

Real-World Experience with Lifitegrast Ophthalmic Solution (Xiidra[®]) in the US and Canada: Retrospective Study of Patient Characteristics, Treatment Patterns, and Clinical Effectiveness in 600 Patients with Dry Eye Disease

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Purpose: This study evaluated real-world treatment of dry eye disease (DED) with lifitegrast.

Patients and Methods: Ophthalmologists and optometrists treating patients with DED were invited to participate through a healthcare provider (HCP)-based panel. HCPs completed a provider survey and contributed data toward a chart review for up to five qualifying patients with DED who initiated lifitegrast ophthalmic solution (index date) between 01/01/2017 (US) or 01/01/2018 (Canada) and 06/30/2019. Patient demographics, treatments, clinical characteristics, and outcomes (ie, severity, signs, symptoms) were collected for the 6-month pre-index period and up to 12-months post-index.

Results: For this study, 517 HCPs contributed 600 patient charts. Among 554 and 281 patients with follow-up at 6 and 12-months post-index, 512 (92.4%) and 238 (84.7%) patients had ongoing lifitegrast treatment, respectively. Other DED-related treatments were less frequently used post-index with lifitegrast vs pre-index: over-the-counter artificial tear use (45.2% vs 75.5%), topical corticosteroids (3.8% vs 18.8%), any cyclosporine (3.0% vs 20.5%). At 3-months (n=571) and 12-months (n=320) post-index vs pre-index, fewer patients had eye dryness (47 [8.2%] and 16 [5.0%] vs 525 [87.5%]), blurred vision (28 [4.9%] and 11 [3.4%] vs 346 [57.7%]), ocular burning/stinging (25 [4.4%] and 8 [2.5%] vs 336 [56.0%]), depression (8 [1.4%] and 9 [2.8%] vs 55 [9.2%]), fatigue (4 [0.7%] and 1 [0.3%] vs 82 [13.7%]), and headache (1 [0.2%] and 0 vs 19 [3.2%]). At 3 and 12-months post-index vs pre-index, average corneal staining score was numerically lower (2.7 and 2.0 vs 6.5), and average Schirmer score (10.6 and 10 vs 6.3) and tear film break-up time (7.3 and 8.0 vs 4.8) higher.

Conclusion: The majority of patients had ongoing lifitegrast treatment 6-months post-index with reduction in overall treatment burden. Improvement in DED signs and symptoms, including QoL impacts, was evident at 3 months and up to 12 months after lifitegrast initiation.

Keywords: symptoms, signs, severity, QoL

Plain Language Summary

A chart review study was conducted among patients with dry eye disease (DED) in the US and Canada treated with lifitegrast, and a provider survey was administered to the participating ophthalmologists and optometrists who abstracted data from patient medical charts. Five hundred and seventeen ophthalmologists and optometrists contributed a total of 600 patient

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charts. Patients were very likely to continue treatment with lifitegrast 6 months after initiating treatment, and they experienced a reduction in the use of concomitant DED-related treatment following lifitegrast initiation. Patients had fewer DED symptoms, including quality of life (QoL) impacts, at 3, 6 and 12 months after lifitegrast initiation compared with the period prior to lifitegrast initiation. Corneal staining scores, Schirmer scores, and tear film break-up time were indicative of DED sign improvement starting at 3 months after initiating lifitegrast. Most of the providers who participated reported working in private practice and small practice settings, comprised of two to ten ophthalmologists/optometrists. The majority of providers indicated they typically prescribe lifitegrast within 6 months of DED diagnosis. Observational studies such as the one conducted are especially meaningful to inform the use and impact of lifitegrast in DED patient populations that are more heterogeneous than those included in clinical trials.

