ORIGINAL RESEARCH

# **Risk Factors for Recurrence of Radically Resected** Mucinous Colorectal Adenocarcinoma

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Background: Mucinous adenocarcinoma (MA) is a subtype of colorectal cancer (CRC) associated with a higher incidence of local extension and worse survival compared to nonmucinous adenocarcinoma, but few studies have investigated surgery-related predictors for recurrence of MA. Therefore, we aimed to elucidate the predictors for local recurrence and remote metastasis of MA after surgery.

Patients and Methods: This study retrospectively analyzed 162 patients with mucinous colorectal adenocarcinoma (MAC) after radical resection. Analysis variables included demographics, clinical indicators, pathologic stage, surgical procedure, adjuvant therapy, and recurrence. Univariate and multivariate analyses were performed to investigate the risk factors for local and distant tumor relapse.

Results: A total of 162 patients (86 male) with a mean age of 58.26 years were included; 70.37% of patients had colonic tumors, and 29.63% had rectal tumors. The 5-year diseasefree survival (DFS) rates for these patients were as follows: 100% for TNM stage I, 71.2% for stage II, and 47.8% for stage III. Five-year DFS rates of MAC, colonic and rectal MA were 62.0%, 65.8%, and 51.7%, respectively. Local recurrence occurred in 38 patients and distant metastasis in 33 patients. In univariate analysis, predictors for local recurrence of MAC were intraoperative blood loss, intraoperative transfusion, and N2 stage; and predictors for distant metastasis were male sex, CA199, CEA, intraoperative blood loss, T4 stage, and N2 stage. In multivariate analysis, predictors for local recurrence of MAC were intraoperative transfusion (P=0.04, OR=4.175) and N2 stage (P=0.000, OR=5.291), and predictors for distant metastasis were male sex (P=0.049, OR=2.410), CA199 (P=0.02, OR=1.003), and T4 stage (P=0.007, OR=4.006).

Conclusion: Intraoperative transfusion and N2 stage were significant predictors for local recurrence. Male sex, CA199, and T4 stage were significant predictors for distant metastasis. Knowledge of the risk factors for postoperative recurrence provides a basis for logical approaches to treatment and follow-up of MAC.

Keywords: colorectal cancer, mucinous adenocarcinoma, local and distant recurrence

#### Introduction

Colorectal cancer (CRC), the third most diagnosed cancer and the second leading cause of cancer-related deaths, is a malignant tumor with a high prevalence: an estimated 1.9 million people develop CRC worldwide every year.<sup>1,2</sup> Mucinous colorectal adenocarcinoma (MAC) is a special type of CRC with distinct pathological features. MAC tumors are composed of more than 50% extracellular mucin produced by tumor acinar cells.<sup>3</sup> Cases of MAC account for 1.6-25.4% of primary CRC. Large population-based studies of the prevalence of CRC have shown lower

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rates (4–5%) of MAC among Asians with CRC.<sup>4–6</sup> Given the rarity of the disease, relatively little is known about the best approaches to treatment and the prognosis of MAC.

Compared with non-mucinous adenocarcinoma, MAC is more frequently found in female and younger patients,<sup>7</sup> and has a worse prognosis.<sup>8-11</sup> The worse prognosis of MAC may be due to its diagnosis at more advanced stages, its greater propensity for early spread to regional lymph nodes and peritoneal implants, and its resistance to chemotherapy. MAC is considered poorly differentiated (grade 3) according to the WHO tumor grade criteria based on the extent of glandular formation. Guidelines for CRC indicate that MAC is a risk factor for CRC; for example, surgical resection with lymph node dissection is recommended for additional treatment after endoscopic resection of pT1 CRC when mucinous adenocarcinoma (MA) is observed.<sup>12</sup> Adjuvant chemotherapy is recommended for patients with stage II CRC with poor histological differentiation (grade 3-4) accompanied by MMRproficient or microsatellite stable tumors.<sup>13</sup> However, there are still no current guidelines for the treatment of MAC, even though individualized and precise treatment is critical for cancer therapy. We and others believe that specialized, precision therapy is needed for MAC.<sup>14</sup> Thus, it is of significant clinical importance to investigate MAC.

In previous reports, the distinctive clinicopathologic features of MAC and their implication on the therapeutic strategy and prognosis were investigated. However, there are few studies that have conducted analyses of surgeryrelated risk factors. Local recurrence and distant metastasis are major challenges to overcome in order to improve the survival of patients with CRC after surgery. Therefore, this retrospective study aimed to elucidate clinical, pathologic, and surgery-related risk factors for local and distant relapse of MAC after surgical resection.

# Materials and Methods Patients

All CRC patients enrolled in this study were treated at the Third Affiliated Hospital of Sun Yat-Sen University and Guangzhou First People's Hospital from 2009 to 2018. Local Institutional Review Boards (IRB) approved the data acquisition, this study was conducted in accordance with the Declaration of Helsinki. The data included demographics, clinical indicators, pathologic stage, surgical procedure, adjuvant therapy, and recurrence. All patients were staged according to the AJCC 6th or 7th edition manual for CRC.  $^{15,16}$ 

Inclusion criteria were as follows: (1) the diagnosis of MAC was confirmed pathologically; (2) patients undergoing radical surgery. Exclusion criteria were as follows: (1) patients presented with peritoneal or distant metastases (M1 stage) at diagnosis; (2) multiple primary tumors of the colorectum; (3) palliative resection; (4) patients who were lost during follow-up or whose data were missed.

