Update on management of GIST and postsurgical use of imatinib

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Abstract: The advent of imatinib is a milestone in the treatment for locally advanced and metastatic gastrointestinal stromal tumor (GIST) at a dose of 400 mg/day. A higher starting dose of 800 mg/day is recommended only for patients harboring the KIT exon 9 mutation. Studies of imatinib plasma levels are presently ongoing, possibly leading to dose adjustments in the single patient. In localized GIST, complete surgical excision (R0) is considered the standard treatment. Imatinib pretreatment is recommended if R0 surgery is not feasible, or if less mutilating surgery might be achieved by cytoreduction. Whenever surgical morbidity is expected to be an issue, imatinib should be considered even for resectable primary disease in the preoperative setting. Patients with completely resected primary GIST at significant risk of recurrence (based on tumor mitotic rate, size, and location) can be considered for adjuvant imatinib. Dose adjustments could be considered for exon 9 mutant GIST. Imatinib is well tolerated, and its side effects including nonhematologic (periorbital edema, fatigue, nausea, diarrhea, myalgia, skin rash, headache, and abdominal and chest pain) and hematologic (anemia, granulocytopenia, and particularly neutropenia) toxicities are usually mild, although the severity of adverse events increases with dose. While treatment should be continued indefinitely in advanced/metastatic patients, because its interruption is generally followed by relatively rapid tumor progression, the optimal duration of postoperative imatinib therapy is presently not known, even if it is likely that longer disease control will be obtained with longer treatment duration. Patients should be aware that it is not possible thus far to predict the impact of adjuvant therapy on survival. However, the impact on disease control has been dramatic, and imatinib represents a major step forward in the treatment of this rare disease.

Keywords: GIST, imatinib mesylate, adjuvant therapy

Introduction
Gastrointestinal stromal tumor (GIST) is the most common mesenchymal tumor of the gastrointestinal tract. Although rare as a clinical entity, it has received considerable attention as a model for the molecular treatment of cancer. GISTs are characterized by the presence of constitutively activated KIT (CD117), the tyrosine kinase receptor, also known as stem cell factor receptor, encoded by the c-KIT proto-oncogene. The proposed mechanism of constitutive KIT phosphorylation in the majority of GISTs is a gain-of-function mutation, most commonly involving exon 11, which encodes a portion of the cytoplasmic juxtamembrane domain. Other mutations involve exon 9 (extracellular domain), exon 13 (first part of the split tyrosine kinase domain) or exon 17 (phosphotransferase domain). Alternative oncogenic mechanisms in GISTs lacking c-KIT mutations are activating mutations in the platelet-derived growth factor receptor (PDGFRα) oncoprotein.
The control of cellular processes, such as cell growth, division, and death, involves signal transduction, commonly including the transfer of phosphate from adenosine triphosphate to tyrosine residues on substrate proteins by tyrosine kinase enzymes. Activation of oncogenes coding for kinase proteins can lead to the production of kinases that are continually active, leading to increased cell proliferation and decreased apoptosis.

In order to develop specific targeted drugs, research has focused on oncogenic molecules and signal transduction pathways. One of those drugs is imatinib mesylate. GIST can be successfully treated with imatinib, with response rates of up to 85%. However, complete responses are rare, and most patients experience imatinib resistance over time.

**Epidemiology**

The exact incidence of GIST is hard to determine. Recent studies report an incidence of about 10–15 cases/million/year in Europe. However, the prevalence of GIST is higher, because many patients live for a number of years with the disease. At the time of diagnosis, the majority of patients with GIST are between 40 and 80 years of age, with a median age of approximately 60 years. Rarely, GIST can occur in children and in young adults. Most GISTs are sporadic, but familial GISTs are described. Some hereditary syndromes, including neurofibromatosis Type I, Carney’s triad (gastric GIST, paraganglioma, and pulmonary chondroma) and Carney’s dyad (paraganglioma and gastric GIST) can be associated with the development of the tumor.1,2

