Dose-Response Association of Waist-to-Height Ratio Plus BMI and Risk of Depression: Evidence from the NHANES 05–16

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Background: Obesity or underweight has been found to be associated with depression, but the relationship remains to be determined so that more precise prevention strategies can be implemented. Body mass index (BMI) and waist–height ratio (WHR) were used as indicators to study the dose–response relationship between depression and obesity or underweight.

Methods: We obtained basic information and disease-related data for 13,975 adults from the National Health and Nutrition Examination Survey (NHANES) 2005–2016 dataset. The depressive status was determined based on the PHQ-9 scale (>4). Logistic regression was used to analyze the association and risk of BMI, WHtR and depressive status. Based on the results of logistic regression, the dose–response relationship between BMI, WHtR and depressive state was analyzed using restricted cubic splines (RCS).

Results: The adjusted model showed that compared with the fourth quartile (Q4) of BMI, the odds ratios (ORs) of depression for Q1, Q2 and Q3 were 0.63 (0.56–0.71), 0.61 (0.54–0.68) and 0.74 (0.66–0.82), and compared with the fourth quartile (Q4) of WHtR, the odds ratios (ORs) of depression for Q1, Q2 and Q3 were 0.55 (0.49–0.62), 0.57 (0.51–0.64) and 0.64 (0.57–0.71), respectively. The restricted cubic spline regression depicted a U-shaped dose–response relationship between continuous changes of obesity indicators and the risk of depression ($P_1, P_2 < 0.001$). When the participants' BMI reached approximately 25kg/m^2 with the reference value of BMI was 18.5kg/m^2 , the risk of depression was minimized ($\text{OR}=0.68$, $95\% \text{ CI}=0.56–0.83$). When the WHtR reached approximately 0.52 with the reference value of WHtR was 0.40, the risk of depression was minimized ($\text{OR}=0.69$, $95\% \text{ CI}=0.54–0.88$).

Conclusion: We found a significant U-shape correlation between BMI, WHtR and depression. People with slight overweight have the lowest risk of depression. However, according to the International Obesity standards, the population at these levels of weight may have an obesity-chronic disease risk, and this is not recommended.

Keywords: BMI, waist-to-height ratio, depression, dose–response relationship

Introduction

Depression is a mood or emotional disorder mainly characterized by depression, low interest and slow thinking. Due to the high incidence rate, high recurrence rate and high mortality rate,^{1,2} depression has imposed a serious social and economic burden on patients and their communities. According to statistical data, depression has become the most widespread mental disorder in the world, with a prevalence rate of 15%–30%.³ Obesity is a risk factor for many chronic diseases, such as type 2 diabetes (DM), hypertension (HTN), and cardiovascular disease.^{4,5} In addition,

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series of epidemiological studies have shown that underweight and obesity are associated with depression,^{6–10} which indicates that there may be a U-shaped relationship between the clinical obesity indicator and depression.^{11–13} However, inconsistent results have been conducted by related studies investigating the relationship between obesity and depression, and almost all of these studies used the single obesity index to assess obesity. In practice, body mass index (BMI) combined with waist–height ratio (WHtR) is better than the single index in identifying overweight and obese people with a high risk of cardiovascular disease and diabetes.^{14,15}

In this study, we aimed to explore the dose–response relationship between weight-related indicators and depression in the United States (US) adults with restricted cubic splines (RCS) method. BMI and WHtR were used to make more comprehensive and objective statistics on this relationship.

Methods

Data Collection

The National Health and Nutrition Examination Survey (NHANES) is one of the series of health-related programs conducted by the National Center for Health Statistics (NCHS) which provides information on the health and nutritional status of the noninstitutionalized civilian resident population of the United States.¹⁶ NHANES data are released on two-year cycles starting in 1999, and the survey covers a wide range of people, including the population of all 50 states and the District of Columbia.¹⁷ Data from the NHANES program were collected mainly through questionnaires and health examinations, and quality control was conducted by trained interviewers and mobile examination centers.

In the study, we analyzed NHANES data from 2005 to 2016. Missing data on the Mental Health-Depression Screener and body measures were eliminated. After excluding these samples, 13,975 adults from the NHANES database were included in the subsequent analysis.

