### ORIGINAL RESEARCH

The Effect of Sodium Iodide on Stromal Loading, Distribution and Degradation of Riboflavin in a Rabbit Model of Transepithelial Corneal Crosslinking

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Purpose: To evaluate effects of sodium iodide (NaI) on riboflavin concentration in corneal stroma before and during ultraviolet A (UVA) light exposure using a novel transepithelial corneal collagen crosslinking (CXL) procedure (EpiSmart CXL system, CXL Ophthalmics, Encinitas CA).

Methods: Riboflavin solutions with NaI (Ribostat, CXL Ophthalmics, Encinitas CA) and without NaI were used for CXL in rabbits using EpiSmart. A pilot study determined sufficient riboflavin loading time. Four rabbits were dosed and monitored. Riboflavin fluorescence intensity was assessed from masked slit-lamp photos. A 12 min loading time was selected. Sixteen additional rabbits received the two formulae in contralateral eyes for CXL. Riboflavin uptake was assessed at 0, 10, 15, 20, 25, and 30 min of UVA exposure using a scale for riboflavin fluorescence previously validated against stromal concentration. Post sacrifice, corneal stromal samples were analyzed for concentrations of riboflavin and riboflavin 5'-phosphate.

Results: Eyes dosed with NaI riboflavin had higher riboflavin grades compared to eyes dosed with the NaI-free riboflavin formulation immediately after riboflavin loading and persisting throughout UVA exposure, with significantly higher (P < 0.01 to < 0.05) riboflavin grades from 15 through 25 min of UVA exposure. Riboflavin grades decreased more slowly in eyes dosed with NaI riboflavin through 25 minutes of UVA exposure. Minor conjunctival irritation was noted with or without NaI.

Conclusion: The addition of NaI to riboflavin solution is associated with increased riboflavin concentration in corneal stroma throughout a clinically relevant time course of UVA exposure. This effect may be a combination of enhanced epithelial penetration and reduced riboflavin photodegradation and should enhance intrastromal crosslinking.

Keywords: corneal crosslinking, crosslinking, riboflavin, iodide, keratoconus, ectasia

### Introduction

Corneal crosslinking (CXL) is an important treatment for ectatic corneal diseases, particularly keratoconus and postoperative keratectasia.<sup>1</sup> The use of riboflavin, activated by ultraviolet A (UVA) exposure, induces crosslinking; the formation of covalent bonds between adjacent stromal proteins and proteoglycans.<sup>2</sup> This provides biomechanical strengthening of treated corneas and increases their resistance to enzymatic digestion. First developed in the late 1990s,<sup>3</sup> CXL is now well established as an efficacious procedure.<sup>4-7</sup> However, the standard CXL procedure

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entails surgical removal of the corneal epithelium to facilitate riboflavin delivery into the corneal stroma (epi-off CXL). Epithelial removal leads to pain and limited visual function that can persist for weeks and months, respectively, as the epithelial layer heals.<sup>8,9</sup> Corneal haze affects over 90% of eyes after epi-off CXL, lasting up to 6 months<sup>10</sup> or longer.<sup>11</sup> Other risks include edema, infectious keratitis, corneal perforation, and corneal scarring.<sup>12</sup> An effective CXL procedure not requiring epithelial removal (epi-on CXL) would be safer and less disruptive for the patient.

Riboflavin is a negatively charged, hydrophilic molecule with low corneal epithelial permeability.<sup>13</sup> Topical application of riboflavin formulations onto intact epithelium typically do not produce sufficient intrastromal riboflavin concentrations for successful CXL.14,15 Several approaches to overcoming this limitation have been explored, including increased exposure time,<sup>14</sup> permeation enhancers such as ethanol,<sup>16</sup> preservatives,<sup>15,17</sup> chelating agents,<sup>15,18,19</sup> local anesthetics,<sup>20,21</sup> emulsifiers,<sup>22</sup> vitamin E,<sup>15,23,24</sup> partial disruption,<sup>16,25–27</sup> epithelial iontophoresis<sup>28</sup> and ultrasound.29 While these approaches do increase the absorption of riboflavin across the corneal epithelium, the resulting stromal riboflavin concentrations generally remain below those obtained after epithelial removal.<sup>15,16,21,28-30</sup> Initially promising early clinical results (up to 12 months) with some techniques<sup>31–34</sup> were followed by disappointing long-term clinical results at 2-3 years follow-up.<sup>19,35,36</sup>

