Escherichia coli Causing Neonatal Meningitis During 2001–2020: A Study in Eastern China

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Background and Objective: Neonatal meningitis (NM) caused by *Escherichia coli* remains a major health problem in industrialized countries. Currently, information on the epidemiology and antimicrobial susceptibility patterns of NM in developing countries such as China is relatively scarce. Therefore, the present study investigated changes in the antimicrobial susceptibility of *E. coli* causing NM in a perinatal center in eastern China over the past 20 years.

Methods: This survey was conducted during three periods: 2001–2006, 2007–2012, and 2013–2020. NM was diagnosed according to the number of white blood cells in the cerebrospinal fluid (CSF) and the presence of a single potential pathogenic bacterium in the culture prepared from the blood or CSF of a newborn baby. Changes in the antimicrobial susceptibility of *E. coli* were analyzed.

Results: In total, 182 NM cases were identified. *E. coli* was identified in 69 of these cases, and in 21 of these cases, extended-spectrum beta-lactamase (ESBL) production was detected. *E. coli* was the main cause of NM identified in this study. The overall susceptibility of *E. coli* to third-generation cephalosporins such as cefotaxime decreased from 100% during 2001–2006 to 50% during 2007–2012 and, subsequently, increased to 71.0% during 2013–2020. This pattern of change is correlated with bacterial ESBL production. Only 8.3% of *E. coli* found in samples collected from infants with early onset meningitis (EOM) produced ESBL, while 37.3% of *E. coli* isolated from children with late-onset meningitis (LOM) produced ESBL.

Conclusion: *E. coli* remains the primary pathogen of NM. Compared with that isolated from infants with LOM, the percentage of ESBL-producing multidrug-resistant *E. coli* isolated from infants with EOM is significantly lower. Clinicians should consider this trend when determining appropriate and effective antibiotics as empirical treatment for NM.

Keywords: *Escherichia coli*, extended-spectrum β-lactamase, meningitis, newborn

Introduction

Bacterial meningitis is related to high rates of mortality and morbidity.\(^1,2\) The mortality rates vary between 10% and 15%, especially in the neonatal period.\(^3,4\) Extraintestinal pathogenic *E. coli* remains one of the most common bacterial pathogens causing extraintestinal infections including neonatal meningitis (NM), septicemia, and urinary tract infections.\(^5-7\) Early onset meningitis (EOM) is defined as the development of bacterial meningitis within 3 days of birth, while late-onset meningitis (LOM) is defined as the development of bacterial meningitis more than 3 days post-birth.\(^8\) EOM is usually acquired through vertical transmission, while LOM is generally contracted as nosocomial or community infection.\(^9\) Compared to
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Materials and Methods

Data Collection

Neonatal cases were defined as infection in infants aged ≤ 28 days. All newborns diagnosed with purulent meningitis in the NICU of the Second Affiliated Hospital of Wenzhou Medical University and Yuying Children’s Hospital during the study periods were included in this retrospective cohort study. NM was defined by a leukocyte count ≥20×10⁶ cells/L in the cerebrospinal fluid (CSF) and the presence of a single potential pathogenic bacterium in the culture prepared from the blood or CSF of a newborn baby. When contamination of the CSF with blood occurred during the lumbar puncture (determined by the ratio of red blood cells to white blood cells in routine blood test), white blood cells were deducted from the leukocyte count accordingly. Blood or CSF cultures positive for pathogens generally considered contaminants (eg coagulase-negative staphylococci, viridans streptococci and diptheroids, or mixed pathogens) were excluded from the positive blood and CSF results. Sensitivity and specificity test results and ESBL statuses were reported from our clinical laboratory, which conducts routine microbiological examinations according to the standards formulated by the American Clinical and Laboratory Standards Association. Because the present study covered an extended period, bacterial species were identified by either traditional biochemical techniques or automated methods using the VITEK system (Vitek 2 Compact, BioMerieux, France). Initially, the manual Kirby-Bauer disk diffusion method or the recent gram-negative drug sensitivity card (BioMerieux, France) was used to determine the antibiotic sensitivity of bacterial isolates. This study covered three periods: 2001–2006, 2007–2012, and 2013–2020. All cases were identified by registration and hospital diagnosis records and were confirmed by detailed chart reviews.

