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#### ORIGINAL RESEARCH

### Comprehensive Molecular Characterization of Chinese Patients with Glioma by Extensive Next-Generation Sequencing Panel Analysis

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Acornmed Biotechnology Co., Ltd, Floor 18, Block 5, Yard 18, Kechuang 13 Road, Beijing, 100176, People's Republic of China Tel +8653606156 Email shanbocao@acornmed.com **Background:** Tremendous efforts have been made to explore biomarkers for classifying and grading glioma. However, the majority of the current understanding is based on public databases that might not accurately reflect the Asian population. Here, we investigated the genetic landscape of Chinese glioma patients using a validated multigene next-generation sequencing (NGS) panel to provide a strong rationale for the future classification and prognosis of glioma in this population.

**Methods:** We analyzed 83 samples, consisting of 71 initial treatments and 12 recurrent surgical tumors, from 81 Chinese patients with gliomas by performing multigene NGS with an Acornmed panel targeting 808 cancer-related hotspot genes, including genes related to glioma (hotspots, selected exons or complete coding sequences) and full-length SNPs located on chromosomes 1 and 19.

**Results:** A total of 76 (91.57%) glioma samples had at least one somatic mutation. The most commonly mutated genes were *TP53*, *TERT*, *IDH1*, *PTEN*, *ATRX*, and *EGFR*. Approximately one-third of cases exhibited more than one copy number variation. Of note, this study identified the amplification of genes, such as *EGFR* and *PDGFRA*, which were significantly associated with glioblastoma but had not been previously used for clinical classification (P<0.05). Significant differences in genomic profiles between different pathological subtypes and WHO grade were observed. Compared to the MSKCC database primarily comprised of Caucasians, *H3F3A* mutations and *MET* amplifications exhibited higher mutation rates, whereas *TERT* mutations and *EGFR* and *CDKN2A/B* copy number variations presented a lower mutation rate in Chinese patients with glioma (P<0.05).

**Conclusion:** Our multigene NGS in the simultaneous evaluation of multiple relevant markers revealed several novel genetic alterations in Chinese patients with glioma. NGS-based molecular analysis is a reliable and effective method for diagnosing brain tumors, assisting clinicians in evaluating additional potential therapeutic options, such as targeted therapy, for glioma patients in different racial/ethnic groups.

**Keywords:** glioma, molecular pathology, molecular biomarker, copy number variations, multigene NGS panel

#### Introduction

Gliomas are the most common tumors in the brain and central nervous system (CNS).<sup>1</sup> However, gliomas comprise a diverse collection of entities, each with its own unique clinical and biological characteristics.<sup>2</sup> According to the 2016 World Health Organization (WHO) standards, gliomas include grade II–III astrocytoma

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(A) and oligodendroglioma (O), as well as grade IV glioblastoma (GBM) and diffuse midline glioma (DMG).<sup>3</sup> Previous brain tumor classification was primarily based on histopathological characteristics confirmed by hematoxylin and eosin staining (H&E) and immunohistochemistry (IHC). Detection of IDH mutations, 1p/19q codeletion, and H3F3A mutations (midline position) are recommended according to their histologic now diagnosis.<sup>4–6</sup> Emerging evidence has shown that inclusion of molecular characterization could improve the prognostic and predictive stratification of CNS tumors.<sup>7-9</sup> In fact, many key mutations in the TERT, ATRX, TP53, and EGFR genes found in gliomas<sup>10,11</sup> could be used as diagnostic, prognostic, and therapeutic biomarkers. However, these mutation markers have not been included in the latest WHO CNS tumor classification criteria;<sup>3</sup> therefore, they are not used in routine clinical analyses of glioma prognosis or classification.<sup>12</sup> In addition, malignant gliomas are intrinsic primary CNS tumors, and a recent sequencing analysis reported that these tumors have high intertumoral molecular heterogeneity.<sup>13</sup> This causes difficulties in the classification and prognostic determinations with implications for targeted therapies in CNS tumors.<sup>14</sup> Therefore, a comprehensive genetic analysis is urgently needed for precise tumor classification, guiding clinicians in treatment decision-making for this disease.

Targeted next-generation sequencing (NGS) is an effective and practical method because it can rapidly analyze a wide variety of molecular abnormalities in the entire genome, with low DNA input and low cost.<sup>15</sup> These advantages have made NGS an attractive and efficient molecular platform for the recent classification of gliomas according to the WHO classification.<sup>16</sup> However, there are several criteria that should be considered when using targeted NGS analysis. The panel should have enough gene numbers to cover a wide range of targets and their various types of genetic mutations, which may have diagnostic, prognostic, predictive, and therapeutic significance for the targeted tumors. The analysis should also have high reliability in formalin-fixed, paraffin-embedded (FFPE) tissues.<sup>15,17</sup>

In this study, we used a validated multigene NGS panel to retrospectively analyze the sequencing results of 83 glioma samples. The panel included multiple genetic tests for simultaneously identifying single nucleotide variants (SNVs), small insertions and deletions, copy number variations (CNVs), splice variants, and gene rearrangements. We identified frequent and novel genetic alterations in Chinese gliomas. These genetic alterations could be explored as a new set of predictive biomarkers for stratifying each glioma subtype and could help clinicians improve the clinical management of glioma in Chinese patients.

### Materials and Methods Patient Samples

In this study, a total of 83 samples, including two matched cerebrospinal fluid samples, were obtained from 81 patients recruited by the Department of Neurosurgery, Peking University International Hospital from January 2018 to December 2019. The histological subtypes and grades of all cases were determined by the morphological characteristics of the tumor tissues analyzed by immunohistochemistry (IHC) and the WHO 2016 classification criteria.<sup>16</sup> The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). This study was approved by the Medical Ethics Committee of Peking University International Hospital (YN2020QN03), and written informed consent was obtained from all patients.

