


# Retropupillary Iris-Claw Intraocular Lenses: A Literature Review

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**Abstract:** Retropupillary iris-claw intraocular lenses (ICIOLs) have been increasingly chosen by surgeons nowadays as a primary or secondary procedure of IOL implantation in eyes with insufficient capsular or zonular support. They have gained popularity due to their simple fast technique, favourable functional outcomes, and safety. The transition in the ICIOL fixation from prepupillary to a more biologically appropriate retropupillary position and change in the optic design from biconvex to convex–concave have provided better visual outcomes and improved safety. A peer-reviewed literature search was conducted in Medline (PubMed), Embase, and Cochrane Library using the keywords “retropupillary iris claw” and “iris claw”. The search yielded 310 articles that were screened. Forty-three articles on retropupillary ICIOLs were finally found to be relevant and reviewed in full-text versions. The functional outcomes following retropupillary implantation of ICIOLs have been acceptable in eyes with no ocular co-morbidities otherwise. However, the indications for surgery may affect the outcomes. The major postoperative complications directly associated with ICIOLs include pupil ovalization and redislocation. Nevertheless, the rate of disenclavation depends on the experience and skill of the surgeon. This review is based on a literature review, and it focuses on the preoperative evaluation, surgical technique, postoperative outcomes, and associated complications. Prospective randomized trials with a larger sample size and longer follow-up are needed for comparison with other techniques of IOL fixation and confirmation of long-term safety profile.

**Keywords:** iris-claw, retropupillary iris-claw, posterior iris-claw, IOL dislocation

## Introduction

Aphakia management as a consequence of complicated cataract surgery is difficult for the cataract surgeon. Insufficient posterior capsular or ciliary zonular support makes it unfeasible to implant a conventional posterior chamber intraocular lens (IOL).

Ophthalmologists preferred non-intervention for many decades, as secondary implants then had a greater risk of reduced final corrected distance visual acuity (CDVA) and complications.<sup>1</sup> However, patients with unilateral aphakia presented with high hyperopia and/or high anisometropia, which were difficult to deal with by optical methods. Secondary IOL implantation, in a case of loss of capsular or zonular support, includes angle-supported anterior chamber IOL (ACIOL), scleral-supported (different surgical techniques) and iris-claw (prepupillary and retropupillary) IOLs. The preference and experience of the surgeon play a significant role in choosing one technique over the other.

IOL sizing is one of the major drawbacks of ACIOL. An IOL diameter suitable to the anterior chamber diameter is required to maintain the IOL in position and avoid

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complications. Complications associated with incorrect IOL sizing are common due to the limited availability of different diameters. A small-diameter IOL causes rotation and dislocation, increasing the risk of corneal endothelial damage and anterior chamber angle damage. A large-diameter IOL can lead to the formation of peripheral anterior synechiae, increased intraocular pressure (IOP), and glaucoma due to excess pressure caused on the iris root.<sup>2-4</sup>

Scleral fixation of posterior chamber IOL implantation has major advantages like the more biological location in the eye closer to the plane of the crystalline lens away from the cornea, which decreases the risk of corneal endothelial damage.<sup>5,6</sup> However, severe complications may occur due to transscleral sutures, like suture erosion, haptic extrusion, retinal detachment, suprachoroidal haemorrhage, and endophthalmitis.<sup>7-9</sup>

The iris-claw IOL (ICIOL) attached to the anterior iris was introduced by Worst in 1972.<sup>10</sup> He developed a surgical technique to correct aphakia in the absence of capsular or zonular support without damaging the angle of the anterior chamber. However, a major complication associated with it, was the corneal endothelium damage, especially in patients with narrow anterior chambers and in corneal transplantation.<sup>11</sup> Brasse and Neuhann modified this technique by fixing the lens to the posterior surface of the iris, thereby preserving the corneal endothelial cells, with the A-constant altered accordingly to 117.0.<sup>12</sup> Secondary implantation of ICIOL has been the preferred procedure in cases where the iris support is adequate.<sup>1</sup> Complications associated with the size of the IOL, like damage to the iris root and angle, are prevented, as it is fixed to the mid-periphery of the iris. The distinctive enclavation technique allows IOL centration on the pupillary axis, which is vital in eyes with decentred pupils.<sup>13</sup> Although ICIOL is not United States Food and Drug Administration (FDA) approved at present for aphakia, it has been used widely outside of the United States considering its effective outcomes and safety profile.

This review provides a brief overview of retropupillary ICIOLs along with the preoperative evaluation, intraoperative approach, postoperative outcomes, and possible complications.

## Literature Search and Methods

The literature search was performed in Medline (PubMed), Embase, and Cochrane Library using “retropupillary iris claw” and “iris claw” as keywords. The relevant references cited in those articles were also searched.

“Retropupillary iris claw” and “iris claw” keywords generated 76 and 310 articles in Medline (PubMed), respectively. Thirteen articles on retropupillary ICIOLs that were not identified with “retropupillary iris claw” keyword were identified with “iris claw” keyword. Seven review articles on retropupillary ICIOLs, including one meta-analysis and four non-English reviews, were identified. Embase and Cochrane Library searches did not reveal any additional pertinent articles. A total of 310 publications were independently screened, and those which are case reports/correspondence, articles with  $\leq 10$  eyes, incomplete data, and/or involving phakic/prepupillary ICIOLs solely were excluded. Forty-three original articles on retropupillary ICIOL were exclusively reviewed in full-text versions. Of the 43 articles, 11 were prospective (4 randomized and comparative), and 32 were retrospective studies (10 comparative). Articles in non-English language were translated to English language using “Google Translate”.

## Preoperative Evaluation

It is essential to take a complete history from the patients, especially past ocular and medical history, to explore the potential possibilities of their ocular future. The patient should undergo a thorough comprehensive ocular examination to rule out any ocular co-morbidity that may interfere with the postoperative outcomes. Table 1 shows the different ICIOLs whose outcomes have been studied in the literature.

