minute walk, residual volume, FEV1, survival

Introduction

Endoscopic lung volume reduction (ELVR) with endobronchial nitinol coils is a viable treatment option for patients with advanced chronic obstructive pulmonary disease (COPD).¹ The RESET trial, published in 2013, was the first randomized controlled trial (RCT) to evaluate the benefit of endobronchial coils treatment (ECT). The study included 23 patients in the ECT groups and 24 patients in the control group and reported a significant improvement in St George's Respiratory

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Questionnaire (SGRQ), 90 days after the last coils treatment.² Another RCT, with a similar sample size, by Zoumot et al reported a significant improvement in SGRQ, FEV1 and six-minute walk test distance (6MWT) one year after ECT.³ The REVOLENS trial which included 100 patients, 50 patients in each treatment group, reported a modest improvement in 6MWT, lung functions and SGRQ at 6 and 12 months.⁴ The RENEW trial, which is currently, the largest RCT conducted to evaluate ECT, included 315 patients, 158 in the ECT group and 157 in the usual care group. The results showed a statistically significant improvement in 6MWT at 12 months. Yet, this change was modest and with questionable clinical significance. Moreover, the rate of adverse events was higher in the ECT group.⁵ The benefit of ECT was also reported in two retrospective trials that showed an improvement in arterial blood gas and a decrease in anxiety and depression symptoms.^{6,7} To improve clinical outcomes, a post hoc analyses of the RENEW trial was conducted to identify baseline predictors of procedure success. The analysis showed that significant hyperinflation and quantitative CT scan analysis are important for patient selection.^{8,9} To prospectively validate these results, the ELEVATE study was designed to include patients with significant hyperinflation (residual volume (RV) > 200%). The trial, that was stopped prematurely by the sponsor, included 120 patients, 80 in the ECT group and 40 in the usual care group, reported a clinically significant improvement in lung function and quality of life at six months. Nevertheless, with a higher probability of serious adverse events.¹⁰ Since clinical trials published to date, reported a modest improvement in lung functions with a higher risk of adverse events and long-term data is sparse, the benefit of ECT is yet undetermined. In this trial, we present the Israeli long-term experience with ECT in an effort to contribute to the growing body of evidence regarding the benefit of this procedure.

Methods

We conducted a multicenter retrospective cohort study, inclusion criteria were all patients who underwent unilateral or bilateral endoscopic lung volume reduction procedure with endobronchial coils at Rabin and Shaare Zedek Medical Centers in Israel (RMC and SZMC), there were no exclusion criteria. We have also included a propensity score matched control group of 49 COPD patients from Rabin Medical Center ambulatory clinic. The inclusion criteria for the control group were diagnosis of COPD

and data regarding pulmonary function tests. The exclusion criteria were thoracic surgical procedure (eg, lung volume reduction surgery, wedge resection, lobectomy or pneumonectomy) or endoscopic treatment for lung volume reduction. The control group was matched for age, gender, baseline FEV1 and 6MWT distance. The primary outcome was 6-minute walk test distance at 24 months post procedure in comparison to the distance at baseline and at 6 months. Secondary outcomes were change from baseline in FEV1, carbon monoxide diffusing capacity (DLCO) and residual volume (RV) within the ECT group and the change in FEV1, RV, 6MWT distance and survival in comparison to the control group. The 6MWT at baseline was calculated as the mean of the last two tests before the first procedure. The distance at 6 months was calculated as the mean of all values registered between 1 and 6 months follow-up. The value at 24 months was calculated as the mean of all values registered between 18 and 30 months. FEV1, RV and DLCO were collected as described for the primary outcome. Pulmonary function tests were measured with the Pulmonary function testing system ZAN 300 nSpire health. Lung volumes including total lung capacity (TLC) and residual volume were measured with a Pressure (Closed-Type) Plethysmograph. Diffusion capacity was measured using the single breath methods with 0.3% carbon monoxide. Survival was analyzed as time to death or lung transplant. A post hoc analysis for predictors of procedure success was added after data analysis. Treatment success was defined by two objective parameters in the 6MWT, first the 6MWT had to improve after the procedure by 30 meters or more and second the 6MWT at 24 months had to be longer than the baseline value. Both parameters had to be fulfilled for the procedure to be considered successful. The study was approved by the RMC institutional ethical review board (IRB number: 0785-16-RMC).