Relevant clinical data were extracted from patients’ medical records. We collected each infant’s gestational age, sex, birth weight, mode of delivery, and fever (>38°C). To calculate the incidence of NM, data of the number of total live births in the hospital during these three periods were also collected. This research was approved by the institutional ethics committee of the Second Affiliated Hospital of Wenzhou Medical College and Yuying Children’s Hospital. The patients’ parents provided consent to review the medical records, which was allowed by the Institutional Ethics Committee. The treatment of confidentiality of patient data strictly follows
the rules formulated by the institution and conforms to the Helsinki Declaration.

Statistical Analyses
SPSS software (version 23.0) was used for statistical analyses. The patients' basic clinical features and blood culture results and the antimicrobial susceptibilities of their relative E. coli strains were analyzed. The Kolmogorov–Smirnov test was used to analyze the normality of continuous variables. Data with normal distribution are expressed as means ± standard deviations and were analyzed using Student's t-test of variance. Data with non-normal distribution are described as medians and ranges and were analyzed using the Wilcoxon signed-rank test or the Mann–Whitney U-test. Classification data were analyzed using the chi-square test or Fisher’s exact test. A p-value of <0.05 of the predicted variable was considered significant.

Results
Cases of Neonatal Meningitis
Table 1 shows the cases of neonates with NM in the three time periods. In the study hospital, the total number of live births was 17,263 during 2001–2006, 39,202 during 2007–2012, and 66,549 during 2013–2020. One hundred and eighty-two cases of culture-confirmed neonatal purulent meningitis were identified from 2001 to 2020, among which 69 cases of E. coli were isolated (including 10 cases from 2001 to 2006, 24 cases from 2007 to 2012, and 35 cases from 2013 to 2020). Of the 69 cases, 4 were isolated from blood cultures in other hospitals before being transferred to the NICU of the study hospital; therefore, no detailed information was obtained. Of the 182 infants with NM, 27 (2 from 2001–2006, 10 from 2007–2012, and 15 from 2013–2020) were born in the study hospital; the other 155 patients were either transferred from other hospitals that did not have NICU services or directly admitted from the community after birth at home to the study hospital. Therefore, the calculated incidence of culture-confirmed NM in the study hospital was 0.12 per 1000 live-births during 2001–2006, and 0.26 per 1000 live-births during 2007–2012, and 0.23 per 1000 live-births during 2013–2020.

Bacterial Pathogens in Neonatal Meningitis
The proportions of different bacterial pathogens that caused NM during the three time periods were compared, and the results are shown in Table 2. The proportion of GBS-induced cases of NM increased from 4.2% during 2001–2006 to 17.2% during 2007–2012 and to 40.4% during 2013–2020 (p<0.001); thus, GBS became the most frequently isolated gram-positive bacteria in neonatal NM patients from 2013 to 2020. Over the previous two decades, the proportion of NM cases attributed to E. coli infection remained relatively stable, remaining above 37% in each of the three periods evaluated. E. coli remained the primary bacterial pathogen conferring NM in each period. The proportion of NM cases caused by enterococcus decreased, although the differences between the three time periods were not statistically significant. Similarly, the proportion of NM cases caused by Staphylococcus aureus did not change significantly. Additionally, the proportion of Klebsiella cases also remained relatively stable, making up approximately 3% of all NM cases in every period.

Clinical Characteristics of E. coli Causing Neonatal Meningitis
Table 3 shows the general characteristics of neonates with E. coli NM in each of the three time periods. The proportion of infants with E. coli NM born at home decreased

| Table 1 Cases of Neonatal E. coli Meningitis in Different Periods |
|------------------|---------|---------|---------|
| Live births       | 17,263   | 39,202   | 66,549   |
| E. coli causing NM | 10       | 24       | 35       |
| E. coli causing NM born in the study hospital | 2        | 10       | 15       |
| Incidence of E. coli causing NM born in the study hospital | 0.12 / 1000 | 0.26 / 1000 | 0.23 / 1000 |

