




# Growth Inhibition of Gram-Positive and Gram-Negative Bacteria by Zinc Oxide Hedgehog Particles

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**Purpose:** Nanomaterials for antimicrobial applications have gained interest in recent years due to the increasing bacteria resistance to conventional antibiotics. Wound sterilization, water treatment and surface decontamination all avail from multifunctional materials that also possess excellent antibacterial properties, eg zinc oxide (ZnO). Here, we assess and compare the effects of synthesized hedgehog-like ZnO structures and commercial ZnO particles with and without mixing on the inactivation of bacteria on surfaces and in liquid environments.

**Methods:** Gram-positive (*Staphylococcus aureus*) and Gram-negative (*Escherichia coli*) bacteria in microbial culture medium were added to reverse spin bioreactors that contained different concentrations of each ZnO type to enable dynamic mixing of the bacteria-ZnO suspensions. Optical density of the bacteria-ZnO suspensions was measured in real-time and the number of viable bacteria after 24 h exposure was determined using standard microbiological techniques. The concentration of zinc ion generated from ZnO dissolution in different liquid types was estimated from the dynamic interaction exposure. Static antibacterial tests without agitation in liquid media and on agar surface were performed for comparison.

**Results:** A correlation between increasing ZnO particle concentration and reduction in viable bacteria was not monotonous. The lowest concentration tested (10 µg/mL) even stimulated bacteria growth. The hedgehog ZnO was significantly more antibacterial than commercial ZnO particles at higher concentrations (up to 1000 µg/mL tested), more against *E. coli* than *S. aureus*. Minimum inhibitory concentration in microwell plates was correlated with those results. No inhibition was detected for any ZnO type deposited on agar surface. Zinc ion release was greatly suppressed in cultivation media. Scanning electron microscopy images revealed that ZnO needles can pierce membrane of bacteria whereas the commercial ZnO nanoparticles rather agglomerate on the cell surface.

**Conclusion:** The inhibition effects are thus mainly controlled by the interaction dynamics between bacteria and ZnO, where mixing greatly enhances antibacterial efficacy of all ZnO particles. The efficacy is modulated also by ZnO particle shapes, where hedgehog ZnO has superior effect, in particular at lower concentrations. However, at too low concentrations, ZnO can stimulate bacteria growth and must be thus used with caution.

**Keywords:** antibacterial, biotechnology, nanomaterials, zinc oxide

## Introduction

Zinc oxide (ZnO) nanoparticles (NP) have shown promise at inactivating bacteria at a time when the number of new antibiotics in development is decreasing and more worryingly there is increasing prevalence of bacteria that are resistant to the antibiotics currently used.<sup>1</sup> Based on their ability to inactivate bacteria, ZnO NP

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have already been successfully incorporated into a number of biomedical applications, such as in water treatment<sup>2</sup> and wound sterilization.<sup>3,4</sup> ZnO NP mechanism of bacteria inactivation is thought to be an interplay between a number of processes: physical interaction and/or electrostatic attraction of the nanoparticle with the bacteria surface resulting in irreparable damage,<sup>5</sup> generation of reactive oxygen species (ROS) from the nanoparticle surface interaction with surrounding liquid water due to electron-hole pair formation upon ultraviolet (UV) photon absorption,<sup>6</sup> and partial dissolution of the nanoparticles in solution releasing zinc ions ( $\text{Zn}^{2+}$ ) that diffuse across the cell membrane in high concentrations to disrupt normal cell function and cause damage to intracellular biomolecules.<sup>7</sup> Each individual process alone has the potential to inactivate bacteria under certain conditions, but often they work in synergy. It is this cumulative effect that is expected to ultimately result in bacteria inactivation. The influence that each process has on the antibacterial mechanism is dependent on the physicochemical properties of the nanoparticles, which can differ greatly depending on the synthesis technique. Multiple characterizations and analyses are often used in attempts to elucidate these properties and understand how exactly they govern the mode of interaction with bacteria.

Small scale synthesis of ZnO NP with unique physicochemical properties has been reported using a range of different techniques such as microwave heating technology,<sup>8</sup> atmospheric pressure plasma<sup>9</sup> and wet chemistry-based methods in bulk liquid like hydrothermal growth method.<sup>10</sup> Nanoparticles synthesized by wet chemical techniques can produce a wide variety of novel morphologies due to the higher influence on chemical reactions in the synthesis procedure and these morphologically complex structures can enhance photocatalytic and antimicrobial properties.<sup>11</sup> Morphological dependency of photocatalytic activity was reported for ZnO NP synthesized via hydrothermal growth method by adjusting different ratios of zinc salt, sodium hydroxide (NaOH) and capping agents or chemicals to achieve the different nanostructures.<sup>12</sup> Flower-like ZnO NP under UV irradiation had the highest reaction rate and degraded organic dye methylene blue more rapidly than needle or sword-shaped structures. It was attributed to more irregularly scattered surface zinc sites which enhanced the reactivity. Tetra needle-like ZnO structures were found as the most efficient morphology at degrading sulfamethazine under UV illumination compared to flower-like or spherical

nanoparticles.<sup>13</sup> In another study, also with additional UV irradiation, ZnO nanostructures in hexagonal disc shapes were found to have greater photocatalytic effect than rod-shaped crystals, whereas particle size was less of an influence.<sup>14</sup>

Antibacterial effect of ZnO is influenced not only by the particle morphology but also by the surface topology and it does not require additional UV illumination. ZnO nanorods were shown to be more bactericidal than spherical nanoparticles, with many bacteria impaled by the rods when there was dynamic mixing of the bacteria nanoparticle suspension, yet there was no antibacterial effect when the interaction was static.<sup>15</sup> The same sharp nanorods with tip diameters of less than 10 nm exerted considerable pressure (up to 10 MPa) on the outer cell wall of bacteria when continuously mixed in solution.<sup>16</sup> Elsewhere, ZnO films composed of nanoscopic needles exhibited greater potential to inhibit bacteria biofilm formation compared to micron-length flakes,<sup>17</sup> and the surface broadness and protrusion of petals in flower-like formations were considered responsible for the differences observed in the antibacterial effect of ZnO structures.<sup>18</sup>

ZnO nanoparticles composed of many individual nano-sized needle clusters have been synthesized via the hydrothermal growth technique that have a typical crystal wurtzite structure and high purity.<sup>10</sup> Particle diameter was estimated from electron micrograph images to be approximately 10  $\mu\text{m}$  and the needle-like structures appeared to grow outward from a central nucleation point. Analysis of X-ray photoelectron spectroscopy (XPS) data revealed typical Zn 2p peaks and the observed full width half maximum of these peaks indicated the presence of  $\text{Zn}^{2+}$ . It has been reported that ZnO NP with smaller diameters were more bactericidal than larger particles. The interpretation was that smaller particles transfect through the cell membrane and become internalized more easily than larger particles, as well as that the higher surface area-to-volume ratio could generate more reactive oxygen species.<sup>3</sup> Based on these prior findings, one can assume that particle shape and size may have a certain positive influence on the antibacterial effect of ZnO, however, this effect is not completely understood due to the complexity of such studies and variety of ZnO materials employed. Our prior studies have hinted at particle shape and size dependency on the antibacterial effect of ZnO in microbial growth broth with standard unidirectional orbital rotation shaker<sup>19</sup> and a concentration dependency for nanosized ZnO in water with bidirectional reverse spin rotation mixing.<sup>20</sup> In this study, we thus aim to elucidate the influence of ZnO particle

shape, size and concentration on the ability to inhibit growth of bacteria in a range of different environments using synthesized needle-like ZnO structures and commercial ZnO particles, without using illumination. We assess the antibacterial efficacy of those ZnO materials by varying also the ZnO concentration by characterization under both static and dynamic interaction regimes.

