

Peripherally Induced Reconditioning of the Central Nervous System: A Proposed Mechanistic Theory for Sustained Relief of Chronic Pain with Percutaneous Peripheral Nerve Stimulation

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Abstract: Peripheral nerve stimulation (PNS) is an effective tool for the treatment of chronic pain, although its efficacy and utilization have previously been significantly limited by technology. In recent years, purpose-built percutaneous PNS devices have been developed to overcome the limitations of conventional permanently implanted neurostimulation devices. Recent clinical evidence suggests clinically significant and sustained reductions in pain can persist well beyond the PNS treatment period, outcomes that have not previously been observed with conventional permanently implanted neurostimulation devices. This narrative review summarizes mechanistic processes that contribute to chronic pain, and the potential mechanisms by which selective large diameter afferent fiber activation may reverse these changes to induce a prolonged reduction in pain. The interplay of these mechanisms, supported by data in chronic pain states that have been effectively treated with percutaneous PNS, will also be discussed in support of a new theory of pain management in neuromodulation: Peripherally Induced Reconditioning of the Central Nervous System (CNS).

Keywords: chronic pain, neuromodulation, peripheral nerve stimulation, cortical plasticity, peripherally induced reconditioning, mechanism of action

Introduction

Modern understanding of the relationship between electrical stimulation and pain dates back to 1965 with Melzack and Wall's seminal paper outlining their theory of the "gate control" system of pain.¹ It proposed that there is a gating mechanism in the spinal cord that relies on the relative firing of small (nociceptive) and large (sensory) diameter neurons. Increased firing of the large diameter neurons would "close" the gate, reducing transmission of painful stimuli to the brain, while firing of small diameter neurons would "open" it. Although the first therapeutic application of this theory involved stimulation of peripheral nerves following neurosurgical lead implantation,² the field was quickly dominated by widespread adoption of implanted leads delivering dorsal column or spinal cord stimulation (SCS) for the treatment of chronic pain.

SCS has been the leading force in the neuromodulation market for the last 50 years, with many advances in device technology during that time.^{3–7} Due to the market dominance of SCS, the electrode technology available for researchers in

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PNS has historically been limited to adaptation of SCS devices for use in the periphery.^{8–10} Nonetheless, continued research over the decades has shown that PNS can be successfully delivered by conventional (ie, permanently implanted) PNS systems with a variety of nerve targets, lead designs, waveforms, frequencies, and stimulation paradigms to treat a wide range of chronic pain conditions, such as intractable neuropathic pain, post-traumatic nerve pain, causalgia, chronic axial back pain, post-operative pain, joint pain, postherpetic neuralgia, chronic migraine, and orofacial pain (available PNS systems are not currently approved for use in the craniofacial region).^{11–32}

Despite this efficacy, PNS has historically been conceptualized as a treatment of last resort.³³ One major limitation has been the lack of systems specifically designed for use in the periphery. Physicians often used devices designed for SCS, including percutaneous cylindrical leads or surgically placed paddle-type leads, both placed immediately adjacent to or in contact with the targeted nerve.^{8,9,13–17,19,34,35} However, the periphery induces greater mechanical stresses on the lead than those experienced in the epidural space,¹⁰ historically resulting in frequent lead migration (9–25% of PNS cases³⁶), and limiting placement to locations that did not require the tunneled leads to cross joints with high degrees of flexion or extension that could cause stress-related lead migration or fracture.³⁷

Recent years have seen the advancement of various PNS features and techniques intended to enable the development and adoption of improved neurostimulation systems designed specifically for use in the periphery, including: 1) The development of methods for the minimally invasive, percutaneous implantation of conventional PNS leads,³⁵ eliminating the need for invasive techniques to expose the nerve; 2) Advancements in and growing prevalence of ultrasound imaging to guide lead placement,^{17,23} enabling visualization and targeting of an increasing number of peripheral nerves;³⁸ 3) Increases in the number and quality of interventional pain physicians, especially with regard to ultrasound guided procedures; 4) Improvement in reimbursement for PNS; 5) Renewed focus on the development and implementation of non-opioid treatment alternatives for acute and chronic pain; 6) Improvement in long-term efficacy when a percutaneously implanted lead is employed without an implanted pulse generator or receiver;^{39,40} 7) The incorporation of open coil leads with axial flexibility designed to enable tissue ingrowth within the coils to

secure the electrode in place with lower rates of infection, as seen throughout a long history of use in electrical stimulation applications.^{41–52}

Recently, based on these advancements, percutaneous PNS with temporary (eg, up to 60 days) treatment through open coil leads has been used to treat a wide variety of pain conditions via two different implementations. The first method (Figure 1A) has demonstrated effectiveness in acute and chronic pain conditions such as neuropathic and non-neuropathic pain following amputation,^{39,53–56} post-surgical pain following total knee arthroplasty,^{57,58} and ambulatory foot, knee, and rotator cuff surgeries.^{59–61} This method targets mixed or sensory nerve(s) innervating the painful region with the goal of activating large diameter primary afferent sensory fibers at frequencies (eg, ~100 Hz) that induce comfortable sensations in the region of pain. In the second method (Figure 1B), efferent fibers are targeted at a lower frequency (eg, ~12 Hz) and an intensity that induces comfortable contractions in muscle(s) in the region of pain innervated by the targeted nerve, as demonstrated for chronic musculoskeletal pain including chronic shoulder pain,^{32,62–66} and axial low back pain.^{40,67,68} Recent studies using these two implementations reported that 77% (75/98) of subjects experienced substantial ($\geq 50\%$) reductions in pain intensity and/or pain interference during treatment, with 90% (88/98) of patients experiencing clinically meaningful ($\geq 30\%$) reductions.^{32,53–55,57,62–69} Of note, many of those studies reported significant pain relief that may be maintained long after the end of the short-term PNS treatment, with some reports of sustained pain relief through one year of follow-up.^{39,40}

