

# A Case of Sepsis Due to Carbapenem-Resistant *Klebsiella pneumoniae* in an Extremely Low-Birth Weight Infant Treated with Trimethoprim–Sulfamethoxazole

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**Abstract:** In recent years, there have been an increasing number of infections due to multidrug-resistant organisms in the neonatal intensive care unit. Carbapenem-resistant *Klebsiella pneumoniae* (CRKP) is a challenge in clinical anti-infection treatment. Herein, we report the case of CRKP sepsis in an extremely low-birth weight infant (ELBWI) who did not respond to meropenem and vancomycin, but was treated successfully after a 10-day antibiotic course with trimethoprim–sulfamethoxazole (TMP–SMZ). Recent research on CRKP-associated sepsis and the application of TMP–SMZ therapy in children and neonates were reviewed to offer a reference for clinical practice.

**Keywords:** carbapenem-resistance, extremely low birth weight infant, *Klebsiella pneumoniae*, sepsis, trimethoprim–sulfamethoxazole

## Introduction

*Klebsiella pneumoniae* (*K. pneumoniae*) was first identified by Carl Friedländer in 1882. It is a lactose-fermenting, nonmotile, facultative anaerobe and Gram-negative bacillus occurring naturally in the soil. Although generally found in normal flora, it is one of the important pathogens in nosocomial infections. It causes pneumonia and sepsis in premature and newborn infants and in immunocompromised patients.<sup>1</sup>

Neonatal sepsis accounts for 30–50% of the annual neonatal deaths in developing countries.<sup>2</sup> *K. pneumoniae* (17.54%) is one of the most prevalent causative bacterial pathogens in neonatal sepsis.<sup>2</sup> Carbapenem-resistance poses a serious challenge in clinical treatment. The incidence rate of carbapenem-resistant gram-negative late-onset sepsis (LOS) was 6.5 cases per 1000 patient-days, and the most frequently isolated bacterial strain was *K. pneumoniae*.<sup>3</sup> Carbapenem-resistant *K. pneumoniae* (CRKP) is associated with long hospitalizations and poor outcomes, and failure to recognize CRKP septicemia early and determine the optimum antibiotic therapy may have fatal consequences.<sup>4</sup> However, because of concerns regarding the safety and efficacy of antibiotic therapy in the pediatric population, there were few appropriate antibiotics for CRKP treatment.

In this study, we report the case of CRKP sepsis in an extremely low-birth weight infant (ELBWI) who did not respond to meropenem and vancomycin, but was treated successfully after a 10-day antibiotic course with trimethoprim–

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sulfamethoxazole (TMP-SMZ). The parents of the patient provided informed consent for the case details to be published. Shanghai Children's Hospital approval to publish the case details.

## Case Report

A premature male infant of 28<sup>+1</sup> weeks gestation was born vaginally to a mother with a triplet pregnancy and premature rupture of membranes around 89 h in People's Hospital of Putuo District on May 14, 2020. The amniotic fluid, placenta, and umbilical cord were normal. This infant was transported to neonatal intensive care unit (NICU) of Shanghai Children's Hospital because of tachypnea and grunting at 1 hour postnatally. The birth-weight was 970 g, and the 1-, 5-, and 10-min Apgar scores were 3, 6, and 9, respectively. The vital signs were stable in conventional mechanical ventilation at admission.

On day 8 of admission, he had fever and frequent apnea; by day 15, he had fever with lung exudation on radiography and the usual infection parameters. On day 23, he developed symptoms of necrotizing enterocolitis (NEC), and the C-reactive protein (CRP) was 74 mg/L. By day 45, he deteriorated rapidly with increased liver enzymes (serum alanine aminotransferase (ALT), 145 U/L), thrombocytopenia, and anemia. Central nervous system infection was excluded by lumbar puncture. Cultures from tracheal intubation, sputum, and blood all showed the same CRKP strain growth. In vitro susceptibility test showed that this strain was only sensitive to ceftazidime avibactam (DISK 26mm), polymyxin B (DISK 16mm), TMP-SMZ (minimal inhibitory concentrations (MIC)  $\leq$  20ug/mL) and tigecycline (MIC 2ug/mL). After anti-infection treatment, CRP was significantly reduced on day 49, with gradual resolution of thrombocytopenia and anemia. However, serum ALT was 345 U/L on day 51 and 878 U/L on day 58. Repeat blood culture showed negative on day 69, but the sputum culture was still *K. pneumoniae* positive. The patient's liver function returned to normal on day 85. Unfortunately, he developed bronchopulmonary dysplasia (BPD) and retinopathy of prematurity (ROP). The patient was eventually discharged on day 87.

