

# Evaluation of Prognostic Factors in Anti-MDA5 Antibody-Positive Patients in Chongqing, China: A Retrospective Study

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**Objective:** The aim of our study was to elucidate the potential prognostic factors in anti-melanoma differentiation-associated gene 5 (anti-MDA5)-positive patients.

**Methods:** We divided anti-MDA5-positive patients into death and survival groups. The differences in clinical characteristics were analyzed.

**Results:** A total of 56 cases were included. The death group comprised 10 (17.9%) cases, and the survival group comprised 46 (82.1%) cases. Median age of the death group was greater than the survival group, 59.50 years vs 39.25 years,  $p < 0.05$ . The death group had lower lymphocyte count and albumin and higher erythrocyte sedimentation rate, ferritin and lactate dehydrogenase initially ( $p < 0.05$ , respectively). Ground-glass opacity on chest computed tomography was found more often in the death group ( $p < 0.05$ ), in which there was an absence of honey-combed shadow initially. The diagnosis of interstitial pneumonia with autoimmune features was higher in the death group than the survival group (70% vs 13%,  $p < 0.05$ ). The median dose of maximum daily methylprednisolone in the death group (160 mg/d) was higher than that in the survival group (48 mg/d) ( $p < 0.05$ ).

**Conclusion:** Advanced age, low lymphocyte count and albumin, and increased levels of inflammatory markers may portend poor prognosis in anti-MDA5-positive patients. Extra-large doses of glucocorticoid may have no additional benefit in these patients.

**Keywords:** anti-melanoma differentiation-associated gene 5 antibody, interstitial lung disease, clinical manifestation, prognosis

## Introduction

The anti-melanoma differentiation-associated gene 5 (anti-MDA5) antibody has been studied extensively since it was first discovered.<sup>1,2</sup> It is reported to be more common in East Asian cohorts and manifests as arthritis and cutaneous lesions complicated by interstitial lung disease (ILD), especially rapidly-progressive ILD (RP-ILD), which has a poor prognosis as compared with anti-MDA5 antibody-negative patients.<sup>3-7</sup> Some studies have pointed out that increased ferritin, increased age and positivity for anti-Ro52 antibody are associated with poor prognosis in patients with RP-ILD with anti-MDA5 positivity.<sup>8,9</sup> Treatment responses vary in these patients even when similar drugs are administered. The differences in clinical manifestations, treatment response and prognosis need to be elucidated further, in particular to distinguish between dead patients and survivors with anti-MDA5 positivity. Therefore, we aimed to find the potential prognostic risk factors in anti-MDA5-positive patients by analyzing the characteristics of death and survival groups with respect to clinical manifestations and treatments.

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## Methods

### Patients and Sera

This retrospective study included 56 anti-MDA5-positive patients who had complete medical history and were hospitalized in the Second Affiliated Hospital of Chongqing Medical University from September 2015 to September 2020, for the first time. The patients were divided in two groups, death group and survival group. According to the Declaration of Helsinki, written informed consent was obtained from all patients or their immediate families. Ethical approval was obtained from the Ethics Committee of the Second Affiliated Hospital of Chongqing Medical University.

For the diagnosis of polymyositis/dermatomyositis (PM/DM), we referred to the idiopathic inflammatory myopathy (IIM) criteria,<sup>10</sup> for interstitial pneumonia with autoimmune features (IPAF), we referred to the 2015 consensus classification criteria of the European Society of Respiratory Diseases/American Thoracic Society,<sup>11</sup> for Systemic lupus erythematosus (SLE), we referred to the 2009 classification criteria of the American College of Rheumatology (ACR),<sup>12</sup> for primary Sjogren Syndrome (pSS), we referred to the 2012 classification criteria of ACR,<sup>13</sup> for Rheumatoid Arthritis (RA), we referred to the 2009 classification criteria of ACR,<sup>14</sup> for Systemic Sclerosis (SSc), we referred to the 2013 classification criteria of ACR/EULAR.<sup>15</sup> Rapidly progressive ILD was defined as a condition of worsening radiologic interstitial change with progressive dyspnea and hypoxemia within 1 month of the onset of respiratory symptoms.<sup>2</sup>

### Laboratory and Image Examinations

All the laboratory examinations and image examinations considered were the initial examinations after admission to hospital.