Conventional forms of neuromodulation for chronic pain, such as PNS, SCS, and dorsal root ganglion stimulation (DRGS), have not typically provided prolonged pain relief after cessation of stimulation, with preclinical studies reporting a short-term carryover effect on the order of minutes to a few days and very little clinical data on the matter.^{2,70–74} Reports of sustained analgesia across multiple pain indications following a short-term PNS treatment are therefore a unique observation that merits further examination from a mechanistic perspective. While the clinical evidence for PNS has been reviewed elsewhere,^{75,76} the primary goal of this narrative review is to explore potential theories and mechanisms by which percutaneous PNS may produce sustained pain relief. A secondary goal is to generate discussion in the clinical and scientific communities that may lead to studies that further explore the possibility of modulating

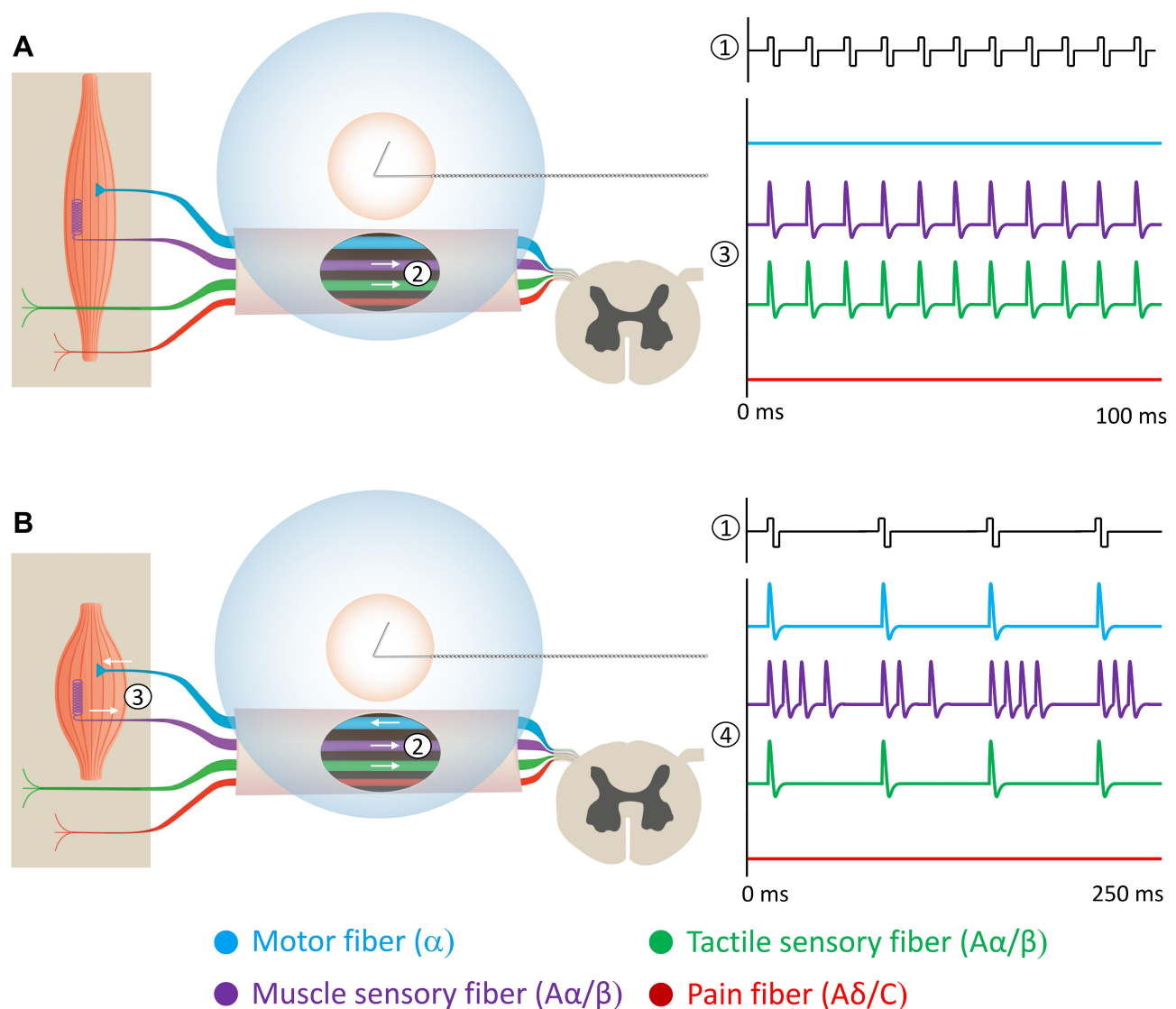


Figure 1 Two percutaneous PNS approaches have demonstrated sustained relief of chronic pain. Stimulation is delivered from a system with open-coiled leads designed to be placed remote from the nerve to selectively activate $A\alpha/\beta$ fibers while avoiding $A\delta/C$ fiber activation (ie, remote selective targeting). The activation zones are shown for $A\alpha/\beta$ fibers (blue) and $A\delta/C$ fibers (orange). **(A)** Stimulation of mixed nerves at 100 Hz (1) can selectively activate the largest sensory afferents (many of which are larger than muscle efferents¹⁴⁷). (2) Stimulation activates the large diameter muscle and tactile afferents while avoiding activation of muscle efferents and nociceptive afferents. (3) Directly induced large diameter afferent action potentials enter the spinal dorsal horn at the rate of the stimulation frequency (100 Hz) to engage the gating mechanism, typically producing comfortable sensations in the innervated region. **(B)** Stimulation of mixed nerves at 12 Hz (1) at a sufficient intensity can also activate muscle efferent fibers. (2) Stimulation activates large diameter fibers, including cutaneous afferents, muscle afferents, and muscle efferents while avoiding nociceptive afferents. (3) Orthodromic firing of muscle efferents causes muscle contraction, generating physiological activation of muscle afferent fibers. (4) Large diameter afferent action potentials (directly induced by stimulation and indirectly through muscle contraction) enter the spinal dorsal horn to collectively engage the gating mechanism.

a centrally maintained pain state by providing peripheral input through PNS.