A retropupillary ICIOL is indicated in cases with insufficient capsular and/or zonular support, where implanting a standard posterior chamber IOL is futile. This usually happens secondary to complicated cataract surgery with a large posterior capsular rent or extended capsulorhexis where IOL implantation in the ciliary sulcus is impossible, ocular trauma, ectopia lentis and pseudoexfoliation syndrome. Other indications for ICIOL widely reported in the literature are late in-the-bag dislocation of posterior chamber IOL after years of initial surgery and primary IOL opacification.<sup>14-56</sup> Retropupillary ICIOL implantation can also be combined with keratoplasty procedures.<sup>18,33,36,44,45,54,56</sup> However, a careful assessment of the iris and the pupil place is essential.

The iris must have sufficient support for the implantation of retropupillary ICIOL. However, ICIOL can be implanted in cases with small iris defects and localized atrophic areas, provided such sites are avoided.<sup>36,57</sup> To achieve an optimal functional outcome, the pupil should preferably be of regular size and shape. Although ICIOL can be used in cases of dilated pupils secondary to

**Table 1** Different ICIOLs Whose Outcomes Have Been Studied in the Literature

| ICIOL  | Material | Optic Design                             | IOL Diameter (mm) | A Constant (Retropupillary) |
|--|----------|--|-------------------|-----------------------------|
| Verisyse (VRSA54, Abbott Laboratories, Inc., Abbott Park, IL, USA) | PMMA     | Biconvex/convex–concave/<br>plano-convex | 8.50              | 116.9 (SRK/T)               |
| Artisan Aphakia (Ophtec, Groningen, The Netherlands)               | PMMA     | Biconvex/convex–concave/<br>plano-convex | 8.50              | 116.9 (SRK/T)               |
| Excelens (Excel Optics Pvt. Ltd., Chennai, India)                  | PMMA     | Biconvex/plano-convex                    | 7.25/8.00/9.00    | 117.2 (SRK/T)               |
| Freedom (Freedom Ophthalmic Pvt. Ltd., Hosur, India)               | PMMA     | Biconvex/convex–concave                  | 7.20/8.50         | 117.4 (SRK/T)               |
| Optima (Rainbow Meditech LLC, Chennai, India)                      | PMMA     | Convex–concave                           | 7.25/8.00/9.00    | 117.2 (SRK/T)               |
| OV lens (Care Group, India)  | PMMA     | Biconvex                                 | 8.50              | 117.2 (SRK/T)               |

**Abbreviations:** ICIOL, Iris-claw intraocular lens; PMMA, polymethyl methacrylate; SRK/T, Sanders–Retzlaff–Kraff/Theoretical.

traumatic sphincter damage, large pupil size may lead to optical disturbances from the edges of the optic and the haptics, and safe enclavation of the haptics may be practically challenging. Nevertheless, retropupillary ICIOL implantation can be combined with pupilloplasty in cases with traumatic mydriasis.<sup>58</sup> On the other hand, in extreme miosis cases, ICIOL should be placed anterior to the iris to prevent sphincter trauma during implantation.<sup>57</sup>

Contraindications for retropupillary ICIOL implantation include gross iris abnormalities like aniridia, diffuse iris atrophy, rubeosis iridis, profound iridodonesis (which may cause trembling vision), and active uveitis.<sup>16,24,26,36</sup>

The medical history of the patient, mainly regarding the consumption of anticoagulant and antiplatelet drugs, is important. ICIOL implantation is commonly performed under a peribulbar or retrobulbar block, and hence, discontinuing the anticoagulants and/or antiplatelet therapy 3–5 days before the surgery might avoid the risk of severe bleeding. However, a cardiologist opinion must be taken regarding temporary discontinuation of the medications as risking minor bleeding is always preferable to risking the patient's cardiovascular condition.

A complete peripheral retinal evaluation using an indirect ophthalmoscope to rule out any pre-existing retinal tear or hole is mandatory for cases of secondary ICIOL implantation. Also, specular microscopy for corneal endothelial status, and macular optical coherence tomography (OCT) for underlying retinal conditions, in addition to a good keratometry and biometry for IOL power calculation, should be performed as a routine for cases of secondary ICIOL implantation.

## Optic Design of ICIOL

The first ICIOL (Artisan model 205) for aphakia correction had a plano-convex configuration, which was launched in the 1970s. However, the plano-convex design caused significant corneal endothelial cell loss (ECL), resulting in bullous keratopathy.<sup>59,60</sup> The ICIOL was then redesigned to a biconvex configuration, which warrants the need for a peripheral iridectomy in all cases of ICIOL implantation to prevent pupillary block and secondary glaucoma.<sup>46,48,51,53</sup> The optic architecture was later updated to a convex–concave vaulted design in the 1990s. Both biconvex and convex–concave designs are currently widely used. Nevertheless, a convex–concave design has a better safety profile, and a peripheral iridectomy is not required with this design.<sup>17,24,26,28,36,54</sup>

## Intraoperative Approach

Peribulbar, subtenon, or retrobulbar anaesthesia is preferred while implanting ICIOL, as the surgical manoeuvres, including iris-touch and enclavation, may induce pain.<sup>16,17,21,24,26,36</sup> The pupil should neither be dilated nor constricted. Mohr et al suggested a pupil size of 4–5 mm, optimal for secondary ICIOL implantation.<sup>61</sup> However, in cases of primary implantation of ICIOL or exchange of IOL, which require dilated pupil, a constrictive agent like carbachol or pilocarpine must be injected intracamerally before enclavating the haptics of ICIOL.<sup>17,21,24,26,28,36</sup> The size of the pupil should be assessed before injecting the constricting drug, and one should proceed cautiously to avoid sphincter trauma while enclavating the haptics.