# Surgical Technique, Histopathological Examination, Postoperative Adjuvant Chemotherapy and Follow-Up

All surgeries were performed by qualified, experienced colorectal surgeons. All operations assisted were radical resections with a complete mesocolic excision  $(CME)^{17}$  or total mesorectal excision (TME),<sup>18</sup> which were performed according to protocol guidelines. All resected specimens were examined and confirmed by pathologists and surgeons shortly after surgery. MAC is a histological subtype of colorectal cancer which is typically characterized by pools of extracellular mucin containing malignant epithelium. The TNM classification was defined by the criteria of the 6th or 7th edition manual of the AJCC/UICC. Postoperative adjuvant chemotherapy was recommended for patients with TNM high-risk stage II and III disease, unless the patient's physical status was unsuitable for chemotherapy administration or a patient was unwilling to receive chemotherapy. These patients received first-line chemotherapy based on 5-FU or capecitabine according to the National Comprehensive Cancer Network (NCCN) guidelines at the time. The Follow-up is according to NCCN guideline for colon cancer and rectal cancer (To view the most recent version of these guidelines, visit NCCN.org).

# **Observation Indexes**

Preoperative indexes included age, gender, comorbidity, American Society of Anesthesiology (ASA) score, tumor location, and the tumor markers carbohydrate antigen 199 (CA199) and carcinoembryonic antigen (CEA). The intraoperative indexes included operation time, intraoperative blood loss, blood transfusion, combined organ resection, postoperative complications, and number of lymph nodes harvested. Postoperative indexes included tumor size, pathological T stage, N stage, tumor grade (TNM), positive lymph numbers, and postoperative complications. The follow-up indexes included adjuvant chemotherapy received, disease-free survival (DFS), local recurrence, and distant metastasis. For DFS, the follow-up time was recorded from the date of surgery to the first recurrence date. The classifications of local recurrence and distant metastasis were based on where the recurrence was first found by colonoscopy, CT, MRI, or PET-CT.<sup>19</sup>

#### Statistical Analyses

All statistical analyses were performed using IBM SPSS26 (IBM Corp). Quantitative data are expressed as mean  $\pm$  standard deviation (SD) or median. Survival curves for DFS data were constructed using Kaplan-Meier method, and the curves were compared by the Log rank test. Significant prognostic factors identified using univariate analysis were further evaluated by logistic regression analysis. When the P-value was less than 0.05 in univariate analysis, it would be included in the multivariate analysis. Multivariate analysis was performed using an enter method. A P-value < 0.05 was considered statistically significant.

# Results

# Demographics

During the period from 2009 to 2018, we collected a total of 162 MAC cases according to a pathological database from 4527 cases of CRC at the Guangzhou First People's Hospital and the Third Affiliated Hospital of Sun Yat-Sen University. There were 60 cases with relapse (27 cases with local recurrences, 22 cases with distant metastases, and 11 cases with both) (Figure 1).

Patients included a total of 76 men (46.91%) and 86 women (53.09%) with a median age of 60 years (range, 15–87). There were 69 patients (42.59%) with right colonic MA, 45 patients (27.78%) with left colonic MA, and 48 (29.63%) with rectal MA. Most patients (114, 70.37%) received adjuvant chemotherapy. Most MAC cases (93.8% of colonic tumors and 87.5% of rectal tumors) were T3-T4 stage. According to the TNM classification by ACJJ, there were 6.79% at stage I (n=11), 40.12% at stage II (n=65), and 53.09% at stage III (n=86) (Table 1).

The mean follow-up time for the endpoint of relapse free period (RFP) was 4 years (range 0–11), and the study

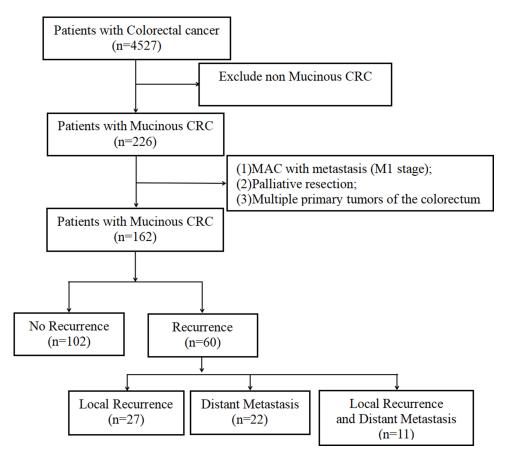
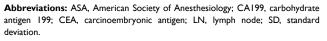


Figure I Flow diagram showing patient selection and exclusion process.

Table	L	Clinical	and	Pathologic	Information	of	162	MAC
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Clinical and Pathologic Information						
Age	Median (range)	60(15–87)				
Gender	Male/Female	76/86				
Comorbidities	Yes/No	61/101				
ASA score	1/11/111	73/68/21				
Tumor location	Right/Left/Rectal	69/45/48				
Tumor size (cm)	Mean±SD	4.4±12.1				
CA199 (U/mL)	Mean±SD	57.5±152.6				
CEA (ng/mL)	Mean±SD	16±42.1				
Adjuvant chemotherapy	Yes/No	114/48				
Number of LNs	Median (range)	17(5–53)				
T stage	TI/T2/T3/T4	1/12/74/75				
N stage	N0/N1/N2	76/36/50				
TNM stage	1/11/111	I I/65/86				



endpoints were local recurrence or distant metastasis of the disease. The pattern of local recurrence was as follows: recurrent abdominal or pelvic masses (n=13, 8.02%), peritoneal dissemination (n=12, 7.41%), recurrent enlarged LNs (n=5, 3.09%), and recurrent masses with peritoneal nodules (n=8, 4.94%). The distant metastases included isolated liver metastasis (n=13, 8.02%), lung metastasis (n= 8, 4.94%), bone metastasis (n=6, 3.7%), brain metastasis (n=1, 0.62%), liver with lung metastasis (n=1, 0.62%) (Table 2).

# The 5-Year Disease-Free Survival of MAC

The Kaplan-Meier plot showed that the 5-year DFS rates of MAC, colonic MA, and rectal MA were 62.0%, 65.8%, and 51.7%, respectively. There were no significant differences among these three groups (P=0.504, Figure 2A). Five-year disease-free Survival (DFS) rates of patients were as follows: 100% for TNM stage I, 71.2% for TNM stage II, and 47.81% for TNM stage III. There were significant differences among these three groups (P=0.001) (Figure 2B).