<table>
<thead>
<tr>
<th>Pathogens</th>
<th>2001–2006 (n=24)</th>
<th>2007–2012 (n=64)</th>
<th>2013–2020 (n=94)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gram-positive organisms</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>GBS</td>
<td>1(4.2%)</td>
<td>11(17.2%)</td>
<td>38(40.4%)*</td>
</tr>
<tr>
<td>Enterococcus</td>
<td>4(16.6%)</td>
<td>8(12.5%)</td>
<td>6(6.4%)</td>
</tr>
<tr>
<td>Staphylococcus aureus</td>
<td>3(12.5%)</td>
<td>6(9.3%)</td>
<td>3(3.2%)</td>
</tr>
<tr>
<td>Other</td>
<td>0(0%)</td>
<td>6(4.3%)</td>
<td>4(4.3%)</td>
</tr>
<tr>
<td>Gram-negative organisms</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>E. coli</td>
<td>10(41.7%)</td>
<td>24(37.5%)</td>
<td>35(37.2%)</td>
</tr>
<tr>
<td>Klebsiella</td>
<td>1(4.2%)</td>
<td>3(3.1%)</td>
<td>1(1.1%)</td>
</tr>
<tr>
<td>Other</td>
<td>5(20.8%)</td>
<td>18(28.1%)</td>
<td>5(5.3%)</td>
</tr>
</tbody>
</table>

Notes: *χ²=18.532, P < 0.001.
from 30% during 2001–2006 to 8.3% during 2007–2012, and no children with *E. coli* NM were born at home during 2013–2020 (*p*<0.05).

### Antimicrobial Susceptibility of *E. coli* Neonatal Meningitis During 2001–2020

The variation in the antibiotic susceptibility of all *E. coli* strains isolated from infants with NM in the three periods is presented in Figure 1. As shown in the figure, the overall susceptibility of *E. coli* to third-generation cephalosporins (such as ceftazidime) decreased from 100% during 2001–2006 to 50% during 2007–2012 and, subsequently, increased to approximately 71% during 2013–2020 (*p*<0.05). This susceptibility pattern is closely related to the proportion of ESBL-producing bacteria, which increased from 0% during 2001–2006 to 50% during 2007–2012 and decreased to 29% during 2013–2020 (*p*<0.05). The susceptibility of *E. coli* to ampicillin decreased from 60.0% during 2001–2006 to 16.7% during 2007–2012, and subsequently increased to 33.3% during 2013–2020 (*p*<0.05). There was no significant change in the susceptibility of *E. coli* strains to gentamicin (50% during 2007–2012 compared with 59.3% during 2013–2020). All *E. coli* strains in this study were susceptible to amikacin, cefoperazone–sulbactam, imipenem, and meropenem. The susceptibility to piperacillin–tazobactam and amoxicillin–clavulanic acid was very high (95.8% and 91.7%, respectively) during 2007–2012 and during 2013–2020 (96.7% and 100%, respectively). These results are similar to those reported in South Africa, where the susceptibility of *E. coli* to piperacillin–tazobactam was 93%.9

### Term and Premature Infants with *E. coli* Neonatal Meningitis

Figure 2 shows the results of antibiotic susceptibility testing of meningitis-causing *E. coli*, comparing data from term and premature infants. The composition ratio of *E. coli* meningitis was significantly lower in premature infants (24.6%, 17/69) than in term infants (75.4%, 52/69) (*p*<0.01). The susceptibility of *E. coli* isolated from premature infants with *E. coli* meningitis to ampicillin was 14.3%, while that of term infants was 36.2%, with no statistically significant difference. In isolates obtained from premature infants, the susceptibility of *E. coli* to levofloxacin was slightly lower than that in term infants (66.7% vs 79.6%, *p*=0.305, not significant). Of the *E. coli* isolates obtained from premature infants, 60.0% were susceptible to third-generation cephalosporins (such as cefotaxime), at a slightly higher rate than isolates from term infants (68.7%, *p*=0.530, not significant). This trend is related to ESBL-producing *E. coli*, which accounts for 40.0% of all *E. coli* strains isolated from premature infants, compared with 30.0% of *E. coli* strains isolated from term infants (*p*=0.639). In general, the majority of *E. coli* strains isolated from term and premature infants with meningitis in the study hospital were susceptible to amoxicillin–clavulanic acid, amikacin, cefoxitin, cefoperazone–sulbactam, imipenem, and meropenem.