Different authors have reported using a corneal incision or a scleral tunnel incision at the 12 o'clock position for implanting ICIOL.<sup>16,17,21,24,26,36</sup> However, the size of the ICIOL demands a 5.4 mm incision, which can be considered as one of the drawbacks of ICIOL. Hence, making a sclero-corneal tunnel is preferable as it reduces the surgically induced astigmatism (SIA) and chances of wound leakage and endophthalmitis.<sup>62,63</sup> In glaucoma patients, the superior site should be better reserved for trabeculectomy and other filtering surgeries that may become obligatory in the future. Fashioning a scleral tunnel requires surgical skills and experience, though it is easier in the hands of those who practice manual small incision cataract surgery (SICS). The tunnel should be one-third to half of the width of the thickness of the sclera extending 1.5 mm into the cornea. A thin flap tends to tear or gives way to a button-hole formation. If the button-hole is on one side, the other end is dissected further to continue with the same incision. If the button-hole is in the centre, the original site is abandoned, and another site is chosen, or dissection is carried out at a deeper plane in the same location. A thick deep flap may lead to premature entry, resulting in prolapse of the uveal tissue and increased bleeding into the anterior chamber. A different site should be chosen for scleral incision then, and proper closure of the premature tunnel using multiple 10-0 nylon sutures should be done. On the other hand, a corneal incision can be preferred in cases of thin, brittle conjunctiva or marked conjunctiva-episcleral adherence.<sup>57</sup>

The majority of the surgeons prefer to make two paracenteses at 3 o' and 9 o'clock, with the main wound at 12 o'clock position.<sup>36</sup> Anterior or posterior vitrectomy has to be done whenever required. Remnants of the capsule must be removed before implanting ICIOL as postoperative capsular fibrosis may cause IOL instability.<sup>21,36,40</sup> After injecting viscoelastic, the ICIOL, with its concavity oriented anteriorly, is inserted into the anterior chamber by forceps, turned to the horizontal position and centred on the pupil. A specific iris-claw forceps for fixating the ICIOL in the anterior chamber is also available. After injecting a small amount of viscoelastic on the peripheral iris, holding the middle of the optic with the forceps, one haptic should be tilted down and pushed under the iris with gentle manipulation. Before enclavating the haptics, the ICIOL should be maintained in the correct position with the optic centred in the pupil. A sinskey hook can be inserted through the paracentesis to aid in the manoeuvring. Tilting the haptics will produce an indentation on the

iris. The iris must be enclavated into the haptic claw with a gentle push with the sinskey hook. Less pressure should be used while enclavation as extrusion of the claw may occur with too much pressure. Moreover, the excessive iris tissue enclavation may lead to ovalization of the pupil. Finally, the two dimples in the iris due to haptic enclavation should be identified to ensure the appropriate fixation of the ICIOL. A peripheral iridectomy is not mandatory with a convex-concave vaulted design ICIOL, as mentioned in various studies.<sup>17,24,26,28,36,54</sup> However, if the ICIOL used was a biconvex design, a peripheral iridectomy is a must to prevent pupillary block.<sup>46,48,51,53</sup>

Most of the studies have reported standard medication following ICIOL implantation, which includes topical steroids and antibiotics.<sup>16,28,54</sup> Topical non-steroidal anti-inflammatory drugs (NSAIDs) can be used postoperatively to reduce the risk of CME. Nevertheless, no studies have reported using topical NSAIDs prophylactically in cases of ICIOL implantation to decrease the incidence of CME.

## Postoperative Outcomes Visual Acuity

Table 2 shows the overview of visual outcomes reported in the literature after ICIOL implantation.<sup>14-56</sup> The functional outcomes following retropupillary ICIOL implantation have been acceptable in eyes with no other ocular comorbidities. However, the indications for ICIOL implantation will affect the outcomes. The postoperative mean logarithm of the minimum angle of resolution (logMAR) in different studies varies from 0.09 to 1.0, depending on the indication and status of the eye before surgery.<sup>14-56</sup> In a prospective, randomized clinical trial comparing retropupillary ICIOL implantation (n = 30) with IOL repositioning to the sclera (n = 33) in late in-the-bag IOL dislocation, Dalby et al found a mean CDVA of 0.22 and 0.20 logMAR, in the ICIOL and scleral suturing IOL groups, respectively, at 2 years follow-up.<sup>14</sup> Kristianslund et al compared the efficacy of retropupillary ICIOL fixation (n = 42) and IOL repositioning by scleral suturing (n = 43) in patients with late in-the-bag IOL dislocation. A CDVA of 20/40 or better was observed in 62% and 61% of the patients in ICIOL and repositioning groups, respectively, at 6 months follow-up.<sup>16</sup> In a retrospective study by Toro et al comparing the prepupillary (n = 87) and retropupillary (n = 93) ICIOLs with an average follow-up of 5 years, no significant differences in visual outcomes were observed.<sup>28</sup> Another retrospective