Body Measures

Body measurements were performed at a mobile physical examination center, and were measured and recorded by a health technologist. The height, weight and waist circumference of subjects were measured according to current anthropometric standards.¹⁸ Body measurements were

performed at a mobile physical examination center and were measured and recorded by health technologists.

Weight measurement: Participants will be weighed in kilograms using a digital weight scale. Participants should wear the standard MEC examination gown, which consists of a disposable shirt, pants, and slippers. Survey participants (SPs) should wear only underpants beneath the gown.¹⁹

Height measurement: The SPs' height will be measured by professional guidance and assistance. Specifically, the mobile physical examination center is equipped with special height measuring instruments. Before use, patients will be required to remove head ornaments and braids and other things that may affect the measurement results. Patients will be instructed to put their feet flat so that their weight is evenly distributed, their back against to the backboard and their head is kept level, so as to complete the measurement.¹⁹

Waist measurement: The SPs were instructed to cross his arms with his hands, and the circumference of the midpoint line between the lowest point of the rib and the two horizontal lines of the iliac crest was measured with a soft ruler. Take the measurement to the nearest 0.1 cm at the end of the SP's normal expiration. The result was recorded.¹⁹

More detailed information about body measurements can be found on the official NHANES project website (<https://wwwn.cdc.gov/nchs/nhanes/ContinuousNhanes/Default.aspx?BeginYear=2015>).

After obtaining the height and weight data, the formula was used to complete the calculation of BMI value. According to WHO guidelines (WHO, 2008), BMI is used to determine the prevalence of underweight, overweight and obesity. The subjects with BMI less than 18.50 kg/m² were underweight, those with normal weight were 18.50 to 24.99 kg/m², those with BMI between 25 and 29.99 kg/m² were overweight, subjects with BMI $\geq$ 30 kg/m² were obese patients.²⁰

The waist-to-height ratio has been proposed many years ago. At the 26th European Conference on obesity (ECO) in 2019, index values below 0.40 are considered too low, the normal range is 0.40–0.49, the rising range is 0.50–0.59, and values equal to or higher than 0.60 are considered to be very high. Abdominal obesity was defined as WHtR $\geq$ 0.50.²¹

Assessment of Depressive Symptoms

Each participant completed a questionnaire to assess depression which was based on the Patient Health

Questionnaire (PHQ). The PHQ is an instrument for making criteria-based diagnosis of depressive as a self-administered version of the PRIME-MD, which scores each of the 9 DSM-IV criteria as “0” (not at all) to “3” (nearly every day). Calculate the response option scores and add them to get the total score between 0 (Asymptomatic) and 27 (Symptom appears almost every day).²²

The PHQ-9 scores of 5, 10, 15, and 20 represented mild, moderate, moderately severe, and severe depression, respectively. Depression was defined as PHQ score ≥ 5 .²³

Covariates

In order to make the results convincing, each variable has been classified: age (categorized into 20–44, 45–64, ≥ 65), sex (female, male), race (Non-Hispanic White, Non-Hispanic Black, Mexican American, Asian and other), family poverty-to-income ratio ($\leq 100\%$, $>100\%$), education levels (<11 th grade, high school graduate, some college or AA degree or college graduate or above), marital status (married, widowed, or never married), smoking (yes, no), drinking alcohol (yes, no).

Ethics Statement

Information from NHANES is made available through an extensive series of publications and articles in scientific and technical journals. For data users and researchers throughout the world, survey data are freely available on the internet and on easy-to-use CD-ROMs. Informed consent was not required as personal identifying information was not included. The present study was approved by the institutional research committee of The First Affiliated Hospital of Xi'an Jiaotong University.

Statistical Analysis

Statistical analysis was performed using Stata15.1. Data were presented as a means-standard deviation for continuous variables and as proportions (or percentage) for categorical variables. The descriptive statistical analysis was used to describe the qualified sample participants, the mean and standard deviation were used to describe the measurement data, and the ratio, composition ratio and ratio (or) of the counting data were used. The comparison between groups was performed by *t*-test and χ^2 test, respectively. Multivariate logistic regression model was used to explore the independent relationship between obesity and depression, and to adjust for potential confounding factors. BMI and WHtR were used as

independent variables for logistic regression analysis of depression. In order to analyze the trend of ORs for BMI and WHtR, BMI and WHtR were analyzed as quartiles in logistic regression analysis. Quartiles analysis help to discover the trend of joint variation for ORs and weight-related indicators in different quartiles.