## Genomic DNA Isolation and Targeted NGS

Next-generation sequencing was performed at AcornMed Biotechnology (Beijing, China) using an Illumina Hiseq4000 platform (Illumina, San Diego, CA, USA). Genomic DNA was isolated from 200µL whole blood with the QIAGEN DNeasy Blood and Tissue Kit (Qiagen, Shanghai, China) according to the manufacturer's instructions. Then, gDNA was sheared before library construction with a Covaris M220 instrument using the recommended settings for 200-bp fragments. The NGS libraries were constructed using the KAPA Hyper Library Preparation Kit (Kapa Biosystems) following the manufacturer's protocol. Hybrid selection was performed with a custom SeqCap EZ Choice Library (Roche NimbleGen). The various libraries were hybridized with the 808 gene panel, which including genes related to glioma (hotspots, selected exons, or complete coding sequences) and fulllength SNPs located on chromosomes 1 and 19. NimbleGen SeqCap EZ Choice was used according to the manufacturer's protocol with modifications. Following hybrid selection, the captured DNA fragments were amplified with 12 cycles of PCR using 1× KAPA HiFi Hot Start Ready Mix and 2 µM Illumina backbone oligonucleotides in 50-µL reactions. Library concentration was assessed by Fluorometer (Qubit 4.0) and qPCR (KAPA Biosystems), Fragment length was determined on a 2100 Bioanalyzer using the DNA 1000 Kit (Agilent). Finally, the library was sequenced on one lane using 150 paired-end (2\*150 bp) strategies.

To ensure the quality of data, the following criteria were used to filter raw variant results: average effective sequencing depth on target per sample  $\geq 300\times$ ; allele mutation frequency  $\geq 10\%$  for single nucleotide variation and insertion or deletion; all reads were filtered by high mapping quality ( $\geq 30$ ) and base quality ( $\geq 30$ ); and the mutant reads needed to be supported by positive and negative strands.

#### NGS Data Analysis

Raw data recognition, analysis, and feedback were implemented by an automatic analysis and monitoring system. Quality control statistics and preprocessing of raw sequence data were performed by using an in-house QC tool. Burrows-Wheeler Aligner software (BWA, version (0.5.9) was used to align reads to the hg19 version of the human reference genome. PCR duplicates were marked using the MarkDuplicates tool in Picard. We used IndelRealigner and BaseRecalibrator on Genome Analysis Toolkit (GATK; version 3.8) for realignment and recalibration of the BWA alignment results, respectively. The final alignment results were used for variant calling after multialign reads were filtered from the alignment results. The HaplotypeCaller on Genome Analysis Toolkit (GATK; version 3.8) was used to identify variant calling of SNPs and INDELs. All variants were annotated using Annovar. This analysis focused on targetable genetic alterations annotated by categories of evidence Level 1-3 and Level R1 in OncoKB (Memorial Sloan Kettering Cancer Center, New York, NY, http://oncokb.org/). Synonymous mutations are detected and maintained in database but not clinically reported.

#### Copy Number Variation Analysis

CNV analysis was performed using CONTRA (version 2.1.0),<sup>18</sup> which indicated CNV gain or loss for genes within the panel coverage. The software computes regions per read and calculates the likelihood possibility based on dispersion measurements and coverage ratios. The hidden Markov model was then used to calculate a CNV classification. The ratios for each region given were 3.5 for copy number gain and 0.5 for copy number loss. LOHs were detected using Control-FREEC (version 11.5)<sup>19</sup> based on the pileup files and the known human

SNP information (dbSNP142 from UCSC). The 1p/19q codeletion status was predicted using CollectReadCounts, CollectAllelicCounts, DenoiseReadCounts, and ModelSegments of GATK4. We defined ratio thresholds of less than 0.9 as deletions.

### Methylguanine-DNA Methyltransferase (MGMT) Methylation Detection

MGMT promoter methylation status was determined by methylation-specific PCR (MSP). In total, 2  $\mu$ g of DNA was subjected to bisulfite treatment using the EpiTect Bisulfite kit (Qiagen, Cat. 59104). DNA was cleaned following the manufacturer's instructions and quantified. In all, 30 ng of DNA per sample was PCR-amplified with Platinum SuperFi DNA polymerase (Invitrogen, Cat. 12351–010) and specific primers to detect methylated and unmethylated MGMT promoters. The PCR amplification protocol was as follows: 94°C for 1 min, denaturation at 94°C for 30 s, annealing at 60°C for 30 s, and extension at 70°C for 30 s for 35 cycles, followed by a 7-min final extension.

#### Statistical Analysis

Statistical analysis was performed using GraphPad Prism (Version 7.01, La Jolla, CA, USA). An unpaired *t*-test was used to assess differences between continuous variables. Fisher's exact test or  $\chi^2$  test was used to analyze clinical features and genetic features between different groups. Spearman's rank correlation coefficient was used to assess the correlation between variables. *P*<0.05 was considered to indicate statistical significance.

#### Results

#### Patient Characteristics

A total of 83 glioma samples from 81 patients were collected in this study, two of which were matched cerebrospinal fluid samples, including 71 initial treatment and 12 recurrent surgical tumors. Median patient age was 46 years (5–75 years). Fifty-nine patients were males (72.84%), and 22 were females (27.16%). The analysis included 56 glioma samples with high-grade glioma (HGG): 32 cases of GBM (38.55%), 8 cases of DMG (9.64%), 10 cases of anaplastic astrocytoma (AA) (12.05%), and 6 cases of anaplastic oligodendroglioma (AO) (7.23%). Twenty-seven glioma samples were low-grade glioma (LGG): 10 cases of A (12.05%), 11 cases of O (13.25%), 5 cases of pilocytic astrocytoma (PA) (6.02%), and one other case