**Table 2** Overview of Visual Outcomes Reported in the Literature After Retropupillary ICIOL Implantation

| ICIOL  | Authors                             | Study Design                       | Sample Size | Mean or Median Follow-Up (Months) | CDVA   |                |
|--|-------------------------------------|------------------------------------|-------------|-----------------------------------|--|----------------|
|  |                                     |                                    |             |                                   | Mean/ Median (logMAR/ Decimal)   | ≥20/40 (%)     |
| Verisyse (VRSA54, Abbott Laboratories, Inc., Abbott Park, IL, USA) | Dalby et al <sup>14</sup>           | Prospective randomized comparative | 33          | 24                                | 0.22 ± 0.30 <sup>a</sup>   | 76             |
|  | Shuaib et al <sup>15</sup>          | Prospective randomized comparative | 15          | 6                                 | 0.40 ± 0.23 <sup>b</sup>   | -              |
|  | Kristianslund et al <sup>16</sup>   | Prospective randomized comparative | 42          | 6                                 | 0.35 ± 0.54 <sup>a</sup>   | 62             |
|  | Fouda et al <sup>17</sup>           | Prospective                        | 17          | 6                                 | 0.9 ± 0.07 <sup>b</sup>  | -              |
|  | Woo et al <sup>18</sup>             | Retrospective comparative          | 25          | 46.8                              | -  | 21 (36 months) |
|  | Vounotrypidis et al <sup>19</sup>   | Retrospective comparative          | 39          | 17                                | 0.42 ± 0.48 <sup>a</sup>   | -              |
|  | Schmidt et al <sup>20</sup>         | Retrospective case series          | 19          | 52 days                           | 0.36 ± 0.39 <sup>a</sup>   | -              |
|  | Faria et al <sup>21</sup>           | Retrospective case series          | 66          | 23                                | 0.35 ± 0.40 <sup>a</sup>   | -              |
|  | Saleh et al <sup>22</sup>           | Retrospective case series          | 18          | 14                                | 0.32 ± 0.47 <sup>a</sup>   | 67             |
| Artisan Aphakia (Ophtec, Groningen, The Netherlands)               | Rastogi et al <sup>23</sup>         | Prospective                        | 14          | 6                                 | 0.35 ± 0.15 <sup>a</sup>   | 71             |
|  | Helvaci et al <sup>24</sup>         | Prospective randomized comparative | 20          | 6                                 | 0.50 ± 0.23 <sup>a</sup>   | -              |
|  | Anbari et al <sup>25</sup>          | Prospective                        | 16          | 24                                | 0.13 ± 0.21 <sup>a</sup>   | -              |
|  | Baykara et al <sup>26</sup>         | Prospective                        | 32          | 9                                 | -  | 88             |
|  | Choi et al <sup>27</sup>            | Retrospective case series          | 103         | 24                                | 0.22 ± 0.46 <sup>a</sup>   | -              |
|  | Toro et al <sup>28</sup>            | Retrospective comparative          | 93          | 60                                | 0.13 ± 0.15 <sup>a</sup>   | -              |
|  | Randon et al <sup>29</sup>          | Retrospective case series          | 31          | 29                                | 0.17 ± 0.50 <sup>a</sup>   | -              |
|  | Touriño Peralba et al <sup>30</sup> | Retrospective comparative          | 38          | 12 (median)                       | 65 ETDRS letters (0.4 <sup>a</sup> ) (median)                              | -              |
|  | Mora et al <sup>31</sup>            | Retrospective comparative          | 32          | 12                                | 0.37 ± 0.50 <sup>a</sup>   | -              |
|  | Hernández                           | Retrospective comparative          | 44          | 33                                | 0.09 ± 0.32 <sup>a</sup>   | 73             |
|  | Martínez et al <sup>32</sup>        |                                    |             |                                   |  |                |
|  | Peng et al <sup>33</sup>            | Retrospective                      | 29          | 6                                 | -  | 66             |
|  | Çevik et al <sup>34</sup>           | Retrospective                      | 30          | 38                                | 0.39 ± 0.46/<br>0.64 ± 0.77 <sup>a</sup> (1 month)                         | 60             |
|  | Brandner et al <sup>35</sup>        | Retrospective case series          | 15          | 14.9                              | 0.25 ± 0.21 <sup>a</sup> (median)  | -              |
|  | Forlini et al <sup>36</sup>         | Retrospective                      | 320         | 63.6                              | 0.6/ 0.3/ 0.6 <sup>a</sup>   | -              |
|  | Schallenberg et al <sup>37</sup>    | Retrospective                      | 31          | 25.2                              | 0.64 ± 0.62 <sup>a</sup>   | -              |
|  | Hsing et al <sup>38</sup>           | Retrospective                      | 34          | 21.4                              | -  | 58             |
| Wolter-Roessler et al <sup>39</sup>                                | Retrospective                       | 48                                 | 14          | 0.2 <sup>a</sup> (median)         | -  |                |
| Artisan/ Verisyse  | Choragiewicz et al <sup>40</sup>    | Retrospective case series          | 47          | 15.9                              | 0.46 ± 0.27 <sup>b</sup>   | -              |
|  | Gonnermann et al <sup>41</sup>      | Retrospective case series          | 137         | 5                                 | 0.38 ± 0.31 <sup>a</sup>   | -              |
|  | Gonnermann et al <sup>42</sup>      | Retrospective                      | 62          | 34                                | 0.24 ± 0.45 <sup>a</sup>   | 77             |
|  | Gonnermann et al <sup>43</sup>      | Retrospective                      | 13          | 37                                | 0.24 ± 0.36 <sup>a</sup>   | 85             |
|  | Gonnermann et al <sup>44</sup>      | Retrospective                      | 23          | 18                                | 1.0 ± 0.46 <sup>a</sup>  | -              |
|  | Rüfer et al <sup>45</sup>           | Retrospective comparative          | 22          | 17                                | 0.53 ± 0.43 <sup>a</sup> (10 months)/ 1.01 ± 0.38 <sup>a</sup> (23 months) | -              |

(Continued)



Table 2 (Continued).

| ICIOL  | Authors                         | Study Design              | Sample Size | Mean or Median Follow-Up (Months) | CDVA                           |              |
|--|---------------------------------|---------------------------|-------------|-----------------------------------|--------------------------------|--------------|
|  |                                 |                           |             |                                   | Mean/ Median (logMAR/ Decimal) | ≥20/40 (%)   |
| Excelens (Excel Optics Pvt. Ltd., Chennai, India)  | Jare et al <sup>46</sup>        | Prospective               | 108         | 6                                 | 0.25 <sup>a</sup>              | 97           |
|  | Rao et al <sup>47</sup>         | Prospective               | 30          | 6                                 | -                              | 80           |
|  | Mansoori et al <sup>48</sup>    | Retrospective             | 122         | 7.48                              | 0.5 ± 0.42 <sup>a</sup>        | -            |
|  | Kelkar et al <sup>49</sup>      | Retrospective comparative | 90          | 12                                | 0.36 ± 0.32 <sup>a</sup>       | -            |
|  | Kelkar et al <sup>50</sup>      | Retrospective             | 104         | 12                                | 0.36 ± 0.32 <sup>a</sup>       | 71           |
|  | Jayamadhury et al <sup>51</sup> | Retrospective             | 61          | 12                                | 0.27 ± 0.46 <sup>a</sup>       | -            |
|  | Kavitha et al <sup>52</sup>     | Retrospective comparative | 25          | 16                                | -                              | 64           |
|  | Patil et al <sup>53</sup>       | Retrospective case series | 15          | 12                                | -                              | 40           |
| Freedom (Freedom Ophthalmic Pvt. Ltd., Hosur, India)<br>Optima (Rainbow Meditech LLC, Chennai, India)<br>OV lens (Care Group, India) | Sumitha et al <sup>54</sup>     | Prospective               | 36          | 3                                 | -                              | 81 (≥ 20/60) |
|  | Madhivanan et al <sup>55</sup>  | Retrospective comparative | 48          | 12                                | 0.40 ± 0.40 <sup>a</sup>       | 71           |
|  | Ganesh et al <sup>56</sup>      | Retrospective             | 100         | 13                                | 0.38 <sup>a</sup>              | -            |

Notes: <sup>a</sup>logMAR, <sup>b</sup>Decimal notation.