### Distribution of *E. coli* Causing EOM and LOM

Figure 3 shows the results of antibiotic susceptibility testing of *E. coli* causing meningitis-grouped by EOM vs LOM among neonates. Compared with that of *E. coli* isolated from infants with LOM, the susceptibility of...
E. coli isolated from infants with EOM to third-generation cephalosporins such as cefotaxime was significantly decreased (91.7% vs 59.2%, \( p < 0.05 \)). This difference in drug susceptibility was primarily because of ESBL-producing E. coli, which accounts for 8.3% (1/12) of all E. coli isolates from children with EOM and 37.3% (20/53) of all E. coli isolates from children with LOM \( (p < 0.05) \).

**Discussion**

Neonates are at a high risk of meningitis, which might lead to neurologic complications. Severe neurodisability and milder motor and psychometric impairment result from NM.\(^{21}\) Despite global awareness of the risk factors for maternal and infantile infection and increased early treatment during the past 10 years, E. coli remains the primary causative organism of NM in developed countries.\(^{22-24}\) Large cohort studies have revealed that NM remains a substantial cause of sepsis-related morbidity and mortality in term and near-term infants.\(^{20}\) Bacterial resistance to commonly used antibiotics has become a global problem,\(^{25}\) and regional differences exist.\(^{15,26}\) When selecting empirical antibiotics, clinicians should consider local epidemiology (if known), early vs late disease onset, antimicrobial susceptibility patterns, and availability within resource constraints.\(^{27}\) Data regarding NM from developing countries are relatively scarce, and the bacterial profile may be quite different in these regions. We reviewed the current research in a large third-class hospital in eastern China over the last two decades. The findings show that the ratio of GBS meningitis has significantly increased, although E. coli persists as the main etiologic agent of NM in the hospital. The most common pathogens we identified, E. coli and GBS, are similar to those reported by Wiswell et al.\(^{28}\) A multicenter survey of neonatal purulent meningitis from 13 hospitals in northern China showed that E. coli was the most common pathogen of NM, with a rate of 21.1% among infants with NM,\(^{29}\) which is lower than that observed in our study (37.9%, 69/182).

![Figure 1: Antimicrobial susceptibility of all isolated E. coli in different periods were analyzed by Pearson’s chi-squared test. *\( P < 0.05 \). **\( P < 0.01 \).](image-url)
In the past 10 years, the number of annual deliveries in our hospital has increased to approximately 10,000 births per year. This makes our center one of the largest perinatal centers in eastern China, where substantial socioeconomic changes have occurred owing to industrialization. In the last decade, more babies were born in hospitals, although some pregnant women delivered at home. Compared with that during 2001–2006, the proportion of children with *E. coli* meningitis who were born at home significantly decreased from 2007 to 2012, and there were no children with *E. coli* meningitis born at home from 2013 to 2020 (3/10, 2/24, and 0/35, respectively). *E. coli* frequently colonizes the maternal reproductive tract and can cause early neonatal infection. However, multidrug-resistant ESBL-producing bacteria are typically acquired from contaminated hospital environments and lead to increased risk of death, as observed in a large-scale sub-Saharan African study. Our results are consistent with these findings; all five children born at home during our study periods were term infants and were infected with ESBL-negative *E. coli*. The proportion of ESBL-producing *E. coli* isolated from infants with EOM was significantly lower than that of *E. coli* isolated from infants with LOM (8.3% and 37.3%, respectively). Notably, *E. coli* isolated from infants with EOM and from infants with LOM had significantly decreased antimicrobial susceptibility to third-generation cephalosporins, for example cefotaxime (91.7% and 59.2%, respectively). This may be owing to the ESBL-producing *E. coli* infection, which spreads in the community and contaminated hospital environments both vertically and horizontally through mobile genetic elements such as plasmids. A study revealed that infections with ESBL-producing *E. coli* spread frequently in households with babies, and improvement in community health was helpful to prevent the spread of ESBL-producing *E. coli*. The incidence of NM was between 0.12‰ and 1‰ in term infants and 3‰ in premature infants. A regional retrospective study conducted in Sweden during 1987–1996 estimated the incidence of NM at 0.3 per 1000 live births. This is in accordance with the results reported in the UK and Ireland, wherein the reported incidence was 0.38 per 1000 live births. The incidence of NM in developing countries was much higher at 0.8–6.1 per 1000 live births, with a mortality rate of 40–58%.