RCS was used to detect the dose–response relationship between BMI, WHtR and depression. The 25th, 50th and 75th percentile of BMI and WHtR distribution were used as fixed knots. The RCS models were adjusted for age, sex, race, education level, marital status, family PIR, smoking status, drinking-alcohol status. We used the RCS model to study the non-linear relationship between variables. The likelihood ratio test was used to test the two models: one model only included linear effects, the second model also included the cubic spline function. The double tail probability value $p \leq 0.05$ was considered to be statistically significant.

Results

Baseline Characteristics

Table 1 shows the demographic and clinical baseline characteristics of all participants and summarizes the distribution of all the key variables considered in this study among the individuals studied.

The incidence of depression was significantly correlated with the following covariates: gender (male, female), age (categorized into 20–44, 45–64, ≥ 65), race ($P < 0.001$), educational level ($P < 0.001$), marital status ($P < 0.001$), smoking status ($P < 0.001$), drinking alcohol ($P < 0.001$).

Logistic Regression Analyses

Table 2 shows the univariate and multivariate logistic regression analyses of the relationship between BMI, WHtR and depression. The fourth quartile (Q4) of independent variable was used as the reference group.

The univariate group showed that compared with the Q4 of BMI and WHtR, the ORs of depression for Q1 Q2 and Q3 of BMI were 0.57 (0.52–0.64), 0.53 (0.48–0.60) 0.67 (0.61–0.75), for Q1, Q2 and Q3 of WHtR were 0.47 (0.43–0.53), 0.48 (0.43–0.54) 0.57 (0.52–0.64).

Model 1 group was adjusted for confounding factors such as age, gender, race, education, education, marriage, and poverty ratio, the results were similar to those in the univariate group, compared with the Q4 of BMI and WHtR, the ORs of depression for Q1 Q2 and Q3 of BMI were 0.65 (0.58–0.73), 0.62 (0.55–0.69), 0.75 (0.67–0.83),

Table 1 Baseline Characteristics

Characteristics	No Depression	With Depression	P value
N (participants)	10,558	3417	
Sex			<0.001
Male (%)	5548 (52.5)	1352 (39.6)	
Female (%)	5010 (47.5)	2065 (60.4)	
Age			<0.001
20–44 (%)	4643 (43.9)	1387 (40.5)	
45–64 (%)	3553 (33.7)	1290 (37.7)	
≥65 (%)	2362 (22.4)	740 (21.8)	
Race			<0.001
Mexican American (%)	1444 (13.7)	463 (13.5)	
Other Hispanic (%)	1091 (10.3)	429 (12.5)	
Non-Hispanic White (%)	4052 (38.3)	1353 (39.6)	
Non-Hispanic Black (%)	2304 (21.8)	798 (23.4)	
Non-Hispanic Asian (%)	1348 (12.7)	239 (6.9)	
Other Race (%)	319 (3.2)	135 (4.1)	
Education level			<0.001
Less than 11th grade (%)	2095 (19.8)	941 (27.5)	
High school graduate (%)	2226 (21.1)	820 (23.9)	
College graduate or above (%)	6237 (59.1)	1656 (48.6)	
Marital status			<0.001
Married/living with partner (%)	6543 (61.9)	1672 (48.9)	
Widowed/divorced/separated (%)	1982 (18.8)	985 (28.8)	
Never married (%)	2033 (19.3)	760 (22.3)	
Family PIR			<0.001
≤100% (%)	1869 (17.7)	1035 (30.3)	
>100% (%)	8689 (82.3)	2382 (69.7)	
Smoking			<0.001
Yes (%)	4247 (40.2)	1809 (52.9)	
No (%)	6301 (59.8)	1608 (47.1)	
Drinking alcohol			0.083
Yes (%)	7523 (71.3)	2499 (73.1)	
No (%)	3025 (28.7)	914 (26.7)	
BMI (mean±SD)	28.70±6.34	30.30±7.75	<0.001
WHtR (mean±SD)	58.80±9.30	61.46±10.88	<0.001
PIR, poverty-to-income ratio			

for Q1, Q2 and Q3 of WHtR were 0.56 (0.50–0.64), 0.58 (0.52–0.65), 0.64 (0.58–0.72).