Partial mechanical disruption of the epithelium is associated with complications similar to full epithelial removal.<sup>37</sup> Moreover, epithelial disruption or postoperative epithelial sloughing with some permeation enhancers (eg, benzalkonium chloride) or iontophoresis leads to inadvertent partial or complete epi-off procedures.<sup>38–40</sup> Other alternative approaches such as injection of riboflavin solution into an intrastromal pocket,<sup>41,42</sup> topical application of riboflavin nanoemulsions<sup>43–45</sup> or oral administration of riboflavin followed by sunlight exposure<sup>46</sup> still await confirmation of effectiveness.

Clinical implementation of epi-on CXL techniques have shown variable efficacy. Limited comparisons of epion to epi-off techniques have not been sufficient to show differences in efficacy.<sup>47,48</sup> However, epi-on techniques are compelling in that they avoid safety risks and slow visual recovery if they can be successfully implemented without disruption of the epithelium.

After sufficient riboflavin loading, oxygen is the limiting reagent in CXL.<sup>49-51</sup> However, with insufficient oxygen UVA radiation photodegrades riboflavin, reducing CXL efficiency<sup>52</sup> and increasing UVA radiation exposure to corneal endothelium. In conventional CXL procedures, effective CXL and endothelial protection is achieved by repeated intraoperative application of riboflavin onto a deepithelialized stromal surface. This replaces riboflavin degraded by UVA exposure but can lead to marked variability in dosing and UVA transmission.<sup>53</sup>

The availability of oxygen determines the path of the interaction between riboflavin and UVA radiation. In low-oxygen conditions, the series of CXL reactions preferentially follow the Type 1 pathway, in which photoactivated triplet riboflavin reacts directly on substrates and is itself reduced, yielding hydrogen peroxide. In contrast, when sufficient oxygen is present, riboflavin transfers energy to dissolved oxygen to generate excited oxygen singlets, which are efficient cross-linkers (the Type 2 pathway).<sup>54</sup> The Type 2 pathway preserves oxygen, preserves riboflavin, and does not produce toxic hydrogen peroxide.

We hypothesized that the addition of NaI to riboflavin would improve stromal riboflavin concentration during epi-on crosslinking. Pre-clinical studies of a riboflavin-NaI solution showed consistent and adequate transepithelial penetration.<sup>55</sup> A clinical study of epi-on crosslinking with the same formulation in 592 eyes showed promising results in the treatment of keratoconus and postoperative keratectasia; most eyes showed transepithelial saturation within 15 minutes and no repeat dosing was required.<sup>56</sup> Sodium iodide is known to photo-stabilizes riboflavin in solution<sup>57,58</sup> and catalyzes conversion of hydrogen peroxide into oxygen and water. This study investigated the effect of NaI on riboflavin loading during topical application of a transepithelial riboflavin solution and on riboflavin degradation during subsequent UVA exposure in a rabbit model of epi-on CXL.

# Methods

#### Animals

Twenty male New Zealand White rabbits (Charles River Laboratories, Wilmington, MA), weighing between 2.52 and 3.36 kilograms and ~3.5–4.0 months of age at study start, were housed under a 12/12 hour light/dark cycle with food and water provided ad libitum. All animals were healthy and free of clinically observable ocular disease.