Inflammatory markers included ferritin, erythrocyte sedimentation rate (ESR), C-reactive protein (CRP), fibrinogen (Fib), D-Dimer and lactate dehydrogenase (LDH). Routine blood examination included hemoglobin and white blood cell (WBC), lymphocyte, neutrophil, and platelet counts. Biochemical tests included albumin (Alb), creatine kinase (CK) and creatine kinase isoenzyme MB (CK-MB). Immune indices included immunoglobulin G (IgG), immunoglobulin M (IgM), myositis-specific autoantibodies, myositis-associated autoantibodies. The myositis-specific

autoantibodies and myositis-associated autoantibodies were analyzed by immunoblot assay using an OMRMUN assay kit (EUROIMMUN, Beijing, China).

High-resolution computed tomography (HRCT) of chest was applied to all patients at the time of initial admission to hospital. The CT images were interpreted by two radiologists. If the two radiologists had different opinion, they made the decisions by consensus.

### Statistical Analysis

The Mann–Whitney *U*-test was used for continuous data with non-normal distribution, and the results expressed as medians with interquartile range (25% percentile–75% percentile). Frequencies were evaluated with  $\chi^2$  or Fisher's exact tests with percentage (%), as appropriate. *P*-values <0.05 was considered to be statistically significant. All statistical analyses were performed using SPSS 23.0 software (IBM SPSS Statistics version 23; IBM Corp., Armonk, NY, USA).

## Results

### Demographic Data, Clinical Symptoms

A total of 56 cases were included in this study. The death group comprised 10 (17.9%) cases and the survival group comprised 46 (82.1%) cases. Gender comparison between the two groups did not reveal any statistically significant differences. The median age of onset in the death group was higher than the survival group (59.5 [51.75, 63] years vs 39.25 [49, 63] years, *p*<0.05). Cough was more common in the death group than the survival group (9 vs 26, *p*<0.05). The demographic data and other information about the two groups are shown in Table 1.

### Laboratory Examinations

The median values of lymphocyte count and albumin in the death group were 555 cell/ $\mu$ L and 29.7 g/L, respectively, initially, which were lower than that of the survival group, where the values were 1095 cell/ $\mu$ L and 34.30 g/L, respectively (*p*<0.05). The values of ferritin, ESR and LDH were higher in the death group than the survival group (*p*<0.05, respectively). There were no statistically significant differences in other routine blood examinations and biochemical tests, including CK and CK-MB. The laboratory examinations of the two groups are listed in Table 2.

**Table 1** Comparison of Demographic Data, Clinical Symptoms and Physical Signs Between Death and Survival Groups

	Death (n=10)	Survival (n=46)	p-value
Gender (female/male)	4/6	24/22	0.364
Onset age (years), median (25%, 75%)	59.50 (51.75, 63.00)	39.25 (49.00, 63.00)	0.022*
BMI, median (25%, 75%)	23.13 (19.90, 24.47)	22.12 (19.40, 24.70)	0.814
Disease duration (months), median (25%, 75%)	2 (1, 5)	3 (1, 12)	0.275
Smoke, n (%)	3 (30.0%)	16 (34.8%)	0.542
Clinical symptom			
Fever, n (%)	2 (20.0%)	8 (17.4%)	0.576
Cough, n (%)	9 (90.0%)	26 (56.5%)	0.047*
Expectoration, n (%)	7 (70.0%)	20 (43.5%)	0.120
Dyspnea, n (%)	6 (60.0%)	18 (39.1%)	0.196