Table I	Clinical	Characteristics	of 81	Patients	with	Glioma
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Patient Characteristics (N=81)	Number (%)
Gender, n (%)	
Male	59 (72.84%)
Female	22 (27.16%)
Age(year), y (range)	
Median	46
Range	5–75
≤40	29 (35.80%)
>40	52 (64.20%)
Tumor characteristics (N=83)	
WHO, n (%)	
I	6 (7.23%)
II	21 (25.30%)
Ш	15 (18.07%)
IV	41 (49.40%)
Pathology, n (%)	
PA	5 (6.02%)
А	10 (12.05%)
0	11 (13.25%)
AA	10 (12.05%)
AO	6 (7.23%)
GBM	32 (38.55%)
DMG	8 (9.64%)
Other	I (I.20%)
Disease status, n (%)	
Primary	12 (14.46%)
Recurrent	71 (85.54%)
MGMT methylation, n (%)	
Positive	43 (51.81%)
Negative	39 (46.99%)
NA	I (I.20%)
Ip/I9q codeletion	
Positive	10 (12.05%)
Negative	72 (86.75%)
NA	I (I.20%)

Note: Oligodendrogliomas were identified by their oligodendrocyte components. Abbreviations: PA, pilocytic astrocytoma (WHO grade I); A, astrocytoma (WHO grade II); O, oligodendroglioma (WHO grade II); AA, anaplastic astrocytoma (WHO grade III); AO, anaplastic oligodendroglioma (WHO grade III); GBM, glioblastoma multiforme (WHO grade IV); DMG, diffuse midline glioma.

(1.20%). Clinical characteristics of the samples are summarized in Table 1.

# Comparing the Genomic Profile of the Chinese Cohort to the MSKCC Database

In this study, genomic analysis was performed using targeted sequencing based on hybrid capture. Of the 83 samples in our cohort, 76 (91.57%) had at least one somatic mutation or CNV, and the median number of

mutations per patient was 4 (range 0-45). A total of 401 nonsynonymous somatic mutations were identified in 148 genes. Similar to previous reports,<sup>20</sup> the most frequently mutated genes in our cohort of gliomas included TP53, TERT, IDH1/2, PTEN, ATRX, and EGFR (Figure 1). Notably, all IDH1 mutations replaced the arginine residue on codon 132, all of which were R132H (25/25) (Figure 1). The frequency of H3F3A gene mutations in this study was relatively high (9.64%) (Figure 1). MGMT methylation was positive in 51.81% (43/83), and 1p19g codeletion was positive in 12.05% (10/83) (Figure 1). MGMT methylation was found in almost all patients with IDH1 mutations (P<0.0001) (Figure 1). Of the 83 samples, 7 simultaneously had IDH mutations, MGMT methylation, and 1p/19q codeletion (Figure 1). Regarding CNVs, EGFR, PDGFRA, MET, KIT, CDK4 amplification, and CDKN2A deletion were common events (Figure 1). In addition, there was a case of YAP1-PARN fusion (Figure 1).

When we compared the 25 most frequently mutated genes found in this study to the Memorial Sloan Kettering Cancer Center database (MSKCC, Clin Cancer Res 2019, 923 glioma patients, which primarily includes Caucasian patients),<sup>21</sup> we found that the most commonly mutated genes were TP53, TERT, and IDH1, followed by PTEN, ATRX, EGFR, NF1, and H3F3A (Figure 2). There was no significant difference in the frequency of TP53, IDH1, PTEN, ATRX, EGFR, or CIC gene mutations between our cohort of glioma patients and the MSKCC database (Figure 2). However, we found that TERT and H3F3A exhibited a higher mutation frequency in our cohort, whereas MET and CDKN2A presented a lower mutation frequency in our cohort of glioma patients (Figure 2). These results suggest that there is a difference in genes between Chinese and Caucasian racial backgrounds with respect to glioma.

#### Concurrent and Exclusive Gene Analysis

Previous studies using genomic landscape studies have reported multiple cooccurring or mutually exclusive mutations of genes in different cancer types.<sup>22,23</sup> For example, the coexistence of *PDGFRA* and *EGFR* gene amplifications is commonly found in glioblastoma (GBM).<sup>24</sup> In addition, *EGFR* amplification and *IDH1* mutations are mutually exclusive in low-grade gliomas.<sup>25</sup> Similarly, our study showed that the mutual exclusion and coexistence of these gene mutations occurred in at least five samples (Figure 3). However,

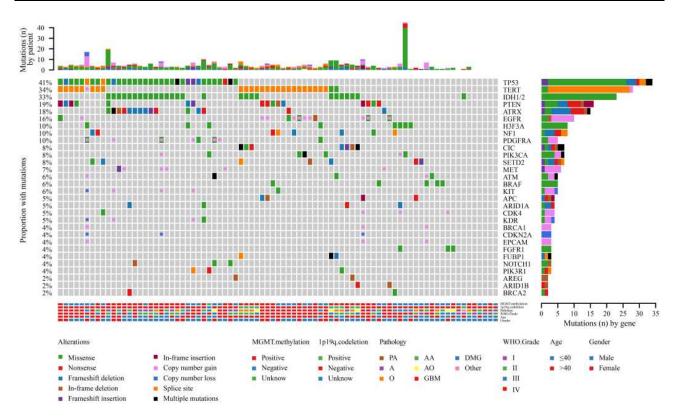


Figure I The landscape of genetic alterations in 75 of 83 samples (90.36%) from 81 glioma cases. Genetic mutations were identified by targeted next-generation sequencing in the tumor tissues of patients. The upper panel shows the numbers of nonsynonymous single-nucleotide variants, small insertions or deletions and copy number variants in each tumor. The heat map below shows genes with somatic mutations sorted according to the mutation frequency. Mutations (n) is the number of mutations per gene.