Introduction

Dry eye disease (DED) is a common, multifactorial condition of the ocular surface, which can result in discomfort, visual disturbance, and tear film instability.^{1–3} Patients with DED often experience symptoms such as foreign body sensation, excessive tearing, pain and redness of the eye, sensitivity to light, discharge from the eye, and blurred vision.³ In the United States (US), approximately 16 million adults have been diagnosed with DED, with an estimated prevalence of 6.8%, while in Canada, approximately 6 million adults are affected by DED, with an estimated prevalence of 21.3%.^{4,5} These estimates may underrepresent the true burden of DED as diagnosis of DED is challenging due to its multifactorial etiology and given that DED-related signs manifest in only 57% of symptomatic patients.⁶ DED symptoms can be particularly heterogeneous in patients with immune-mediated diseases, such as inflammatory bowel disease or Sjogren's syndrome, and the correlation between subjective and objective parameters for DED is not always clear.^{7,8} The risk of DED increases with age and disproportionately affects women, with some studies finding the prevalence of DED to be two times higher in women compared to men.^{2,4} Other risk factors associated with DED development include select autoimmune diseases, certain medications (eg, anti-histamines, diuretics, etc), frequent use of electronic devices, and wearing contact lenses.^{1,2} The COVID-19 pandemic has also exacerbated DED symptoms due to the increase in screen time and face

mask use, both of which are implicated in rapid tear evaporation.⁹

Chronic DED is associated with significant impairments in quality of life (QoL).² Patients with DED are more likely to have difficulty reading, using a computer, driving, and watching television, which impact their daily and social life as well as professional life.¹⁰ DED is associated with a 30% impairment in workplace performance and work productivity.⁷ Along with DED affecting QoL and work productivity, the average annual direct cost of DED on the US healthcare system is estimated at \$3.8 billion.^{4,10} Therefore, understanding and treating DED properly and timely are of utmost importance to address the needs of all relevant stakeholders, including patients, physicians, the governments, and more broadly the healthcare systems.

Management of DED includes over-the-counter (OTC) artificial tears, lid hygiene, punctal plugs, and short-term prescription treatments such as topical corticosteroid eye drops and topical nonsteroidal anti-inflammatory drug (NSAID) eye drops with antibiotics; however, these short-term prescriptions are not adequate for treating persistent symptoms, and corticosteroids cannot be used long-term due to possible side effects.¹¹ Additional treatments for DED include compounded topical cyclosporine solutions (Klarity-C Drops[®], ImprimisRx, San Diego, CA), cyclosporine ophthalmic emulsion (Restasis[®], Allergan, Dublin, Ireland), and cyclosporine ophthalmic solution (CEQUA[™], Sun Pharmaceuticals Industries Ltd., Goregaon, Mumbai, India), which increase tear production.^{2,12} Lifitegrast ophthalmic solution (Xiidra[®], Novartis AG Pharma, Basel, Switzerland), approved in the US in July 2016 and in Canada in January 2018, is currently the only prescription eye drop indicated for both signs and symptoms of DED.^{13,14} Lifitegrast is a lymphocyte function-associated antigen-1 agonist that acts by reducing the inflammation associated with DED.^{2,15}

Randomized clinical trials have shown lifitegrast to be a safe and effective therapy in treating DED signs and symptoms.^{15–17} The current study sought to understand the use and effectiveness of lifitegrast in real-world settings beyond the clinical trial setting. A descriptive healthcare provider (HCP) panel-based chart review study was conducted to assess patient characteristics, treatment patterns, and effectiveness among a large sample of patients with DED treated with lifitegrast in the US and Canada post-market approval.

Methods

Study Design and Study Population

This was a non-interventional, retrospective, longitudinal study among patients with DED treated with lifitegrast in the US and Canada. The study included data from patients' medical records, which HCPs abstracted into an electronic case record form (eCRF). The eCRF also comprised of a provider survey, which gathered responses relating to HCP and practice-level characteristics. Data were collected via a secure electronic data platform from January – February 2020, where all questions related to study inclusion and exclusion criteria were mandatory. Questions unrelated to study eligibility allowed providers to select the response option “unknown”, if applicable. The target sample size was 600 patient charts. Data were de-identified and complied with the patient confidentiality requirements of the Health Insurance Portability and Accountability Act (HIPAA). All study materials were approved by the New England Independent Review Board (NEIRB) under the exempt category as data were de-identified and patient informed consent was not required. This study was conducted in accordance with the Declaration of Helsinki.