Chronic Pain is Associated with Peripheral and Central Sensitization

Under basal conditions, noxious thermal, chemical, and mechanical stimuli activate nociceptive receptors in the skin. These noxious signals are then carried to the spinal cord by small diameter first order afferents with slower

conduction velocities, typically unmyelinated C or myelinated $A\delta$ fibers. The $A\delta$ fibers are thought to carry the sharp, “first pain,” while C fibers carry “second pain” signals, characterized by more prolonged aching or burning.⁷⁷ In the spinal cord, nociceptive fibers generally synapse in the dorsal horn with nociceptive-specific (NS) or wide dynamic range (WDR) second-order neurons (Figure 2A) that then project to the brainstem or thalamus.⁷⁷ Within the brain, pain signals are processed in a number of different regions collectively referred to as

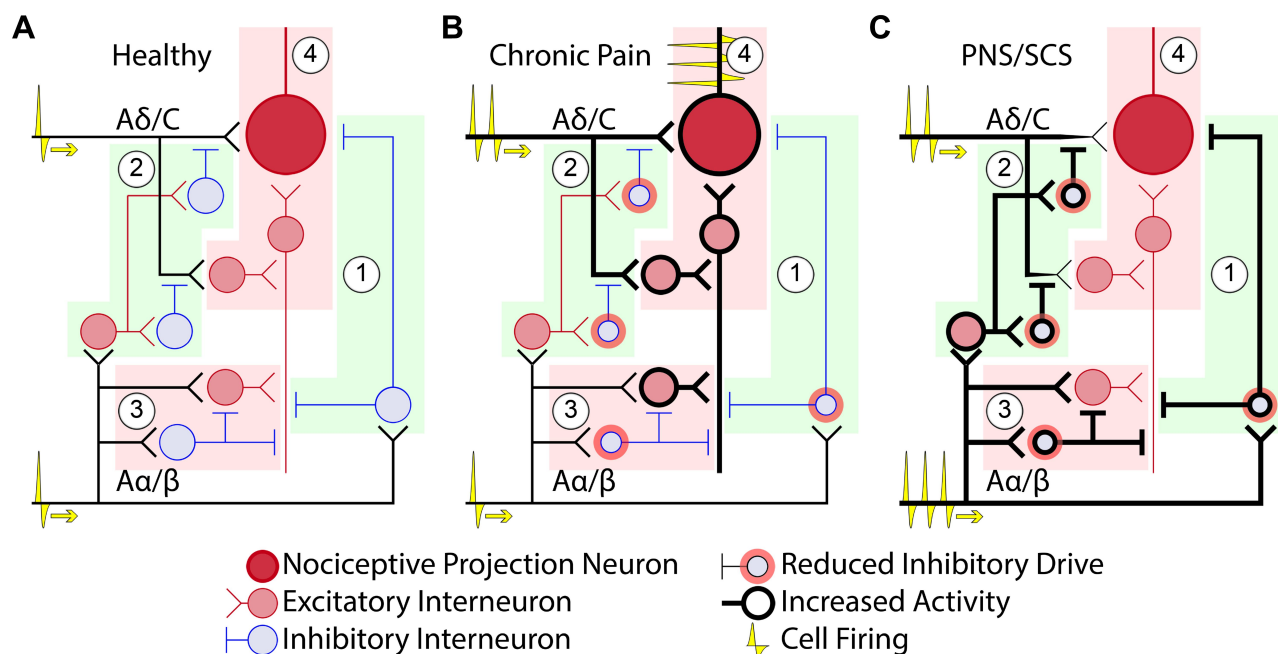


Figure 2 Pain circuitry in the spinal dorsal horn. Four primary sub-circuits are represented: (1) post-synaptic inhibition of nociceptive projection neurons, (2) pre-synaptic inhibition of nociceptive projection neurons, (3) basally inhibited PKC γ excitatory interneurons, and (4) polysynaptically excited nociceptive projection neurons. **(A)** In a healthy case there is a balance between nociceptive and non-nociceptive afferent input and dorsal horn circuit strengths, resulting in minimal activation of nociceptive projection neurons. **(B)** In the case of chronic pain, peripheral nerve damage/inflammation elevates firing of nociceptive afferent fibers. Additionally, GABAergic and glycinergic drive from inhibitory interneurons are reduced, resulting in: (1) reduction in post-synaptic inhibition, (2) reduction in pre-synaptic inhibition, (3) disinhibition of PKC γ interneurons, enabling allodynia-producing circuits, and (4) sensitization of nociceptive projection neurons, characterized by increased excitability and decreased inhibition. **(C)** Neurostimulation is believed to cause elevated firing of A α/β afferent fibers, counteracting many of the circuit-level effects of chronic pain. Specifically, high rates of A α/β firing induce: (1) elevated post-synaptic inhibition, (2) elevated pre-synaptic inhibition (3) return of inhibition to the PKC γ cells, reducing allodynia, and (4) elevated inhibition and reduction of nociceptive drive to the nociceptive projection neurons.

the “pain matrix,” including the thalamus, somatosensory cortex, insular cortex, anterior cingulate cortex (ACC), prefrontal cortex, amygdala, and hippocampus.^{77–79} In the case of chronic pain, persistent nociceptive input induces multilevel changes from the periphery to the brain that result in abnormal pain processing and hypersensitivity, including hyperalgesia (increased sensitivity to noxious stimuli), secondary hyperalgesia (painful sensitivity at sites adjacent to or removed from the injured site), allodynia (painful sensitivity to non-noxious stimuli), and spontaneous pain.^{78,80}

In the periphery, damage to nerves can induce peripheral sensitization. Peripheral sensitization is mediated by the release of a wide variety of pro-inflammatory cytokines and neuropeptides whose net result is a drastic reduction in nociceptive thresholds that causes hyperexcitability of nociceptive afferents, spontaneous discharge, and plays a crucial role in the onset and maintenance of hyperalgesia and spontaneous pain.^{81–84}