Before assignment of animals to the study, each eye had a pre-screening examination consisting of indirect ophthalmoscopy and corneal slit-lamp examination (BP 900, Haag-Streit AG, Bern, Switzerland) with photography to ensure that only normal corneas were used for experiments and to serve as a baseline for subsequent examinations.

All experimental protocols complied with the Association for Research in Vision and Ophthalmology Statement for the Use of Animals in Ophthalmic and Visual Research, the USDA Animal Welfare Act and the Public Health Service Policy on Humane Care and Use of Laboratory Animals. All animal activities were approved by an Institutional Animal Care and Use Committee (Absorption Systems California, LLC, San Diego, CA).

## **Pilot Study**

A pilot study was performed to determine minimal time required for loading riboflavin prior to the main study. The procedure was the same as that detailed below with 3 modifications: riboflavin exposure was 12.5 min, there was no UVA irradiation and both eyes of each animal were treated on the same day. Four rabbits were part of the pilot study; the remaining 16 were included in the main study.

# **Riboflavin Solutions**

A formulation of 0.5% riboflavin 5'-phosphate and 0.015% NaI in half-normal saline (Ribostat, CXL Ophthalmics, Encinitas, CA) and an equivalent formulation without NaI were prepared by IriSys, LLC (San Diego, CA) and provided in ophthalmic droppers for application.

## **Experimental Procedure**

Animals were anesthetized with intramuscular injections of ketamine (50 mg/kg) and xylazine (10 mg/kg). Each animal was treated using the transepithelial NaI riboflavin formulation in one eye and the NaI-free riboflavin formulation in the contralateral eye in a separate CXL procedure after a period of two days. Half the animals received the NaI riboflavin in the right eye and half in the left eye. Animals, eyes, and formulations were permuted according to a prospective randomization. One eye per animal was available for post-procedure bioanalysis.

All eyes were treated using the EpiSmart corneal crosslinking system consisting of NaI riboflavin (Ribostat, CXL Ophthalmics, Encinitas, CA) or the same riboflavin formulation with the NaI omitted, the EpiPrep wand, and the loading sponge applicators (CXL Ophthalmics, Encinitas, CA). The wand was used to prepare the corneal surface. It is a proprietary, sterile device constructed of a rounded, nonabrasive, porous, hydrophilic sponge material bonded to a plastic handle. It is designed to cleanse the corneal surface of tear film mucins and surface lipids without disrupting the corneal epithelium.<sup>55,56</sup> The wand was fully saturated with 0.5% proparacaine (Akorn, Inc, Lake Forest, IL) and the eye was gently brushed with minimal pressure in a circular motion over the entire cornea for 10-20 sec.

The riboflavin solutions were applied to the epithelial surface of the cornea using the EpiPrep loading sponge, a sterile, 12 mm-diameter round device designed to conform to the cornea's curvature and provide homogeneous, continuous contact between the corneal epithelial surface and the riboflavin solution.<sup>53,54</sup> The loading sponge was saturated with either the NaI or NaI-free transepithelial riboflavin solution and placed over the entire cornea for 12 min, with short interruptions (24–49 sec, average 36 sec) for slit-lamp photographs and assessment of riboflavin loading as described below. To ensure continuous exposure to a full-concentration solution, 1–2 drops were added to the sponge approximately every 2 min.

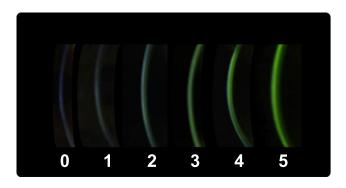
At the end of riboflavin loading, the eye was rinsed for approximately one second with balanced salt solution to remove residual surface riboflavin. Clearance was confirmed by slit-lamp examination. The eye was then irradiated with 4 mW/cm<sup>2</sup> of UVA radiation (CXLUSA Crosslinker, Theralight, LLC, Carlsbad, CA) with a 15 sec on/15 sec off cycle for 30 min, with short interruptions (68–156 sec, average 105 sec) for slit-lamp photographs as described below. No further riboflavin solution was added during UV exposure, but balanced salt solution drops were applied periodically to prevent eye drying.