## Materials and Methods

In this section, we describe in detail the different types of ZnO particles used in this study and the preparation of stock solutions. We also provide information on the bacteria strains used to assess the antibacterial effect of the ZnO particles and outline the different techniques that were used.

### Zinc Oxide Preparation

Zinc oxide particles with needle-like cluster formations, further referred to as hedgehog ZnO (HH), were synthesized by the hydrothermal growth technique according to the published protocol including extensive post-synthesis characterization.<sup>10</sup> Briefly, equimolar aqueous solutions of 25 mM zinc nitrate hexahydrate ( $\text{Zn}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$ ) and hexamethylenetetramine (HMTA) were maintained at 90 °C for 3 h. Precursor salt residue was removed from the sample by washing 3-times with deionized water followed by centrifugation at 18,000 rpm (RCF: 23542g) for 20 min. Finally, the supernatant was removed, and the remaining material was dried by lyophilization and ready for use in the antibacterial tests. Two commercially available ZnO particles, 50 nm nanospheres (NS, Sigma Aldrich, Germany) and 10 µm micro-grains (MG, US Research Nanomaterials Inc., Houston, U.S.A.), were also tested for comparison. Stock solutions of 2 mg/mL and further dilutions thereof were made using deionized water ( $\text{dH}_2\text{O}$ , conductivity <0.5 µS/cm, Resta, Czech Republic).

### Bacteria Cultures

Two bacteria strains of differing Gram stain properties (Gram-negative *Escherichia coli* (*E. coli*) CCM 3954 and Gram-positive *Staphylococcus aureus* (*S. aureus*) CCM 3953) were used to assess the efficacy of antibacterial treatment using the different types of ZnO. Stock cultures were kept at -20 °C and a fresh culture was prepared the day before each new experiment. 1 mL of the stock culture was added to 1 Mueller Hinton (MH, Oxoid, Brno, Czech Republic) agar plate and incubated at 37 °C

overnight. The following day, the growth on the agar surface was removed using sterile loop and added to 5 mL MH broth (Oxoid, Brno, Czech Republic) and diluted 1:1000 in MH broth to achieve a McFarland's density of 0.5 (Den 1B Densitometer, BioSan, Riga, Latvia). This value is equivalent to approximately  $1 \times 10^8$  colony forming units per millilitre (cfu/mL).

## Antibacterial Tests

### Dynamic Interaction in Liquid

Dynamic interaction of the ZnO particles and bacteria in liquid suspensions was characterized by optical density (OD) measurements (850 nm) in 50 mL Falcon tubes using bioreactors (RTS-1, BioSan, Riga, Latvia) with a reverse spin technology (2000 rpm/s) to ensure constant mixing and maximum sample aeration during ZnO exposure. Each experimental batch consisted of freshly prepared bacteria in culture medium (approximately  $1 \times 10^8$  cfu/mL in MH broth) divided into 4 samples of equal volumes: 3 different concentrations of one ZnO type and 1 sample without ZnO to act as a control (reference). The OD of the incubated (37 °C suspension) was measured every 15 min for 24 h to obtain growth curves (ie the change in optical density over time). Peak growth rate, the time at which it was achieved, and the average growth rate over the first 12 h were derived from the OD measurements. The number of viable bacteria cells after 24 h was measured from the same suspensions by extracting 1 mL and performing a 1:10 dilution series using 9 mL 0.9 % sodium chloride solution (NaCl, Penta Chemicals Unlimited, Prague, Czech Republic) and adding 1 mL to MH agar plates in duplicates. The inoculum dried at room temperature, then the plates were placed in an incubator (37 °C and the number of colonies on the agar surface was digitally recorded after 24 h using an automatic colony counter (Sphereflash, IUL Instruments, Barcelona, Spain). Viable bacteria concentration was calculated by multiplication of the number of colonies detected on the agar surface by the dilution factor (ie the sample from the 1:10 dilution series that was used to inoculate the agar plate), and dividing by the area of the agar plate that was analyzed.

### Static Interaction in Liquid and on Surfaces

For characterizing the bacteria-ZnO interaction under static conditions, minimum inhibitory concentration (MIC) of the different ZnO types was determined using a standardized broth dilution protocol in microwell

plates.<sup>21</sup> Briefly, 50  $\mu\text{L}$  of  $1 \times 10^6$  cfu/mL bacteria in MH broth was added to the same volume of different concentrations of ZnO particles so that the final bacteria concentration in the well at the start of the experiment was  $5 \times 10^5$  cfu/mL. The microwell plates were then placed in a thermostat set at 37 °C in the dark without shaking to encourage bacteria growth, and MIC was visually determined after 24 h from wells that did not contain typical bacteria growth. At ZnO concentrations greater than 512  $\mu\text{g/mL}$  some sedimentation was observed (ZnO is insoluble in water under normal physiological conditions, ie pH 7.4, 37 °C) but it did not resemble typical bacteria growth. This is one advantage of the technique being read manually since automated systems that monitor the optical density in the well might incorrectly register ZnO sedimentation as growth due to detection of a change in the OD signal.

Static interaction was characterized also on surfaces: 1 mL of the three different types of ZnO particles in  $\text{dH}_2\text{O}$  were spread on MH agar surfaces in Petri dishes and allowed to dry at room temperature in a laminar flow box before bacteria inoculation. The Petri dishes were 60 mm in diameter having an agar surface area of 28  $\text{cm}^2$ . Three ZnO concentrations were tested (10, 100 and 1000  $\mu\text{g/mL}$ ) that correspond to an average ZnO concentration of 0.35, 3.5 and 35  $\mu\text{g/cm}^2$  (weight divided by area). The agar plates were then placed in an incubator (37 °C for 18–24 h), and the number of colonies was counted using the automatic colony counter and compared to the number of colonies on pristine agar plates without ZnO.

## Material Characterization

### Scanning Electron Microscopy

The morphology of all ZnO materials employed in this study as well as their interaction with bacteria, was investigated by field emission scanning electron microscopy (FE-SEM, Mira 3, Tescan, Brno, Czech Republic) using an electron beam energy of 15 keV. The top-view images were obtained by an in-beam detector in the secondary electrons mode at a working distance of approximately 2 mm. The 1000  $\mu\text{g/mL}$  bacteria-particle suspension used for the OD measurement and cell viability analysis after 24 h exposure was also used here for imaging. 1 mL was extracted, added to 9 mL  $\text{dH}_2\text{O}$  and further diluted 1:10 in  $\text{dH}_2\text{O}$ . 10  $\mu\text{L}$  of this diluted solution was drop casted onto a silicon wafer and allowed to dry in air before the SEM measurements. Energy-dispersive X-ray (EDX)

spectroscopy was employed to analyze the elemental composition of the different types of ZnO.