Increased nociceptive activity due to injury and/or sensitization in the periphery also triggers a complex series of changes in the central nervous system collectively referred

to as central sensitization.^{85,86} Sustained firing of nociceptive afferents leads to sensitization of NS and WDR neurons in the dorsal horn.^{79,86} The influx of Ca²⁺ triggered by persistent nociceptive input causes phosphorylation of ion channels and receptors, trafficking of more excitatory channels to the surface, increases in dendritic spine density, and transcriptional changes, all of which promote and maintain a state of increased excitability and decreased inhibition in the dorsal horn (Figure 2B).^{79,80,86,87} Neuronal hyperexcitability is further exacerbated by the activation of glial cells and their subsequent release of pro-inflammatory signaling molecules. The role of glial activation in chronic pain is reviewed elsewhere,^{79,80,88,89} and spinal glial involvement in neurostimulation-induced analgesia is only recently being explored.^{90,91}

In addition to the increased excitability of nociceptive pathways in the spinal cord, nerve injury typically results in a reduction in inhibitory GABAergic and glycinergic drive in the spinal dorsal horn (Figure 2B).^{78–80,86,87,92} This disinhibition further amplifies nociceptive signaling directly and also engages excitatory PKC γ interneurons that are driven by activity in large diameter A β fibers

and are typically held in check by glycinergic inhibition (Figure 2B).^{79,92} Loss of GABAergic and glycinergic inhibition, therefore, perpetuates pain hypersensitivity and causes tactile sensations, which are not typically perceived as painful, to activate nociceptive pathways (one of the key mechanisms believed to contribute to allodynia).^{93–96}

Supraspinal circuits also play a major role in the processing of pain and have been implicated in centrally mediated chronic pain, including changes in descending modulation from the periaqueductal gray (PAG) and rostral ventromedial medulla (RVM)^{78,97,98} and major structural and functional cortical changes such as alterations in cell spiking dynamics, microglia activation, brain connectivity, gray matter volume, and cortical representation (see^{87,99} for review). Specifically, in the somatosensory cortex, which encodes the sensory-discriminative aspects of pain,¹⁰⁰ the nociceptive representational zones exhibit a sensitized state characterized by expansion and/or shifting of pain representations, reduced GABAergic inhibition, and stronger response to activation, while non-nociceptive representational zones may diminish in size and response to activation.^{101–106} These maladaptive shifts in the balance of sensory processing are likely due to activity-dependent cortical remapping caused by the increase in nociceptive and relative decrease in non-nociceptive information coming from the region of pain.^{104,107} Maladaptive cortical plasticity, coupled with spinal and peripheral sensitization, presents a challenge to treatments' intent on producing long-term pain relief. It is theorized, therefore, that sustained analgesia may be produced by neurostimulation that acts at multiple levels, beginning with spinal modulation of the nociceptive barrage from the periphery.

Activation of Large Diameter Fibers Has the Potential to Attenuate Nociceptive Signaling in the Spinal Dorsal Horn

Neurostimulation systems delivering stimulation at conventional frequencies (eg, 5–150 Hz), including conventional SCS, DRGS, PNS, and even peripheral nerve field stimulation (PNFS), have long been theorized to produce analgesia by modulating pain signals in the spinal dorsal horn via spinal segmental mechanisms that were first described in the well-known gate control theory.¹ Spinal segmental mechanisms of analgesia, including the putative gating mechanism, rely on the activation of large diameter fibers, which are typically myelinated A α and A β fibers (often either grouped together as A α/β or simply referred to as A β due to their highly overlapping morphologies and

fiber diameters).^{108–116} Since A α/β fibers generally transmit signals from low-threshold mechanoreceptors and proprioceptors, successful activation often elicits non-painful sensations in the innervated region. The colocalization of these sensations with the region of pain can be used as a marker for focal (ie, specifically targeting the region of pain) activation of large diameter fibers.^{54,117} Experimental studies have demonstrated the profound control that A α/β fibers exert over the transmission of nociceptive signals in the spinal dorsal horn (Figure 2C). Conventional PNS, DRGS, and dorsal column stimulation of large diameter fibers can inhibit the firing of WDR neurons in response to painful stimuli through the inhibition of long-term potentiation and induction of long-term depression of C fiber activity.^{70,118–123}

These effects are mediated by a variety of post-synaptic and pre-synaptic circuits in the dorsal horn. Post-synaptically, A α/β fibers play a primary role in the activation of GABA- and glycinergic inhibitory interneurons in the dorsal horn, which polysynaptically reduce the firing of both superficial and deep dorsal horn projection neurons, subsequently reducing the transmission of nociceptive signals through the spinal dorsal horn (Figure 2C).^{92,124,125} Pre-synaptically, early recordings of extracellular potentials in the dorsal root (the dorsal root potential, DRP) found that activation of A α/β fibers induces widespread subthreshold depolarization of primary afferents (primary afferent depolarization, PAD) in the dorsal root.^{126,127} This depolarization is mediated by GABAergic interneurons that synapse on the pre-synaptic terminals of primary afferents and can cause pre-synaptic inhibition.¹²⁸ Although fibers most commonly inhibit others of the same type (eg, A α/β fibers inhibit other A α/β fibers),¹²⁹ some studies have shown that activation of A α/β fibers can cause pre-synaptic inhibition of nociceptive primary afferents,^{124,128,130,131} suggesting a pre-synaptic gating mechanism by A α/β fiber activation (Figure 2C).

Although gate control has provided the long-standing framework for how many neurostimulation systems may modulate pain, the original 1965 theory has been critically reviewed and supplemented over time to better explain phenomena observed experimentally.^{92,125} For example, additional proposed mechanisms of action for conventional stimulation include both peripheral (eg, altering nerve fiber excitability or conduction), and central factors (eg, inducing or depleting excitatory and/or inhibitory neurotransmitters, modulating expression of neuronal signaling proteins, altering activity in central pain matrix regions or descending inhibitory

pathways).^{9,132–134} These additional mechanisms highlight the overall complexity of the chronic pain state, though spinal segmental mechanisms remain the predominate mechanistic theory for pain relief with conventional neurostimulation.