Abbreviations: ICIOL, iris-claw intraocular lens; CDVA, corrected distance visual acuity; logMAR, logarithm of the minimum angle of resolution; ETDRS, Early Treatment Diabetic Retinopathy Study; UDVA, uncorrected distance visual acuity.

comparative study by Mora et al showed comparable improvements in CDVA at the end of 1 year after surgery (final CDVA: 0.34 ± 0.45 versus 0.37 ± 0.50 logMAR) in the anterior (n = 28) and retropupillary (n = 32) placement groups, respectively.<sup>31</sup> Hernández Martínez et al in their retrospective case series compared the the incision type (corneal versus scleral tunnel) and lens position (pre-pupillary versus retropupillary) in aphakic eyes without capsular support. They found that implantation of ICIOL in the retropupillary position and through a scleral tunnel incision provided better refractive results than other techniques.<sup>32</sup> Even in cases of retropupillary ICIOL combined with keratoplasty and vitrectomy (n = 57), Forlini et al found a mean logMAR of 0.6 at the end of 5 years follow-up.<sup>36</sup>

The A-constants of different ICIOLs are given by the manufacturers for the Sanders-Retzlaff-Kraff/Theoretical (SRK/T) formula (Table 1). As ICIOLs are mainly used in aphakic eyes post-complicated surgery and eyes with dislocated IOLs or ectopia lentis, newer IOL formulas are unreasonable. The majority of the studies in the literature have used the SRK/T formula.<sup>16,21,26,28,31,36</sup> In approximately 80% of the studies using the SRK/T formula, more

than 50% had attained a spherical equivalent ≤1.0 diopter (D) using different A-constants (116.7–117.5).<sup>16,26,31</sup> However, a few studies have not disclosed the IOL formula or A-constant used.<sup>45</sup> Choragiewicz et al have used the Haigis formula, Schallenberg et al have used the SRK-II formula, and Vounotrypidis et al have used the Haigis formula for eyes with axial length ≥22 mm, the Hoffer-Q for eyes with axial length <22 mm, and the SRK/T for aphakic and pseudophakic eyes.<sup>19,37,40</sup> Nevertheless, the spherical equivalent ≤1.0 D was observed in studies using multiple formulas too.

The mean prediction error in multiple studies varied from -2.4 to + 0.29 D.<sup>16,19,21,26,36,40</sup> This variation in prediction error might be due to the spherical equivalent analysis in accordance with residual or absolute error. Furthermore, refractive status may also rely upon the indication for surgery, particularly when combined with keratoplasty.<sup>36</sup> Given the inadequate refractive outcomes and varied results reported, more studies with a large sample size are required, detailing the formulas and A-constants used, to determine a more appropriate IOL formula and A-constant for ICIOLs to achieve better refractive outcomes.

## Complications

Table 3 shows the overview of major complications reported in the literature after ICIOL implantation.<sup>14–56,64</sup>

### Pupil Ovalization

Horizontal ovalization of the pupil in the early postoperative phase is observed in a range of 0%–44%.<sup>15,17,20,21,23–26,28,30,36–38,40–44,48–52,54–56</sup> This pupil ovalization is mostly temporary and usually pupil dilation is not affected. Toro et al found a temporary (<1 week) pupil ovalization in only 2 (2%) patients. They have applied light diathermy on the anterior surface of the iris for tissue contraction wherever the pupil was minimally distorted.<sup>28</sup> Forlini et al observed ovalization of the pupil in 5% of the patients, all of whom had previously undergone iris reconstruction.<sup>36</sup> Permanent ovalization might occur due to haptic enclavation near the margin of the pupil instead of the desired mid-peripheral area or if the enclavation of the haptics is performed tightly or asymmetrically. However, no intervention is required for pupillary distortion in most cases. With surgical experience and skill, achieving a round pupil with ICIOLs is definitely possible.

### Elevated IOP

Initially, the use of prepupillary ICIOLs could lead to an increase in IOP and exacerbate glaucoma.<sup>65–67</sup> A peripheral iridectomy was mandatory in earlier days to prevent any possibility of pupillary block. Nevertheless, the shift in the placement of ICIOLs to a more physiological retropupillary position and change in the optic design from biconvex to convex–concave have reduced the possibility of raised postoperative IOP. A pupillary block does not happen with a convex–concave vaulted design, and a peripheral iridectomy is not needed nowadays for retropupillary ICIOL implantation.<sup>17,24,26,28,36,54</sup> In fact, the majority of the studies have shown only a mild-moderate increase in IOP in otherwise non-glaucomatous eyes, which is usually temporary and responds well to conservative treatment. However, studies have shown increased postoperative IOP at a frequency of up to 31%.<sup>14–18,20,21,23–28,30,31,33–52,54–56</sup> This rise in IOP could be due to the postoperative inflammation and retained viscoelastic in the early postoperative period.<sup>16–18,21,26–28,54,55</sup> Dalby et al and Kristianslund et al found no significant differences in the rate of glaucoma or increased IOP in patients who underwent IOL exchange for retropupillary ICIOLs compared with repositioning of the IOL in late in-the-bag IOL dislocation.<sup>14,16</sup> Faria et al

observed elevated IOP in 12 (18%) eyes, all of which were medically managed. They did not perform peripheral iridectomies as no case of pupillary block was found.<sup>21</sup> Choi et al stated that the IOP elevation in their study might be due to inflammation caused by vitrectomy rather than the ICIOL itself.<sup>27</sup> Forlini et al reported no cases of pupillary block and attributed it to the vaulting design of the ICIOL and the appropriate space between the optic of the ICIOL and the posterior surface of the iris.<sup>36</sup> Kelkar et al, in their retrospective comparative study, experienced a transient rise of IOP more in eyes with scleral-fixated IOL (17%) than ICIOL (8%), which was managed with topical therapy.<sup>49</sup> Nevertheless, Madhivanan et al found that eyes with ICIOL (10%) experienced greater transient IOP spikes due to iritis than eyes with scleral-fixated IOL (0%).<sup>55</sup>