### Zinc Ion Measurement

The concentration of zinc ions released from ZnO particles in liquid was measured using a standardized Amplitude™ Colorimetric zinc ion quantitation assay according to the manufacturer's instructions (AAT Bioquest, California, U.S.A.). Briefly, the proprietary compound reacts with zinc ions to generate a reaction product (1:1) which has a strong absorbance signal and quantification of the reaction product correlates with zinc ion concentration in the sample. 50  $\mu\text{L}$  of 1000  $\mu\text{g/mL}$  ZnO in  $\text{dH}_2\text{O}$  without bacteria or assay standards were mixed with the same volume of reactant and were incubated at room temperature for 10 min in the dark before the absorbance was measured using UV-vis spectroscopy.

## Statistical Analysis

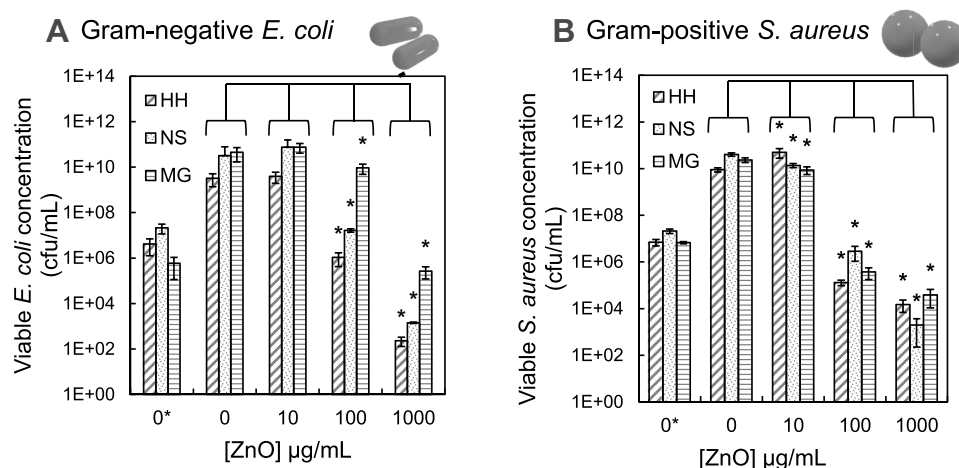
One-way analysis of variance (ANOVA) was used to test the significance among differences in the viable bacteria concentrations after 24 h exposure to different concentrations and types of ZnO relative to bacteria grown without ZnO ( $\alpha=0.05$ ). Differences in bacteria concentrations between the same concentrations of the ZnO types were also subjected to ANOVA and post hoc two-tailed *t*-test to assess the significance of the differences between groups. Two-tailed *t*-tests between pairs of ZnO types were also carried out for zinc ion concentration data as well as the bacteria on agar data.

## Results

### Reduction in Viable Cell Concentration

The numbers of viable bacteria after 24 h exposure to 3 different concentrations of each type of ZnO using the reverse spin bioreactors are shown in Figure 1. The antibacterial effect of the different ZnO types varied according to concentration, morphology and, to a lesser degree, bacteria cell type. In the absence of ZnO, viable bacteria concentration increased between 3–5 orders of magnitude from the initial concentration. Similar concentrations of both bacterial species were reached after exposure to all 3 types of ZnO at the concentration of 10  $\mu\text{g/mL}$ . We noticed a statistically significant increase in viable Gram-positive *S. aureus* concentration after exposure to 10  $\mu\text{g/mL}$  hedgehog (HH) ZnO of  $5 \times 10^{10}$  cfu/mL compared to the control ( $9 \times 10^9$  cfu/mL), whereas a slight decrease was recorded for both nanosphere (NS,  $1 \times 10^{10}$  from control





**Figure 1** Viable cell concentration of *E. coli* (A) and *S. aureus* (B) after 24 h exposure to different concentrations of 3 ZnO types: HH (hedgehog), NS (nanospheres) and MG (micrograins). "0\*" is the viable cell concentration at the start of the experiment and '0' is after 24 hours growth without ZnO. ANOVA analysis between 0 and all ZnO concentrations (\*= $P \leq 0.05$ ).

4 × 10<sup>10</sup> cfu/mL) and micrograin (MG, 8 × 10<sup>9</sup> cfu/mL from control 2 × 10<sup>10</sup> cfu/mL) ZnO.

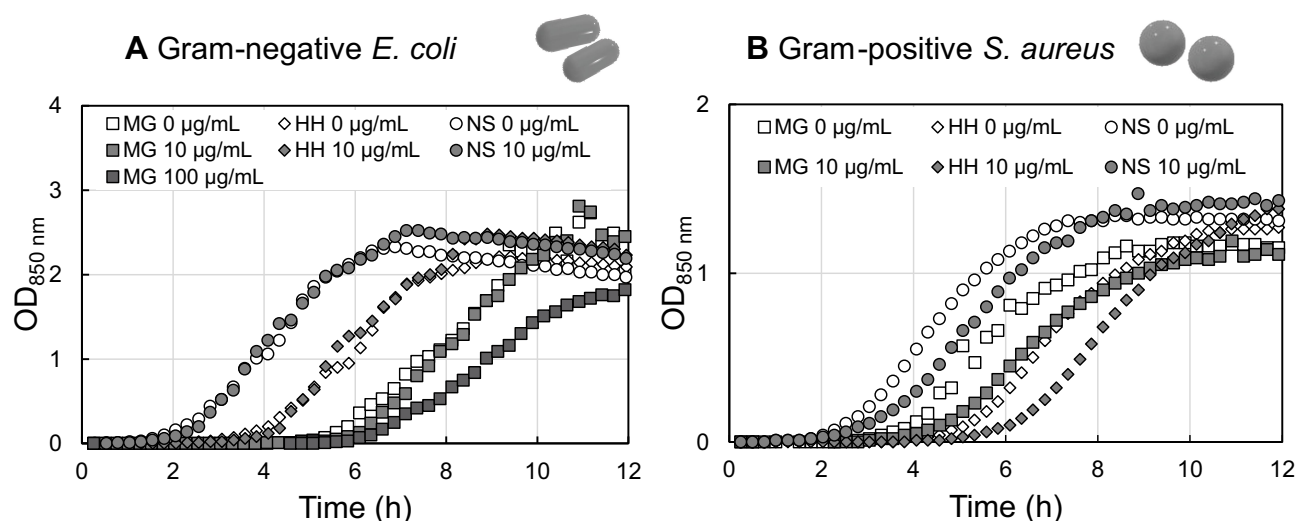
At larger concentrations of all the ZnO materials (100 µg/mL and 1000 µg/mL), the number of viable cells for both bacteria species reduced in a concentration-dependent manner. In Figure 1, one can see that exposure to the highest concentration of hedgehog ZnO (1000 µg/mL) resulted in a significant 7 orders of magnitude decrease in Gram-negative *E. coli* concentration relative to the control sample (0), from 3 × 10<sup>9</sup> cfu/mL to 2 × 10<sup>2</sup> cfu/mL. There was also a reduction of 4 orders relative to the initial cell concentration (0\*) of 4 × 10<sup>6</sup> cfu/mL. Both commercial ZnO particles were less effective at inhibiting *E. coli* growth at all the concentrations tested, with NS performing better than MG for all concentrations. ANOVA analysis of the *E. coli* data revealed that the differences relative to the control (0) were not significant for all types of ZnO at 10 µg/mL, whereas the differences were significant for greater concentrations (100 µg/mL and 1000 µg/mL). The situation for *S. aureus* was different and more complex. The largest reduction in viable bacteria relative to the control sample (0) was approx. 7 orders of magnitude using 1000 µg/mL nanospheres (2 × 10<sup>3</sup> cfu/mL), followed by 6 orders reduction for the hedgehog ZnO (1.5 × 10<sup>4</sup> cfu/mL) then approx. 5.5 orders reduction for the ZnO micrograins (4 × 10<sup>4</sup> cfu/mL). However, at 100 µg/mL the nanospheres were the least effective at inhibiting *S. aureus* growth achieving approx. 3 orders of magnitude reduction (3 × 10<sup>6</sup> cfu/mL) compared to 5.5 orders obtained using the HH ZnO (1 × 10<sup>5</sup> cfu/mL). Overall, the ANOVA analysis revealed statistically significant reduction in the number of