**Historic perspective**

Following their initial description in the 1940s, stromal tumors arising from the gastrointestinal tract were classified as smooth muscle neoplasms.3,4 Until the early 1960s, these rare tumors were classified as various entities, including leiomyosarcoma, leiomyoblastoma, and bizarre leiomyoma. By the late 1960s, smooth muscle features were seen occasionally in GIST, raising the question of a smooth muscle origin for this entity.5,6 In addition, several authors reported ultrastructural features reminiscent of autonomic nerve structures, with schwannian and neuroaxonal characteristics in tumor specimens microscopically indistinguishable from GIST.7

With the introduction of immunohistochemistry in the early 1980s, it was soon appreciated that many of these tumors lacked the immunophenotypic features of smooth muscle and, conversely, a proportion of tumors stained positively for S100 protein, a marker for neuroectodermal differentiation. This led Mazur and Clark to suggest the myenteric nervous system cell as a possible origin and to introduce the more generic term “stromal tumor”. In 1989, a distinctive subset of gastrointestinal tumors showing autonomic neural features was described and termed “plexosarcoma” and subsequently became better known as gastrointestinal autonomic nerve tumors (GANTs).8

From 1994, it became apparent that a significant proportion of GANTs were immunopositive for CD34, and for a while CD34 was believed to be the marker for GIST. This finding also raised the possibility that GIST might be related to the interstitial cells of Cajal (ICC) on the basis of CD34 immunopositivity. GISTs have immunophenotypic and ultrastructural characteristics of both neural and smooth muscle elements.

Until 1998, it was unclear what the cell of origin of GIST was, how to best diagnose GIST, or even how to distinguish malignant from benign GIST. Finally, in 1998, Hirota et al made two key discoveries, ie, a near-universal expression of KIT in GIST and the presence of activating c-KIT mutations.9 In Hirota’s series of 49 GIST samples, 94% of cases expressed KIT. Mutations in the juxtamembrane domain of c-KIT were detected in five of six samples of GISTs, resulting in a constitutive ligand-independent activation of the KIT receptor, tyrosine kinase.

In the same year, work by Kindblom et al10 corroborated the findings of Hirota et al, showing that all of the 78 GISTs studied were immunoreactive for KIT, and shared striking ultrastructural and immunophenotypic similarities with ICC. This work supported the hypothesis that GISTs may develop from stem cells that differentiate toward the ICC phenotype and confirmed KIT as an accurate diagnostic tool for GIST.

The next decade saw phenomenal growth in the understanding of GIST biology and therapeutics, beginning with a single patient with an advanced, refractory GIST that displayed an early, rapid, and sustained response to a small molecule tyrosine kinase inhibitor, imatinib, with potent activity against the transmembrane receptor KIT, ABL kinase, and the chimeric BCR-ABL fusion oncoprotein product of chronic myeloid leukemia (CML).11 The remarkable, early clinical results led to large-scale, rationally designed clinical trials of imatinib in patients with GIST.

The introduction of tyrosine kinase inhibitors led to immense research interest in GIST. In 2003 Heinrich et al additionally identified PDGFRα gene mutations as an alternative pathogenetic event in GISTs lacking KIT gene mutations.12 To date, approximately 88% of GISTs are reported to harbor activating mutations in KIT or the homologous RTK gene, PDGFRα, and KIT. Immunohistochemistry...
has proven to be a reliable and sensitive tool in GIST diagnosis.

**Oncogenetic mutations and signaling pathways**

KIT and PDGFRα genes map to chromosome 4q12. Both of these genes encode Type III tyrosine kinase receptors sharing closely related structural features. These kinases are composed of an extracellular ligand-binding region containing five immunoglobulin-like repeats, a transmembrane sequence, a juxtamembrane domain, and two cytoplasmic kinase domains. KIT and PDGFRα are activated by binding of their respective ligands (stem cell factor and PDGFα) to the extracellular region. In GISTs, KIT or PDGFRα mutations cause constitutive oncogenic signalling in the absence of their ligand, with alterations in the cell cycle, protein translocation, metabolism, and apoptosis.