### ECL

The possibility of ECL is lower with retropupillary ICIOL than anteriorly placed ICIOL and ACIOL due to the position of the IOL away from the corneal endothelium in the former. However, complicated cataract surgery, especially in cases of zonular dialysis (pseudoexfoliation), vitreous loss, and hard cataract, may cause a much higher ECL than an uneventful surgery. Also, in cases combined with multiple techniques like keratoplasty, iridoplasty and pupilloplasty, the ECL may be comparatively high.<sup>25,33,44</sup> The risk of a mechanical injury to the endothelium due to the contact between the endothelium and the instruments or the IOL during the surgery can be reduced by using a copious amount of dispersive viscoelastic substance.<sup>68</sup> Studies on retropupillary ICIOL have shown a considerable variation in ECL ranging from 3%–43%.<sup>14–16,23,25,27,28,30–33,36,42–44,46,47,49–51,56</sup> Dalby et al, in a prospective comparative clinical trial, observed no significant difference in ECL following ICIOL implantation (15%) and IOL repositioning (18%) for late in-the-bag IOL dislocation at the end of 2 years.<sup>64</sup> In contrast, Kristianslund et al reported a significant postoperative ECL of 10% in the ICIOL group and a non-significant postoperative ECL of 3% in the repositioning group. Nevertheless, they mentioned that the ECL comparison in their study was limited by missing data in both groups.<sup>16</sup> Baykara et al suggested that ICIOL implantation through a scleral tunnel incision causes less endothelial damage than through a clear corneal incision.<sup>26</sup> Hernández Martínez et al found that the ECL was lower in the retropupillary group (4%) than in the prepupillary group (14%)

**Table 3** Overview of Major Complications Reported in the Literature After Retropupillary ICIOL Implantation

| ICIOL  | Authors                                | Sample Size | Mean or Median Follow-Up (Months) | Pupil Ovalization (%) | Raised IOP (%) | ECL (%)                   | CME (%)        | IOL Disenclavation/ Dislocation (%) | RD (%)         |
|--|--|-------------|-----------------------------------|-----------------------|----------------|---------------------------|----------------|-------------------------------------|----------------|
| Verisyse (VRSA54, Abbott Laboratories, Inc., Abbott Park, IL, USA) | Dalby et al <sup>14</sup>              | 33          | 24                                | -                     | 0              | 18 (n = 44) <sup>64</sup> | 15             | 3                                   | 0              |
|  | Shuaib et al <sup>15</sup>             | 15          | 6                                 | 13                    | 7              | 11                        | 0              | 20                                  | 7              |
|  | Kristianslund et al <sup>16</sup>      | 42          | 6                                 | -                     | 21             | 10                        | 10             | 2                                   | 0              |
|  | Fouda et al <sup>17</sup>              | 17          | 6                                 | 12                    | 12             | -                         | 0              | 6                                   | 0              |
|  | Woo et al <sup>18</sup>                | 25          | 46.8                              | -                     | 28             | -                         | 4              | 12                                  | 0              |
|  | Vounotrypidis et al <sup>19</sup>      | 39          | 17                                | -                     | -              | -                         | -              | 5                                   | -              |
|  | Schmidt et al <sup>20</sup>            | 19          | 52 days                           | 32                    | 0              | -                         | 11             | -                                   | 0              |
|  | Faria et al <sup>21</sup>              | 66          | 23                                | 24                    | 18             | -                         | 5              | 2                                   | 2              |
|  | Saleh et al <sup>22</sup>              | 18          | 14                                | -                     | -              | -                         | 17             | 6                                   | 0              |
| Artisan Aphakia (Ophtec, Groningen, The Netherlands)               | Rastogi et al <sup>23</sup>            | 14          | 6                                 | 21                    | 7              | 1                         | 0              | 7                                   | 0              |
|  | Helvacı et al <sup>24</sup>            | 20          | 6                                 | 10                    | 25             | -                         | 0              | 0                                   | 0              |
|  | Anbari et al <sup>25</sup>             | 16          | 24                                | 0                     | 13             | 12                        | 0              | 0                                   | 0              |
|  | Baykara et al <sup>26</sup>            | 32          | 9                                 | 13                    | 19             | -                         | 0              | 0                                   | 0              |
|  | Choi et al <sup>27</sup>               | 103         | 24                                | -                     | 8              | 24                        | 4              | 10                                  | 0              |
|  | Toro et al <sup>28</sup>               | 93          | 60                                | 2                     | 23             | 10                        | 2              | 2                                   | 1              |
|  | Randon et al <sup>29</sup>             | 31          | 29                                | -                     | -              | -                         | 6              | 10                                  | 0              |
|  | Touriño Peralba et al <sup>30</sup>    | 38          | 12 (median)                       | 8                     | 11             | 9                         | 8              | 3                                   | 3              |
|  | Mora et al <sup>31</sup>               | 32          | 12                                | -                     | 22             | 32                        | 25             | 0                                   | 3              |
|  | Hernández Martínez et al <sup>32</sup> | 44          | 33                                | 12 <sup>a</sup>       | 3 <sup>a</sup> | 4                         | 9 <sup>a</sup> | -                                   | 1 <sup>a</sup> |
|  | Peng et al <sup>33</sup>               | 29          | 6                                 | -                     | 31             | 35                        | -              | 7                                   | 0              |
|  | Çevik et al <sup>34</sup>              | 30          | 38                                | 0                     | 0              | -                         | 0              | 37                                  | 10             |
|  | Brandner et al <sup>35</sup>           | 15          | 14.9                              | -                     | 13             | -                         | 0              | 7                                   | 0              |
|  | Forlini et al <sup>36</sup>            | 320         | 63.6                              | 5                     | 2              | 3                         | 1              | 1                                   | 0.3            |
|  | Schallenberg et al <sup>37</sup>       | 31          | 25.2                              | 32                    | 3              | -                         | 0              | 0                                   | 3              |
| Hsing et al <sup>38</sup>  | 34                                     | 21.4        | 18                                | 0                     | -              | 0                         | 0              | 0                                   |                |
| Wolter-Roessler et al <sup>39</sup>                                | 48                                     | 14          | -                                 | 2                     | -              | 4                         | 4              | 2                                   |                |
| Artisan/Verisyse   | Choragiewicz et al <sup>40</sup>       | 47          | 15.9                              | 17                    | 0              | -                         | -              | -                                   | 2              |
|  | Gonnermann et al <sup>41</sup>         | 137         | 5                                 | 25                    | 4              | -                         | 9              | 9                                   | 0              |
|  | Gonnermann et al <sup>42</sup>         | 62          | 34                                | 3                     | 0              | 6                         | 6              | 5                                   | 0              |
|  | Gonnermann et al <sup>43</sup>         | 13          | 37                                | 8                     | 0              | 6                         | 0              | 0                                   | 8              |
|  | Gonnermann et al <sup>44</sup>         | 23          | 18                                | 13                    | 0              | 43                        | 4              | 13                                  | 0              |
|  | Rüfer et al <sup>45</sup>              | 22          | 17                                | -                     | 5              | -                         | -              | 9                                   | 0              |
| Excelens (Excel Optics Pvt. Ltd., Chennai, India)                  | Jare et al <sup>46</sup>               | 108         | 6                                 | -                     | 3              | 5                         | 0              | 0                                   | 0              |
|  | Rao et al <sup>47</sup>                | 30          | 6                                 | -                     | 0              | 9                         | 0              | -                                   | 0              |
|  | Mansoori et al <sup>48</sup>           | 122         | 7.48                              | 16                    | 21             | -                         | 5              | 7                                   | 2              |
|  | Kelkar et al <sup>49</sup>             | 90          | 12                                | 20                    | 8              | 8                         | 2              | 0                                   | 1              |
|  | Kelkar et al <sup>50</sup>             | 104         | 12                                | 19                    | 7              | 11 (n = 90)               | 2              | 0                                   | 1              |
|  | Jayamadhury et al <sup>51</sup>        | 61          | 12                                | 10                    | 0              | 12                        | 11             | 0                                   | 0              |
|  | Kavitha et al <sup>52</sup>            | 25          | 16                                | 4                     | 4              | -                         | 4              | 4                                   | 0              |
| Patil et al <sup>53</sup>  | 15                                     | 12          | -                                 | -                     | -              | 13                        | -              | -                                   |                |
| Freedom (Freedom Ophthalmic Pvt. Ltd., Hosur, India)               | Sumitha et al <sup>54</sup>            | 36          | 3                                 | 44                    | 3              | -                         | 3              | 0                                   | 0              |