# Slit-Lamp Photography

Slit-lamp photographs were taken at a standardized angle and illumination after the completion of riboflavin loading, immediately prior to the start of UVA exposure, and 10, 15, 20, 25, and 30 min after the start of UVA exposure.

The slit-lamp images were assessed for riboflavin loading of the cornea. The intensity of riboflavin fluorescence in the corneal stroma was graded using a scale of 0–5, previously calibrated against liquid chromatography tandem mass spectroscopy (LC-MS/MS)-determined riboflavin concentration (Figure 1).<sup>56</sup> Based on this and previous work, a threshold grade of 3 was determined to be sufficient for successful crosslinking.<sup>14,56</sup>

The examiner performing the grading was masked to the transepithelial riboflavin formulation administered. For the main study, the examiner was also masked to the time



**Figure I** Stromal riboflavin grading scale.<sup>54</sup> Grade 0: No green visible; Grade 1: Mild green tint just visible; Grade 2: Substantial green visible; Grade 3: Obvious green color; Grade 4: Bright green appearance; Grade 5: Strong bright green color.

point at which the images were taken during UVA exposure.

Slit-lamp images were also used to perform clinical ophthalmic examinations to evaluate the eyes at each time point to assess ocular safety.

#### Tissue Collection and Bioanalysis

After completion of riboflavin loading, UVA exposure and slit-lamp imaging of the second eye, animals in the main study were humanely euthanized via pentobarbital overdose (150 mg/kg, intravenous). The euthanasia procedure was performed in compliance with the 2013 AVMA Guidelines for Euthanasia of Animals. Corneas were dissected from the eyes dosed on the day of euthanasia. The corneal epithelium and endothelium were debrided using 20% ethanol and the remaining corneal stroma collected and weighed. Corneal stromal samples from individual eyes were frozen at  $-60^{\circ}$ C to  $-80^{\circ}$ C until LC-MS/MS analysis to determine the concentrations of riboflavin and riboflavin 5'-phosphate (methods are detailed in reference 55).

### Data Analysis

Riboflavin grades for eyes treated with the transepithelial riboflavin formulation with or without NaI were compared using a two-way mixed-design ANOVA with Time as the within-subjects factor and Riboflavin Formulation as the between-subjects factor. Where appropriate, post hoc testing was performed using the Sidak test. To evaluate the differential rates of riboflavin degradation during UVA exposure, differences in riboflavin grades between time = 0 (the beginning of UVA exposure) and subsequent time points were calculated for each sample. The change-frombaseline in these grades was compared using the same analysis. Riboflavin and riboflavin 5'-phosphate

1988 https://doi.org/10.2147/OPTH.S300886 DovePress concentrations in the corneal stroma after treatment with transepithelial riboflavin formulation with or without NaI were compared using unpaired two-tailed t-tests. The level of significance was set at 0.05. Data were analyzed using GraphPad Prism software, Version 6.07 (GraphPad Software, San Diego, CA).

#### Results

At baseline, all ophthalmic observations were normal for all enrolled animals.

#### **Pilot Study**

Riboflavin fluorescence in the corneal stroma increased with increasing riboflavin loading time (<u>Table S1</u>). Mean riboflavin grades for both transepithelial riboflavin formulations were consistent with the target grade for crosslinking at the 12.5 min observation after the start of the loading procedure (Figure 2). At this time point, all eyes had reached a riboflavin grade of 3 regardless of formulation. Based on this finding, 12 min was selected as the riboflavin loading time for the main study.