**Clinical features**

GIST can occur from the esophagus to the anus. Gastric GISTs are the most common (about 60%) and have many different forms and mutations. Thirty percent of GISTs are in the jejunum or ileum. Although the vast majority of GISTs smaller than 2 cm are essentially clinically benign, older classification in “benign or malignant” GIST has been replaced by risk stratification predicting clinical behavior. The parameters used in risk assessment for GIST according to the consensus National Comprehensive Cancer Network guidelines are mitotic index, size, and anatomic location (see Table 1).

The clinical presentation of GIST often depends on its site and location. As with many other mesenchymal neoplasms, a mass effect is responsible for symptoms. In many cases, GISTs can remain asymptomatic and be found incidentally. Patients can also present with microcytic anemia caused by erosion of GIST into the intestinal tract lumen.

**Table 1 Risk stratification of primary GIST**

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<th>Tumor parameter</th>
<th>Risk of progressive disease*</th>
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<td>Mitotic index</td>
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Notes: *defined as metastasis or tumor-related death; bsmall number of cases; nontumors of such categories were included in the study.
in an external quality assurance program and with expertise in the disease may be useful in order to make mutational analysis more widely available. Mutational analysis is currently recommended in the diagnostic work-up of all GISTs.17 The objective response rates for KIT exon 11 mutant GISTs, KIT 9 exon mutant GISTs, and wild-type GISTs are 72%–86%, 48%–38%, and up to 28% respectively.14,18–22 PDGFRα mutant GISTs have been shown to respond to imatinib, with the exception of the exon 18 D842V mutation.18,19,23

**Surgical treatment**

The standard treatment for localised GIST is surgery, with R0 resection being the goal. Given the usual exophytic growth pattern of GIST within the gastrointestinal tract, wedge or segmental resections are often possible. In locations where wedge resection is not technically feasible, wide resections or en bloc resections can be performed to achieve grossly negative surgical margins. Lymphadenectomy is not required.

If R0 surgery is not feasible, or might be achieved through less mutilating surgery by cytoreduction, imatinib pretreatment is recommended. This may also be the case if the surgeon believes that the surgical procedure would be safer after cytoreduction. Surgery is performed following maximal tumor response to therapy, generally after 6–12 months. Mutational analysis may help to exclude nonsensitive mutations from surgical treatment. PET scan, or PET-CT/MRI, may be particularly useful to assess tumor response very rapidly, in terms of a few weeks, so that surgery is not delayed in the case of nonresponsive disease.15 Imatinib is the standard treatment in locally advanced, inoperable, and metastatic patients. This is also the case in metastatic patients in whom lesions have been surgically excised after incidental discovery. Complete excision of residual metastatic disease has been shown to be related to a better prognosis, provided that the patient is responding to imatinib, but it is not as yet known whether this is due to surgery or to selection bias.

**Clinical efficacy of imatinib as adjuvant treatment after surgery**

Surgery is the mainstay of curative treatment for patients with primary resectable GIST. Despite R0 surgical resection of GIST, a postoperative recurrence risk is reported to vary between 0% and 90%, depending on the characteristics of the tumor. Historically, the five-year survival rate following surgical treatment of primary GIST is 54%.24 Knowing the risk of recurrence can help clinicians to evaluate the best therapeutic strategy following surgery. Predictive factors for recurrence are the size of the tumor, location of the tumor, mitotic count, and mutational status.25,26 The use of imatinib as an adjuvant treatment in patients with primary resectable GIST has been reported in small, nonrandomized, single-institution studies.27–29 A larger, multi-institution Phase II study of postoperative imatinib in patients with very-high risk GIST has been conducted. In this trial, treatment was administered for 1 year after surgery; 82% of patients completed treatment and without important toxicity.30 A subsequent randomized, placebo-controlled Phase III trial of adjuvant imatinib in patients with GIST at least 3 cm in diameter was done (American College of Surgeons Oncology Group Adjuvant Trial Z9001). The trial was not blinded, and an interim analysis has shown that patients receiving treatment had a statistically significant increase in recurrence-free survival. Seven hundred and thirteen patients having a histologic diagnosis of KIT-positive GIST, tumor size ≥3 cm, and complete gross resection within 14 to 70 days prior to registration were enrolled in this study. Patients were randomized to receive imatinib at a dose of 400 mg/day or placebo for one year. The primary endpoint of this study was recurrence-free survival. At the end of the 1-year treatment period, an estimated 98% of patients in the treatment group achieved recurrence-free survival at 1 year, compared with 82% of patients in the placebo group. This yielded a 16% absolute risk reduction, and the difference in recurrence-free survival between the two groups was statistically significant (P = 0.001). This difference was observed as early as 6 months, and was even more pronounced in patients with tumors larger than 10 cm. Based on the results of this trial, the US Food and Drug Administration (FDA) approved the use of imatinib at a dose of 400 mg/daily in the adjuvant setting of patients with GIST larger than 3 cm.31 The optimal duration of therapy has not been determined.