**Note:** \*p-value was less than 0.05.

**Abbreviation:** BMI, Body mass index.

**Table 2** Comparison of Laboratory Examinations Between Death and Survival Groups

	Death, Median (25%, 75%)	Survival, Median (25%, 75%)	p-value
RBC (cell/ $\mu$ L)	3975 (3685, 4300)	4270 (3885, 4585)	0.168
Hemoglobin (g/L)	121 (103, 132)	123 (107, 136)	0.622
WBC (cell/ $\mu$ L)	5795 (4268, 11,280)	5660 (4000, 7495)	0.448
PLT (cell/mL)	194 (148, 241)	184 (137, 227)	0.797
Neutrophil (cell/ $\mu$ L)	4890 (2895, 8940)	3790 (3023, 5383)	0.223
Lymphocyte	555 (493, 920)	1095 (640, 1480)	0.018*
Ferritin	1226.20 (649.28, 1500.00)	452.35 (231.30, 855.00)	0.021*
ESR (mm/1st hour)	60 (51, 82)	40 (19, 66)	0.039*
LDH (U/L)	352(331, 411)	259 (194, 331)	0.004*
CRP (mg/L)	16.16 (6.37, 65.99)	7.40 (5.00, 20.85)	0.158
Fib (g/L)	5.01 (3.52, 6.25)	4.47 (3.53, 5.62)	0.435
D-Dimer (mg/L)	0.20 (0.10, 0.38)	0.20 (0.10, 0.31)	0.956
Albumin (g/L)	29.70 (28.30, 31.48)	34.30 (30.73, 39.93)	0.009*
CK (U/L)	75.50 (34.08, 139.00)	55.00 (33.00, 197.25)	0.873
CK-MB (U/L)	16.30 (12.23, 22.40)	11.50 (8.73, 16.35)	0.093
IgG (g/L)	12.49 (8.25, 15.94)	13.86 (11.50, 17.67)	0.294
IgM (g/L)	1.13 (0.79, 1.52)	1.47 (1.15, 1.96)	0.102

**Note:** \*p-value was less than 0.05.

**Abbreviations:** RBC, red blood cell; WBC, white blood cell; PLT, platelet; ESR, erythrocyte sedimentation rate; LDH, lactate dehydrogenase; CRP, C-reactive protein; Fib, fibrinogen; CK, creatine kinase; CK-MB, creatine kinase isoenzyme MB; IgG, immunoglobulin G; IgM, immunoglobulin M.

## Manifestations of HRCT

All patients underwent HRCT of the chest. Interstitial pneumonia was present in all the patients in the death group, and cause of death was respiratory failure with RP-ILD. Forty-one (89.1%) of the 46 patients in the survival group had pulmonary interstitial changes; among them, 15 (32.6%) had RP-ILD. Among the HRCT manifestations, ground-glass opacity (GGO), pleural adhesion and inflammation in the upper lung were more often present in the death group ( $p < 0.05$ ). Honey-combed shadow did not exist in the death group.

There were no statistically significant differences in patchy shadows, reticle-like shadows and so on between the death and the survival groups. The comparisons of the HRCT manifestations between the two groups are presented in Table 3.

## Diagnoses and Treatment

The diagnosis of IPAF was made in seven (70%) cases in the death group and six (13%) cases in the survival group ( $p < 0.05$ ). The diagnosis of the other three patients in the death group was DM. In the survival group, RA, pSS, SLE

**Table 3** Comparison of High-Resolution Computed Tomography Manifestations Between Death and Survival Groups

	Death (n=10), n (%)	Survival (n=46), n (%)	p-value
Honey-combed shadow	0	5 (10.8%)	/
Reticle-like shadow	6 (60.0%)	12 (26.1%)	0.060
Patchy shadows	8 (80.0%)	24 (52.2%)	0.162
GGO	7 (70.0%)	15 (32.6%)	0.038*
Pleural effusion	3 (30.0%)	5 (10.8%)	0.143
Pleural adhesion	5 (30.0%)	7 (15.2%)	0.028*
Pleural thickening	8 (80.0%)	21 (45.7%)	0.080
Lymphadenectasis of mediastinum	6 (80.0%)	15 (32.6%)	0.152

**Note:** \*p-value was less than 0.05.

**Abbreviation:** GGO, ground-glass opacity.

and SSc were present in five (10.9%), three (6.5%), one (2.2%) and one (2.2%) cases, respectively. For these thirteen patients with IPAF, the features of clinical domain were inflammatory arthritis (6 cases), raynaud's phenomenon (1 case) and mechanic hands (2 cases). The features of serologic domain were anti-Ro-52 (SSA) (9 cases), anti-EJ (1 case) and anti-PM-Scl (1 case). The features of morphologic domain were unexplained pleural effusion or thickening (12 cases), unexplained pericardial effusion (1 case) and pulmonary arterial hypertension (2 cases).