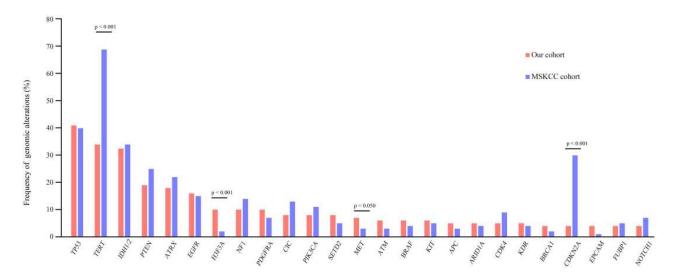


Figure 2 Comparison of mutation frequencies of the top 25 genes from the Chinese and MSKCC cohorts. Commonly mutated genes are arranged in order on the horizontal axis. The vertical axis represents the mutation frequency obtained from a different cohort.

our analysis showed that additional genetic alterations coexisting in gliomas, such as *MGMT* methylation and *IDH1, ATRX, TP53*, and *CIC* somatic mutations, significantly coexisted (Figure 3). Other considerable coexisting

genes included *ATRX* and *TP53*, *PTEN* and *APC*, *CIC* and *MGMT* methylation, and 1p/19q codeletion, as well as *FUBP1* and *TERT* and *SETD2* (P<0.05) (Figure 3). In contrast, mutual gene exclusion was relatively rare in

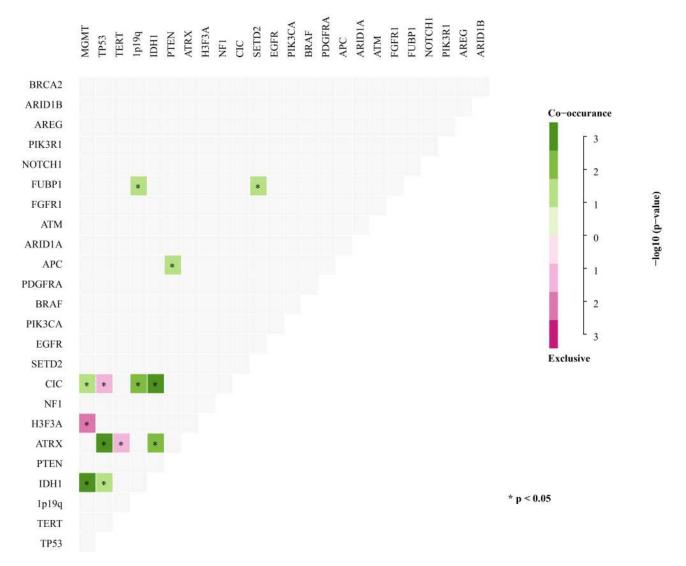


Figure 3 Concurrent and mutually exclusive somatic mutation patterns of significantly mutated genes. Significance was calculated using Fisher's exact test, \*p < 0.05.

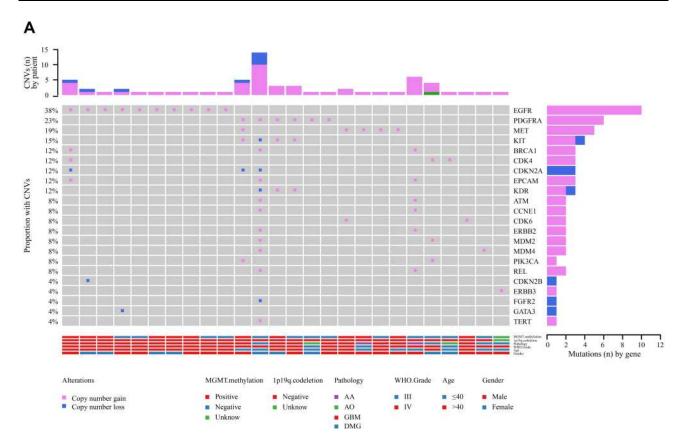
gliomas. Only *CIC* and *TP53*, *TERT* and *ATRX*, *MGMT* methylation and *H3F3A* showed significant mutual exclusion (Figure 3).

#### Copy Number Variation (CNV) Analysis

Copy number variation is a prevalent form of abnormal change in the copy number of several specific genes that commonly occur in tumor development and progression and results in altered gene expression.<sup>26,27</sup> In this study, NGS-based CNV analysis was used to examine the impact of CNV in glioma in a Chinese cohort. A total of 61 CNVs were identified in 26 samples (31%), with an average of 2.35 CNVs (1–14) per sample (Figure 4A). There were 53 copy number amplifications and copy number deletions (Figure 4A). CNVs frequently occurred in the age group older than 40 years (17, 20%) (Figure 4A). In addition,

high-grade gliomas, such as GBM, were characterized by more frequent CNVs (18, 22%), while low-grade gliomas rarely exhibited CNV (P=0.0001), suggesting that CNV is closely related to patient survival (Table 2).

Previous studies have shown that CNV involves a set of tumor suppressor genes and oncogenes found in GBM.<sup>28,29</sup> Importantly, these studies showed that GBM with *EGFR* amplification was significantly associated with both worse disease-free survival (DFS) and overall survival (OS) rates.<sup>28,29</sup> Hence, the CNV profile in glioma is worth noting in our cohort. In comparison to the 13 genes with the highest CNV in the MSKCC cohort, we found that the frequencies of CNV in *MET*, *EPCAM*, *ATM*, *CCNE1*, *CDK6*, and *ERBB2* were much higher, while *EGFR*, *CDK4*, and *CDKN2A* were much lower in our cohort (Figure 4B). However, the frequencies of CNV in



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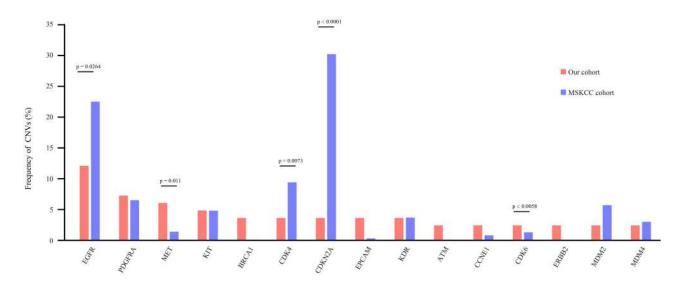


Figure 4 Copy number variation (CNV) analysis. (A) Distribution shift of CNVs in 26 of 83 glioma samples (31.33%). Copy number losses (blue) and gains (pink) were determined from sequencing data. CNVs (n) is the number of mutations per gene. (B) Comparison of mutation frequencies of CNVs among the top 15 genes from the Chinese and MSKCC cohorts. The commonly CNV genes are arranged in order on the horizontal axis. The vertical axis represents the CNV frequency obtained from a different cohort.