Novel Approaches to Selective Activation of Large Diameter Fibers

Nerve fibers with larger diameters are activated by electrical stimulation at a lower intensity compared to smaller diameter fibers,^{135,136} so the gating mechanism may be engaged by titrating stimulation intensities to maximally activate large diameter A α / β fibers while avoiding activation of small diameter nociceptive fibers. Preclinical and clinical evoked compound action potential (eCAP) recordings and computational modeling indicate that conventional SCS at therapeutic intensities activates only a small proportion of the A α / β fibers in the dorsal columns (estimates range from 0.25% to 8.7% of targeted fibers^{137,138}) before reaching discomfort thresholds, purportedly due to activation of the adjacent dorsal roots.^{137,139–141} Meanwhile, PNS and DRGS have the potential to activate A α / β fibers in a more focal, targeted fashion by stimulating the specific nerve(s) or ganglia innervating the region of pain. However, conventional PNS and DRGS utilize small electrodes placed on or immediately adjacent to a nerve that are likely to produce intense electric fields that rapidly decay across short distances such that fibers nearer the electrode may be activated (including small diameter fibers) while fibers slightly more distant from the electrode (eg, deeper in or

across the nerve) may experience little to no stimulation (Figure 3).

In contrast to conventional “intimate” electrode placement, it has been hypothesized that percutaneous PNS systems designed to enable remote selective targeting may activate a greater proportion of large diameter fibers while avoiding the unwanted activation of nociceptive afferents (Figure 3).⁵⁴ Remote selective targeting describes a PNS system and leads designed to optimize the relationships between stimulation strength, electrode characteristics, electrode-fiber distance, and fiber diameter to create a greater separation of activation thresholds between large and small diameter fibers and enable stimulation from electrodes placed up to several centimeters away (eg, 0.5–3 cm) at therapeutic intensities more selective for large diameter fibers.^{54,135,136,142–144} Leads designed for remote selective targeting have multiple features that may enable activation of larger-diameter fibers and avoidance of smaller-diameter fibers while delivering stimulation from such distances. For example, these leads have large monopolar electrodes such that the generated electric fields, which decay exponentially across distance, are broad and relatively homogeneous at remote distances and have the potential to activate large diameter fibers throughout the entire cross-section of a nerve before reaching activation thresholds of smaller fibers. Remote selective targeting may therefore enable more robust activation of large diameter fibers (ie, a larger proportion of targeted fibers) while avoiding unintended discomfort by optimizing the strength-distance and strength-diameter

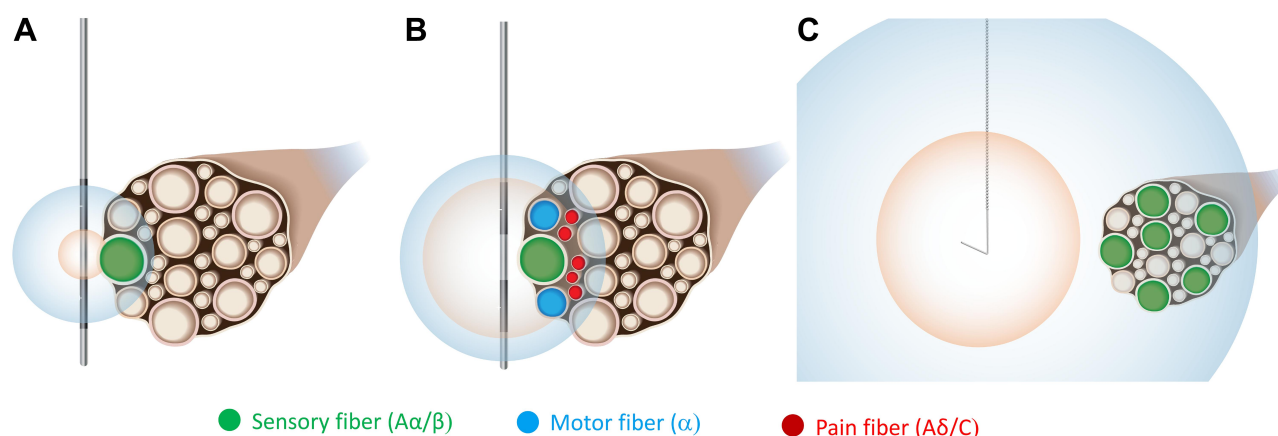


Figure 3 Remote selective targeting promotes activation of large diameter fibers while avoiding activation of small diameter fibers using PNS systems and open coil leads designed for placement distant to the nerve. Large diameter fibers have lower activation thresholds than smaller diameter fibers, and thresholds also increase with electrode-to-fiber distance. The activation zones are shown for A α / β fibers (blue) and A δ /C fibers (orange). **(A)** For a conventional PNS electrode placed intimate to the nerve, a limited number of A α / β fibers may be activated. **(B)** Increasing the intensity to activate a larger proportion of A α / β fibers begins to concurrently activate A δ /C fibers or motor fibers, causing unintended discomfort. **(C)** A system using a percutaneous open-coil electrode placed remotely from the nerve (eg, 0.5–3 cm) is designed to selectively activate a larger proportion of A α / β fibers without concomitant activation of A δ /C fibers.

relationships that govern the activation of nerve fibers by electrical stimulation (Figure 3).^{54,69,135,136,142}