### Main Study

Immediately after the riboflavin loading procedure, eyes treated with either NaI riboflavin or NaI-free riboflavin formulation exhibited riboflavin loading (<u>Table S2</u>). Mean riboflavin grades were higher in eyes treated with the NaI-containing formulation  $(2.94 \pm 0.57)$  compared to eyes treated with the formulation without NaI ( $2.56 \pm 0.63$ ) (Figure 3). Overall, of the 96 data points collected

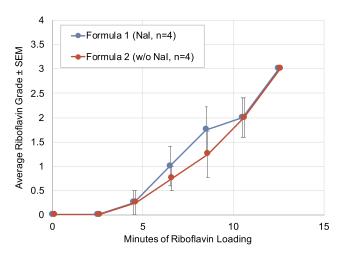


Figure 2 Riboflavin grade vs riboflavin loading time in eyes treated with transepithelial riboflavin formulation with or without Nal in the pilot study (n=4 eyes per formulation).

Abbreviation: SEM, standard error of the mean.

for each eye-timepoint per formulation 63 (66%) were at or above the fluorescence grade of 3 for the NaIcontaining formulation, while 33 (34%) were at or above the fluorescence grade of 3 for the non-NaI formulation.

Mean riboflavin grades in both treatment groups increased slightly over the first 10 min of UVA exposure and then gradually decreased over the course of the remaining UVA exposure. Riboflavin grades in eyes treated with the NaI riboflavin remained higher than those in eyes treated with the NaI-free formulation throughout UVA exposure (Figure 3). The ANOVA detected highly significant main effects (P < 0.001) of both Riboflavin Formulation and Time. No interaction between the two factors was observed. Post hoc testing found statistically significant differences (P < 0.05) between the treatment groups at the 15, 20, and 25 min time points. The same significance levels (Figure 3) were found with Bonferroni-adjusted Wilcoxon ranked-sum tests.

Mean riboflavin grades decreased more quickly over the initial course of UVA exposure in eyes treated with the NaI-free formulation compared to eyes treated with the NaI riboflavin. This was reflected in a steady increase in the difference in mean riboflavin grade between the two treatment groups, peaking at 25 min of UVA exposure. The ANOVA of the baseline-subtracted grades throughout UVA exposure showed a trend towards less degradation over time with the NaI-containing formulation, but the difference did not reach significance (P = 0.12)

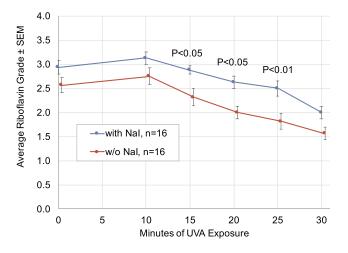


Figure 3 Riboflavin grade vs time throughout UVA exposure in eyes treated with transepithelial riboflavin formulation with or without Nal (n=16 eyes per formulation). Mean riboflavin grade immediately after the riboflavin loading procedure was higher in eyes treated with Nal compared to eyes treated with Nal-free riboflavin formulation. Mean riboflavin grade remained higher in eyes treated with Nal-compared to eyes treated with Nal-free riboflavin formulation. Mean riboflavin grade remained higher in eyes treated with Nal-compared to eyes treated with Nal-free riboflavin formulation throughout UVA exposure. Two-way mixed-design ANOVA analysis showed highly significant (p<0.001) differences in overall grade throughout UVA exposure and for change in grade over time. Individual time point *P*-values are from Sidak post hoc test after ANOVA.

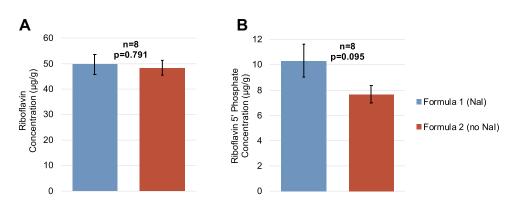
Mean riboflavin concentration in the corneal stroma after the procedure and sacrifice was comparable in eyes treated with either formulation (NaI riboflavin: 49.7±11.3  $\mu$ g/g; NaI-free riboflavin: 48.3 ± 8.2  $\mu$ g/g) (Figure 4A and Table S3). However, mean riboflavin 5'-phosphate concentration was higher in eyes treated with NaI riboflavin (10.3 ± 3.7  $\mu$ g/g) compared to those treated with the NaI-free formulation (7.7 ± 2.0  $\mu$ g/g) (Figure 4B and Table S4). While the difference did not reach statistical significance, a trend was observed (P = 0.095).