Model 2 group was based on the model 1 group, covariates of drinking and smoking were added. After adjusting for confounding factors such as age, gender, race, education, education, marriage, poverty ratio, smoking and drinking alcohol, the trend for OR value in quartile still existed. The ORs of depression for Q1 Q2 and Q3 of BMI were 0.63 (0.56–0.71), 0.61 (0.54–0.68) 0.74

(0.66–0.82), for Q1, Q2 and Q3 of WHtR were 0.55 (0.49–0.62), 0.57 (0.51–0.64) 0.64 (0.57–0.71).

Table 3 also shows the univariate and multivariate logistic regression analyses. BMI and WHtR were classified according to standard guidelines. Based on BMI classification, underweight and obesity groups showed a higher depression risk in all the three models. The ORs of depression for underweight and obesity of BMI were 1.96 (1.45–2.63) and 1.67 (1.51–1.84) in the

Table 2 Logistic Regression Analyses of the Relationship Between BMI, WHtR and Depression (Classified by Quartiles)

Variable	Univariate		Model 1		Model 2	
	OR (95%)	P	OR (95%)	P	OR (95%)	P
BMI quartiles						
Q1	0.57 (0.52–0.64)	<0.001	0.65 (0.58–0.73)	<0.001	0.63 (0.56–0.71)	<0.001
Q2	0.53 (0.48–0.60)	<0.001	0.62 (0.55–0.69)	<0.001	0.61 (0.54–0.68)	<0.001
Q3	0.67 (0.61–0.75)	<0.001	0.75 (0.67–0.83)	<0.001	0.74 (0.66–0.82)	<0.001
Q4	1.00		1.00		1.00	
P for trend	<0.001		<0.001		<0.001	
WHtR quartiles						
Q1	0.47 (0.43–0.53)	<0.001	0.56 (0.50–0.64)	<0.001	0.55 (0.49–0.62)	<0.001
Q2	0.48 (0.43–0.54)	<0.001	0.58 (0.52–0.65)	<0.001	0.57 (0.51–0.64)	<0.001
Q3	0.57 (0.52–0.64)	<0.001	0.64 (0.58–0.72)	<0.001	0.64 (0.57–0.71)	<0.001
Q4	1.00		1.00		1.00	
P for trend	<0.001		<0.001		<0.001	

Notes: 1: Adjust for age, sex, race, education level and marital status. 2: Adjust for age, sex, race, education level and marital status, family PIR, smoking, drinking alcohol.

Table 3 Logistic Regression Analyses of the Relationship Between BMI, WHtR and Depression (Classified by Standard Guideline)

Variable	Univariate		Model 1		Model 2	
	OR (95%)	P	OR (95%)	P	OR (95%)	P
BMI						
Underweight	1.96 (1.45–2.63)	<0.001	1.85 (1.36–2.49)	<0.001	1.79 (1.30–2.46)	<0.001
Normal Weight	1		1		1	
Overweight	1.08 (0.98–1.21)	0.13	1.09 (0.98–1.22)	0.11	1.11 (0.98–1.24)	0.09
Obesity	1.67 (1.51–1.84)	<0.001	1.52 (1.37–1.68)	<0.001	1.55 (1.39–1.73)	<0.001
WHtR						
Low Range	1.35 (0.66–2.55)	0.383	1.38 (0.68–2.65)	0.35	1.40 (0.67–2.75)	0.34
Normal Range	1		1		1	
Rising Range	0.96 (0.85–1.09)	0.563	0.98 (0.86–1.12)	0.74	1.03 (0.90–1.19)	0.67
High Range	1.56 (1.39–1.76)	<0.001	1.40 (1.23–1.59)	<0.001	1.46 (1.27–1.68)	<0.001

Notes: 1: Adjust for age, sex, race, education level and marital status. 2: Adjust for age, sex, race, education level and marital status, family PIR, smoking, drinking alcohol.

univariate model, 1.85 (1.36–2.49) and 1.52 (1.37–1.68) in model 1, 1.79 (1.30–2.46) and 1.55 (1.39–1.73) in model 2.