(Continued)



**Table 3** (Continued).

| ICIORL  | Authors                        | Sample Size | Mean or Median Follow-Up (Months) | Pupil Ovalization (%) | Raised IOP (%) | ECL (%) | CME (%) | IOL Disenclavation/Dislocation (%) | RD (%) |
|---|--------------------------------|-------------|-----------------------------------|-----------------------|----------------|---------|---------|------------------------------------|--------|
| Optima (Rainbow Meditech LLC, Chennai, India) | Madhivanan et al <sup>55</sup> | 48          | 12                                | 16                    | 10             | -       | 4       | 0                                  | 4      |
| OV lens (Care Group, India)                   | Ganesh et al <sup>56</sup>     | 100         | 13                                | 34                    | 8              | 11      | 8       | 5                                  | 1      |

**Notes:** <sup>a</sup>Reported together for both the prepupillary and retropupillary iris-claw lens groups.

**Abbreviations:** ICIORL, iris-claw intraocular lens; IOP, intraocular pressure; ECL, endothelial cell loss; CME, cystoid macular edema; RD, retinal detachment.

at 12 months follow-up.<sup>32</sup> Choi et al showed that the ECL was not significant after 1 month postoperatively, signifying that the ECL was mainly due to factors related to surgery rather than the problem of ICIORL itself.<sup>27</sup> Forlini et al observed no significant ECL after 5 years of follow-up.<sup>36</sup>

### CME

One of the major causes for a decrease in CDVA following cataract surgery is CME.<sup>69</sup> As ICIORLs are often indicated in eyes secondary to complicated cataract surgery, the risk of CME should always be kept in mind. The frequency of CME following ICIORL implantation depends on the indication for surgery and ranges from 0%-25%.<sup>14-18,20-32,34-39,41-44,46-56</sup> The duration of follow-up, sample size, and investigative method for CME in different studies also affect the CME rates. Use of OCT at regular follow-ups after cataract surgery might be useful in detecting non-clinical CME (<20/40 change in CDVA).<sup>30</sup> Dalby et al reported CME as the most common late complication in their clinical study with almost comparable rates in both IOL exchange (2 eyes) and IOL repositioning (2 eyes) groups at 2 years.<sup>14</sup> Kristianslund et al found no statistically significant difference in the rate of CME (10% versus 7%) or difference in central macular thickness (+11 μm versus +10 μm) at 6 months follow-up, comparing retropupillary ICIORL implantation to the scleral repositioning of the IOL.<sup>16</sup> Faria et al experienced CME in 5% of cases and attributed it to the primary cause of the aphakia or the vitrectomy procedure itself.<sup>21</sup> Touriño Peralba et al observed a higher incidence of CME in the prepupillary IOL group (22%) than the retropupillary IOL group (8%).<sup>30</sup> Mora et al reported a cumulative 12-month incidence of CME

in 25% of the cases after ICIORL implantation in aphakia, dislocated IOL and subluxated crystalline lenses.<sup>31</sup> Hernández Martínez et al stated that diabetic patients had double the risk of developing CME than non-diabetic patients.<sup>32</sup> Madhivanan et al found a higher incidence of CME in the scleral-fixated IOL group (12%) than the ICIORL group (4%) and stated that using triamcinolone-assisted vitrectomy in the ICIORL group might have reduced the CME rate.<sup>55</sup>