Regarding treatment, the patient needed mechanical ventilation for 4 days followed by 10 days of nasal continuous positive airway pressure (NCPAP). He was administered ampicillin sulbactam after admission, and ceftazidime was used for empirical therapy on day 8, which was changed to sulperazone on day 15. He underwent emergency abdominal drainage surgery and was administered meropenem after developing NEC on day

23; vancomycin (15 mg/kg, ivgtt, q12h) along with mechanical ventilation was required when he deteriorated rapidly on day 45. According to the clinical symptoms and in vitro susceptibility test, we discontinued vancomycin and switched to TMP-SMZ (20 mg/kg, twice daily) for 10 days. The patient eventually got better, and the mechanical ventilation was switched to NCPAP on day 51, and oxygen was discontinued from day 75 onward. Caffeine treatment was started from the first day after birth and was maintained for up to 63 days. The patient received partial parenteral venous nutrition before complete enteral feeding, compound glycyrrhizin and coenzyme complex injections for abnormal liver function, and oral diuretics and inhalant budesonide as treatments for BPD.

## Discussion

This report describes a case of CRKP sepsis in an ELBWI who required surgery for NEC that was only sensitive to ceftazidime avibactam, polymyxin B, TMP-SMZ, and tigecycline. *K. pneumoniae* can cause life-threatening hospital acquired bloodstream infections (BSI), with a high risk of mortality of 54.3%.<sup>5</sup> Unfortunately, it is difficult to choose the appropriate antibiotic to treat *K. pneumoniae* as there is no licensed antibiotic available to treat it in China until now.<sup>6</sup> In this case, the infant got worse after treatment with meropenem and vancomycin, so we administered TMP-SMZ for 10 days based on the results of the antimicrobial susceptibility assay. The infant was discharged after his condition gradually improved. To our knowledge, this is the first and only case of CRKP sepsis in an ELBWI who was treated with TMP-SMZ in our NICU.

In a study of healthcare-associated BSI, *K. pneumoniae* comprised the largest proportion of pathogenic organisms in healthcare-associated BSI (32%, 93/292). Furthermore, in a subset of 12 neonates with *K. pneumoniae* bacteremia, it was observed that the median (interquartile range) gestational age at birth was 27 weeks, and the median birth weight was 1100 g.<sup>7</sup> The 28-day mortality of *K. pneumoniae* BSI was 8.7%.<sup>5</sup> In our patient, the infant had high risk factors for CRKP infection, such as mechanical ventilation, total parenteral intravenous nutrition support, long-term use of broad-spectrum antimicrobial agents, and admission to the NICU. In addition, the *K. pneumoniae* was only sensitive to ceftazidime avibactam, polymyxin B, TMP-SMZ, and tigecycline. It has been reported that an infant with CRKP invasive infections required ceftazidime avibactam (CAZ-AVI), phosphomycin, and meropenem as a combination treatment.<sup>8</sup> However, this patient had not only

moderate thrombocytopenia during the therapy but also recurrent CRKP sepsis after the antibiotics were discontinued for 2 days.<sup>8</sup> In a study of colistin use in neonatal sepsis, only one of the seven patients with sepsis due to *K. pneumoniae* survived. Furthermore, there were no pharmacokinetic and pharmacodynamic studies performed with colistin in neonatal sepsis.<sup>9</sup> There are many limitations of tigecycline treatment in pediatric patients, for example, the reported dosage and treatment duration were varied with small sample size, and it is often used in combination with other antimicrobial agents (Table 1).<sup>10</sup>

Neonatal sepsis remains a major cause of death in very low birth weight infants with high risk for both short-term complications, such as BPD, NEC, intraventricular hemorrhage, and periventricular leukomalacia and long-term complications including cerebral palsy, psychomotor delay, and visual and auditory impairment.<sup>11</sup> *K. pneumoniae* is one of the top three isolated pathogens in neonatal sepsis. Patients infected with *K. pneumoniae* have a high incidence of thrombocytopenia.<sup>6</sup> Our patient developed thrombocytopenia, BPD, and ROP after being diagnosed with sepsis. The blood platelet count returned to normal after TMP-SMZ antibiotic therapy for 3 days, and supplemental oxygen was discontinued at postnatal 75 days. The ROP showed gradual resolution at the follow-up fundus examination.