Statistical Methods

Dispersion variables were presented as average with standard deviation (SD) or median and interquartile range (IQR) as appropriate. The demographic and baseline variables were compared with the chi-square test, or the Mann-Whitney *U*-test, as appropriate. The primary outcome was analysed with general linear model and results were reported in estimated marginal means. Pairwise comparison was adjusted for multiple comparisons (Bonferroni). Only patients with data in all time points were included in the repeated measures analysis. The

control group was matched to the intervention group by propensity score matching with a caliper of 0.2 for age, gender, baseline FEV1 and baseline 6MWT distance. Comparison of outcomes between the intervention and control groups was analysed with the chi-square test and the Mann–Whitney *U*-test. Survival analysis was analysed with the Kaplan–Meier curve and the Log rank test. A *P*-value of 0.05 was considered as significant. Statistical analysis was conducted with the SPSS version 27 software.

Procedure Flow

All procedures were completed in the bronchoscopy suite under moderate sedation using midazolam (1 mg), fentanyl (50–100 mcg) and propofol (dosing according to procedure length). First, the treated lobe and subsegments were identified. The bronchoscope was placed at the ostium of the treated subsegment. A catheter was inserted to measure the distance from the pleura in order to determine the appropriate coil length (100, 150 or 200 mm). The coil was loaded to the loading system and then deployed under fluoroscopic guidance into the desired lung segment. Between 8 and 12 coils were deployed into each lobe. At first, patients were hospitalized after the procedure for observation. However, due to the low complication rate, the procedure protocol was changed, and patients were discharged on the same day, if the chest x-ray was with no sign of pneumothorax and the patient was feeling well.

Results

Overall, 39 patients from Rabin Medical Center and 7 patients from Shaare Zedek medical center were included. This cohort includes all endobronchial coils procedures performed in Israel. Thirty patients underwent bilateral coils placement, and 16 patients underwent unilateral coils placement (Table S1).

The mean age (SD) of the cohort was 64.1 (6.9), 29 patients were males (63.0%). The majority of patients were in GOLD stage 3 and 4 (95.6%) and the median (IQR) BODE score (BMI, obstruction, dyspnea, and exercise capacity) were 6 (5–7) (Table 1). Mean 6MWT at baseline and at 6 and 24 months post procedure was 331.0 ±101.4, 372.9±76.8 and 338.8±104.8, respectively (overall *P* value = 0.04), Pairwise comparison of baseline to 6 and baseline to 24 months yielded *P* values of 0.1 and 1.0, respectively. Mean FEV1 values at baseline and at 6 and 24 months post procedure were 0.86±0.38, 0.92±0.37 and 0.82±0.36 liters (L), respectively (*P*=0.003). Pairwise

Table 1 Baseline Clinical and Demographic Characteristics

Medical Center	Coils Treatment		Control	P value
	RMC	SZMC	RMC	
Number of Patients	39	7	49	
Age (mean ± SD)	64.1 ± 6.9		65.0±10.3	0.62
Male gender (%)	29 (63.0)		31 (63.3)	0.98
Baseline FEV1 % pred ^a	29.0 (23.0–38.2)		31.0 (21.5–42.0)	0.56
Baseline DLCO %pred ^a	39.0 (30.0–44.75)		39.0 (29.0–49.0)	0.58
Baseline TLC % pred ^a	127 (122–141)		111.5 (105.75–126.75)	0.01
Residual volume mL ^a	5.42 (4.32–5.96)		3.97 (3.22–5.01)	0.001
GOLD stage	4 3 2 1	23 20 2 0	18 24 5 0	0.21
BODE score ^a	6 (5–7)		5 (4–7)	0.11
Supplemental oxygen (%)	8 (17.4)		6 (12.8)	0.53
Pack years ^a	50 (40–75)		50 (40–70)	0.80
DM (%)	7 (15.6)		14 (29.8)	0.10
HTN (%)	17 (37.8)		13 (27.7)	0.30
IHD (%)	10 (22.7)		8 (17.0)	0.49

Note: ^aData presented in median and IQR.