![Antimicrobial susceptibility of all isolated E. coli from term and premature infants. There is no significant difference between the two groups.](https://doi.org/10.2147/IJGM.S317299)
The incidence of culture-confirmed NM in our perinatal center increased from 0.12 per 1000 live-births during 2001–2006 to 0.26 per 1000 live-births during 2007–2012 and slightly decreased to 0.23 per 1000 live-births during 2013–2020. This rate is slightly lower than the rates reported for industrialized countries. This may be owing to the exclusion of some cases that had negative blood or CSF culture results despite having elevated CSF leukocyte counts (≥ 20×10⁶ cells/L) and/or typical clinical manifestations of NM. In addition, we do not have information on polymerase chain reaction results which may lead to missed NM cases.

Neonatal *E. coli* causing meningitis is related to high rates of mortality and morbidity.37,38 A French national survey of 444 cases of neonatal bacterial meningitis from 2001 to 2007 reported a neonatal mortality rate of 13% for bacterial meningitis, with a mortality rate over twice that in premature infants (26%) compared with term infants (10%).39 Overall, the neonatal mortality rate for *E. coli* meningitis was 12% (15/123).39 In 2015, it was reported that in Britain, the most common etiological agent of NM caused by gram-negative bacteria was *E. coli* K1, with a mortality rate of 10–15%.40 As reported in Sweden in 2017, the pathogens with the highest NM mortality rate were the gram-negative bacteria *Klebsiella pneumoniae* (33%; 2/6) and *E. coli* (11%; 2/18).41 The case mortality rate of *E. coli* meningitis in our center remained relatively stable at 12.5% (3/24) during 2007–2012 and 11.4% (4/35) during 2013–2020, which is similar to the estimated mortality rate of 10% for NM in developed countries.15

For infected infants aged <60 days, the World Health Organization recommends using penicillins (such as ampicillin or penicillin) and aminoglycosides (such as gentamicin) or third-generation cephalosporins (such as ceftriaxone or cefotaxime).15 In the current study, only 16.7% of all *E. coli* isolates from infants with meningitis from 2007 to 2012 were susceptible to ampicillin, and 50% were susceptible to third-generation cephalosporins such as ceftriaxone or cefotaxime. These rates were significantly lower than the susceptibility rates of *E. coli* isolated from 2001 to 2006, although the susceptibility of *E. coli* to ampicillin and cefotaxime or ceftazidime later increased from 2013 to 2020. Our data showed that approximately one-third (21/65) of *E. coli* strains isolated from infants with NM in our NICU were multidrug-resistant because of the production of ESBL. Although
no ESBL-producing multidrug-resistant strains of *E. coli* were isolated from 2001 to 2006, an increasing number of ESBL-producing multidrug-resistant *E. coli* strains were isolated from 2007 to 2012, accounting for 50% of strains (12/24). This increase may be due to the routine use of ceftriaxone in prenatal and neonatal infections, allowing for the development of antibiotic resistance to these drugs. Ceftriaxone has a wide antibacterial spectrum and is convenient to administer once daily. Fortunately, the number of ESBL-producing multidrug-resistant *E. coli* strains significantly decreased from 2013 to 2020, accounting for 29% of strains isolated from neonates with NM during this time period (9/31). This may be a result of China’s strict control of antibiotic use over the past 10 years, particularly in children. New regulations restrict the use of antibiotics by requiring expert consensus on the diagnosis and management of neonatal sepsis. In our NICU, the management guidelines for antibiotic use are strictly implemented. Neonatologists adhere to guidelines for the indications, use, and discontinuation of antibacterial drugs in neonatal septicemia patients currently used in the United States. According to these guidelines, when antibiotics are used in children with high-risk factors, if infection symptoms (eg, fever, poor reaction, and feeding) are absent for 48 h and a blood culture produces no alarming results, then the use of antibiotics should be ceased immediately. For children with meningitis, penicillin combined with third-generation cephalosporins such as ceftriaxone is used empirically, and antibiotics are adjusted according to the drug sensitivity of the *E. coli* strain.