Variable	PA (N=6)	A/AA (N=20)	O/AO (N=17)	GBM (N=32)	DMG (N=8)	Р
TP53	0	13	3	15	3	0.0101
TERT	0	1	6	19	2	0.0005
IDH1/2	0	11	12	4	0	<0.000
PTEN	0	3	0	12	1	0.0123
EGFR	0	0	0	13	0	<0.000
ATRX	0	7	1	6	1	0.1318
H3F3A	0	0	0	0	8	<0.000
NFI	0	2	1	4	1	0.8623
PDGFRA	0	0	1	5	2	0.1627
CIC	0	1	6	0	0	0.0004
РІКЗСА	0	0	0	4	3	0.0095
SETD2	1	0	3	3	0	0.2862
MET	0	2	0	4	0	0.41
ATM	0	1	2	1	1	0.6484
BRAF	0	3	1	1	0	0.3771
KIT	0	0	0	4	1	0.2211
APC	0	0	1	3	0	0.5231
ARID I A	0	3	0	0	I	0.0801
CDK4	0	0	1	2	I	0.6393
KDR	0	1	0	2	1	0.6739
BRCAI	0	1	0	1	1	0.5905
CDKN2A	0	0	0	2	I	0.3975
EPCAM	0	1	0	1	1	0.5905
FGFRI	0	0	2	0	1	0.1242
FUBPI	0	0	3	0	0	0.0167
NOTCHI	0	1	1	1	0	0.9234
MGMT	2	13	13	15	0	0.0042
Ip19q	0	0	10	0	0	<0.000
CNV	0	2	2	18	4	0.0004
Age≤40	5	9	7	3	5	0.0007
Age>40	I	11	10	29	3	
Male	6	14	13	23	4	0.344
Female	0	6	4	9	4	

**Table 2** Distributions of Representative Genomic Alterations and Clinical Characteristics Between the Different PathologicalSubtypes with Glioma

Note: CNV, the number of glioma patients with CNV events.

*PDGFRA, KIT, KDR*, and *MDM2/4* were not significantly different from those in the MSKCC cohort (Figure 4B).

# Mutational Landscape in Pathological Subtypes

There were fewer gene mutations in PA, which were primarily found in males aged  $\leq$ 40 years and were under WHO grade I (Table 2). Those >40 years old (P=0.007) were the majority in GBM patients compared to PA, A, AA, O, AO, and DMG (Figure 5) (Table 2). Similarly, mutations in *TP53* (P=0.0101), *TERT* (P=0.0005), *IDH1* (P<0.0001), *PIK3CA* (P=0.0095), *PTEN* (P=0.0123), *EGFR* amplification (P<0.0001), MGMT methylation (P=0.0042), and CNV (P<0.0001) were dominant in GBM compared to the other subtypes (Figure 5) (Table 2). In addition, GBM also exhibited recurring genetic mutations, including *ATRX* mutations (6/32, 19%) and *PDGFRA* amplification (5/32, 16%) (Figure 5) (Table 2). Hence, as expected, among patients with grade II–III A/AA, *TP53* mutation was the most common genomic alteration (13/20, 65%), followed by *IDH1/2* mutation (11/20, 55%) and *ATRX* (7/20, 35%) (Table 2). Moreover, in the Grade II–III O/AO subgroup, *IDH1/2* mutation (12/17, 71%), *TERT* mutation (6/17, 35%), and 1p19q codeletion (10/17, 59%) were the major genetic alterations (Figure 5)

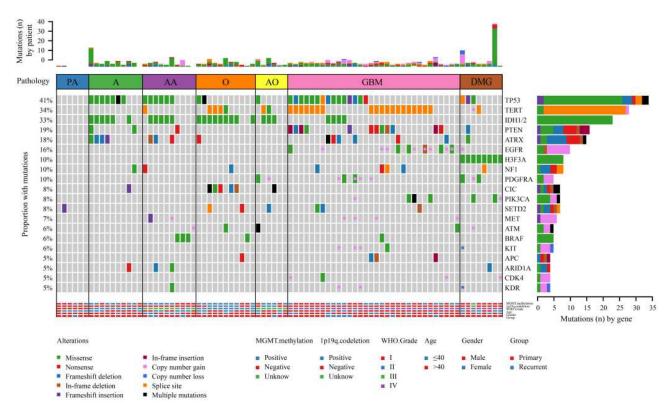


Figure 5 Comprehensive molecular profiles of different pathological subtypes.

(Table 2). Most O/AOs exhibited *TERT* promoter (6/17, 35%), *CIC* (6/17, 35%), and *FUBP1* (3/17, 18%) mutations (Table 2). The *H3K28M* mutation only occurred in DMG patients. Other common genetic alterations in DMG included *TP53* mutation (3/8, 38%), *TERT* promoter mutation (2/8, 25%), *PDGFRA* amplification (2/8, 25%), *PTEN* mutation (1/8, 13%), and *ATRX* mutation (1/8, 13%), but no mutations were observed in *IDH*, *EGFR*, or MGMT methylation (Table 2). In addition, MGMT methylation was evenly distributed among the three major subtypes: A/AA (13/20), O/AO (13/17), and GBM (15/32) (Figure 5) (Table 2). These findings indicate that each subtype of glioma has a distinct pattern of genetic alterations, and only multigene NGS analysis is able to identify these gene markers simultaneously.

Our results found that among 17 patients with oligodendroglial tumors only 10 patients with *IDH* mutations and 1p19q codeletion. The molecular classification results of 7 patients were inconsistent with the pathological classification results. Two of these cases harbored an *IDH1 R132H* mutation, so the status of 1p/19q was of additional diagnostic import. The two cases were classified as based on morphology but harbored 1p19q-intacted and *TP53* mutation, which is sufficient for the revised diagnosis of *IDH* mutation type A (2016 WHO CNS criteria). Five cases harbored *IDH*-wild mutation and 1p19q-intacted, but two cases of them mutated in *FGFR1*, one case mutated in *BRAF V600E*, and two cases mutated in other mutations (eg, *ATM*, *PDGFRA*), which is for the revised diagnosis of *FGFR* mutation type, *BRAF* mutation type and NEC diffuse glioma (cIMPACT-NOW update4).<sup>30</sup> Here we have demonstrated the utility of NGS panel in identifying the relevant alterations necessary for subtyping O/AO using the updated glioma classification.