### Disenclavtion or Dislocation of the ICIORL

The redislocation rates after retropupillary ICIORL implantation have been reported between 0% and 37%.<sup>14-19,21-31,33-39,41-46,48-52,54-56</sup> Disenclavation can be due to insufficient or incorrect primary haptic enclavation, which usually occurs with inexperienced surgeons.<sup>16,70</sup> The atrophy of the iris at enclavation sites can also lead to redislocation.<sup>30,70</sup> The risk of redislocation tends to be more among young patients (<55 years of age) and those with a trauma history.<sup>15,34,43</sup> Three randomized clinical trials by Dalby et al, Kristianslund et al, and Helvacı et al, involving patients >55 years of age, observed redislocation at a rate of 3%, 2%, and 0%, respectively.<sup>14,16,24</sup> Shuaib et al reported a disenclavation rate of 20% (mean follow-up of 6 months), and Cevik et al experienced a disenclavation rate of 37% (mean follow-up of 38 months).<sup>15,34</sup> However, these two studies with the highest dislocation rate reported in literature included children between 2 and 16 years of age, and had a small sample size. Two retrospective studies by Toro et al, and Forlini et al, with the longest follow-up periods of around 5 years, observed haptic disenclavation at a rate of 2% and 1%, respectively.<sup>28,36</sup>

Patients with disenclavated or dislocated ICIOL should be examined thoroughly by a vitreoretinal specialist before surgery to plan the management mode. The disenclavated ICIOL can be re-enclavated without major challenges by an experienced surgeon. Usually, a small corneal or limbal incision superiorly and a side-port are sufficient for the manoeuvring. The ICIOL can be held using a fixation forceps with a cannula or sinsky hook behind the optic and bring the dislocated side of the ICIOL into the anterior chamber. Once the ICIOL is secured with the forceps, the haptics can be enclavated in a standard fashion. In the case of totally dislocated IOLs, microforceps can be used via the pars plana approach and enclavated similarly. The new enclavation should be preferably in naive iris tissue different from the previous site of enclavation, which might have suffered trauma.<sup>59</sup>

Even in cases of intact haptic enclavation, decentration of ICIOL may happen sometimes. Decentration of ICIOL has been reported at a frequency of up to 7%.<sup>14,31,38,40,45</sup> However, it does not need any intervention, provided the optic covers the visual axis. Rarely, retropupillary ICIOLs need to be explanted if there is significant decentration hampering the visual outcome of the patient or if the haptics have been broken and severely traumatized. For explantation, pupil dilatation is preferred. After fashioning a sclero-corneal tunnel superiorly and a paracentesis on the temporal side, the optic has to be held with the fixation forceps, and the haptic enclavation can be released by applying gentle pressure on the iris fold using a thin spatula. In a few cases, the iris has to be approached from behind to release the enclavations.<sup>71</sup>

### Retinal Detachment

The surgeon's experience and skill in handling the complications of vitreous disruption during surgery play a role in the ultimate risk of retinal detachment (RD). The rate of RD seems to be associated more with the preoperative status of the patients rather than the ICIOL implantation. Only three studies have reported an RD incidence of more than 5% with retropupillary ICIOLs, all of which included paediatric cases and had a sample size  $\leq 30$  eyes.<sup>15,34,43</sup>

Other infrequent complications reported in the literature include iris atrophy (up to 24%), transient hypotony (up to 19%), iritis (up to 17%), bullous keratopathy (up to 16%), pigment dispersion/precipitates (up to 13%), wound leakage ( $\leq 2\%$ ), hyphema ( $\leq 2\%$ ), iridodonesis ( $\leq 2\%$ ), and endophthalmitis ( $\leq 2\%$ ).<sup>26,28,30,31,36–38,40,41,55</sup>

## Strengths of the Present Review

In contrast to the existing literature reviews, the present review has included different types of ICIOLs, including global and Indian IOLs, whose outcomes have been reported in the literature. This exhaustive review also analyzed more number of publications on retropupillary ICIOLs, including the non-English articles and those of the paediatric age group, to reduce bias.

## Limitations in Literature

One of the significant issues of assessing prior publications is the difficulty of determining the surgeon's skill and experience. More randomized controlled trials with hand-picked surgeons, larger sample size, longer follow-up, and rigorous reporting may provide more meaningful results, although this would be challenging to accomplish.

## Ongoing Trials

An industry-sponsored clinical trial, NCT01547429 (Artisan Aphakia Lens for the Correction of Aphakia [Secondary] in Adults), is currently studying the use of ICIOL for aphakia, where a posterior chamber IOL is not indicated. The study is estimated to be completed in 2021.<sup>72</sup>

## Conclusion

Retropupillary ICIOL implantation in eyes with inadequate capsular or zonular support is a safe, faster, and effective procedure with good functional outcomes and fewer complications. The primary prerequisite for ICIOL placement is an iris tissue with adequate support. The shift in the placement of ICIOLs from prepupillary to a more physiological retropupillary position and change in the optic design from biconvex to convex–concave have given better functional outcomes and improved safety. Compared to prepupillary ICIOL and ACIOL, the risk of ECL is lower with retropupillary ICIOL due to the position of the IOL away from the corneal endothelium. A peripheral iridectomy is not mandatory nowadays while implanting ICIOL, as a pupillary block does not happen with a convex–concave vaulted design. Fashioning a scleral tunnel incision for ICIOL implantation provided better refractive results and less endothelial damage than a large corneal incision. The disenclavation of ICIOL happens mainly due to incorrect primary haptic enclavation or atrophic iris at the site of enclavation, which can be easily re-enclavated with proper techniques. The chance of redislocation tends to be more among the

paediatric age group and those with a trauma history. Surgeons should make a decision on the surgical technique that is best in their hands, based on their experience and skills, each patient's unique ocular status, and accessibility to essential operating room instruments, weighing all the potential risks and benefits.

## Acknowledgment

The author thanks Sumitha CV for her assistance in the data collection of the manuscript.

## Disclosure

The author reports no conflicts of interest for this work.

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