In addition to activation of A α / β fibers, percutaneous PNS studies have demonstrated prolonged pain relief using stimulation parameters and electrode locations specifically targeting the activation of efferent fibers in mixed nerves that result in strong, physiological muscle contractions without discomfort (Figure 1B).^{64,67,145} Remote selective targeting can enable a wider therapeutic window that aids in the activation of motor efferent fibers while avoiding activation of small nociceptive fibers (Figure 1B). Muscle afferents, including proprioceptive A α / β fibers linked to muscle spindles and Golgi tendon organs, have similar diameter, morphology, and functional connections in the dorsal horn compared to tactile A α / β fibers.^{129,146} Proprioceptive afferents secondarily activated by physiological muscle contractions therefore likely contribute to the gate control mechanism of pain relief in the same way as tactile afferent fibers that innervate the skin.¹²⁴ In addition to secondary activation of proprioceptive afferents, the stimulation approach that activates efferent fibers in mixed nerves also likely produces primary activation of A α / β sensory afferents, which tend to be larger in diameter¹⁴⁷ and are recruited at lower stimulation intensities than efferent fibers (Figure 1B).¹⁴² Notably, this strategy contrasts with conventional stimulation therapies for the treatment of chronic pain, which have historically attempted to avoid efferent activation and consequent motor activity.^{14,140,148,149}

Improving the selectivity and robustness of large diameter afferent fiber activation may enhance the transient reduction in pain via spinal segmental mechanisms, such as the gating mechanism. However, these mechanisms rely on active stimulation and are likely insufficient to produce sustained analgesia following the end of treatment, as evidenced by the lack of significant sustained relief following the cessation of stimulation provided by conventional approaches. As the next section will explore, sustained pain relief is theorized instead to be enabled by reconditioning of the central nervous system by robust activation of large diameter fibers in the periphery.

Stimulation of Afferents Can Result in Peripherally Induced Plasticity to Reverse Central Features of Chronic Pain

In addition to spinal segmental mechanisms of pain relief, stimulation of large diameter fibers is believed to induce

supraspinal analgesic effects. On a macro scale, studies have identified changes in the magnitude and latency of cortical evoked potentials during PNS, which may relate to changes in the sensory and affective components of pain processing.^{150–152} Additionally, electroencephalography (EEG) and functional magnetic resonance imaging (fMRI) studies have revealed that dorsal column stimulation induces changes in cortical activation throughout many of the regions making up the pain matrix, and is hypothesized to activate the descending pain inhibitory system through modulation of the pregenual ACC.^{153,154} Given the significant role that cortical processes play in producing, and potentially reducing, chronic pain,^{87,99,155} the new theory of Peripherally Induced Reconditioning of the Central Nervous System may help to explain sustained relief following PNS.

The somatotopic representation map in the primary somatosensory cortex (S1) is dynamic and can substantially change as a result of shifts in afferent input, with expansion of regions that experience stronger and more frequent input than those around them and contraction of regions that have reduced inputs.^{156,157} In cases of chronic pain, sensory imbalance in the form of elevated nociceptive input from the painful region and/or, importantly, decreased non-nociceptive input can result in drastic shifts in cortical organization and function. Magnetoencephalography (MEG) studies show a correlation between severity of pain and sensitization of the nociceptive region in S1, characterized by reduced intracortical inhibition, expansion and/or shifting of the representational zone, and stronger response to nociceptive stimuli.^{101–104} Expansion of the nociceptive response may be coupled with a decrease in the non-nociceptive representational zone and an attenuated response to non-nociceptive stimuli.^{102,105,106,158–160} For some patients, blocking nociceptive afferent input is sufficient to transiently alter the cortical reorganization and reduce chronic pain.^{103,161} However, for others, nerve block has no effect or only a transient effect,¹⁰³ indicating that although some cases of cortical sensitization rely on continued peripheral input, others appear to be centrally maintained.

Robust non-nociceptive afferent input to the cortical areas representing the focal painful region may reduce the severity of pain by actively reconditioning the CNS from the periphery (Figure 4), as opposed to the passive deprivation of nociceptive input that may occur as the result of nerve blocks or ablation.^{104,107,162,163} This process has been termed “reconditioning” because it remains unclear whether

the cortex reverses or returns to its exact pre-injury architecture as opposed to achieving a new homeostatic state.

Activity-dependent cortical remapping requires that the peripheral conditioning input to the cortex be robust, since sufficient signal strength is needed to drive the plasticity, and focal from a specific region, since functional plasticity relies on low relative activity in surrounding cortical regions.^{107,156,157,162–165} Analysis of conventional stimulation techniques suggests that they are unlikely to achieve these conditions, potentially informing why they can produce excellent pain relief but have not been reported to produce significant sustained outcomes without permanent implantation.^{2,70–74} For example, conventional SCS activates only a small proportion of A α / β fibers before reaching discomfort thresholds, likely spread non-focally across multiple dermatomes due to the lack of somatotopically targeted stimulation (Figure 4A).^{139–141} Paresthesia-based DRGS may act via similar mechanisms as other conventional stimulation modalities (ie, activation of large diameter sensory afferents) by placing electrodes in the compact intraforaminal space in close proximity to the DRG to target large diameter axons in the ganglia (Figure 4B).^{166–168} Although more focal than SCS, recent computational modeling of DRGS suggests that the percentage of activated A α / β fibers at clinically

relevant stimulation amplitudes varies significantly with lead location, stimulation polarity, and stimulation parameters, indicating that electrode placement in close contact with the DRG may, much like conventional PNS, amplify the deleterious effects of lead migration and limit the scope of activation before discomfort thresholds are reached.^{167,169} Lastly, conventional PNS can provide focal stimulation by targeting individual nerve(s) that innervate a region of pain, but a large proportion of A α / β fibers in the nerve are not typically activated before discomfort thresholds are reached (Figure 4C).^{70,112}

Percutaneous PNS with remote selective targeting is theorized to enable more selective activation of non-nociceptive, large diameter afferent fibers, generating peripheral signals that are both focal and robust to optimally precondition the S1 cortex (Figure 4D). Unlike SCS, stimulating individual nerves in a distribution-specific pattern to target a defined region of pain may provide a focal signal from the periphery that is well suited for cortical reconditioning. And, in contrast to conventional DRGS and PNS, remote selective targeting is theorized to widen the gap in activation thresholds between A α / β and small diameter pain fibers to permit more robust activation of the targeted fiber populations. Furthermore, cortical reorganization can