Abbreviations: RMC, Rabin Medical Center; SZMC, Shaare Zedek Medical Center; FEV1 %pred, forced expiratory volume in the first second percent predicted; DLCO %pred, carbon monoxide diffusing capacity percent predicted; Baseline TLC %pred, total lung capacity percent predicted; BODE score, BMI, obstruction, dyspnea, and exercise capacity; DM, diabetes mellitus; HTN, hypertension; IHD, ischemic heart disease; IQR, interquartile range.

comparison between FEV1 values at baseline to values at 6 and 24 months yielded *P* values of 0.04 and 0.75, respectively. Mean RV values at baseline, 6 and 24 months were 5.28±1.59, 4.91±1.43 and 5.07±1.30 L, respectively (*P*=0.45). Pairwise comparison between RV values at baseline to values at 6 and 24 months yielded *P* values of 0.6 and 1.0, respectively (Table 2 and Figure 1). Overall complication rate was low. Out of 76 procedures (30 bilateral and 16 unilateral), four patients suffered from post procedural pneumothorax and three from post procedural hemoptysis. During the post procedural follow-up period, three patients were hospitalized due to pneumonia

Table 2 Within Group Comparison. Repeated Measures Analysis Showing Estimated Marginal Means of Six-Minute Walk Test Distance, FEV1 and Residual Volume at Baseline, 6 Months and 24 Months in Patients After Lung Volume Reduction with Endobronchial Coils

Pulmonary Function Test	N*	Baseline	6 Months	24 Months	P value		
					Overall	Baseline vs 6 Months	Baseline vs 24 Months
6MWT	23	331.0±101.4	372.9±76.8	338.8±104.8	0.04	0.10	1.0
FEV1	32	0.86±0.38	0.92±0.37	0.82±0.36	0.003	0.04	0.75
RV	25	5.28±1.59	4.91±1.43	5.07±1.30	0.45	0.60	1.0

Note: *The analysis included only patients with data in all time points.

Abbreviations: 6MWT, six-minute walk test distance; FEV1, forced expiratory volume in the first second; RV, residual volume.

(Table S1). There were no procedural-related deaths. The demographic and baseline clinical characteristics of the intervention and control groups were similar except for a higher baseline RV and TLC for the intervention group (Tables 1 and 3). The median (IQR) 6MWT at 24 months was 333.0 (262.5–390) and 280 (210–405) for the ECT and control groups, respectively ($P=0.16$). The median FEV1 at 24 months was 0.77 (0.57–0.92) and 0.73 (0.56–1.05) for the ECT and control groups, respectively ($P=0.78$) and median RV at 24 months was 4.66 (3.89–6.21) and 4.22 (3.28–5.49) for the ECT and control groups, respectively ($P=0.15$). Median follow-up time was 42.89 months (IQR 29.9–59.66) for the ECT group and 34.9 (IQR 21.59–56.96) for the control group ($P=0.19$). During this time 9 patients died in the ECT group and 11 in the control group ($P=0.77$). Additionally, six patients had undergone lung transplantation in the ECT group and five in the control group ($P=0.63$) (Table 3). There was no difference in overall survival between groups ($P=0.84$) (Figure 2). Treatment success was seen in 25% (12/46) of patients, Heterogenous emphysema was seen in 83.3% of patients with a successful procedure in comparison to 35.5% in patients with unsuccessful procedure ($p=0.004$) (Table 4).

Discussion

In this study, we have presented the Israeli experience with endoscopic lung volume reduction using endobronchial coils. The results showed that ECT generate a short-term improvement in lung functions and exercise capacity but does not create a change in the course of the disease. Slight improvement is seen after 6 months which then slowly dwindles until the patient is back to the baseline values at 12 to 24 months. When compared to the control group, the graphs that first diverged at 6 months start to converge and at 24 months both groups are again at a similar clinical state. Nevertheless, if not treated, these patients would most probably have a progression of their emphysema during the 2 observed years, which we could obviously postpone. Survival was similar between groups. These results are in agreement with the RCTs and single-arm studies conducted to evaluate ECT, which showed an improvement in lung functions within 1 year after the procedure.^{2–5,10–12} The results are also in agreement with the two-year follow-up of the REVOLENS study which showed that by 2 years there was no difference in 6MWT and FEV1 between groups.¹³ In comparison to other methods of endoscopic lung volume reduction, a study that evaluated long-term outcomes with