viable *E. coli* as well as *S. aureus* for all types of ZnO at 100 µg/mL and 1000 µg/mL relative to the control (0 µg/mL). Comparison between the various types of ZnO revealed that the differences between the synthesized hedgehog ZnO and both commercial particles were significant. The synthesized HH ZnO had a greater antibacterial effect than the commercial particles for Gram-negative *E. coli*. For Gram-positive *S. aureus*, the differences between the HH ZnO and commercial particles were not significant, and the antibacterial effect was comparable.

## Growth Kinetics

To complement the above results on bacteria viability we also studied growth kinetics of bacteria exposed to ZnO. The optical density (OD) of the incubated bacteria-particle suspensions was monitored in real time over the 24 h period using the reverse spin bioreactors. It's important to note here that only samples where there was an increase in the number of viable bacteria from the initial cell concentration (0\*) in Figure 1 would produce a measurable increase in OD, ie growth. Figure 2 shows the combined data from all OD measurements for both bacteria species and ZnO types, where graphs from individual experiments can be viewed in the [Supplementary Information \(Supplementary Figure S1\)](#).

Typical bacteria growth curves have three main phases: lag, exponential and stationary. Lag phase is the initial period before an increase in optical density is detected, and the bacteria are adapting to the new environment. The duration of the lag phase can vary depending on the initial



**Figure 2** Graphs showing real-time optical density measurements at 850 nm of the first 12 h of growth for (A) *E. coli* and (B) *S. aureus* exposed to different concentrations of the 3 ZnO types. 0 µg/mL for all ZnO types is water only.

cell concentration and the degree of damage to bacteria. When bacteria begin to divide, and the number of cells increase, this results in a concurrent increase in the OD of the suspension. Under ample nutrient supply and optimal growth temperature, bacteria are doubling every 30–40 minutes, hence the term “exponential” growth phase. After several hours, nutrients become depleted, and there is no further increase in the OD which is indicative of the final, stationary phase of the growth curve.

Figure 2A shows differences in the duration of the lag phase in the control samples (0 µg/mL) that cannot be due to the presence of ZnO, and therefore not due to any ZnO-induced damage of Gram-negative *E. coli*. The NS ZnO had the shortest lag phase duration, followed by HH ZnO, and then MG ZnO. This can be explained by differences in the initial cell concentrations of each experiment (Figure 1, 0\*), where NS had the somewhat bigger number of cells, followed by HH and MG had the lowest initial cell concentration. The larger the initial cell concentration, the shorter the lag phase duration. Therefore, it is more appropriate to compare the data from the control (0 µg/mL) and bacteria exposed to 10 µg/mL ZnO from each experiment separately rather than between ZnO types. There was less difference in the initial bacteria concentration for the experiments involving *S. aureus* (Figure 1B), which resulted in similar lag phase durations in Figure 2B. One can see there is little difference in the growth kinetic profiles of bacteria exposed to 10 µg/mL ZnO compared to unexposed bacteria (ie water only, 0 µg/mL). This

complements the viable bacteria concentration data shown in Figure 1, where the number of bacteria exposed to 10 µg/mL ZnO was similar to the control (0). For ZnO concentrations greater than 10 µg/mL, only MG at 100 µg/mL generated an increase in OD for *E. coli* and there was no increase in OD detected using 1000 µg/mL for any ZnO type (Supplementary Figure S1).

Table 1 summarizes the parameters evaluated from the growth curves. The growth rate was calculated from the difference in OD divided by the difference in time ( $\Delta OD / \Delta t$ ), and the peak growth rate was the largest value in that data set. The time to reach the peak growth rate as well as the average growth rate over the first 12 hours were evaluated from the OD measurements as well.

One can see that there was very little difference in average growth rate within the first 12 h when bacteria cells were exposed to all ZnO types at the lowest concentration tested (10 µg/mL) compared to unexposed *E. coli* and *S. aureus* bacteria (0). This can be clearly seen from the OD measurements in Figure 2, where there is considerable overlap in growth profiles of bacteria exposed to 10 µg/mL ZnO and unexposed bacteria from the same experiment. The small difference in the average growth rates of exposed and unexposed bacteria could possibly account for the differences in bacteria concentration seen in Figure 1. *E. coli* concentration after 24 h growth exposed to 10 µg/mL NS was greater than *E. coli* concentration not exposed, and from Table 1 we can see that there was a higher average growth rate

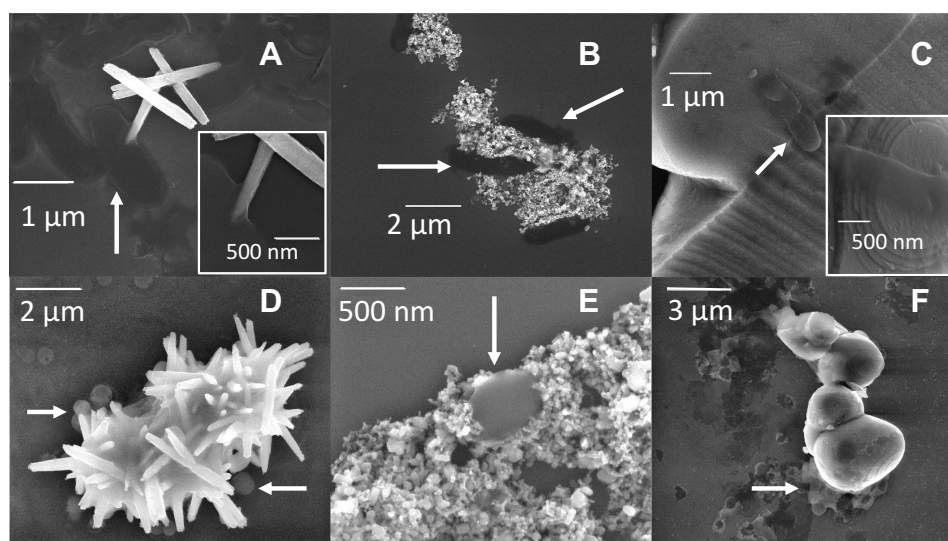
**Table 1** Table Summarizes the Parameters Evaluated from the Growth Curves. The Growth Rate Was Calculated from the Difference in OD Divided by the Difference in Time ( $\Delta OD/\Delta t$ ), and the Peak Growth Rate Was the Largest Value in That Data Set. The Time Taken to Reach the Peak Growth Rate, as Well as the Average Growth Rate Over the First 12 Hours Were Taken from the OD Measurements