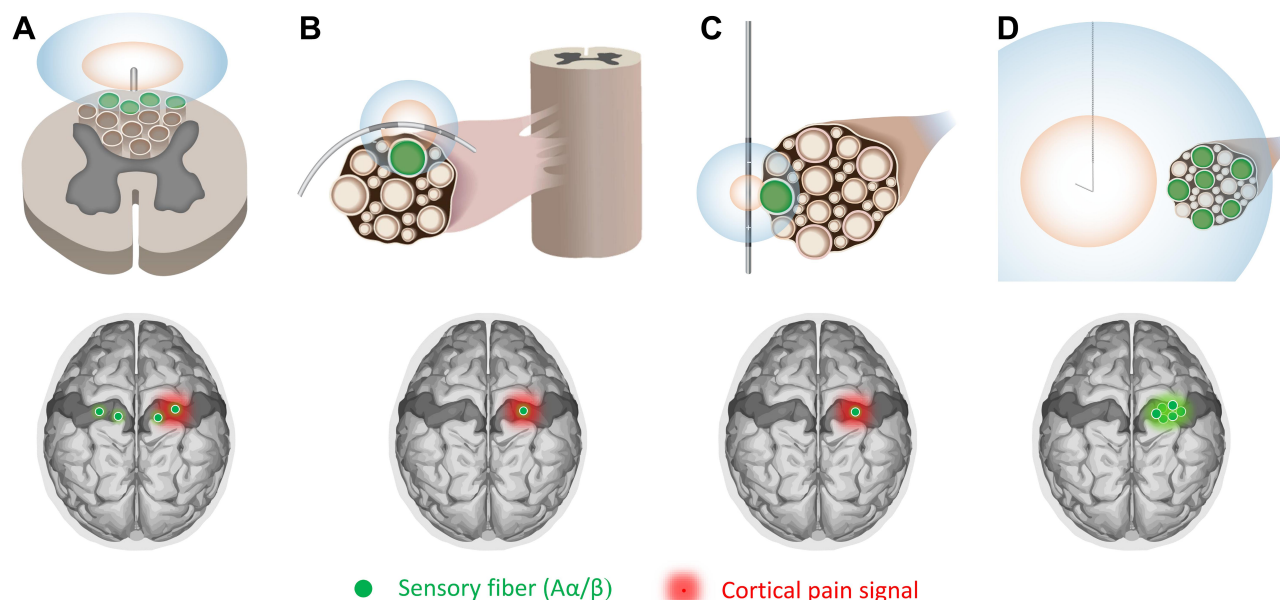


Figure 4 Varying degrees of cortical activation using different stimulation methods. Optimal induction of cortical remapping requires selective activation of a large number of afferent fibers (ie, robust activation) that is generated focally (ie, from the region of pain). The activation zones are shown for A α / β fibers (blue) and A δ /C fibers (orange). (A) SCS activates a small number of fibers in the superficial dorsal column before reaching discomfort thresholds due to dorsal root activation, and the dorsal column fibers it does activate are commonly spread across multiple dermatomes. The afferent input to S1 is thus neither robust nor focal. Conventional DRGS (B) or PNS (C) can more focally target the dermatome and/or nerve innervating the specific region of pain, though DRGS often involves multi-level stimulation, but limitations with conventional systems and stimulation strategies curb the degree of large diameter fiber activation before reaching discomfort thresholds due to small diameter nociceptor activation. The afferent input to S1 is thus more focal than SCS but not robust. (D) Percutaneous PNS with remote selective targeting enables both focal and robust activation of the target nerves, potentially resulting in optimal cortical input to induce activity-dependent remapping and sustained analgesia, facilitating reconditioning of the CNS.

occur on the time course of weeks,^{157,170} suggesting that prolonged pain relief may be produced from short-term (weeks-long) treatments without requiring a permanent implant if the peripheral signals are sufficiently robust and focal to drive beneficial plastic changes.

Applications in Treating Chronic Pain

Percutaneous PNS with remote selective targeting has been successfully used to treat a variety of chronic pain conditions, including chronic pain following amputation, chronic shoulder pain, and axial low back pain. The following section will explore how the proposed mechanisms are theorized to occur in specific cases in which sustained pain relief has been reported following up to 60 days of PNS.

Post-Amputation Pain

Amputation of a limb is incredibly traumatic and induces chronic pain in the residual limb (RLP) and phantom limb (PLP) that can last for many years in up to 80% of patients.^{171,172} RLP and PLP have neuropathic features and are associated with peripheral and central sensitization, including functional reorganization of nociceptive pathways in the spinal cord and brain, sensory remapping, expansion of receptive fields, and altered cortical representation of the limb.^{172–175} Historically, conventional neurostimulation has been used to treat RLP and PLP with permanently implanted systems that require continuous treatment and tend to lose efficacy over time.^{12,176,177} A recent randomized, double-blind, placebo-controlled study delivered percutaneous PNS to the femoral and sciatic nerves for up to 60 days in lower extremity amputees (n=28 total enrollment, n=12 in treatment group). Despite attrition of 25% during follow-up in the treatment group, significant reductions in both RLP and PLP were maintained through 12 months from the start of the 60-day treatment in a majority of subjects (67%, 6/9 at 12 months in treatment group, 70% average pain reduction in responders).^{39,55} Activation of large diameter sensory afferents at frequencies that evoke comfortable sensations in the region of pain (eg, 100 Hz) may activate spinal gating mechanisms during the 60-day treatment period to modulate peripheral nociceptive signals (eg, ectopic firing of nociceptive afferents from neuromas or dorsal root ganglia). This attenuated spinal transmission of nociceptive signals, coupled with the robust selective activation of tactile and proprioceptive afferents that innervate

the painful region, may also help recondition the maladaptive cortical plasticity that occurs following amputation and restore balance between non-nociceptive and nociceptive representations in S1 to produce the observed sustained pain relief.