#### Analysis of Genomic Features in Different WHO Grades

In this study, targeted sequencing was used to analyze the pattern of mutations frequently associated with high-grade and low-grade gliomas. We evaluated SNVs, short insertions, deletions, and CNVs of 28 genes in 27 low-grade gliomas (LGGs) and 56 high-grade gliomas (HGGs). There was a significant difference between low-grade and high-grade gliomas with respect to sex and age (Figure 6) (Table 3). Patients  $\leq$ 40 years old were often found to have low-grade gliomas (P=0.0248), while women were more likely to develop high-grade gliomas (P=0.019). We found that mutations in *IDH1/2* (P=0.0019)

and *CIC* (P=0.0017) were more prevalent in low-grade gliomas (Figure 6) (Table 3). On the other hand, mutations in *TERT* (P=0.0398), *EGFR* (P=0.0064), *H3F3A* (P=0.0388), *PDGFRA* (P=0.0388), and CNV (P<0.0001) were primarily found in high-grade (III–IV) gliomas (Figure 6) (Table 3). Seven gene mutations (*TERT*, *IDH1*, *EGFR*, *H3F3A*, *PDGFRA*, and *CIC*) and CNV were typically observed in HGG (Figure 6) (Table 3). Of note, several genomic mutations have been found only in high-grade tumors, including *EGFR* and *MET* amplification, *PTEN* genomic deletion, and *PIK3CA* mutations, indicating that mutations in these genes may represent biomarkers for predicting poor prognosis in high-grade gliomas.

Although high frequencies of IDH1/2 mutations are typically used for the molecular genetic classification of gliomas according to the modern WHO CNS tumor classification,<sup>3,25</sup> our findings indicated that the mutational frequency of IDH1/2 in LGG (15/27) and HGG (12/56) did not provide high accuracy in the classification for grading gliomas (Table 3). On the other hand, previous reports have documented that amplification of chromosome 7 and deletion of chromosome 10 are the primary tumor-driving events in low-grade gliomas.<sup>31</sup> Consistently, our targeted sequencing results demonstrated that the *EGFR* gene, which is located on chromosome 7, was amplified in 13/27 LGGs, and the *ATRX* gene, which is located on chromosome 10, was deleted in 5/27 LGGs, while there was no detection of either gene in HGGs (Table 3). This suggests that WHO reclassification should consider not only *IDH1/IDH2* mutations<sup>3</sup> but also *EGFR* amplification and *ATRX* deletion simultaneously for the diagnosis of glioma tumor grading.

### Analysis of Mutated Genes by Different Disease States

The significant differences in mutated genes between the initial treatment and recurrent groups included *IDH1/2*, *ATRX*, *CIC*, *MET*, and *KIT* genes. *IDH1/2* (P=0.0391), *ATRX* (P=0.0365), *CIC* (P<0.0001), *MET* (P=0.0367), and *KIT* (P=0.0201) mutations were significantly higher in recurrent gliomas than in gliomas receiving initial treatment (Figure 7) (Table 4). In addition, the frequency of CNV was 2-fold higher in the recurrence group than in the initial treatment group (Figure 7) (Table 4). However, additional data with a larger sample size are needed for further confirmation.

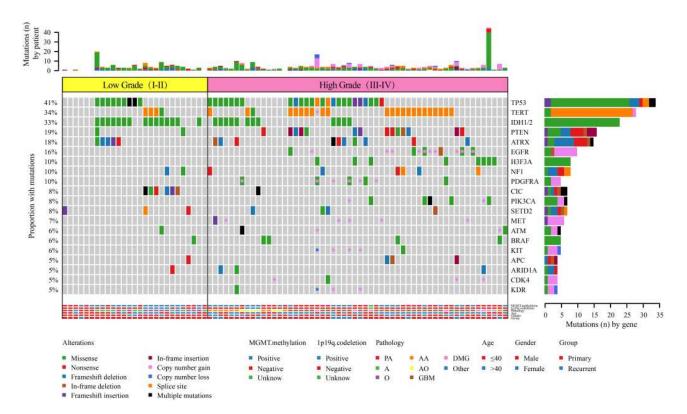


Figure 6 Comparison of the mutational landscape between low-grade (I-II) and high-grade (III-IV) glioma.

Table 3Comparison of Important Genes with SomaticAlterations and Clinical Characteristics in Low-Grade (I–II) andHigh-Grade (III–IV) of Glioma