A study on neonatal septicemia and meningitis from 26 countries in Africa between 2008 and 2018 reported that the susceptibility of *E. coli* isolates from NM to ampicillin and gentamicin was 11% and 53%, respectively. This value is higher than that reported from our center, in which 16.7% of *E. coli* isolates were susceptible to ampicillin from 2007 to 2012 and 33.3% from 2013 to 2020. During the 2013 to 2020 period, 59.3% of *E. coli* isolates were susceptible to gentamicin. Recently, the widespread use of carbapenems has caused a notable spread of carbapenem resistance. Despite this, all *E. coli* strains isolated from infants with meningitis in our NICU have remained susceptible to cefoperazone–sulbactam and carbapenem antibiotics.

Compared with that of term infants, the percentage of premature infants among *E. coli* NM cases has increased, and these infants are more susceptible to infections. A study from France has reported that *E. coli* is the most common cause of infection in late premature infants and very early premature infants. A prospective French survey collected the data from 325 children hospitalized globally with *E. coli* meningitis from 2001 to 2013. The results of this study showed that 65.2% of these children were born at term, 22.4% were late premature infants, and 12.5% were very early premature infants. Our results align with those from the French study. The proportions of term infants, late premature infants, and very premature or very early premature infants with *E. coli* meningitis were 75.4% (52/69), 11.6% (8/69), and 13% (9/69) of the total study population, respectively. Additionally, the proportion of premature infants with *E. coli* meningitis who had a birth weight of <1500 g in our NICU increased from 4.2% to 11.4%, which may be owing to the comprehensive actions of many factors. With the general progress of neonatal nursing and the improvement of NICU doctors’ clinical skills, an increasing number of extremely premature infants have been successfully treated. In addition, this may be related to the finding that most maternal immunoglobulins do not cross the placenta before 32 weeks of gestation, and as a result, extremely premature infants are at a significantly higher risk of infections. Furthermore, early initiation of breastfeeding may confer protection against infections through the transfer of immunoglobulin A; however, breastfeeding is more common in term infants who are relatively less susceptible to infection.

This study covered NM cases across 20 years in a single hospital. The study has its own limitations. First, the incidence of NM in term and premature infants was not calculated. Second, only confirmed cases before hospital discharge were analyzed. Further studies are needed to collect follow-up results regarding long-term neurologic morbidity and physical disability in these patients.

**Conclusion**

We studied the changes in the pattern of antibiotic susceptibility in NM-causing *E. coli* in a large perinatal medical center in Wenzhou located in eastern China. *E. coli* remains the major cause of neonatal bacterial meningitis, despite the increase in GBS-caused NM. The proportion of ESBL-producing multi-drug-resistant *E. coli* in NM isolates has significantly decreased in the last 8 years owing to compliance with strict guidelines concerning the use of antibiotics. Therefore, third-generation antibiotic cephalosporins are still effective for treating *E. coli* NM. In our center, ceftriaxone and penicillin were empirical choices of antimicrobial therapy for NM before the etiology was determined. Third-generation cephalosporins and...
penicillin are the first option for treating NM in developing countries as these antibiotics can treat infection caused by *E. coli* and GBS. Continuous monitoring of antibiotic susceptibility in NM isolates is necessary to ensure the effectiveness of the standard empirical treatment and to monitor the development of antibiotic resistance. Our study will be helpful in selecting more appropriate antibiotics for empirical treatment in developing countries with similar bacterial spectra and sensitivities.

**Author Contributions**

All authors made substantial contributions to conception and design, acquisition of data, or analysis and interpretation of data; took part in drafting the article or revising it critically for important intellectual content; agreed to submit to the current journal; gave final approval of the version to be published; and agree to be accountable for all aspects of the work.

**Funding**

This research was funded by Wenzhou Municipal Science & Technology Bureau (No. 2020Y0470).

**Disclosure**

There are no conflicts of interest to declare.

**References**

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