<i>E. coli</i> + ZnO ( $\mu\text{g/mL}$ )	Peak Growth Rate ( $\text{h}^{-1}$ )	Time to Peak Growth Rate (h)	Average Growth Rate (12h)	<i>S. aureus</i> + ZnO ( $\mu\text{g/mL}$ )	Peak Growth Rate ( $\text{h}^{-1}$ )	Time to Peak Growth Rate (h)	Average Growth Rate (12h)
HH (0)	0.66	6.62	0.19	HH (0)	0.30	7.10	0.10
HH (10)	0.65	5.61	0.20	HH (10)	0.30	8.11	0.11
HH (100)	0	0	0	HH (100)	0	0	0
HH (1000)	0	0	0	HH (1000)	0	0	0
NS (0)	0.68	4.84	0.17	NS (0)	0.32	4.82	0.11
NS (10)	0.63	4.33	0.19	NS (10)	0.31	5.83	0.12
NS (100)	0	0	0	NS (100)	0	0	0
NS (1000)	0	0	0	NS (1000)	0	0	0
MG (0)	0.57	9.13	0.20	MG (0)	0.24	6.85	0.10
MG (10)	0.58	8.63	0.21	MG (10)	0.25	7.10	0.10
MG (100)	0.43	9.83	0.14	MG (100)	0	0	0
MG (1000)	0	0	0	MG (1000)	0	0	0

over the first 12 h. The same trend applies to *S. aureus* exposed to 10  $\mu\text{g/mL}$  HH; however, it does not apply to all conditions. For example, there was a decrease in *S. aureus* concentration after exposure to 10  $\mu\text{g/mL}$  NS but a greater average growth rate was recorded. Only MG at 100  $\mu\text{g/mL}$  failed to inhibit the growth of *E. coli* but a lower average growth rate and peak growth rate compared to unexposed bacteria was observed. The peak growth rate for *E. coli* in MH broth was more than double that of *S. aureus*, which can be seen in Figure 2 where OD for *E. coli* was approximately double that of *S. aureus* irrespective of the presence of ZnO. For both bacteria, it can be seen from Table 1 that exposure to NS (10  $\mu\text{g/mL}$ ) resulted in the quickest time to peak growth rate of all ZnO types (*S. aureus* = 5.83 and *E. coli* = 4.33), and in the case of *E. coli* this was faster than that of unexposed bacteria. This effect was also seen for *E. coli* exposed to 10  $\mu\text{g/mL}$  HH, and both NS and HH 10  $\mu\text{g/mL}$  samples had a higher average growth rate compared to the unexposed (control) bacteria. Interestingly, the lowest peak growth rate for both bacteria strains was recorded using MG ZnO yet this did not affect the number of bacteria after 24 h (Figure 1).

## Imaging Bacteria-ZnO interaction

Scanning electron microscopy was used to visualize the interaction between ZnO and bacteria after dynamic exposure to ZnO using the bioreactors. The images can be seen in Figure 3. SEM revealed bacteria as dark shapes in the images alongside the brighter ZnO particles. The presence of bacteria was confirmed by recognizing the typical shape of the bacteria strains (ie rod shape for *E. coli* and spherical for *S. aureus*). Figure 3A clearly shows the tip of a needle from HH ZnO pierced the outer cell structure of an *E. coli* bacterium, which would result in irreparable membrane damage and cell death. In comparison, nanospheres were found as large agglomerates that encapsulated *E. coli* (Figure 3B). In contrast, larger ZnO micrograins were found to have *E. coli* attached on the surface (Figure 3C). Figure 3E reveals a single *S. aureus* bacterium surrounded by NS and the primary particles agglomerated. We also observed a coating which appeared to cover HH ZnO needles as can be seen in Figure 3D. The microbial broth used in this study contains proteins which may absorb onto the surface and promote particle agglomeration, and we can see more than one HH particle on top



**Figure 3** Scanning electron microscopy images showing *E. coli* (A–C) and *S. aureus* (D–F) after exposure to 3 ZnO types: hedgehog (HH, (A) and (D)), nanospheres (NS, (B) and (E)) and micrograins (MG, (C) and (F)). All samples were taken directly from MH broth after 24 h exposure to ZnO (1000 μg/mL), diluted in dH<sub>2</sub>O 1:100 before drop casting onto silicon substrate for analysis. Inset image in (A) is an enhancement of an area in the same image, whereas the insert image in (C) is taken from a different image to the larger image. Arrows point towards typical-shaped bacteria.

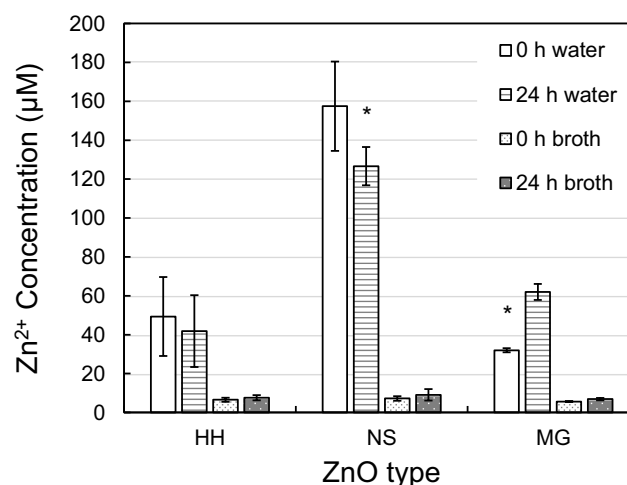
of *S. aureus* cells. These images show that ZnO of different shapes and sizes have different mechanisms of interaction with bacteria cells. For reference, SEM image of bare HH ZnO without bacteria can be seen in [Supplementary Information \(Supplementary Figure S3\)](#).

EDX coupled to SEM was used to probe the elemental composition of the ZnO materials. All three ZnO materials had typical peaks for zinc and oxygen from the material and silicon from the substrate ([Supplementary Figure S2](#)). There were also carbon and nitrogen peaks in all samples that originated from the bacteria.

## Measurement of Zinc Ion Release

It is known that ZnO NPs undergo partial dissolution when in liquid, releasing Zn<sup>2+</sup> into solution that could influence bacteria viability. Therefore, we measured Zn<sup>2+</sup> concentration using the same reverse spin bioreactor apparatus that was used for the bacteria experiments. The zinc ion release data were obtained in the absence of bacteria. [Figure 4](#) shows the Zn<sup>2+</sup> concentration measured in 2 different liquids (water and MH broth) immediately and after 24 hours mixing (200 rpm @ RT) for all 3 types of ZnO.

The concentration of Zn<sup>2+</sup> measured in water was significantly greater than in the bacteria culture medium (broth) for all the ZnO types and at both timepoints ([Figure 4](#)). In water, the difference in Zn<sup>2+</sup> concentration after 24 h exposure compared to immediate sample removal (0 h) was not significant for HH ZnO, unlike for



**Figure 4** Zinc ion concentration measured from three different ZnO types in water and Mueller Hinton broth immediately (0 h) and after 24 h mixing. Data show an average of 6 measurements. Error bars correspond to the standard deviation of the mean values. ANOVA analysis between 0 and 24 h is indicated (\* =  $P < 0.05$ ).