# Univariate Analysis of the Predictive Factors

Univariate analysis showed that the predictive factors for local recurrence of MAC were intraoperative blood loss (P=0.004, OR=1.005), intraoperative transfusion (P=0.002, OR=5.179) and N2 stage (P=0.000, OR=4.643) (Table 3). Subgroup analysis showed that

Table 2 Surgical and Pi	rognosis Outcome	of 162	MAC
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ical and Prognosis Outcome	
LAP/OPEN	83/79
Mean±SD	211±78.3
Mean±SD	130.7±108.3
Total (%)	14(8.64)
Abdominal wall (%)	2(1.23)
Small bowel (except	5(3.09)
duodenum) (%)	
Urinary organs (%)	I (0.62)
Gynecologic organs (%)	3(1.85)
Gallbladder (%)	3(1.85)
Total (%)	26(16.05)
Anastomotic Hemorrhage (%)	3(1.85)
Intraabdominal bleeding (%)	2(1.23)
Leakage (%)	5(3.09)
Gastroplegia (%)	2(1.23)
Infection (incision and	12(7.41)
abdomen) (%)	
Pulmonary infection (%)	6(3.7)
Obstruction (%)	9(5.56)
Renal insufficiency (%)	I (0.62)
Total (%)	38(23.46)
Recurrent abdominal or pelvic	13(8.02)
masses (%)	. ,
Peritoneal dissemination (%)	12(7.41)
. ,	5(3.09)
Recurrent masses and	8(4.94)
Peritoneal nodules (%)	
Total (%)	33(20.37)
	13(8.02)
	8(4.94)
	6(3.7)
( )	4(2.47)
• • • • •	I (0.62)
metastasis (%)	(0.02)
1100000000 (/0)	1
	LAP/OPEN Mean±SD Mean±SD Total (%) Abdominal wall (%) Small bowel (except duodenum) (%) Urinary organs (%) Gynecologic organs (%) Gynecologic organs (%) Gallbladder (%) Total (%) Anastomotic Hemorrhage (%) Intraabdominal bleeding (%) Leakage (%) Gastroplegia (%) Infection (incision and abdomen) (%) Pulmonary infection (%) Obstruction (%) Renal insufficiency (%) Total (%) Recurrent abdominal or pelvic masses (%) Peritoneal dissemination (%) Recurrent masses and Peritoneal nodules (%) Liver metastasis (%) Liver and Lung metastasis (%) Liver, Bone and Brain

**Abbreviations:** LAP, laparoscopic surgery group; OPEN, open surgery group; LN, lymph node; <sup>a</sup>some patients have multiple postoperative complications.

the predictors for local recurrence of colonic MA were intraoperative blood loss (P=0.008, OR=1.006), intraoperative transfusion (P=0.043, OR=3.952), N2 stage (P=0.004, OR=5.044) and T4 stage (P=0.029, OR=3.752) (Table 4). The main predictor for local recurrence of rectal MA was intraoperative transfusion (P=0.014, OR=7.857) (Table 5).

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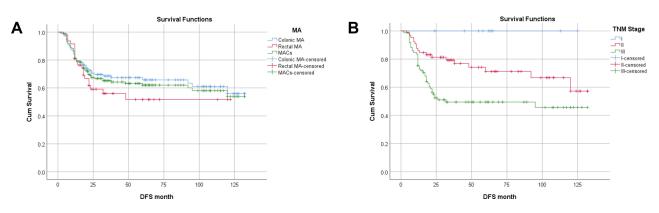


Figure 2 (A) Log Rank (Mantel-Cox) test=1.371, P=0.504. Five-year DFS rates of MAC, colonic MA, and rectal MA were 62.0%, 65.8%, and 51.7%, respectively. Figure 2 (B) Log Rank (Mantel-Cox) test=14.290, P=0.001. Five-year DFS rates of TNM stage II, and TNM stage III were 100%, 71.2%, and 47.81%, respectively.

Using univariate analysis, we found that the predictive factors for distant metastasis of MAC were male sex (P=0.035, OR=2.410), CA199 (P=0.011, OR=1.004), CEA (P=0.020, OR=1.010), intraoperative blood loss (P=0.022, OR=1.004), T4 stage (P=0.007, OR=4.125), and N2 stage (P=0.018, OR=3.4) (Table 6). Subgroup analysis of the predictors for distant metastasis of colonic MA and rectal MA revealed that CA199 (P=0.022, OR=1.003), CEA (P=0.004, OR=1.017), T4 stage (P=0.022, OR=4.628), N2 stage (P=0.006, OR=6.568), and TNM stage III (P=0.019, OR=5.308) were predictors for distant metastasis of colonic MA (Table 7), and CA199 (P=0.027, OR=1.007) were predictors for local recurrence of rectal MA (Table 8).

# Multivariate Analysis of the Independent Predictors

Using multivariate analysis, we found that the independent predictors for local recurrence of MAC were intraoperative transfusion (P=0.04, OR=4.175) and N2 stage (P=0.000, OR=5.291) (Table 9). The Hosmer-Lemeshow test had a P value of 0.00, indicating good fit of the data to the model. The AUC of the model was 0.771 (95% CI: 0.688-0.855) with standard error of 0.43. Calibration Plot showed that the model expected curve was close to the observed curve, indicating that the model has good predictive capabilities (Supplemental Figure 1A). Subgroup analysis showed that the independent predictor for local recurrence of colonic MA was N2 stage (P=0.028, OR=3.592) (Table 10). The independent predictor for local recurrence of rectal MA was intraoperative transfusion (P=0.014, OR=7.857) (Table 11). Overall, the independent predictors of distant metastasis of MAC were

OR=2.410), CA199 (P=0.02, male sex (P=0.049)T4 stage (P=0.007, OR=4.006) *OR*=1.003), and (Table 12). The Hosmer-Lemeshow test had a P value of 0.00, indicating good fit of the data to the model. The AUC of the model was 0.826 (95% CI: 0.758-0.894) with standard error of 0.035. Calibration Plot showed that the model expected curve was close to the observed curve, indicating that the model has good predictive capabilities (Supplemental Figure 1B). Subgroup analysis showed that the independent predictor for distant metastasis of colonic MA was T4 stage (P=0.043, OR=3.627) (Table 13). In contrast, none of the examined variables rose to the level of independent predictor for distant metastasis of rectal MA (Table 14).