WHtR classification showed that high range groups were exposed to a higher depression risk in all the three models. The ORs of depression for the high range of WHtR were 1.56 (1.39–1.76) in the univariate model, 1.40 (1.23–1.59) in model 1, and 1.46 (1.27–1.68) in model 2.

Table 4 shows all the co-variables in this study. People who are female, middle-aged (45–64), Hispanic and Non-Hispanic White, education of less than 11th grade, unmarried status (Widowed/divorced/separated/ Never married), Family PIR less than 100%, smoking and drinking alcohol showed a higher depression level.

Dose-Response Analysis

The relationship between weight-related indicators and depression was analyzed by using the restricted cubic spline method combined with spline function and logistic regression. The number of nodes was more important than the location. The number of nodes was generally 3–7.²⁴ Some studies showed that 4 nodes could make a lot of data fully fit.²⁵ According to the spline regression coefficient and AIC value, it is found that the AIC of four-node model were 1.091 for WHtR and 1.097 for BMI, that is, the model with four nodes is the best.

Figures 1 and 2 were the RCS model, which showed the nonlinear inverse dose–response relationship between BMI, WHtR and the risk of depression after adjusting for confounding factors of age, gender, race, education,

Table 4 Logistic Regression Analyses of the Relationship Between Co-Variables and Depression

Variables	OR (95% CI)	P value
Sex		
Male	Ref	
Female	1.81 (1.65–1.98)	<0.001
Age		
20–44	Ref	
45–64	1.19 (1.07–1.31)	0.00
≥65	0.92 (0.81–1.04)	0.17
Race		
Mexican American	Ref	
Other Hispanic	1.27 (1.07–1.50)	0.01
Non-Hispanic White	1.26 (1.09–1.45)	0.00
Non-Hispanic Black	1.09 (0.94–1.27)	0.24
Non-Hispanic Asian	0.98 (0.8–1.19)	0.82
Other Race	1.40 (1.09–1.80)	0.01
Education level		
Less than 11th grade	Ref	
High school graduate	0.85 (0.75–0.97)	0.01
College graduate or above	0.77 (0.68–0.86)	<0.001
Marital status		
Married/living with partner	Ref	
Widowed/divorced/separated	1.42 (1.27–1.58)	<0.001
Never married	1.38 (1.23–1.55)	<0.001
Family PIR		
≤100%	Ref	
>100%	0.80 (0.78–0.82)	<0.001
Smoking		
No	Ref	
Yes	1.56 (1.42–1.71)	<0.001
Drinking alcohol		
No	Ref	
Yes	1.23 (1.11–1.37)	<0.001

Abbreviation: PIR, poverty-to-income ratio.

marriage, drinking alcohol, smoking, and poverty ratio. Both trends are statistically significant ($P<0.001$.) These two figures illustrated an obvious U-shaped relationship: When BMI was approximately 25 kg/m² (OR=0.68, 95% CI=0.56–0.83), the OR for depression was the lowest. Both increasing and decreasing the BMI increased the risk of depression. Figure 2 also depicts an obvious U-shaped relationship: the value of 0.52 for WHtR indicated the lowest risk for depression (OR=0.69, 95% CI=0.54–0.88). Distant from 0.52 of WHtR increased the risk of depression.

Figure 1 Dose-response relationship between BMI and depression. **Figure 2** Dose-response relationship between WHtR and depression.

However, according to the international BMI, WHtR standard, these participants were obese.²⁶ This means that participants who are slightly higher than the obesity standard will have the lowest depression risk.

Subgroup Analysis

In Figures 3 and 4, the RCS regression analysis was performed by grouping the genders. The U-shaped relationship was still significant. In male subgroups, when the BMI reached 25 kg/m² or WHtR reached 0.51, the OR value was reduced to the lowest. While in female subgroups, the OR value was lowest at the BMI reached 24 kg/m² or WHtR reached 0.52. Both trends are statistically meaningful ($P<0.01$). There was no significant difference between different gender subgroups.