NS where a significant decrease was observed and MG produced a significant increase. The largest concentration was recorded from NS in water, and the concentration was higher at the start (155 μM) compared to after 24 hours (125 μM). A similar trend was observed for HH in water with 9 μM reduction after 24 h, but the opposite occurred for MG where the Zn<sup>2+</sup> concentration almost doubled from 32 μM at the start to 61 μM after 24 h. Zn<sup>2+</sup> concentration measured in broth at both time points for all 3 ZnO types were not statistically significantly different. The largest Zn



ion concentration measured in broth was 8.5  $\mu\text{M}$  for nano ZnO after 24 h and the lowest was 5.5  $\mu\text{M}$  for micron ZnO at the start of the experiment.

## Static Interaction of ZnO and Bacteria

The results thus far have shown that exposure to all types of ZnO influences viable cell concentration and growth kinetics when the bacteria-particle suspension is continually mixed using reverse spin bioreactor apparatus, ie there is a dynamic interaction between bacteria and ZnO. For comparison, we investigated the antibacterial effect of the same ZnO particles without mixing in liquid and on a surface ie under static interaction. For liquid environment, minimum inhibitory concentrations (MIC) for all ZnO types using *E. coli* and *S. aureus* were estimated following a standardized protocol that is defined as “the lowest concentration of antimicrobial agent that inhibits visible growth of a microorganism”.<sup>21</sup>

Figure 5 shows a composite image of microwell plates from the MIC tests. In the microwells containing low concentrations of ZnO, typical bacteria growth was observed as small circular dots at the center of the microwells. As ZnO concentration increased, the dots disappeared, meaning that

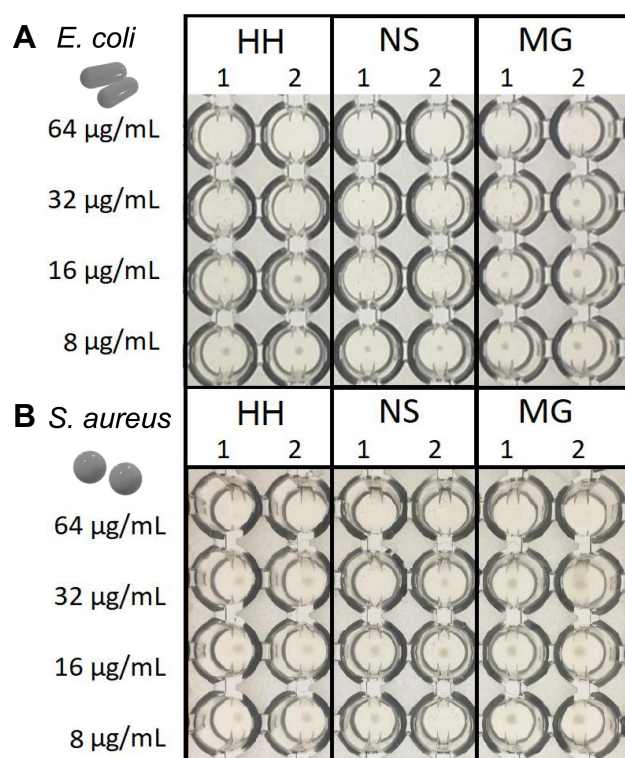
the bacteria growth was inhibited. NS ZnO inhibited *E. coli* growth at 16  $\mu\text{g/mL}$ , whereas HH ZnO and MG ZnO needed a larger concentration to achieve similar inhibition (32  $\mu\text{g/mL}$ ). Even larger concentration was required to inhibit Gram-positive *S. aureus* (64  $\mu\text{g/mL}$ ) for all ZnO particle types.

In addition to the MIC test, we deposited the 3 types of ZnO particles from the colloidal solutions in  $\text{dH}_2\text{O}$  onto a solid growth media (MH agar Petri dishes) before the addition of bacteria to test the antibacterial effect of ZnO on a surface. Figure 6 shows the number of viable bacteria present after 24 h exposure to ZnO. Statistical analysis between ZnO types was not possible due to a significant difference in the initial cell concentrations of each of the individual experiments ( $p>0.05$ ). Nevertheless, there was no significant differences observed in bacteria cell numbers exposed to all concentrations of ZnO on agar, even for the highest concentration tested (1 mg/mL). The same concentration of ZnO did reduce viable cell concentration in the reverse spin bioreactors (see Figure 1).

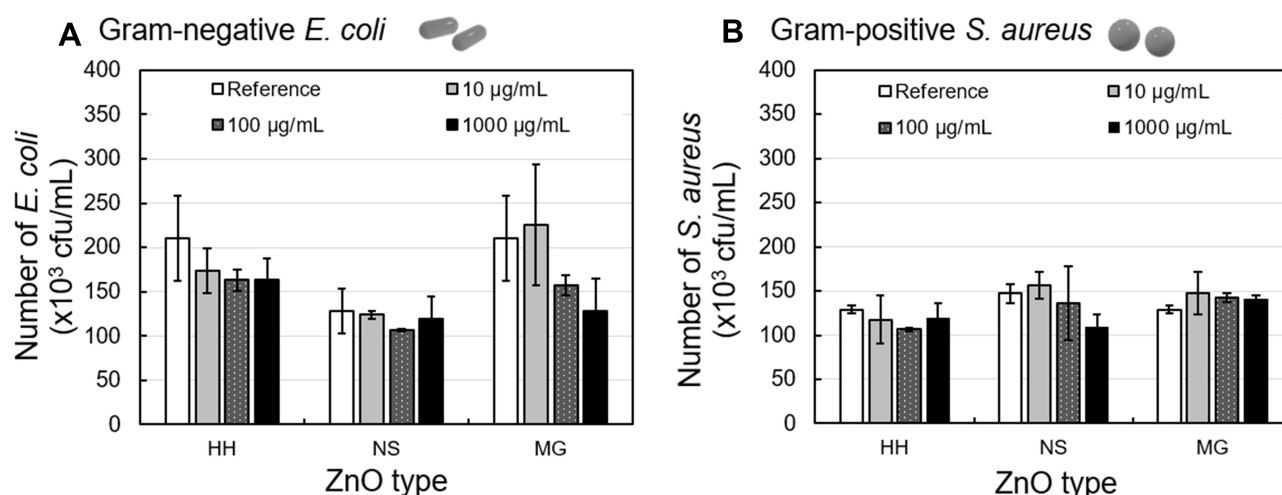
## Discussion

There are several extensive review articles that provide an overview of the possible mechanisms involved in antibacterial effects of zinc oxides.<sup>11,22–26</sup> These mechanisms include the photocatalytic properties of ZnO, reactive oxygen species (ROS) generation, zinc ion toxicity, surface charge, particle shape, particle size and concentration, and the dynamics of the bacteria-material interaction.