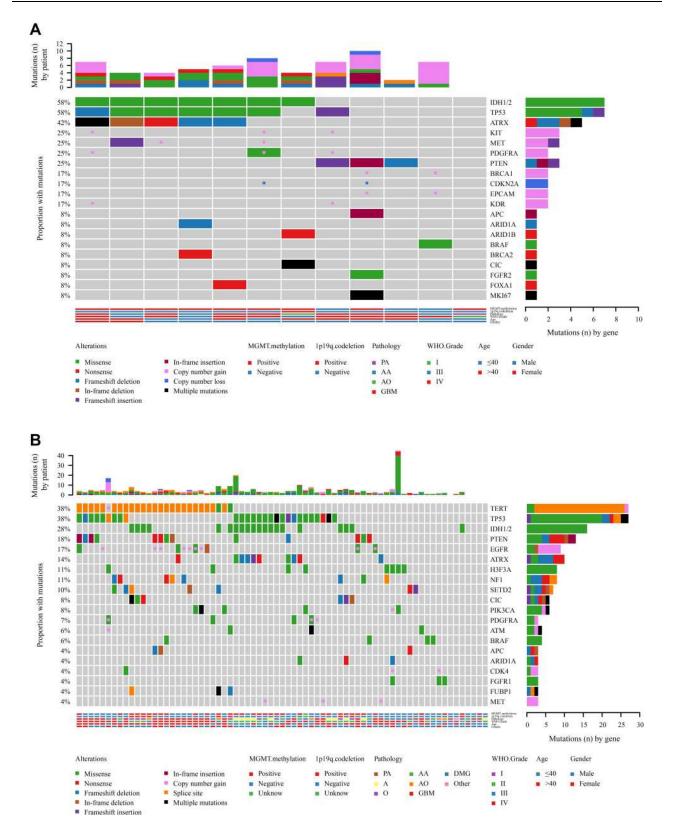
Variable	I–II (N=27)	III–IV (N=56)	Ρ
TP53	9	25	0.3263
TERT	4	24	0.0398
IDH1/2	15	12	0.0019
PTEN	2	14	0.057
EGFR	0	13	0.0064
ATRX	5	10	0.9415
H3F3A	0	8	0.0388
NFI	2	6	0.6325
PDGFRA	0	8	0.0388
CIC	6	1	0.0017
РІКЗСА	0	7	0.0549
SETD2	3	4	0.3715
MET	0	6	0.0774
ATM	1	4	0.5373
BRAF	1	4	0.5373
KIT	0	5	0.1092
APC	1	3	0.7418
ARIDIA	1	3	0.7418
CDK4	0	4	0.1546
KDR	0	4	0.1546
BRCAI	0	3	0.2206
CDKN2A	0	3	0.2206
EPCAM	0	3	0.2206
FGFRI	0	3	0.2206
FUBP1	2	T	0.1986
NOTCHI	I	3	0.7418
MGMT	18	25	0.0599
lp19q	7	3	0.0070
CNV	0	26	<0.0001
Age≤40	14	15	0.0248
Age>40	13	41	
Male	24	36	0.019
Female	3	20	

#### Discussion

Improved classification of gliomas using molecular criteria led to a significant revision of the WHO criteria for brain tumors in 2016.<sup>32</sup> To date, the neuropathology diagnostic laboratory has performed individual tests for selected biomarkers, such as *IDH1*, *IDH2*, *ATRX*, and *TERT*, as well as mutations in *H3F3A* and *BRAF* and 1p/19q chromosome deletion.<sup>33</sup> This involves a variety of detection methods, including the use of mutation-specific antibodies, such as IHC against *IDH1-R132H*, *BRAF-V600E*, and *H3K28M*,<sup>34</sup> conventional Sanger sequencing or tumor DNA

pyrosequencing to detect mutations/methylation, and fluorescence or chromogenic in situ hybridization (FISH/CISH) and microsatellite analysis to detect 1p/19q chromosome deletion.<sup>35</sup> However, emerging genetic mutations have broad prospects for screening, prognosis, and use as biomarkers.<sup>36</sup> Recent studies have shown that these standards can be achieved using NGS-based methods. Several studies have found that NGS panels are able to detect known genetic mutations identified by traditional techniques.<sup>37,38</sup> Our multigene NGS panel simultaneously evaluated multiple mutations, insertions, gene rearrangements, and CNVs associated with gliomas in Chinese patients. Our molecular analysis confirmed that the significant value of NGS targeting gliomas could help improve the classification of brain tumors and could help physicians choose the best targeted therapy.<sup>39</sup> However, our detection panel was limited in that MGMTmethylation detection was not included in the detection range and must be tested separately using other methods.

A clear distinction among glioma subgroups remains insufficient and needs to be improved to dissect these neoplasms into meaningful biological subgroups. For example, it is unclear how to distinguish between highrisk and low-risk patients with LGG.<sup>40</sup> Diffuse gliomas comprise most brain tumors, including A/AA and O/AO.<sup>41</sup> Their correct pathologic classification could absolutely convey a better outcome in response to targeted therapy. However, diagnosis of these tumors remains challenging because they cannot be confirmed simply based on histological findings, and there is a need for reliable genetic and immunohistochemical markers.<sup>42</sup> In contrast, targeted NGS or massive parallel sequencing allows accurate examination of a wide range of genetic alterations in tumors simultaneously within a short time.<sup>43</sup> According to the 2016 WHO CNS tumor classification, targeted NGS used for molecular diagnosis has been routinely applied for diagnosis of these brain tumors.<sup>37,44</sup> Indeed, numerous studies have reported the analytic value of targeted NGS for routine brain tumor diagnostics. Zacher et al used 20 gene panels to conduct a comprehensive histological and molecular classification of 111 diffuse gliomas, reclassifying OA and GBM based on the status of IDH mutations and identifying tumors with H3F3A mutations.<sup>45</sup> Ballester et al used a broader NGS panel (46-50 genes) in 381 brain tumors and found that the marker genes most relevant to brain tumor classification were IDH1/2, TP53, PIK3CA, BRAF, EGFR, PDGFRA, and FGFR1/2/3.46 Indeed, in our study, 17 samples contained oligodendrocyte components





**Table 4** Overview of the Distinctive Characteristics for Primary

 and Recurrent Glioma

Variable	Recurrence (N=12)	Primary (N=71)	Ρ
TP53	7	27	0.2161
TERT	1	27	0.0522
IDH1/2	7	20	0.0391
PTEN	3	13	0.6927
EGFR	1	12	0.6805
ATRX	5	10	0.0365
H3F3A	0	8	0.5954
NFI	0	8	0.5954
PDGFRA	3	5	0.086
CIC	7	0	<0.0001
РІКЗСА	1	6	>0.9999
SETD2	0	7	0.5861
MET	3	3	0.0367
ATM	1	4	0.5516
BRAF	1	4	0.5516
KIT	3	2	0.0201
APC	1	3	0.4713
ARIDIA	1	3	0.4713
CDK4	0	4	>0.9999
KDR	2	2	0.098
BRCAI	2	1	0.0534
CDKN2A	2	1	0.0534
EPCAM	2	1	0.0534
FGFRI	0	3	>0.9999
FUBP1	0	3	>0.9999
NOTCHI	Ι	2	0.3779
MGMT	9	34	0.1193
Ip19q	I	9	0.6691
CNV	7	19	0.0431
Age≤40	5	24	0.7448
Age>40	7	47	
Male	10	50	0.4957
Female	2	21	
WHO IV	8	33	0.2268
WHO I-III	4	38	
A/O	0	21	0.0997
AA/AO	4	12	
GBM	7	25	
DMG	0	8	
Others	1	5	
L		<u> </u>	