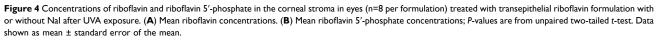
Clinical examination findings were limited to mild conjunctival congestion at most time points, with a few instances of transient moderate congestion; mild opacity of the corneal epithelium immediately after riboflavin loading that resolved in most eyes within 10 min and a single case of conjunctival swelling starting 15 min into UVA exposure. Findings occurred with comparable frequency and severity in eyes treated with the transepithelial riboflavin formulation with or without NaI (Table 1). No evidence of epithelial disruption was seen after corneal loading and throughout UVA exposure, with the exception of a single instance of a small debrided area of the corneal epithelium in one eye treated with the NaI-free riboflavin formulation. No non-ocular health effects were observed.

#### Discussion

Topical application of an optimized riboflavin formulation with NaI or an otherwise identical riboflavin formulation without NaI using the EpiSmart system resulted in robust transepithelial riboflavin delivery into corneal stroma. Higher riboflavin slit-lamp grades were observed immediately after riboflavin application when NaI was present in the formulation, indicating greater stromal riboflavin concentration. Highly significant main effects were found for both Riboflavin Formulation and Time, indicating a significant difference between eyes treated with the different riboflavin formulations throughout the time course of UVA exposure, but also a decrease in riboflavin concentration throughout the UV exposure with both formulations. The significant difference in grade at the 15, 20, and 25 minute-timepoints may be the result of NaI improving riboflavin delivery into the stroma across the intact corneal epithelium and/or providing some photoprotection of riboflavin during UVA exposure.

The pilot study showed sufficient riboflavin (grade = 3) after 12.5 minutes of loading in all 8 eyes of 4 rabbits. During the main study, a consistent loading time was used, but some variability was seen among the 32 eyes tested





(16 eyes in each cohort), with the NaI-containing formula cohort achieving a higher mean level of stromal riboflavin than the NaI-free formulation. It is possible that the NaI-riboflavin formula resulted, on average, in improved transepithelial delivery of riboflavin. However, the study was planned and powered to detect differences of  $\geq 0.5$  grade-levels after UV exposure, and the 0.38 difference at time = 0 did not reach statistical significance.

Both formulations demonstrated an increase in mean riboflavin grade by the 10 minute time point during UVA exposure relative to the time of initiating exposure. In a previous study of NaI riboflavin,<sup>55</sup> riboflavin grades increased for 15–20 min after completion of NaI riboflavin application,<sup>55</sup> in the absence of UVA exposure;

presumably due to further permeation and homogenization. In this prior study, the NaI riboflavin grade rose to 4+ on average,<sup>55</sup> while the peak grade in the current study was approximately 3. The relatively lower grades in this study may be due to a lower initial concentration of riboflavin or to photodegradation within the first 10 minutes of UVA exposure. Throughout the UVA exposure, 66% of the NaI-riboflavin treated eyes maintained the target grade of 3, while 33% of the non-NaI treated eyes did so, indicating that an eye treated with NaI was roughly twice as likely to have a concentration of riboflavin sufficient for crosslinking at any given time during the CXL procedure.