### Discussion

MA is a unique pathologic entity first described by Parham in 1923.<sup>20</sup> Compared with colorectal adenocarcinoma, MAC often has a worse prognosis<sup>8,21,22</sup> and microsatellite instability-high (MSI-H),<sup>23</sup> and is also more likely to lead to lymphovascular invasion, perineural invasion,<sup>4</sup> lymph node metastasis, and peritoneal implants.<sup>6</sup> Considering the particularity of MAC, some researchers believe that patients with MAC may require adjustments in treatment.<sup>14</sup> Therefore, a thorough evaluation of the predictors of local disease recurrence and distant metastasis of MAC can serve to identify patients with a higher risk of future relapse, and thus improve the individualized management of patients with MAC.

MAC exhibits variation in geographical distribution, accounting for about 5% of CRC in studies from Asian countries<sup>4–6</sup> and 10–20% in studies from Western countries.<sup>24–26</sup> Consistent with other studies, our data showed that the incidence rate of MAC was 5.24%.

Variable (MAC)		Local Recurrence N=38	No Local Recurrence N=124	95% CI	OR	P-value
Age	Median (range)	59(15–82)	60(24–87)	0.97–1.02	0.992	0.510
Gender	Male/Female	19/19	67/57	0.41-1.76	0.851	0.663
Comorbidities (%)	Yes	14(36.8)	47(37.9)	0.45–2.03	0.956	0.906
ASA score	I(R)	16(42.1)	57(46.0)	-	-	0.180
	II	20(52.6)	48(38.7)	0.69–3.18	1.484	
	III	2(5.3)	19(15.3)	0.08–1.78	0.375	
CA199 (U/mL)	Mean±SD	65.6±127	55.1±160	0.998-1.003	1.000	0.709
CEA (ng/mL)	Mean±SD	15.3±32.5	16.2±44.7	0.99–1.008	0.999	0.908
Tumor location	Colonic/Rectal	26/12	88/36	0.51–2.48	1.128	0.764
Tumor size (cm)	Mean±SD	4.1±1.7	4.5±2.2	0.75–1.09	0.907	0.305
LAP and OPEN		17/21	66/58	0.34–1.48	0.711	0.361
Operative time (min)	Mean±SD	213.9±80.2	210.12±78.06	0.996-1.005	1.001	0.795
Blood loss (mL)	Mean±SD	178.7±138.5	116±93	1.00–1.01	1.005	0.004*
Transfusion (%)	Yes	10(26.3)	8(6.5)	1.87–14.32	5.179	0.002*
Multivisceral resection (%)	Yes	2(5.3)	12(9.7)	0.11–2.43	0.519	0.404
Complications (%)	Yes	7(18.4)	19(15.3)	0.48–3.24	1.248	0.649
Number of LN resected	Median (range)	15(5–47)	18(6–53)	0.93-1.02	0.973	0.205
T stage	TI-T2	0	13(10.5)	-	-	0.093
	T3 (R)	13(34.2)	61(49.2)	-	-	
	T4a+T4b	25(65.8)	50(40.3)	1.09-5.05	2.346	
N stage	N0 (R)	(28.9)	65(52.4)	-	-	0.000*
0	NÍ	5(13.2)	31(25.0)	0.31-2.98	0.953	
	N2	22(57.9)	28(22.6)	1.99–10.85	4.643	
TNM stage	I	0	(8.9)	-	-	0.062
-	ll(R)	10(26.3)	55(44.4)	-	-	
	III	28(73.7)	58(46.8)	1.18–5.97	2.655	
Adjuvant chemotherapy	Yes	27(71.1)	86(69.4)	0.49–2.41	1.085	0.842

Table 3 Univariate	Analysis of the Risk	Factors for Local R	ecurrence of MAC
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Previous studies showed that MAC is more frequently found in female patients and is predominantly located in the proximal colon.<sup>27–29</sup> Our data showed that 42.59% patients were right colonic MA, which is consistent with previous studies. However, there was one major difference compared to prior work that our data showed the proportion of female patients (53.09%) was not dominant.

Over ninety percent of patients (93%) had stage II or III disease, in agreement with previous reports showing that MAC is often diagnosed at an advanced stage.<sup>30,31</sup> There were 91.98% patients with pathological T3 or T4 stage. More than half of patients (53.09%) had lymph

node metastasis. A more advanced stage of MAC is attributed to many factors, including the more aggressive nature of MAC compared to colorectal adenocarcinoma and the tendency to form tumors in the proximal colon, which serves to delay clinical symptoms until a more advanced stage has been reached. Perhaps the advanced stage of tumors in this patient cohort contributed to the relatively high rate of cancer recurrence (37.04%). Our results showed that the five-year DFS rate for stage I tumors was 100%, and DFS rates for stage II and III were 71.2% and 47.81%, respectively (Figure 2, P < 0.05). Therefore, early and accurate