and were originally diagnosed as Grade II–III O/AO. In the subgroup, *IDH1/2* mutation (12/17, 71%), *TERT* mutation (6/17, 35%), and 1p19q codeletion (10/17, 59%) were the major genetic alterations. Except for mutations in *IDH1/2* and *TERT*, O/AO also had *CIC* and *FUBP1* mutations. Seven patients did not conform to the 2016 WHO CNS classification criteria of IDH mutated and 1p19g codeletion. We re-analyzed a series of AO/O cases and using an 808-panel NGS assay, refined or reclassified the diagnoses based on the molecular-rich criteria (eg, BRAF, FGFR) provided in the cIMPACT-NOW update4(2) CNS tumor classification. The pathological of these patients have been redefined. In addition, Sahm et al found that their NGS panel identified diagnostic markers and actionable targets for brain tumors.47 However, none of these studies further improved the diagnostic value due to a lack of large NGS panel data. In contrast, our study found not only similar genetic markers in brain tumors but also additional and novel mutational and genetic alterations used for the classification and prognosis of brain tumors, such as mutations in CIC, FUBP1, TP53, BRAF, PIK3CA, NF1, FGFR, NOTCH1, EGFR, ATM, and PDGFRA amplification. These novel and clinically actionable variants could assist in exploring better-targeted therapies for glioma treatment.

In this study, the most common mutations in invasive glioma were TP53. TERT. IDH1. PTEN. ATRX. and EGFR, consistent with other reports.<sup>48,49</sup> In astrocytoma grade II/III, 10/11 (91%) IDH mutation cases also exhibited TP53 mutations, and 4/4 (100%) IDH1 mutations in GBM also contained TP53 mutations. Neither grade II/III astrocytoma nor grade IV GBM with IDH1 mutation exhibited EGFR mutations or PDGFRA amplification. All LGGs and HGGs with gene amplification evidence were primarily IDH wild type, particularly GBM, which was 100% IDH wild type and exhibited gene amplification. Only 2 IDH mutant gliomas had MET amplification comutations, indicating that IDH1/2 and copy numbers rarely cooccur. IDH2 mutations are rare in GBM. These data are consistent with the existence of distinct genetic pathways for primary (IDH-WT) and secondary (IDHmutant) GBM.<sup>50</sup>

Our estimated gene amplification events based on NGS coverage data were 0% O (grade II), 10% A/AA (grade II/III), 11.76% AO tumors (grade III), and 56.25% GBM (grade IV), indicating that copy number variation is more frequent in high-grade gliomas. *EGFR* and *PDGFR* amplification events can be detected by NGS analysis and may guide therapeutic intervention.<sup>23</sup> For example, clinical trials (NCT01257594, NCT02331693, NCT02101905, and NCT02233049) evaluating *EGFR* or *PDGFR* inhibitors, such as erlotinib, lapatinib, and nilotinib, or immunotherapy-based methods for *EGFR*-overexpressing tumors, as well as regorafenib in relapsed glioblastoma

(REGOMA) (NCT02926222)<sup>51</sup> (<u>https://clinicaltrials.gov/</u>), are ongoing. However, before using gene amplification results for clinical decision-making, it is best to use other molecular analyses for proper clinical validation to guide targeted therapy in glioblastoma.<sup>52,53</sup>

Herein, we found that the frequency of CNV events in high-grade gliomas was significantly higher than in low-grade tumors, and the recurrence group had significantly more CNV events than the initial treatment group. Previous studies have found that the existence of EGFR amplification in CNV analysis was significantly associated with both worse disease-free survival (DFS) and overall survival (OS) in gliomas.<sup>28,29</sup> Obviously, DNA copy number variations, especially amplification on chromosome 7, including EGFR/MET/CDK6, and chromosome 4, including PDGFRA, are commonly observed in gliomas.<sup>23</sup> IDH mutation is a well-known prognostic factor. According to previous reports, the CNV pattern in IDH-mutated gliomas is distinct from that in IDH wildtype groups, and the prognosis is worse.<sup>28</sup> In comparing our cohort to the MSKCC cohort, which has a primarily Caucasian racial background, some gene mutations, especially the incidence of CNVs, were different. The reason may be differences in ethnicity and clinical characteristics between the two cohorts. In addition, we noted that CDKN2A has a lower mutation frequency in Chinese patients. Previous studies have shown that in terms of OS, loss of CDKN2A is associated with poor treatment response and shorter OS in diffuse glioma.<sup>54</sup> However, MET, EPCAM, and ERBB2 CNVs have a higher mutation frequency in Chinese patients, indicating that it is reasonable and feasible to identify risk stratification factors from recurring characteristics.

#### Conclusions

Routine use of multigene NGS in the simultaneous evaluation of multiple relevant markers is a reliable and effective method for identifying novel genetic alterations for better classification of brain tumors. This allows clinicians to evaluate prognosis and additional potential therapeutic options, such as targeted therapy, for patients with gliomas according to different racial or ethnic groups. Our findings implicate gene panel NGS as a promising diagnostic technique that may facilitate integrated histological and molecular glioma classification. It is expected that NGS-based molecular analysis may play an increasingly important role in formal cancer classification and treatment of brain tumors in the future.

#### **Ethical Statement**

The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). This study was approved by the Medical Ethics Committee of Peking University International Hospital (YN2020QN03), and the written informed consent was obtained from each patient. We confirmed all the data used in this study was anonymized or maintained with confidentiality.

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### **Author Contributions**

All authors made substantial contributions to conception and design, acquisition of data, or analysis and interpretation of data; took part in drafting the article or revising it critically for important intellectual content; agreed to submit to the current journal; gave final approval of the version to be published; and agree to be accountable for all aspects of this work.

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#### Disclosure

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