With respect to treatment, the median dose of maximum daily methylprednisolone in the death group (160 mg/d) was higher than that of the survival group (48 mg/d) ( $p<0.05$ ) during hospitalization. The utility frequency of intravenous cyclophosphamide (IVCY) and

intravenous immunoglobulin (IVIG) was higher in the death group ( $p<0.05$ ) (Table 4).

## Discussion

Anti-MDA5 positivity is associated with RP-ILD and high mortality. It presents a formidable challenge to clinicians and is a serious burden to patients. Common clinical characteristics, which may be easily assessed and useful for evaluating prognosis need to be analyzed. It is well-known that anti-MDA5-positive DM might occur at any age, but it is seen more frequently in middle-aged and elderly people. Older adults experienced higher pneumonia-related death rates compared with younger persons.<sup>16</sup> We observed a similar pattern in our study. In this cohort, the median age of onset in the death group was higher than the survival group, thereby suggesting that the incidence of anti-MDA5-positive disease increases with age, as does the impact of anti-MDA5-positive disease on morbidity and mortality. The underlying reason may be the immune dysregulation in both innate and adaptive immune components;<sup>17</sup> the reduction in general deterioration of organ function in a way dictates not only an individual's risk of developing disease, but also outcomes.

Ferritin is associated with outcomes of anti-MDA5-associated DM; the higher the level of ferritin, the worse the prognosis.<sup>18</sup> In this study, the death group had higher ferritin, and, at the same time, had higher ESR and LDH than the survival group. ESR and LDH are inflammatory markers analogous to ferritin and may be regarded as prognostic indicators.

**Table 4** Comparison of Diagnoses and Treatments Between Death and Survival Groups

	Death (n=10)	Survival (n=46)	p-value
IPAF, n (%)	7 (70.0%)	6 (13.0%)	0.001*
DM, n (%)	3 (30.0%)	29 (63.0%)	0.080
RA, n (%)	0	5 (10.8%)	/
pSS, n (%)	0	3 (6.5%)	/
SLE, n (%)	0	1 (2.2%)	/
SSc, n (%)	0	1 (2.2%)	/
Other, n (%)	0	1 (2.2%)	/
Treatment			
Daily maximum MP dose (mg), median (25%, 75%)	160 (80, 625)	48 (40, 115)	0.028*
Tacrolimus or cyclosporin A, n (%)	2 (20.0%)	10 (21.7%)	0.990
IVCY, n (%)	9 (90.0%)	22 (47.8%)	0.031*
IVIG, n (%)	8 (80.0%)	12 (26.1%)	0.002*

**Note:** \*p-value was less than 0.05.

**Abbreviations:** IPAF, interstitial pneumonia with autoimmune features; DM, dermatomyositis; RA, rheumatoid arthritis; pSS, primary sjogren's syndrome; SLE, systemic lupus erythematosus; SSc, systemic sclerosis; MP, methylprednisolone; IVCY, intravenous cyclophosphamide; IVIG, intravenous immunoglobulin.

Although no statistically significant differences in BMI were seen in the two groups, hypoalbuminemia (generally defined as a serum albumin concentration  $\leq 30\text{g/l}$ ) was common in the death group. Albumin reflects the nutritional state of the body. In an inflammatory and debilitating illness, albumin is consumed rapidly. Importantly, it is well established that low serum albumin levels, a common occurrence in critically ill patients, is associated with worse outcomes, including increased complications and reduced short-term and long-term survival in critically ill patients.<sup>19–21</sup> Furthermore, glucocorticoid was used in our patients. Under the influence of glucocorticoids, anabolism decreases and catabolism increases; the albumin levels may, thus, fall further. Hence, hypoalbuminemia is a useful prognostic indicator. Scaling up nutrition and exogenous albumin infusions at an appropriate time may account for some of the beneficial effects. More research is needed to validate these findings.