TMP-SMZ is one of the few remaining antimicrobial agents with some activity against CRKP in China.<sup>12</sup> However, the functions of the liver and kidney in children are immature, and the acetyltransferase system in neonates has not yet developed. Therefore, the TMP-SMZ can compete with the bilirubin content in the plasma protein-binding site, which causes the blood concentration of free sulfanilamide to increase. This, in turn, increases the risks of kernicterus; hence, the use of TMP-SMZ in children and infants has its limitations. In another case report, a premature infant who developed sepsis, meningitis, and hydrocephalus was treated successfully with TMP-SMZ for 3 weeks with no side effects.<sup>13</sup> Therefore, although the state drug administration has not approved TMP-SMZ use in infants younger than 2 months, in the absence of safe and effective alternatives, TMP-SMZ may be used as a reasonable substitute in order to reduce the mortality from CRKP sepsis in ELBWI. Our patient had elevated liver enzymes, which is a known associated side effect; nonetheless, with symptomatic treatment, the patient's liver function returned to normal on day 85. We recommended that liver and kidney function should be followed up at least once a week in infants treated with TMP-SMZ. Moreover, we also carried out follow-up magnetic resonance imaging of the head to monitor any adverse reactions to TMP-SMZ since the discharge.

**Table 1** The Usage of Antimicrobials and Efficacy in Cases of Neonatal Sepsis

Author (Year of Publication)	Pathogen	Usage of Antimicrobials	Efficacy	Side Effects
Shobowale et al (2017) <sup>14</sup>	CONS and <i>K. Pneumoniae</i>	Meropenem, ciprofloxacin, and amikacin	Most effective antimicrobials in vitro	/
Esposito et al (2019) <sup>8</sup>	CRKP	CAZ-AVI/ phosphomycin/ meropenem combination	Recurrent CRKP sepsis	Moderate thrombocytopenia
Jasani et al (2016) <sup>9</sup>	<i>Acinetobacter baumannii</i> , <i>K. Pneumoniae</i> and <i>Pseudomonas aeruginosa</i>	Intravenous colistin	Only one of seven with <i>K. Pneumoniae</i> infection survived	None neurotoxicity or nephrotoxicity
Su et al (2019) <sup>12</sup>	CRKP	TMP-SMZ /colistin combination	Effective in Vitro	/
Gokce et al (2012) <sup>13</sup>	<i>Elizabethkingia</i>	TMP-SMZ for 3 weeks	CSF examination returned to normal, but the patient developed hydrocephalus	No side-effects occurred

**Abbreviations:** CONS, coagulase-negative staphylococci; *K. pneumoniae*, *Klebsiella pneumoniae*; CRKP, Carbapenem-resistant *Klebsiella pneumoniae*; CAZ-AVI, ceftazidime avibactam; TMP-SMZ, trimethoprim-sulfamethoxazole; CSF, Cerebrospinal fluid.

In summary, this case report describes CRKP sepsis, which is associated with high morbidity and mortality, in an ELBWI. We believe that timely and rational therapy with TMP-SMZ in these premature infants may reduce mortality and improve prognosis of CRKP sepsis.

## Abbreviations

NICU, neonatal intensive care unit; CRKP, carbapenem-resistant *Klebsiella pneumoniae*; ELBWI, extremely low birth weight infant; TMP-SMZ, trimethoprim-sulfamethoxazole; *K. pneumoniae*, *Klebsiella pneumoniae*; LOS, late-onset sepsis; NRDS, neonatal respiratory distress syndrome; NEC, neonatal necrotizing enterocolitis; CSF, Cerebrospinal fluid; BPD, bronchopulmonary dysplasia; ROP, retinopathy of prematurity; NCPAP, nasal continuous positive airway pressure; BSI, bloodstream infections; CAZ-AVI, ceftazidime avibactam.

## Data Sharing Statement

Not shared as it contains confidential patient data.

## Ethics Approval and Informed Consent

The parents of the patient provided informed consent for the case details to be published. Shanghai Children's Hospital approval to publish the case details.

## Consent for Publication

The parents of this patient provided the written permission to the publication.

## Author Contributions

WBW, CC, and HWC made substantial contributions to the conception and design, and the acquisition, analysis, and interpretation of data. WBW, ZXY, YCB, and CC were involved in drafting the manuscript and revising it critically for important intellectual content. CC and GXH revised the manuscript and gave the final approval for the version to be published. All authors contributed to data analysis, drafting or revising the article, have agreed on the journal to which the article will be submitted, gave final approval for the version to be published, and agree to be accountable for all aspects of the work.

## Disclosure

All authors certify that they have no affiliations with or involvement in any organization or entity with any

financial interest, or non-financial interest in the subject matter or materials discussed in this manuscript.

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