Two other large trials in Europe are investigating the adjuvant use of imatinib. The Phase III trial EORTC/GSF/ISG/GEIS/AGIT 62024 is a controlled, randomized trial comparing adjuvant imatinib administered for two years, at a daily dose of 400 mg, versus no treatment after complete resection of intermediate- and high-risk GIST. The risk stratification in this study is based on tumor diameter and mitotic index. The multicenter study SSGXVIII/AIO is a randomized Phase III trial comparing one and three years of adjuvant therapy with imatinib at a daily dose of 400 mg in patients with high-risk GIST. This study addresses the issue of optimal duration of treatment.

The current evidence is that there is an early recurrence-free survival advantage for adjuvant imatinib in GIST, but it is not yet known if this early advantage will translate into a
longer-term benefit. The other issue to evaluate is the specific patient population likely to benefit from adjuvant treatment. We are currently proposing adjuvant therapy on the basis of known risk factors, ie, mitotic index, tumor size and site, and mutational status. In the future, tailored adjuvant therapy to specific subgroups of patients could be feasible.

**Pharmacology**

Imatinib, formerly known as STI751, is a competitive antagonist of the adenosine triphosphate binding site of several receptors, including ABL, ABL-related gene product (ARG), KIT, CSF-1R, PDGFRα, and PDGFRβ. It blocks the transfer of phosphate groups from adenosine triphosphate to tyrosine kinase residues of the substrates. This causes interruption of the downstream process of the mitogen-activated protein kinase and Akt signalling pathways implicated in cell proliferation and survival.

Imatinib was first used as a therapeutic agent in CML, in which the tyrosine kinase BCR-ABL, the fusion product of the Philadelphia chromosome, is constitutively activated. All patients in the chronic phase of CML treated with imatinib showed a complete response. Proliferation and tumor formation by cells expressing BCR-AB were inhibited by imatinib in vitro. The concentration of imatinib required to inhibit cellular tyrosine phosphorylation by 50% (IC₅₀) was 0.25 μM in BCR-ABL-expressing cell lines. At the same concentration, imatinib is able to inhibit the kinase activity of c-kit in vitro.

In the late 1990s, it was discovered that the malignant behavior of GIST is due to gain-of-function mutations in the genes encoding for the c-kit receptor or for PDGFRα. These mutations result in autophosphorylation of c-kit and PDGFRα, uncontrolled cell proliferation, and stimulation of downstream signalling pathways, including those involving phosphatidylinositol 3 kinase and mitogen-activated protein kinases.

About 80%–86% of GISTs have primary KIT mutations. Most of them involve the juxtamembrane domain (exon 11), but other exons harboring mutations have been described, such as exon 9 (extracellular domain) with a frequency of 5%–18% and exons 13 (kinase 1 domain) and 17 (activation loop) with frequencies of 1.5%–4.5% (Figure 1). Of the GISTs not harboring KIT mutations, around 10% have mutations in the second kinase domain of PDGFRα (exon 18), almost two-thirds of which consist of a single point mutation (D842V). KIT and PDGFRα mutations are mutually exclusive, but they lead constitutively to the activation of tyrosine kinase which is involved in cell proliferation and survival.