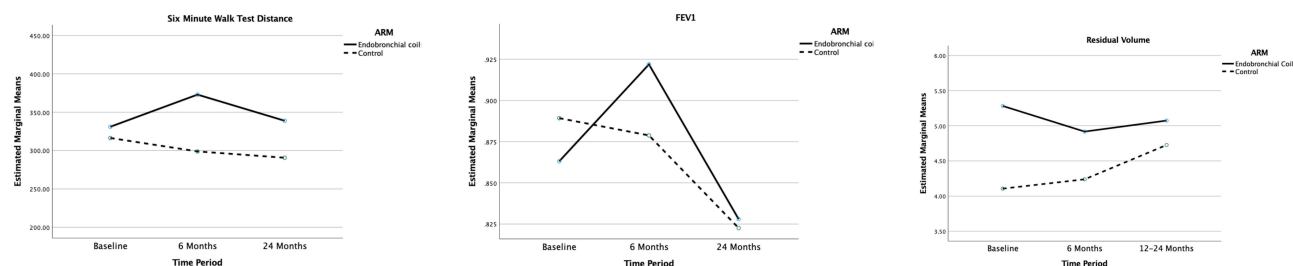


Figure 1 Six-minute walk test distance (6MWT), FEV1 and residual volume (RV) values for the intervention and control groups at baseline and at 6 and 24 months post procedure (intervention) or since follow-up commencement (control). Values for the control group are presented in Table S2.

Table 3 Comparison of Six-Minute Walk Test Distance, FEV1, Residual Volume, Lung Transplant Recipients and Mortality Between the Endobronchial Coils and Control Group

		Endobronchial Coils N=46	Control N=49	P value
6MWT ^a	Baseline	325.0 (196.25–390.0)	342.0 (240–405)	0.58
	24 months	333.0 (262.5–390)	280 (210–405)	0.16
FEV1 ^a	Baseline	0.76 (0.61–0.97)	0.82 (0.63–1.06)	0.47
	24 months	0.77 (0.57–0.92)	0.73 (0.56–1.05)	0.78
RV ^a	Baseline	5.42 (4.32–5.96)	3.97 (3.22–5.01)	0.001
	24 months	4.66 (3.89–6.21)	4.22 (3.28–5.49)	0.15
TLC ^a	Baseline	7.83 (6.70–8.65)	6.87 (5.66–7.46)	0.03
	24 months	7.01 (6.14–8.36)	6.64 (5.28–7.39)	0.10
Median follow-up time (months) ^a		42.89 (29.90–59.66)	34.9 (21.59–56.96)	0.19
Mortality (%)		9 (20.0)	11 (22.4)	0.77
Median survival for deceased patients ^a		20.27 (8.86–42.90)	18.16 (16.09–22.04)	0.87
Lung transplant (%)		6 (13.3)	5 (10.2)	0.63

Note: ^aData presented as median and IQR.

Abbreviations: 6MWT, six-minute walk test distance; FEV1, forced expiratory volume in the first second; RV, residual volume; TLC, total lung capacity; IQR, interquartile range.

endobronchial valve therapy reported superior results with a higher proportion of patient maintaining the initial improvement in FEV1, RV and 6MWT.¹⁴

The general positive effect seen in the results is not the effect seen at the individual patient level, while some patients had a significant clinical improvement after ECT, others had no clinical improvement or even deterioration. To further evaluate these results, we conducted a post hoc analysis for predictors of procedure success. Only 25%

(12/46) of the patients fulfilled the requirements for treatment success. Although the number of outcomes was too small for multivariate analysis, univariate analysis showed that heterogenous emphysema and lack of supplemental oxygen dependence were predictors of procedure success (Table 4). The baseline RV was not different between the success and no success groups however, the baseline RV was quite high in the entire cohort (median 245%). Similar results were shown in a post hoc analysis of the RENEW