Riboflavin grades decreased during UVA exposure of the cornea, starting 10 min after completion of riboflavin

Finding	Treatment Group	0 min	10 min	15 min	20 min	25 min	30 min
		Number of Eyes Affected (of N=16 Total per Treatment Group)					
Mild conjunctival congestion	With Nal	14	13	15	15	16	16
	Without Nal	16	13	14	14	15	15
Moderate conjunctival congestion	With Nal	Ι	2	I	I	0	0
	Without Nal	0	3	2	2	Ι	I
Mild conjunctival swelling	With Nal	0	0	0	0	0	0
	Without Nal	0	0	I	I	Ι	I
Mild corneal opacity affecting I-25% of corneal area	With Nal	6	2	I	I	Ι	I
	Without Nal	3	4	3	3	I	I
Mild corneal opacity affecting >25% of corneal area	With Nal	10	0	0	0	0	0
	Without Nal	13	0	0	0	0	0

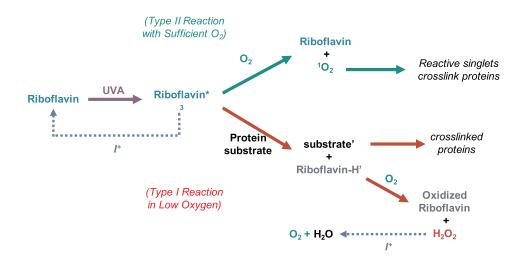
Table I Clinical Examination Findings in Eyes Treated with Transepithelial Riboflavin Formulation with or without Nal

application and onset of UVA exposure. This pattern reflects photodegradation of riboflavin in the corneal stroma by UVA radiation. In eyes treated with the NaI riboflavin formulation, riboflavin grades decreased more slowly during UVA exposure compared to eyes treated with the NaI-free formulation. This finding suggests that NaI had a photoprotective effect, slowing the photodegradation of riboflavin by UVA. In shelf stability studies, NaI effectively blocks the photodegradation of riboflavin compared to the same riboflavin formulation without NaI (unpublished data on file, IriSys, San Diego, CA). This is consistent with the known action of iodide to quench photo-excited states of riboflavin which, in anaerobic conditions, cause it to self-reduce and degrade.<sup>54,57,58</sup>

We have hypothesized that Iodide ions would also slow anaerobic photoreactions with riboflavin in vivo, resulting in reduced photodegradation and more efficient aerobic crosslinking. Trace amounts of iodide ions quench the long-lived photo-excited triplet riboflavin to its ground state before it can interact with substrates and be degraded in Type 1 reactions.<sup>57,58</sup> It is expected that iodide will slow crosslinking reactions when oxygen is limited while allowing it to proceed when oxygen is sufficient to compete successfully to accept energy from riboflavin in the excited triplet state, yielding ground-state riboflavin and excited oxygen singlets. Oxygen singlets would then cross-link protein substrates via Type 2 interactions. A smaller number of Type 1 reactions will occur, yielding less hydrogen peroxide, which is long-lived and cytotoxic. However, the iodide ion's well-known catalytic action on peroxide would quickly restore diatomic oxygen to the solution for further crosslinking reactions (Figure 5). The increased riboflavin concentration seen in the present study is consistent with this shift from the Type 1 pathway to the favorable Type 2 CXL photoreaction pathway.

If riboflavin degradation is inhibited in the corneal stroma during a clinically relevant time window, ie, over 20–30 min of UVA exposure,<sup>51</sup> then NaI may be helpful to in vivo crosslinking. The data in the present study do not reach statistical significance in showing this independent effect in terms of a decay slope, but do show significantly higher riboflavin grades at 15, 20, and 25 minutes after onset of UVA exposure during crosslinking. Observations of iodide's protective effect have shown that in later stages of photoreaction, Type 1 reactions accumulate and reduce riboflavin concentration.<sup>57</sup> Similarly, the data here show some decrease in the relative bueflit of the NaI-containing formula after 25 minutes of UVA exposure.

Bioanalysis of corneal stroma after riboflavin loading and UVA exposure confirmed a trend toward higher stromal levels of riboflavin 5'-phosphate in eyes treated with the NaI-containing riboflavin solution, but without statistical significance. Notably, this difference was detected after the completion of the full 30-minute course of UVA exposure, when the difference between treatment groups had started to diminish. Bioanalysis of corneas collected at the point of greatest difference in riboflavin grade between treatment groups (at  $\leq 25$  min of UVA exposure) may more readily reveal a statistically significant difference in stromal concentrations.