Ophthalmologists and optometrists in the US and Canada were recruited to participate in the study through Dynata (Shelton, CT), which maintains panels of HCPs after recruiting and validating HCPs through licensed medical organizations such as the American Medical Association or recruiting HCPs through business-to-business panels and validating their identities through various mechanisms, including government records, which is the industry standard. Ophthalmologists and optometrists were invited to participate if they had at least one qualifying patient who met inclusion criteria. Eligible HCPs completed a provider survey and were permitted to contribute data for up to five patients in this study. Qualifying patients were at least 18 years of age at initiation of lifitegrast and had a diagnosis of DED prior to lifitegrast initiation, had initiated lifitegrast between January 1, 2017 (US)/January 1, 2018 (Canada) and June 30, 2019, had clinical information available both 6-months pre- and post-lifitegrast initiation, and had at least one clinical visit in the 6-month period following lifitegrast initiation; patients were not required to be treated with lifitegrast at the time of their clinical visit in the 6-month period following lifitegrast initiation. The CRF included instructions for the HCP to provide data from a random sample of eligible

patients: the HCP was given a series of letters (eg, A to M) and asked to review patient charts with last names starting with those letters, in alphabetical order, and then select the first patient who met all eligibility criteria. The process was repeated up to five times for HCPs with more than one qualifying patient who wished to contribute additional data. The provider survey assessed HCP and practice-level characteristics including clinical practice setting, practice size, number of years in practice, and number of patients with DED treated per year. Providers' approach to treating DED, including reasons for lifitegrast prescription and discontinuation, and timing of lifitegrast prescription relative to DED diagnosis, were also assessed.

For the chart review part of the study, the index date was defined as the date of lifitegrast initiation. The 6-month period prior to lifitegrast initiation served as the pre-index period. Patient demographic and baseline clinical characteristics (eg, gender, age, health insurance, comorbidities, year of DED diagnosis, cause and type of DED) were assessed in the 6-month pre-index period. The period following lifitegrast initiation was defined as the post-index period, and treatment and DED characteristics until earliest of last known clinic contact or 12-months post-index were identified.

Lifitegrast treatment characteristics, including dose, frequency, and discontinuation, were assessed in the post-index period. Patients were classified as having discontinued lifitegrast if the provider indicated that the patient had ended lifitegrast treatment. Specific reasons for discontinuation were ascertained, including insufficient response, intolerance of treatment, patient preference, and issue with insurance coverage. The proportion of patients continuing lifitegrast treatment at 6 and 12-months post-index time points was calculated among patients who were followed for at least that length of time.

DED-related treatments aside from lifitegrast and clinical outcomes were assessed in both the pre-index and post-index periods. Data on use of DED-related treatments (eg, OTC artificial tears, topical corticosteroids, any cyclosporine) were collected. The scores from DED severity, sign, and symptom assessments within the pre-index period and closest to the index date, and data from these assessments throughout the post-index period were collected. Assessments of interest included DED severity assessments (eg, provider's severity level measure [mild, moderate, severe], Dry Eye Workshop Scale [DEWS], Canadian Dry Eye Assessment [CDEA], Dry Eye Severity Level [DESL]), assessments for DED signs (eg, corneal staining score,

Schirmer score, tear film break-up time [TFBUT]), and DED symptom assessment tools¹⁸ (eg, 5-item Dry Eye Questionnaire [DEQ-5], Dry Eye-related Quality of Life Score [DEQS], Eye Dryness Score [EDS], Impact of Dry Eye on Everyday Live [IDEEL], Ocular Surface Disease Index [OSDI], Standardized Patient Evaluation of Eye Dryness [SPEED] questionnaire). DED symptoms including QoL impacts (eg, eye dryness, blurred vision, ocular burning/stinging, fatigue, depression, headache) as reported in the patient chart and not necessarily part of a questionnaire were also collected. For DED severity, sign, and symptom assessments and DED symptoms, HCPs could report the date of the assessment or symptom report, or indicate if the assessment or report occurred during a given time interval post-index (ie, 3 months [± 30 days], 6 months [± 30 days], 12 months [± 60 days]). DED-related and other ocular surgical procedures (eg, cataract surgery, debridement) recorded in the patient chart for the pre- and post-index periods were also collected.