Imatinib mesylate is designated chemically as 4-[(4-Methyl-1-piperazinyl) methyl]-N-[4-methyl-3-(pyridinyl)-2]amino]-phenyl]benzamide methane-sulfonate. It is a crystalline powder, with a molecular formula of C_{29}H_{31}N_{7}OCH_{4}SO_{3} and a molecular weight of 589.7. Its structure mimics that of adenosine triphosphate.
Imatinib is well absorbed after oral administration, with mean maximum plasma concentration ($C_{\text{max}}$) achieved within 2–4 hours after dosing and a mean absolute bioavailability of 98% for the capsule formulation.40 The bioavailability and other pharmacokinetic characteristics of imatinib are not affected by oral consumption of a fat-rich meal.41 A mean $C_{\text{max}}$ of 2.3 mg/L at steady state was obtained from imatinib 400 mg/day in 83 adult patients with chronic CML.36 At steady state, the mean plasma concentration was 0.72 mg/L, which exceeds the IC50 of the drug required to inhibit proliferation of BCR-ABL-positive leukemic cells obtained from patients with CML.35

The pharmacokinetics of imatinib did not change significantly with repeated doses. The mean imatinib area under the plasma concentration-time curve increases proportionally with increasing doses of 25–1000 mg. Accumulation is 1.5-fold to 2.5-fold at steady state when imatinib is administered once daily.36,40,42

In vitro experiments, plasma protein binding (predominantly to albumin and α1-acid glycoprotein) was about 95% at clinically relevant concentrations.43 The major enzyme responsible for the metabolism of imatinib is cytochrome P450 (CYP) 3A4, although other isozymes (CYP1 A2, CYP 2D6, CYP 2C9, and CYP2 C19) play a minor role.

In cases of concomitant administration of CYP 3A4 inducers (ie, dexamethasone, phenytoin, carbamazepine, rifampin, rifabutin, rifampicin, phenobarbital), the daily imatinib dose should be increased, with careful monitoring of clinical response. Drugs that decrease CYP3 A4 activity (eg, ketoconazole, clarithromycin, atazanavir) may decrease imatinib metabolism, so a reduction in daily dose should be considered.42,44

A decreased dose is recommended in patients with severe hepatic insufficiency or renal impairment. The latter group of patients should receive a dose not greater than 600 mg in case of mild renal failure and not greater than 400 mg in case of moderate insufficiency.

After oral administration, the elimination half-lives of imatinib and its major metabolite (N-demethyl derivative, CGP74588) in healthy volunteers are approximately 18 and 40 hours, respectively.52 Imatinib elimination is predominantly via feces (68%) and urine (13%), and mostly as metabolites. Clearance of imatinib is expected to be 8 L/hour for a 50-year-old patient weighing 50 kg. It is not necessary to adjust the initial dosage based on body weight or age, but the patient should be monitored for any treatment-related toxicity.

**Efficacy study**

In 2001, on the basis of the demonstrated efficacy of imatinib in cell lines harboring KIT mutations,26 Joensuu et al successfully treated the first patient, a 50-year-old woman with rapidly progressive metastatic disease, in whom a complete metabolic response was demonstrated by a PET scan obtained after one month of therapy. The anticancer activity of imatinib was confirmed by multiple biopsies of liver lesions.11

After this observation, a multi-institutional Phase I study was conducted demonstrating the efficacy of imatinib in advanced metastatic disease.45 Further Phase II studies conducted at the same time in Europe and the US showed clinical evidence of the efficacy of imatinib in GIST in terms of objective response.46,47

As a result of two randomized clinical trials demonstrating median progression-free survival of 2 years48 and an increase in median overall survival to 4.8 years with 64 months of follow-up,31 imatinib is now considered standard treatment in locally advanced and metastatic patients.14,17

A study in the pre-imatinib era showed that 80 of 200 patients with likely GIST were able to undergo complete resection. These patients had a median survival time of 60 months, and those with metastatic disease had a median survival of around 20 months.49 Treatment with imatinib has changed the natural course of this disease, and in a study including patients with advanced (unresectable or metastatic) GIST, overall survival was 3.5 years.19