Variable (Colonic MA)		Local Recurrence N=26	No Local Recurrence N=88	95% CI	OR	P-value
Age	Median (range)	60.5(15–82)	61(24–85)	0.96-1.02	0.991	0.508
Gender	Male/Female	13/13	50/38	0.32-1.83	0.760	0.540
Comorbidities (%)	Yes	9(34.6)	36(40.9)	0.31-1.91	0.765	0.565
ASA score	I(R)    	10(38.5) 14(53.8) 2(7.7)	38(43.2) 36(40.9) 14(15.9)	- 0.58–3.75 0.11–2.79	- 1.478 0.543	0.412
CA199 (U/mL)	Mean±SD	75.3±150.1	60.5±182.6	0.998-1.003	1.000	0.706
CEA (ng/mL)	Mean±SD	18.5±38.7	17±41	0.99–1.01	1.001	0.868
Tumor location	Right/Left	14/12	55/33	0.59–3.46	1.429	0.429
Tumor size (cm)	Mean±SD	4.2±1.7	4.9±2.2	0.66–1.06	0.837	0.137
LAP and OPEN		11/15	45/43	0.29–1.7	0.701	0.430
Operative time (min)	Mean±SD	202.7±54.6	199.3±67.3	0.99–1.01	1.001	0.813
Blood loss (mL)	Mean±SD	181.5±135	2.6±87.	1.00–1.01	1.006	0.008*
Transfusion (%)	Yes	5(19.2)	5(5.7)	1.05-14.93	3.952	0.043*
Multivisceral resection (%)	Yes	l (3.8)	11(12.5)	0.03–2.28	0.280	0.234
Complications (%)	Yes	5(19.2)	13(14.8)	0.44-4.29	1.374	0.585
Number of LN resected	Median (range)	16.5(7–47)	18(6–53)	0.94–1.03	0.985	0.510
T stage	TI-T2 T3 (R) T4a+T4b	0 7(26.9) 19(73.1)	7(8.0) 47(53.4) 34(38.6)	- - 1.42–9.92	- - 3.752	0.02 <b>9</b> *
N stage	N0 (R) N1 N2	8(30.8) 4(15.4) 14(53.8)	49(55.7) 22(25.0) 17(19.3)	- 0.31–4.1 1.8–14.12	- 1.114 5.044	0.004*
TNM stage	   (R) 	0 7(26.9) 19(73.1)	7(7.9) 43(48.9) 38(43.2)	- - 1.16–8.1	- - 3.071	0.077
Adjuvant chemotherapy	Yes	20(76.9)	58(65.9)	0.63-4.75	1.724	0.292

diagnosis plays a critical role in the prevention and treatment of MAC.

The method of surgery did not affect the prognosis of MAC. Our previous study showed that laparoscopic and open surgery for MAC had similar prognoses.<sup>19</sup> There were many stage T4b tumors in this group, which led to more radical organ resection, including abdominal wall, small bowel urinary organs, gynecologic organs, and gall-bladder. The rate of postoperative complications (16.05%) in this group was lower compared with previous reports,<sup>31</sup> and most of them were mild complications according to the Clavien-Dindo scale. Studies have shown that

postoperative complications can affect the prognosis of CRC patients.<sup>32</sup> However, our results showed that post-operative complications did not influence the prognosis of MAC.

The clinicopathological significance of a mucinous CRC subtype is well appreciated but remains controversial. Some studies have shown that MA has a worse prognosis than non-mucinous adenocarcinoma,<sup>11,33,34</sup> whereas others have shown no prognostic difference compared to conventional CRC.<sup>35,36</sup> Although there was no direct comparison with non-mucinous adenocarcinoma in the present study, compared with previous published data,<sup>37</sup> the 5-year

Variable (Rectal MA)		Local Recurrence N=12	No Local Recurrence N=36	95% CI	OR	P-value
Age	Median (range)	55(43–78)	57(29–87)	0.95-1.05	0.996	0.882
Gender	Male/Female	6/6	17/19	0.3-4.13	1.118	0.868
Comorbidities (%)	Yes	5(41.7)	(30.6)	0.42-6.26	1.623	0.481
ASA score	I(R)	6(50.0)	19(52.8)	-	-	0.799
	П	6(50.0)	12(33.3)	0.41-6.06	1.583	
	Ш	0(0)	5(13.9)	-	-	
CA199 (U/mL)	Mean±SD	44.8±48.3	41.8±82.9	0.99–1.01	1.001	0.906
CEA (ng/mL)	Mean±SD	8.6±7.7	14.4±53.5	0.98-1.02	0.996	0.719
Tumor size (cm)	Mean±SD	3.8±1.6	3.3±1.6	0.79–1.78	1.184	0.417
LAP and OPEN		6/6	21/15	0.19–2.65	0.714	0.615
Operative time (min)	Mean±SD	238.2±118	236.6±95.5	0.99–1.01	1.000	0.962
Blood loss (mL)	Mean±SD	172.5±151.8	124.4±106.9	1.00-1.01	1.003	0.242
Transfusion (%)	Yes	5(41.7)	3(8.3)	1.51-40.81	7.857	0.014*
Multivisceral resection (%)	Yes	l (8.3)	l (2.8)	0.18–55.19	3.182	0.427
Complications (%)	Yes	2(16.7)	6(16.7)	0.17–5.77	1.0	1.0
Number of LN resected	Median (range)	13(5–30)	17.5(7-44)	0.85-1.03	0.935	0.172
T stage	T1-T2	0	6(16.7)	-	-	0.981
	T3 (R)	6(50.0)	14(38.9)	-	-	
	T4a+T4b	6(50.0)	16(44.4)	0.23–3.34	0.875	
N stage	N0 (R)	3(25.0)	16(44.0)	-	-	0.102
	NI	l (8.3)	9(25.0)	0.05-6.57	0.593	
	N2	8(66.7)	l I (30.6)	0.84–17.97	3.879	
TNM stage	I	0	4(11.1)	-	-	0.742
	II(R)	3(25.0)	12(33.3)	-	-	
	Ш	9(75.0)	20(69.0)	0.41–7.99	1.8	
Adjuvant chemotherapy	Yes	7(58.3)	28(77.8)	0.1–1.61	0.4	0.197

Table 5 Subgroup Analysis of the Risk Factors for Local Recurrence of Rectal MA

Note: \*P<0.05.