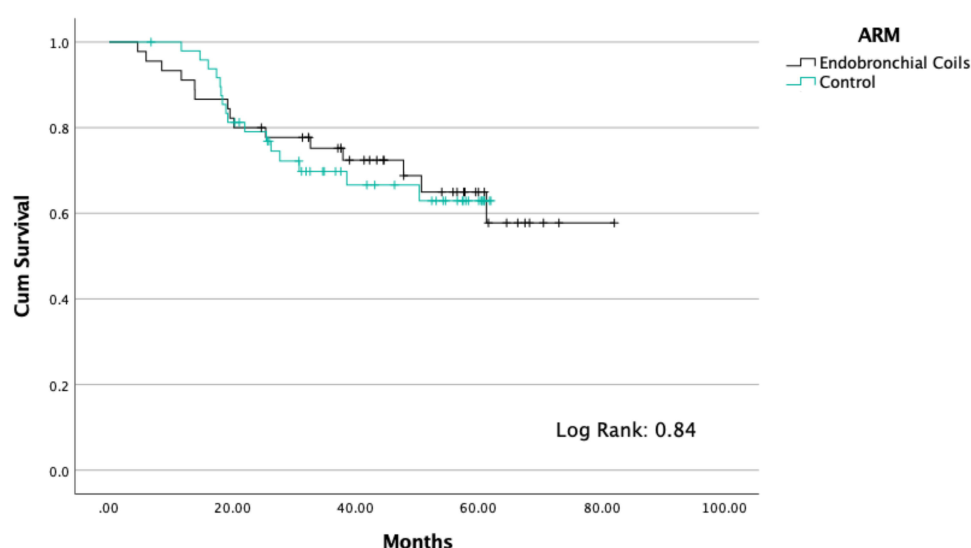


Figure 2 Kaplan-Meier Curve presenting overall survival (death or lung transplant) for the intervention and control groups. Log Rank value is presented at the bottom of the graph.

Table 4 Post Hoc Analysis for Predictors of Procedure Success

Demographic/ Clinical Predictor	Success		P value
	+	–	
n	12	34	
Age	63.17±5.49	64.4±7.48	0.48
Male gender	9 (75.0)	20(58.8)	0.26
Heterogeneous emphysema	10 (83.3)	12 (35.3)	0.004
Baseline residual volume (%predicted)	235.5 (206.5–269.25)	249.0 (218.25–277.5)	0.39
Baseline FEV1 (% predicted)	35 (24.75–38.75)	26.5 (22.75–36.75)	0.23
Supplemental oxygen	0	8 (23.5)	0.07

Abbreviation: FEV1, forced expiratory volume in the first second.

trial that reported significant hyperinflation (residual volume $\geq$ 200% predicted) and Quantitative CT analysis as critical factors for patient selection.⁹

The overall survival was similar between groups. The median BODE score in the intervention group was 6 (IQR 5–7) and the 4-year survival was 69.6% (32/46) which is slightly higher than the historical BODE cohort that reported a survival of 57% at 4 years and in agreement with the extended follow-up of the RESET trial which reported a survival of 64.4% at 4 years.^{15,16}

This study has several limitations, first its retrospective design. Second, lack of quality-of-life evaluation and third, incomplete individual patient data in various time periods which reduced the number of patients included in the repeated measures analysis. The control group was matched according to age, gender, baseline FEV1 and 6MWT. However, differences were seen in RV and BODE score. These differences are probably because the ECT group was chosen according to parameters for intervention, eg, hyperinflation and severe disease, while the control group was matched from a cohort of ambulatory clinic patients.

In conclusion, lung volume reduction with endobronchial coils may improve the exercise capacity and FEV1 of COPD patients. However, the majority of the effect was diminished after 24 months. The current state of evidence does not support regulatory approval of ECT and warrant its use only after consideration of the benefit–harm ratio in a highly selected patient population.

Data Sharing Statement

All datasets on which the conclusions of the paper rely are available upon request.

Statement of Ethics

This study was conducted in accordance with the Declaration of Helsinki. All organs were donated voluntarily with written informed consent, and in accordance with the Declaration of Istanbul.

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Disclosure

The authors have no conflicts of interest to declare.

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