Owing to the typical energy band gap of 3.4 eV, zinc oxide strongly absorbs ultraviolet (UV) light, and electrons from valence band are excited to the conduction band via photon absorption. The formation of electron-hole pairs at the ZnO surface can lead to interactions with nearby water molecules, initiating a complex cascade of chemical reactions that result in ROS generation. All antibacterial tests in this study were performed indoors under ambient light conditions without UV irradiation. OD measurements and viable bacteria concentrations were obtained from a tube which was completely shielded from light whereas the static interaction antibacterial tests, MIC and ZnO on agar, were exposed to indoor light for a short time and then placed inside a thermostat in the dark. Whilst some ROS have been measured in solutions of ZnO nanoparticles in the dark,<sup>27</sup> the generated concentrations are too low to cause bacteria inactivation.<sup>28</sup> Based on these findings, photocatalytic processes cannot be responsible for the observed antibacterial effects in our experiments.



**Figure 5** (A) *E. coli* and (B) *S. aureus* MIC using 3 different ZnO types: hedgehog (HH), nanospheres (NS) and micrograins (MG). Concentration of each ZnO type is stated left of the image.



**Figure 6** Bar graphs showing the number of (A) *E. coli* and (B) *S. aureus* bacteria on an agar surface loaded with different concentrations of 3 types of ZnO: HH, hedgehog; NS, nanospheres and MG, micrograins. Error bars correspond to the standard deviation of the mean ( $P>0.05$  for all).

Zinc ion release from partial dissolution of the nanoparticle in aqueous environment has also been reported to be involved in the antibacterial mechanism.<sup>7</sup> Bacteria possess homeostasis mechanisms to regulate intracellular zinc ion concentration,<sup>29</sup> and as a result it requires millimolar concentrations to become toxic to bacteria.<sup>30</sup> In our experiment, we measured the zinc ion concentration in MH broth well below this range, between 5.5–8.5 µM. It has been reported previously that for the highest concentration of ZnO nanoparticles tested in this study (1 mg/mL), the concentration of zinc ions produced in bacteria growth medium was 60 µg/mL<sup>31</sup> and the concentration generated scaled inversely with particle size.<sup>32</sup> Considering these data, we assume that zinc ions play only a limited role in bacteria inactivation in our experiments.

The electric charge on the surface of ZnO particles can influence the interaction mechanism with other charged surfaces, such as a bacterial membrane.<sup>33</sup> The bacterial membrane has a net negative charge on the outside and particles with negatively charged surfaces exhibited lower antibacterial capability than similarly sized positively charged nanoparticles. We confirmed this hypothesis in our prior research, where positively charged hydrogenated nanodiamonds were more bactericidal than negatively charged oxygenated nanodiamonds.<sup>34</sup> We previously measured the zeta potential of all the ZnO particles used in this research in MH broth and we observed negative values ranging from –17.5 mV for NS to –11.7 mV for HH.<sup>35</sup> In another of our studies, *E. coli* zeta potential in Mueller Hinton was measured to be –8 mV.<sup>34</sup> Elsewhere, the zeta potential for *S. aureus* in phosphate buffered saline was

measured as also negative.<sup>33</sup> This would make electrostatic attraction between both negatively charged bacteria surfaces and ZnO surfaces unfavorable and we thus assume that electrostatic interactions are not dominant in the antibacterial mechanism of ZnO in our system.

The differences in the antibacterial effect of different ZnO particles in this study may be further explained by the different shape and size of the particles as well as concentration and interaction dynamics. The morphology of the synthesized ZnO hedgehog particles appears to be the predominant feature that influences how it inactivates bacteria. They are composed of many needle clusters, and we observed from SEM images that some tips of needles had pierced the outer cell wall of bacteria and had become implanted. Analysis of the needle tips showed that in some cases the needle was hollow which would make the walls of the needle incredibly thin and sharp. With a needle puncturing the bacteria cell wall, it would be unlikely that the bacterium remained viable. Generally, SEM images cannot directly distinguish between live and dead bacteria, however analysis of the morphology can yield information on viability. Some bacteria exposed to ZnO were irregularly shaped with rough, rippled cell wall that are absent in healthy bacteria. Other bacteria observed in SEM could still be viable though. Matula et al have shown that nanorods were more successful at inhibiting *E. coli* growth than spherical nanoparticles at the highest concentration used in this study (1000 µg/mL).<sup>15</sup> Their electron micrographs of bacteria being impaled by nanorods are remarkably similar to our own images using the HH particles. The nanorods were created by breaking apart HH

ZnO using sonication prior to antibacterial testing whereas HH ZnO used here were single particles with the structure intact. Morphological dependency of the antibacterial effect is also evident for other materials. 1-D arrays of silicon nanosheets displayed excellent anti-biofilm formation, the surface of which was laser ablated to produce a high-aspect-ratio surface topology containing many nanopillars.<sup>36</sup> A similar surface structure produced from ion beam etching of black silicon also had an antibacterial effect, with SEM images showing the surface was lethal to Gram-positive, Gram-negative and spore forming bacteria.<sup>37</sup> In both these investigations, the interaction between the antibacterial nanomaterials and cells was static and without mixing whereas the reverse spin technology used here enhances the interaction potential. There are also reports in the literature that show an inverse trend between bactericidal effect and particle size.<sup>38,39</sup> We observed this trend for the two types of commercially available ZnO particles which had uniform particle morphology (spherical nano-sized and grain-like micron-sized ZnO). However, as we have shown it is possible that relatively larger particles can be more bactericidal than smaller particles when the morphology of the former is not uniform and has intricate nano-sized needle-like protrusions. In this instance, it is more accurate to refer to the size of the individual nanoneedles that compose the particle and not the particle diameter. Specifically, for particles with extending narrow tips since the tips will interact with the bacteria first.

The concentration range (10–1000 µg/mL) of ZnO materials tested in this study yielded some interesting microbial responses. The highest concentration tested (1000 µg/mL) resulted in the lowest number of viable cells recorded, and the bactericidal effect lessened as the concentration reduced. Our results agree with other reports in the literature that have also detected a concentration-associated reduction of viable cells upon exposure to zinc oxide.<sup>5,40</sup> In fact, for the lowest concentration tested (10 µg/mL), we measured a slight increase in average growth rate during the initial 12 hours of growth compared to untreated cells. We previously discussed the potential role of zinc ion in the antibacterial mechanism and concluded its influence would be negligible due to the concentration that would be too low to have a toxic effect. However, zinc ions are also essential for normal cell function. It is thus possible that intracellular metabolic processes are stimulated upon exposure to low concentrations of ZnO nanoparticles due to the cell detecting a slight

increase in zinc ion concentration from the surrounding environment.<sup>29</sup> The bacteria could then perform zinc-associated functions at a faster rate knowing that depleted intracellular zinc ion stores can be replenished from its extracellular surroundings. We measured the number of viable bacteria after 24 hours exposed to ZnO at optimal growth conditions, and there was indeed a higher number recorded after exposure to the lowest concentration (10 µg/mL) than unexposed cells. Brayner et al also reported an increase in the number of viable bacteria grown in the presence of low concentrations of ZnO and they also suggested increased metabolism as the reason behind these findings.<sup>5</sup> One-way analysis of variance (ANOVA) was used to test the significance among bacteria exposed to different concentrations of all ZnO types. For  $\alpha=0.05$ , the differences between the bacteria concentrations were significant for all ZnO types against Gram-positive *S. aureus*, and for Gram-negative *E. coli* at higher concentrations (100 µg/mL and 1000 µg/mL). Therefore, we can state that there was a significant increase in *S. aureus* concentration in response to exposure to 10 µg/mL HH, yet a significant decrease in response to NS and MG relative to unexposed bacteria at the same concentration. Significant decreases in *S. aureus* bacteria were also observed for higher concentrations (100 µg/mL and 1000 µg/mL) of all ZnO types. Exposure to HH and MG ZnO resulted in significant decreases in *E. coli* bacteria for the higher concentrations, yet no significant difference was observed for the low concentration (10 µg/mL). Because the differences between control and 10 µg/mL ZnO for *E. coli* were not statistically significant, we can state that there was no antibacterial effect against this bacteria strain exposed to this concentration. Bacteria can develop resistance to a particular antimicrobial agent when the concentration induces sub-lethal stress and the question remains unanswered whether bacteria can develop resistance towards nanoparticles in the same manner as they have with antibiotics.