**Figure 5** Illustration of the two possible reaction pathways for the crosslinking (CXL) photoreaction and hypothesized action of iodide. In low-oxygen conditions, the reaction follows the Type I pathway (red arrows), in which excited riboflavin triplets (Riboflavin<sup>\*</sup><sub>3</sub>), acting as a cross-linker, reacts with substrate forming radicals (substrate' and Riboflavin-H'), and then with water to form hydrogen peroxide and derivatives of riboflavin. In the presence of sufficient oxygen, riboflavin reacts directly with oxygen to generate less toxic singlet oxygen ( $^{1}O_{2}$ ) that cross-links extracellular matrix proteins (Type 2 pathway, green arrows). Sodium iodide (blue dotted arrows) shifts the CXL photoreaction from the toxic Type I pathway to favorable Type 2 pathway by quenching excited triplet riboflavin in anaerobic conditions. Further, if Type I reactions occur, iodide promotes the immediate conversion of hydrogen peroxide into oxygen and water, providing diatomic oxygen for further crosslinking reactions.

The procedure was well tolerated in all eyes. Clinical findings in the study were limited to transient, minor, conjunctival irritation, mostly evidenced by mild to moderate conjunctival congestion. Mild corneal epithelium opacity seen after riboflavin loading resolved over the course of UVA exposure. Clinical findings were comparable in eyes treated with either the NaI riboflavin or the NaI-free riboflavin formulation, indicating that the NaI was well tolerated.

This study is limited by the lack of intermediate quantitation of riboflavin in stromal tissue during UVA exposure, as was done with LC-MS/MS after the 30 minute timepoint. The semi-quantitative rating of masked slit-lamp riboflavin fluorescence correlates well with results from LC-MS/MS analysis,<sup>55</sup> but may have lacked the precision to discriminate between potential penetration effects and photoprotective effects of the NaI additive. The inability to analyze both eyes per animal post-mortem also contributed to uncertainty, because only 8 of 16 eyes per group were available for analysis. Further, a fixed loading time was used, which may have provided a suboptimal riboflavin concentration. A 20minute loading time was typically sufficient in clinical studies,<sup>56</sup> but the optimal time in rabbits is not wellvalidated. The penetration effect warrants further careful study. Finally, the specific effects of iodide may be more or less apparent using different protocols. It is possible that the interruptions of the UVA exposure may have allowed for oxygen rediffusion which blunted the differential photoprotective effect of iodide we sought to observe. The riboflavin loading sponge devices and protocol, as well as the 15-second cycling time for UVA exposure was optimized in the clinic with the same objective of the iodide additive: to maintain oxygen and a high concentration of riboflavin throughout the crosslinking procedure.

These findings demonstrate that the addition of NaI to the transepithelial riboflavin formulation slows the reduction of riboflavin concentration throughout a clinically relevant course of UVA exposure. This may be accomplished by a combination of enhancing penetration of riboflavin across the intact epithelium into the corneal stroma and photo-protecting riboflavin, limiting riboflavin photodegradation during UVA exposure.

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### Disclosure

Roy S Rubinfeld equity interests in CXL Ophthalmics, LLC, Encinitas, CA, CurveRight, LLC, Bethesda, MD and CXLUSA, LLC, Bethesda, MD, and has a patent 15/335,146 issued, a patent 13768403.1, a patent 14/387,803, a patent 14/ 387,532, a patent 14/275,192, a patent 13767439.6, a patent 15793441.5, a patent 14/206,847, a patent 15/271,668, and a patent 14775693.6; Jonathan H Talamo has equity interest in and serves on the Board of Directors for CXL Ophthalmics, LLC; Edward C Parsons is a consultant for CXL Ophthalmics, LLC, Encinitas, CA; Glenwood G Gum has no conflicts of interest to disclose.

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