Abbreviations: ASA, American Society of Anesthesiology; CA199, carbohydrate antigen 199; CEA, carcinoembryonic antigen; OR, risk ratio; R, reference group; LAP, laparoscopic surgery group; OPEN, open surgery group; LN, lymph nodes.

OS rate of this group (67.3%) of mucinous adenocarcinoma was lower. We therefore believe that MAC has a worse prognosis.

The present study aimed to explore the predictors for local recurrence and distant metastasis of MAC after surgery. We found that the local recurrence of MAC mainly manifested as recurrent masses and peritoneal nodules. The independent risk factors for local metastasis were N2 stage and intraoperative transfusion. Patients with N2 stage in this group had higher pathological stages, which suggests that this high-recurrence group should receive extra attention in the process of treating MAC. The independent predictor for local recurrence of MAC was intraoperative transfusion, which has important clinical significance. We know that intraoperative blood transfusion is often caused by excessive intraoperative bleeding. So we think that the main reasons behind this may be as follows. First, more intraoperative bleeding and transfusion may be caused by the higher T stage and the associated clinical infiltrative growth, leading to difficulty in tumor resection and surgical separation of tumor. These difficulties may have resulted in residual viable tumor

Table 6 Univariate Analysis of the Risk Factors fo	or Local Distant Metastasis of MAC
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Variable (MAC)		Distant Metastasis N=33	No Distant Metastasis N=129	95% CI	OR	P-value
Age	Median (range)	61(24–82)	60(15–87)	0.98–1.04	1.01	0.452
Gender	Male/Female	23/10	63/66	1.06-5.46	2.41	0.035*
Comorbidities (%)	Yes	l 3(39.4)	48(37.2)	0.5–2.4	1.097	0.817
ASA score	I(R)	l 3(39.4)	60(46.5)	-	-	0.438
	Ш	17(51.2)	51(39.5)	0.68–3.47	1.538	
	III	3(9.1)	18(14.0)	0.2–3.0	0.769	
CA199 (U/mL)	Mean±SD	141.9±244.3	35.9±109.8	1.0-1.01	1.004	0.011*
CEA (ng/mL)	Mean±SD	33.9±62.2	11.5±34	1.0-1.02	1.010	0.020
Tumor location	Colonic/Rectal	22/11	92/37	0.55–2.82	1.243	0.602
Tumor size (cm)	Mean±SD	4.3±2.4	4.4±2	0.82-1.19	0.991	0.928
LAP and OPEN		17/16	67/62	0.41–1.87	0.871	0.723
Operative time (min)	Mean±SD	225.5±77.5	207.3±78.4	1.0-1.01	1.003	0.240
Blood loss (mL)	Mean±SD	171.2±117.5	120.4±103.8	1.00-1.01	1.004	0.022*
Transfusion (%)	Yes	6(18.2)	12(9.3)	0.75–6.29	2.167	0.155
Multivisceral resection (%)	Yes	3(9.1)	(8.5)	0.28-4.09	1.073	0.918
Complications (%)	Yes	5(15.2)	21(16.3)	0.32-2.65	0.918	0.875
Number of LN resected	Median (range)	19(7–45)	17(5–53)	0.96-1.04	1.001	0.973
T stage	T1-T2	0	13(10.1)	-	-	0.007*
	T3 (R)	8(24.2)	66(51.2)	-	-	
	T4a+T4b	25(75.8)	50(38.8)	1.72–9.91	4.125	
N stage	N0 (R)	10(30.3)	66(51.2)	-	-	0.018*
-	NI	6(18.2)	30(23.3)	0.44-3.97	1.32	
	N2	17(51.5)	33(25.6)	1.4-8.25	3.4	
TNM stage	I	0	(8.5)	-	-	0.126
	II(R)	9(27.3)	56(43.4)	-	-	
	Ш	24(72.7)	62(48.1)	1.03-5.62	2.409	
Adjuvant chemotherapy	Yes	23(69.7)	90(69.8)	0.43–2.29	0.997	0.994

cells. Second, studies have shown that MAC is associated with higher peritoneal metastasis and the cells of mucous tumors have a more aggressive nature.<sup>14</sup> Intraoperative blood loss may facilitate local transplantation of tumor cells. Third, more intraoperative transfusion may lead to slower perioperative recovery and poor immunity of patients, which may result in more postoperative complications that lead to a delay in the start of chemotherapy. Based on these factors that may lead to poor prognosis, we suggest the following guidelines. First, strictly follow the no-touch concept of surgical oncology and perform resection according to total mesorectal excision (TME) or

complete mesocolic excision (CME); this will ensure that the intraoperative operation is conducted carefully with clear surgical levels. Second, if patients with MAC experience excessive intraoperative bleeding, it can be flushed with distilled water in the abdominal cavity; intraperitoneal infusion chemotherapy and hyperthermic perfusion can also be considered. Third, if there is no neoadjuvant radiotherapy for rectal MA patients with excessive intraoperative bleeding, adjuvant radiotherapy can be used after surgery. Fourth, for these patients, adjuvant chemotherapy in combination with targeted drugs, or, if their MSIs are unstable, immunotherapy should be considered. Besides,