The lymphocyte count was lower in the death group. In past assays, it has been demonstrated that lymphocyte count was lower in anti-MDA5-positive DM patients as compared with the anti-MDA5-negative group.<sup>22</sup> Furthermore, in our anti-MDA5-positive patients, the lymphocyte count in the death group was lower than that in the survival group. A combination of low lymphocyte count and interstitial pneumonia was seen in patients in the death group whose cause of death was respiratory failure with RP-ILD; we speculate that the low lymphocyte levels in the peripheral blood may be due to migration of and participation by these cells in abnormal lung immunity. The greater the lymphocyte consumption in the lung, the lower the lymphocyte count in the peripheral blood, and the more serious the abnormal immunity and lesion in the lung. Therefore, lower lymphocyte levels may be a risk factor for RP-ILD and portend poor prognosis. Recently, tacrolimus and cyclosporin A have been demonstrated to be effective therapy in anti-MDA5-positive DM patients.<sup>23,24</sup> The main function of these two drugs is to inhibit T-lymphocytes and cytokines, which are produced by T-lymphocyte. We suspect that tacrolimus or cyclosporin A could alleviate the abnormal immune response, including that in the lung, to mediate curative effects by inhibiting the dysfunction of T-lymphocytes, which may play a pivotal role in the occurrence and development of anti-MDA5-associated diseases.

On HRCT, honey-combed shadow, which presents chronic change in ILD did not exist in the death group; however, GGO, which reflected acute pulmonary

inflammation and effusion, pleural adhesion and inflammation in the upper lung indicated poorer prognosis in the death group as compared with the survival group. Pulmonary interstitial disorders were the main clinical manifestations in some patients, especially in the death group. IPAF was present in seven of 10 cases in the death group; these numbers are higher than that in the survival group of six of 46. As expected, the anti-MDA5 antibody-positive patients had a poor prognosis.<sup>18</sup> IPAF is particularly dangerous in anti-MDA5-positive patients.

In previous study, anti-MDA5 antibodies have been shown to be detected only in DM or CADM. However, we found anti-MDA5 antibody in other definite connective tissue diseases (CTDs). No one with other definite CTDs who had concomitant anti-MDA5 positivity died, even if there was no proper statistical method. We suppose that the main clinical manifestations in other definite CTDs were the primary features of the disease; the effect of anti-MDA5 antibody might be weak. The diagnosis of other CTDs, apart from IPAF and DM, may be a protective factor even in anti-MDA5-positive patients. Further studies are needed.

In our cohort, the maximum daily methylprednisolone dose was evidently higher in the death group than that in the survival group (160 mg/d vs 48 mg/d). We suspect that extra-large doses of glucocorticoid may have no additional benefit in these patients. Isolated case reports suggest that methylprednisolone pulse therapy (1000 mg/day for 3 days) was effective in RP-ILD in anti-MDA5-positive patients.<sup>25,26</sup> Nevertheless, most studies and case reports showed failure of methylprednisolone pulse therapy with death of patients, even with the usage of tacrolimus, IVCY or IVIG at the same time for RP-ILD in anti-MDA5-positive patients.<sup>27–29</sup> The effect of methylprednisolone pulse therapy on prognosis of anti-MDA5-positive patients is still controversial. Studies have demonstrated that a dose of 1 mg/kg/day of prednisolone may be beneficial for patients with anti-MDA5-positive DM with ILD. Therefore, based on our data and that of previous studies, we make a bold assertion that the dosage of glucocorticoids should be less than 1 mg/kg/day.

In conclusion, due attention should be given to clinical and laboratory characteristics, such as advanced age, low lymphocyte count and albumin and high levels of inflammatory markers, as these factors may portend poor prognosis. The dosage of glucocorticoids needs careful consideration; in particular, the application of extra-large



doses of GCC may have no additional benefit in anti-MDA5-positive patients.

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## Disclosure

The authors report no conflicts of interest in this work.

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