Figure 3 Dose-response relationship between BMI (A) and depression group by gender (male (A), female (B)).

Figure 4 Dose-response relationship between WHtR and depression group by gender (male (A), female (B)).

Discussion

The purpose of this study was to investigate the relationship between weight-related indicators and depression by BMI and WHtR. Our study observed that weight loss and obesity were significantly related to depression, and there was a U-shaped relationship between them.

Depression is a mental disease caused by many factors. Endocrine disorder, inflammatory response, oxidative stress, and neurotransmitter disorder all participate in the occurrence of depression.^{27,28} Some studies also suggested that depression and obesity have an interaction relationship with each other.²⁹ This is possibly related to the plasma monocyte chemoattractant protein-1 (MCP-1). The MCP-1 level in patients with depression was significantly decreased,³⁰ while some studies observed that the MCP-1 level in people with low body weight is significantly lower than the normal body weight group.³¹

So far, many articles have reached similar conclusions of the relationship between BMI and depression.^{32,33} In addition, other studies also described the U-shaped association between obesity and depression (both underweight and obesity are associated with high levels of depression).^{34–36} Brewis conducted a cross-sectional study to analyze the relationship between BMI categories and depression. 9 Item Patient Health Questionnaire (PHQ-9) was also used and the result showed that both higher and lower weight had a significantly higher risk of depression for women.¹³ In a cross-sectional study conducted for a cohort of 159,390 Korean based on Kangbuk Samsung Health Study (KSHS), participants were divided into groups by BMI, and the value of risk was calculated, respectively. By comparing the OR values of each group, the conclusion of U-shaped relationship was obtained.³⁴

Unlike other studies present above, our study combined BMI with WHtR to analyze the relationship between body shape and depression. Body mass index (BMI) together with waist–height ratio (WHtR) is better than the single index in identifying overweight and obese people. BMI reflects the total body fat content, which is not easy to distinguish between muscle and fat. It is easy to be affected by longitude, latitude, annual average temperature, age, blood pressure, age and gender when used alone.³⁷ In addition, BMI and WHtR were used as continuous variables to explore the relationship between obesity and depression using logistic regression and RCS models. Our study not only found an obvious nonlinear relationship between obesity and depression but also more accurately determined the BMI and WHtR values of the population with the lowest depression risk. It has guiding significance for preventing depression.

It is also notable that the risk rate of depression increased faster for the female subgroup with their weight growth. This gender difference is partly because social norms of slimness are so tied to femininity which imposed a greater risk of feeling weight-related stigma and depression for women.³⁸ Nevertheless, mildly obese body figure showed a lowest risk for depression both in men and women in our study. This result is inconsistent with the study of Korean female participants,³⁴ which is possibly due to different social niches and socioeconomic status for women in different cultures.

Our data were extracted from the NHANES database in the United States, given a sufficient sample size, we adjusted the influence of race on the obesity index and depression. Therefore, our study may be valid epidemiological evidence to represent a specific pattern of relationship between BMI, WHtR and depression.

However, some studies do not support our results, the conflicts mainly lie in the association between underweight and depression.^{10,11} Some studies also suggested the gender differences in the relationship between weight and depression.^{11,13} However, our subgroup analysis could not reach a similar conclusion. This may be due to the small sample size of other studies.

There are some limitations in our research. First, the association between depression and weight-related indicators was cross-sectional, so we are unable to ascertain temporal associations.

Second, PHQ-9 is more suitable for the medical clinical research population than for general epidemic patients,³⁹ which may misestimate the severity of depression. Third,

although WHtR is a sensitive index and the combination of BMI is more convincing, it is still controversial whether the single BMI standard is suitable for different age groups.⁴⁰

Conclusions

We found a significant U-shape correlation between BMI, WHtR and depression. People with slight overweight have the lowest risk of depression. However, according to the International Obesity standards, the population at these levels of weight may have an obesity-chronic disease risk, which is not recommended.

Disclosure

The authors report no conflicts of interest in this work.

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