Chronic Shoulder Pain

Chronic shoulder pain is a common and complex complication following stroke, with recent studies reporting a prevalence ranging from 19% to 63% in stroke survivors.¹⁷⁸ Shoulder pain may impede rehabilitation from stroke by interfering with self-care activities, reducing ambulation, limiting ability and desire to participate socially, and leading to withdrawal from rehabilitation programs.^{179,180} Persistent shoulder pain has characteristics of peripheral and central sensitization, such as allodynia, hyperalgesia, central hypersensitivity, and altered cortical somatosensory processing.^{181–185} Multiple randomized controlled trials (RCTs) and case series (n=8–28^{32,63,64,66}) using percutaneous PNS with remote selective targeting of the axillary nerve branches innervating the shoulder (Figure 1B) have shown effective long-term pain relief through 6 months in patients with chronic shoulder pain. Stimulation of the terminal branches of the axillary nerve with a lower frequency (eg, 12 Hz) pulse train likely has a dual effect, activating both sensory afferents and muscle efferent fibers. Efferent fiber activation in the terminal branches of the nerve causes contraction of the middle and posterior deltoid muscles,⁶³ producing proprioceptive signals in large diameter fibers that convergently, along with directly activated sensory afferents, engage the gating mechanism in the spinal cord. Supraspinally, the non-noxious proprioceptive and cutaneous afferent barrage may facilitate cortical neuroplasticity and representational remapping, potentially reversing the cortical contribution to the chronic pain state and enabling patients to achieve sustained relief of their shoulder pain.

Chronic Low Back Pain

Chronic low back pain (LBP) is a leading cause of disability among adults and is both prevalent and challenging to treat.^{186,187} In many cases (up to 85%), chronic LBP may be nonspecific or have an unidentified cause.⁴⁰ A recent case series (n=9) suggested that low frequency (eg, 12 Hz) stimulation of efferent fibers in the lumbar region may produce sustained relief of chronic low back pain (67%, 6/9 with $\geq 50\%$ pain relief at 12 months, 80%

average pain reduction in responders).⁴⁰ Stimulation of the medial branch nerves of the dorsal ramus in the lumbar region may act by similar mechanisms as described above for chronic shoulder pain, specifically through lower-frequency pulse train activation of efferent fibers, producing secondary isolated contractions of the lumbar multifidus (Figure 1B).⁶⁷ A combination of proprioceptive signals from the multifidus and sensory input from direct activation of afferents in the targeted nerve may engage spinal segmental mechanisms of pain relief during stimulation while also providing focal, robust physiological input to drive beneficial central plasticity and produce sustained relief.

Summary and Conclusions

Advancements in imaging and neurostimulation technology have enabled a resurgence of PNS for pain relief in recent years. Studies of percutaneous PNS systems utilizing remote selective targeting have suggested the ability to produce clinically meaningful sustained reductions in pain following temporary (eg, up to 60 days) treatment periods across a variety of chronic pain conditions. Mechanistically, it is theorized that these results may be the result of a widened therapeutic window for stimulation that enables robust and selective activation of A α / β fibers at frequencies (such as 5–150 Hz) that produce comfortable sensations in the region of pain, leading to multiple analgesic mechanisms from the periphery to the dorsal horn and cortex. These diverse effects may be explained in a new theory of pain management, Peripherally Induced Reconditioning of the CNS, involving stimulation-evoked reversal of the central sensitized state that contributes to chronic pain.

The goal of this narrative review is to propose a mechanism of action theory based on observations in the clinical literature and novel technological advancements in the field of PNS and to generate discussion in the clinical and scientific communities that may encourage future studies to further explore the observed clinical phenomena. Although the purpose of the present review is not to systematically review the clinical evidence, sustained relief following a short-term percutaneous PNS treatment has emerged in small studies across multiple pain indications, and additional studies that address the limitations of existing evidence would help support the proposed mechanistic theories, including independent investigations, larger cohorts, more active or sham controlled studies, and more consistent periods of

long-term follow-up. Direct evidence supporting the mechanistic theory proposed here, such as the reversal of maladaptive cortical plasticity driven by robust and focal inputs from stimulation of peripheral nerves with remote selective targeting, is also needed to confirm the phenomena that may underlie the observed clinical evidence. Future research efforts should therefore endeavor to continue to evaluate this proposed mechanistic theory and explore its clinical utility in a wide range of chronic pain conditions.

The development of neurostimulation systems specifically designed for use in the periphery and the growing volume of clinical data supporting the utilization of PNS across a wide range of pain indications is an encouraging development that offers interventional trained physicians and neuromodulators new effective tools to treat chronic pain. The demonstrated ability to potentially provide sustained relief from a temporary system that does not require a permanent implant may enable the further adoption of percutaneous PNS earlier in the treatment continuum and avoid the potential costs and/or risks of more invasive or neurodestructive procedures. Future research efforts should continue to evaluate the validity of the theories proposed in the present work, including the role of central plasticity in chronic pain conditions and the potential role for treatments that peripherally target and reverse centrally mediated pain.

Abbreviations

PNS, peripheral nerve stimulation; CNS, central nervous system; SCS, spinal cord stimulation; DRGS, dorsal root ganglion stimulation; NS, nociceptive-specific; WDR, wide dynamic range; ACC, anterior cingulate cortex; PAG, periaqueductal gray; RVM, rostral ventromedial medulla; PNFS, peripheral nerve field stimulation; GABA, gamma aminobutyric acid; DRP, dorsal root potential; PAD, primary afferent depolarization; eCAP, evoked compound action potential; EEG, electroencephalography; fMRI, functional magnetic resonance imaging; MEG, magnetoencephalography; RLP, residual limb pain; PLP, phantom limb pain; RCT, randomized controlled trial; LBP, low back pain.

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Disclosure

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