Variable (Colonic MA)		Distant Metastasis N=22	No Distant Metastasis N=92	95% CI	OR	P-value
Age	Median (range)	67(24–82)	60(15–85)	0.99–1.05	1.018	0.291
Gender	Male/Female	16/6	47/45	0.92–7.11	2.553	0.073
Comorbidities (%)	Yes	9(40.9)	36(39.1)	0.42–2.78	1.077	0.878
ASA score	I(R)	8(36.4)	40(43.6)	-	-	0.504
	"	12(54.5)	38(41.3)	0.58-4.29	1.579	
	III	2(9.1)	14(15.2)	0.14–3.77	0.714	
CA199 (U/mL)	Mean±SD	165.1±283.3	39.6±128.5	1.00–1.01	1.003	0.022*
CEA (ng/mL)	Mean±SD	46.5±73.2	10.3±22.9	1.01-1.03	1.017	0.004*
Tumor location	Right/Left	15/7	54/38	0.25-1.78	0.663	0.415
Tumor size (cm)	Mean±SD	4.8±2.5	4.7±2.1	0.81-1.25	1.009	0.932
LAP and OPEN		9/13	47/45	0.26-1.7	0.663	0.393
Operative time (min)	Mean±SD	208±67.0	198.2±64	1.00-1.01	1.002	0.522
Blood loss (mL)	Mean±SD	147.7±113.6	123.7±101.1	1.00-1.01	1.002	0.333
Transfusion (%)	Yes	3(13.6)	7(7.6)	0.45–8.1	1.917	0.376
Multivisceral resection (%)	Yes	2(10.5)	10(10.9)	0.17-4.04	0.820	0.807
Complications (%)	Yes	4(18.2)	14(15.2)	0.36-4.21	1.238	0.732
Number of LN resected	Median (range)	18.5(7–45)	17(6–53)	0.95-1.05	1.000	0.998
T stage	T1-T2	0	7(7.6)	-	-	0.022*
	T3 (R)	5(22.7)	49(53.3)	-	-	
	T4a+T4b	17(77.3)	36(39.1)	1.56-13.71	4.628	
N stage	N0 (R)	5(22.7)	52(56.5)	-	-	0.006*
	NI	5(22.7)	21(22.8)	0.65–9.45	2.476	
	N2	12(54.5)	19(20.7)	2.04–21.12	6.568	
TNM stage	I	0	7(7.6)	-	-	0.019*
	ll(R)	4(18.2)	46(50.0)	-	-	
	III	18(81.8)	39(42.4)	1.66–17.01	5.308	
Adjuvant chemotherapy	Yes	14(63.6)	64(69.6)	0.29–2.03	0.766	0.592

close postoperative follow-up should be emphasized for these patients.

In the group with distant metastasis, the most common type was liver metastasis, followed by lung metastasis. The independent predictors of distant metastasis of MAC were T4 stage and CA199. For the patients with T4 stage, the tumor may invade local blood vessels, causing tumor cells to enter the blood. We believe that the distant metastasis of MAC is closely related to high levels of tumor markers, which is a sign of tumor cells entering the blood before surgery. In addition, our study showed approximately 70% of patients did undergo adjuvant therapy but did not benefit from it, neither local or distant control. To address this, clinicians should consider using stronger or more specific adjuvant therapy based on the results of genetic testing, such as adding targeted drugs and immune drugs. Studies have shown that MAC has a higher probability of microsatellite instability (MSI-H). 22.64% of patients in our study group had tumors with high MSI-H (12/53, only 53 patients were tested for MSI status). Second, it warrants close observation of disease progress and levels of tumor markers after operation, and close follow-ups should be scheduled for all these patients.

Variable (Rectal MA)		Distant Metastasis N=11 No Distant Metastasis N=37		95% CI	OR	P-value
Age	Median (range)	56(42–76)	57(29–87)	0.95-1.05	0.994	0.804
Gender	Male/Female	7/4	16/21	0.57–9.22	2.297	0.241
Comorbidities (%)	Yes	4(36.4)	12(32.4)	0.29–4.87	1.19	0.808
ASA score	I(R)	5(45.5)	20(54.1)	-	-	0.826
	II	5(45.5)	13(35.1)	0.37–6.38	1.538	
		l (9.1)	4(10.8)	0.09–11.03	1.000	
CA199 (U/mL)	Mean±SD	95.5±137.8	26.8±31.9	1.00-1.03	1.013	0.050*
CEA (ng/mL)	Mean±SD	8.8±9.5	14.2±52.7	0.98–1.02	0.996	0.743
Tumor size (cm)	Mean±SD	3.4±2	3.5±1.5	0.65–1.53	0.994	0.977
LAP and OPEN		7/4	20/17	0.37–5.96	1.487	0.575
Operative time (min)	Mean±SD	260.5±88.3	230.0±103.7	1.00-1.01	1.003	0.382
Blood loss (mL)	Mean±SD	218.2±115.82	2.2±   .2	1.00-1.01	1.007	0.027*
Transfusion (%)	Yes	3(27.3)	5(13.5)	0.47-12.22	2.400	0.292
Multivisceral resection (%)	Yes	l (9.1)	I (2.7)	0.21–62.8	3.600	0.380
Complications (%)	Yes	l (9.1)	7(18.9)	0.05–3.92	0.429	0.453
Number of LN resected	Median (range)	19(9–24)	15(5-44)	0.93–1.1	1.007	0.861
T stage	T1-T2	0	6(16.2)	-	-	0.309
	T3 (R)	3(27.3)	17(45.9)	-	-	
	T4a+T4b	8(72.7)	l 4(37.8)	0.72–14.57	3.238	
N stage	N0 (R)	5(39.6)	14(37.8)	-	-	0.579
	NI	l (20.8)	9(24.3)	0.03-3.12	0.311	
	N2	5(39.6)	15(37.8)	0.24-4.24	1.000	
TNM stage	I	0	4(10.8)	-	-	0.660
-	ll(R)	5(45.5)	10(27.0)	-	-	
	III	6(54.5)	23(62.2)	0.13–2.12	0.522	
Adjuvant chemotherapy	Yes	9(81.8)	26(70.3)	0.35-10.28	1.904	0.454

Note: \*P<0.05.