The standard dose of imatinib is 400 mg daily. Both the European and US dose efficacy trials48,50 demonstrated similar results in terms of objective response in patients treated with imatinib 400 mg and 800 mg daily. Although imatinib was initially approved at doses of 400 and 600 mg daily, the use of high-dose imatinib (800 mg daily) has shown a benefit in patients with advanced or metastatic GIST who progress on the standard dose. In patients harboring exon 9 mutations who have an initial partial response to the conventional dose, a superior progression-free survival with the high-dose regimen can be obtained.19,51 This result was related to responses to the dose increase (assessed using a growth modulation index), occurring most often in patients without detectable kinase mutations (83%) and in those with KIT exon 9 mutations (57%).51 Mutation analysis may help to identify patients with mutations (eg, PDGFRA mutation involving exon 18 with a substitution in codon D842V) unsuitable for imatinib therapy. An objective clinical response to imatinib correlates with KIT mutation status, with the best response rate seen for patients with mutations in KIT exon 1137 (Figure 2).

The use of imatinib after complete resection of primary GIST was evaluated in a multicenter, randomized, double-blind, placebo-controlled trial led by the American College...
of Surgeons Oncology Group, and sponsored by the Cancer Therapy Evaluation Program and Novartis, involving 713 high-risk patients. High risk was defined as a tumor size >10 cm, intraperitoneal tumor rupture or hemorrhage, or multifocal (more than five) tumors.

Patients assigned to the imatinib arm and placebo arm had a one-year recurrence-free survival of 97%, and 83%, respectively (P < 0.001). The data from this study have shown that 1 year of imatinib is well tolerated in the adjuvant setting, prolongs recurrence-free survival, and is associated with improved overall survival when compared with historic controls.53

The optimal duration of imatinib therapy is not completely defined. In a French study, interruption of treatment after one year was associated with a high risk of relapse, and reintroduction was correlated with response. Treatment should continue until progression, intolerance, or patient refusal to undergo further treatment.54

**Safety and tolerability**

Imatinib is well tolerated, and its side effects are usually mild, although the severity of adverse events increases with dose.20 Adverse effects are described not only in the clinical trials conducted under widely varying conditions but also in clinical practice. Development of toxicity and side effects can cause interruption of treatment, occurring more frequently with high-dose therapy. To avoid disease recurrence, all patients receiving imatinib therapy should receive continuous treatment, because treatment interruption results in disease progression at a median of six months.20,55

Observation of dose-related toxicity is common in all the clinical trials. The incidence of mild adverse events is frequent (99%) and similar in patients receiving low- or high-dose therapy (600 or 800 mg daily), and their severity increases with at higher doses.21 In a Phase III study assessing two different doses, the rate of Grade 3–4 adverse effects was 32.3% in the group receiving 400 mg/day versus 50.2% in the group receiving 800 mg/day.20

The most commonly observed nonhematologic toxicities in patients receiving high-dose imatinib include edema (mainly periorbital), fatigue, nausea, diarrhea, myalgia or musculoskeletal pain, skin rash, headache, and abdominal and chest pain. Dose reductions in patients receiving imatinib should be considered even for resectable primary disease in the preoperative setting. The aim of treatment is to preserve organs and function as much as possible, in order to improve the short- and long-term surgical outcome.

Patients with completely resected primary GIST at significant risk of recurrence can be considered for adjuvant imatinib. Dose adjustments could be considered for exon 9 mutant GIST. The optimal duration of postoperative imatinib therapy is presently not known, but it is likely that longer disease control will be obtained with longer treatment duration. Discussion with patients should also acknowledge that we still cannot predict the impact of adjuvant therapy on survival. However, the impact on disease control has been dramatic and represents a major step forward in the treatment of this rare disease.

**Conclusions**

Imatinib is the cornerstone of treatment for advanced metastatic GIST at a dose of 400 mg/day. A higher starting dose of 800 mg/day is recommended for patients harboring kit exon 9 mutations. Studies of imatinib plasma levels are presently underway and will possibly lead to dose adjustments in individual patients.

Whenever expected surgical morbidity is an issue, imatinib should be considered even for resectable primary disease in the preoperative setting. The aim of treatment is to preserve organs and function as much as possible, in order to improve the short- and long-term surgical outcome.

**Disclosure**

The authors disclose no conflicts of interest.

**References**