Ability of ZnO nanoparticles to inactivate bacteria, particularly ZnO with rod-like morphologies or composed of sharp protrusions, relies on the particle having sufficient kinetic energy in order to interact with the bacteria cell with enough force to irreparably damage the outer surface so the bacteria cannot survive. This is routinely achieved in bioreactors by mechanically stirring the liquid containing bacteria and nanoparticles in one direction in order to maximize interaction potential whilst also keeping the insoluble ZnO in suspension. A variation of this technique,

called reverse spin (BioSan), rotates a tube which contains the bacteria-nanoparticle suspension for a defined time, then changes the direction of spin and rotates in the opposite direction. This enhances interaction potential whilst also maximizing sample aeration. We used the reverse spin technology to continuously mix the bacteria-nanoparticle suspension because it offered superior dispersal of insoluble material rather than other types of rotation, such as orbital shaking, where ZnO NP could be pushed outwards due to centrifugal forces and not interact with bacteria. Reverse spin is also less invasive than having a mechanically rotating device inside the suspension or positioned on top of a rotating magnetic element with a small metallic rod in the suspension with the nanoparticles and bacteria. SEM images revealed the reverse spin bioreactor that operated at  $2000 \text{ rpm s}^{-1}$  did not damage the needle cluster formations in the absence of bacteria. This ensured that the integrity of the structure remained undamaged due to the force being applied from the bioreactor, and therefore any needles observed in the cell wall of bacteria were a result of direct interaction with a needle cluster.

Minimum inhibitory concentrations (MIC) are often reported in the literature for ZnO NP to determine the lowest concentration that can effectively prevent bacteria growth, yet considerable variation in the procedure makes comparison between studies difficult. Differences in the initial bacteria concentration, type of broth used, nanoparticle preparation, and the environment in which the interaction occurs (eg liquid or solid) greatly alters the MIC and introduces unnecessary variation. We chose an established standardized microbiological protocol<sup>21</sup> to determine the MIC of ZnO used in our study. We did observe sedimentation using high concentrations ( $>512 \text{ }\mu\text{g/mL}$ ). Nevertheless, it did not obstruct identification of typical bacteria growth in the centers of microwells and we obtained MIC values within a lower, narrow range of 16–64  $\mu\text{g/mL}$ .

The lowest MIC against Gram-negative *E. coli* was 16  $\mu\text{g/mL}$  of NS ZnO, followed by 32  $\mu\text{g/mL}$  of HH ZnO and 32–64  $\mu\text{g/mL}$  of MG ZnO. These differences could be due to the insolubility of ZnO and the static interaction environment, where the larger material settled on the base of the microwells faster than bacteria would, thus creating an unfavorable surface for colonization and inhibit growth here. HH ZnO or MG ZnO particles would prevent bacteria at the base of the well without necessarily interacting with the bacteria in the liquid volume before

sedimentation. The HH ZnO with sharp needles are then more effective against settling bacteria than rounded MG ZnO. However, without mechanical agitation, the HH ZnO are less effective than NS ZnO. This is most likely because the small NS ZnO would remain suspended in solution for a longer period of time and thus probably induce an antibacterial effect through encapsulation (as indicated by the SEM image in Figure 3b). Contrary, MIC for all the ZnO particles against Gram-positive *S. aureus* were larger, indicating the bacteria was less sensitive towards ZnO exposure than Gram-negative *E. coli*. This is in a very good agreement with the result of dynamic experiments (Figure 1b).

The different effect of ZnO particle sizes and morphologies on the growth inhibition of Gram-positive and Gram-negative bacteria was thus confirmed in two different interaction regimes in liquid: static and dynamic. SEM images from dynamic interaction revealed intimate contact between all ZnO types, and we assume that the contact has already been initiated prior to drop casting onto silicon. However, we did not observe inhibition when ZnO was added to agar surface before bacteria inoculation even for the highest concentration tested (Figures 4, 1  $\text{mg/mL}$ ). The most obvious difference between these data in Figure 4 and the results in Figure 1 (where inhibition was achieved) is the interaction kinetics between ZnO and bacteria that is completely lacking here.

## Conclusion

In this work we assessed and compared the antibacterial mechanisms of synthesized needle-like clusters of ZnO (resembling hedgehogs) and commercial ZnO particles in cell culture broth and on agar surfaces using various concentrations and various conditions. No inhibition of bacteria growth was observed on agar surfaces for all the types of tested ZnO particles. Growth inhibition was controlled mainly by the interaction dynamics between bacteria and ZnO in liquid. In particular, continuous agitation (mixing) in reverse spin bioreactors greatly enhanced the antibacterial efficacy of all the ZnO particles. Moreover, the hedgehog ZnO particles had significantly greater antibacterial effect than commercial ZnO particles. The difference was more pronounced against Gram-negative *E. coli* than against Gram-positive *S. aureus*. SEM images revealed that the needle-like structures in the hedgehog ZnO particles facilitated mechanical damage of bacteria cells. They tend to pierce the membrane of bacteria, which seems more effective against larger oval-shaped *E. coli*



than against smaller spherical *S. aureus*. ZnO nanospheres rather envelope the bacteria and ZnO micrograins have bacteria cracked on their surface. The efficacy is thus modulated also by ZnO particle shapes, in particular at lower concentrations. There is thus a potential for hedgehog ZnO in water treatment systems that already utilize some agitation to ensure adequate mixing of the water and antibacterial agents to enhance the disinfection efficiency even at lower amounts of ZnO. However, at too low concentrations (10 µg/mL), ZnO is not effective or it can even stimulate bacteria growth. Therefore, the optimal ZnO concentration must be thus adjusted with caution, considering specific application.

## Abbreviations

ZnO, zinc oxide; NP, nanoparticles; ROS, reactive oxygen species; UV, ultraviolet; NaOH, sodium hydroxide; XPS, X-ray photoelectron spectroscopy; *E. coli*, *Escherichia coli*; *S. aureus*, *Staphylococcus aureus*; HH, hedgehog; NS, nanospheres; MG, micrograins; dH<sub>2</sub>O, deionized water; MH, Mueller Hinton; OD, optical density; NaCl, sodium chloride; MIC, minimum inhibitory concentration; FE-SEM, field-emission scanning electron microscope; EDX, energy-dispersive X-ray spectroscopy; ANOVA, One-way analysis of variance.

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