Abbreviations: ASA, American Society of Anesthesiology; CA199, carbohydrate antigen 199; CEA, carcinoembryonic antigen; OR, risk ratio; R, reference group; LAP, laparoscopic surgery group; OPEN, open surgery group; LN, lymph nodes.

Our study showed that male sex was an independent risk factor for distant metastasis. This finding goes against the findings of another study<sup>31</sup> that reported female sex to

**Table 9** Multivariate Analysis of the Significant IndependentPredictors for Local Recurrence of MAC

Variable (MAC)	95% CI	OR	P -value
Blood loss (mL)	1.00-1.01	1.002	0.305
Transfusion	1.07-16.30	4.175	0.04*
N2 stage	2.13-13.13	5.291	0.000*

be an influential predictor of poor outcome of MAC. In our opinion, there are several reasons that male sex might be a risk factor: men are more likely to engage in unhealthy lifestyles such as consuming alcohol or smoking and also in aggressive strategies to cope with stress in our country. We found that male relapsed patients had a higher rate of smoking and drinking habits in the present study. It also suggests that tumor treatment should involve not only medical treatment, but also primary prevention (healthy diet, healthy lifestyle, etc.), implemented throughout the course of treatment for MAC. In a large multicenter study,

Variable (Colonic MA)	95% CI	OR	P-value
Blood loss (mL)	1.00-1.01	1.005	0.124
Transfusion	0.09–8.97	0.914	0.939
T4 stage	0.95–7.81	2.729	0.061
NI stage	0.26-4.12	1.033	0.963
N2 stage	1.15–11.27	3.592	0.028*

Table 10MultivariateAnalysis of the SignificantIndependentPredictors for Local Recurrence of Colonic MA

Table 11MultivariateAnalysis of the SignificantIndependentPredictors for Local Recurrence of Rectal MA

Variable (Rectal MA)	95% CI	OR	P -value
Transfusion	1.51-40.81	7.857	0.014*

Table 12MultivariateAnalysisoftheSignificantIndependentPredictors for DistantMetastasisofMAC

Variable (MAC)	95% CI	OR	P-value
Male sex	1.01–6.88	2.63	0.049*
CA199 (U/mL)	1.00-1.01	1.003	0.02*
CEA (ng/mL)	1.00-1.02	1.005	0.322
Blood loss (mL)	1.00-1.01	1.004	0.053
T4 stage	1.47-10.94	4.006*	0.007*
NI stage	0.22-3.19	0.832	0.789
N2 stage	0.79–5.73	2.13	0.134

**Notes:** \*P<0.05. The risk ratio (OR) of T4 stage is relative to T3 stage. The risk ratio (OR) of N1 or N2 stage is relative to N0 stage.

Abbreviations: CA199, carbohydrate antigen 199; CEA, carcinoembryonic antigen; OR, risk ratio; CI, confidence interval.

Table 13MultivariateAnalysisoftheSignificantIndependentPredictors for DistantMetastasisofColonicMA

Variable (Colonic MA)	95% CI	OR	P-value
CA199 (U/mL)	1.00-1.01	1.002	0.273
CEA (ng/mL)	1.00-1.03	1.013	0.113
T4 stage	1.04-12.66	3.627*	0.043*
N stage	-	-	0.41
TNM stage	-	-	0.999

Table 14MultivariateAnalysisoftheSignificantIndependentPredictors for DistantMetastasisofRectalMA

Variable (Rectal MA)	95% CI	OR	P -value
CA199 (U/mL)	1.00-1.03	1.011	0.11
Blood loss (mL)	1.00-1.01	1.006	0.091

\*P<0.05. The risk ratio (OR) of T4 stage is relative to T3 stage. The risk ratio (OR) of N1 or N2 stage is relative to N0 stage.

Abbreviations: CA199, carbohydrate antigen 199; CEA, carcinoembryonic antigen; OR, risk ratio; CI, confidence interval. patients with CRC who followed a healthy lifestyle were more likely to survive.<sup>38</sup> The review also suggests that we should also attach importance to the factors for primary prevention after treatment of CRC.<sup>39</sup>

This study has limitations. The limitations of the present study include the small patients size and its retrospective nature. Large-scale studies and multicenter studies are needed for more extensive analyses.

### Conclusions

In summary, the present study revealed significant predictors for local recurrence and distant metastasis in MAC. Unlike previous studies, we specifically analyzed the surgery-related risk factors for recurrence. Interestingly, the risk factors that predicted local recurrence and distant metastasis of MAC were available before adjuvant treatment. Our results can provide a basis for well-directed and timely follow-up treatment of MAC.

# **Abbreviations**

CRC, colorectal cancer; MA, mucinous adenocarcinoma; MAC, mucinous colorectal adenocarcinoma; DFS, disease-free survival; AJCC, American Joint Committee on Cancer; UICC, Union for International Cancer Control; NCCN, National Comprehensive Cancer Network; CME, complete mesocolic excision; CA199, carbohydrate antigen 199; CEA, carcinoembryonic antigen; MSI, microsatellite instability; MMR, mismatch repair; ASA, American Society of Anesthesiology; CA199, carbohydrate antigen 199; CEA, carcinoembryonic antigen; OR, risk ratio; LAP, laparoscopic surgery group; OPEN, open surgery group; LN, lymph nodes.

# **Ethics Approval**

The study was approved by the Ethics Committee of Guangzhou First People's Hospital. This study was conducted in accordance with the Declaration of Helsinki. Because of the retrospective nature of the study, the data were anonymous and the requirement for informed consent was waived.

# **Author Contributions**

All authors made substantial contributions to conception and design, acquisition of data, or analysis and interpretation of data; took part in drafting the article or revising it critically for important intellectual content; agreed to submit to the current journal; gave final approval of the version to be published; and agree to be accountable for all aspects of the work.

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# Disclosure

The authors report no conflicts of interest for this work.

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