Statistical Analysis

A total of 600 patient charts met pre-specified quality control measures and were included in the analysis. Descriptive statistics were calculated using frequencies and proportions (including two-sided 95% confidence intervals [CIs]) for categorical variables and means, standard deviations (SDs), and medians for continuous variables. Ninety five percent CIs for categorical variables were calculated using the Clopper–Pearson method. For categorical variables, missing data were considered as a separate category. Summary statistics for severity, signs, and symptoms were calculated for the 6-months pre-index period and at 3-months (± 30 days), 6-months (± 30 days) and 12-months (± 60 days) post-index among patients with ongoing lifitegrast treatment and with follow-up until at least the specified time points. The frequency and proportion of patients with reported symptoms at any time up to 6 months post-index period were also described. Analyses were conducted overall (pooled for US and Canada). All analyses were performed using SAS Enterprise Guide software Version 7.1 (SAS Institute, Cary, NC).

Results

Healthcare Provider (HCP) Survey

A total of 517 HCPs contributed 600 patient charts, with an average of 1.2 patient charts per HCP. Among the 517 providers who participated in this study, 477 were from the

US (including 287 ophthalmologists and 190 optometrists), and 40 were from Canada (including 13 ophthalmologists and 27 optometrists). Of the 517 HCPs who contributed patient chart data, 501 (96.9%) completed the HCP survey (Table 1). Most HCPs reported working in private practice (416 [83.0%]) and small practice settings of 2 to 10 ophthalmologists/optometrists (312 [62.3%]). The majority of HCPs indicated prescribing lifitegrast within 6 months of DED diagnosis. The most common reason for lifitegrast prescription was lifitegrast's efficacy, while the most common reason for lifitegrast discontinuation was issues related to cost and insurance coverage.

Patient Chart Review

Baseline Demographic and Clinical Characteristics

Characteristics of the 600 patients treated with lifitegrast who met the eligibility criteria are described in Table 2. The majority of patients were female (455 [75.8%]) with a mean age of 57.1 years at the time of lifitegrast initiation. Two hundred and sixty three patients (263, 43.8%) were diagnosed with DED after 2017. For cause or type of DED, 376 patients (62.7%) had evaporative deficiency only, and 32 patients (5.3%) had aqueous deficiency only, while 160 patients (26.7%) had both aqueous and evaporative deficiencies. The most common ocular diseases reported pre-index were cataract (203 [33.8%]), glaucoma (33 [5.5%]), and macular degeneration (24 [4.0%]). The most prevalent comorbidities reported pre-index were hypertension (163 [27.2%]), allergies (114 [19.0%]), diabetes (any type) (82 [13.7%]) and depression (63 [10.5%]).

Lifitegrast Utilization

Lifitegrast utilization is described in Table 3. Average time (\pm SD [median]) from DED diagnosis to lifitegrast initiation was 23.3 ± 42.7 [11.6] months. Among 554 patients with at least 6 months of observation, 512 patients (92.4%) were still on lifitegrast treatment at 6 months post-index. Among 281 patients with 12 months of observation, 238 patients (84.7%) were still on lifitegrast treatment at 12 months post-index. As patients were required to have one clinical visit in the 6-month period following lifitegrast initiation and half of patients initiated lifitegrast in the year prior to data collection, most patients did not have 12 months of observation. Seventy six patients (76, 12.7%) who discontinued lifitegrast during the post-index period had a lifitegrast treatment duration (mean \pm SD [median]) of 5.5 ± 3.8 [5.0] months. The most common

Table I Healthcare Provider (HCP) Survey (n=501)

HCP and Practice Characteristics	
Clinical practice setting, n (% [95% CI])	
Academic-based	40 (8.0 [5.8, 10.7])
Community-based	80 (16.0 [12.9, 19.5])
Private practice	416 (83.0 [79.5, 86.2])
Size of practice, n (% [95% CI])	
Solo (1 ophthalmologist or optometrist)	104 (20.8 [17.3, 24.6])
Small (2–10 ophthalmologists or optometrists)	312 (62.3 [57.9, 66.5])
Medium (11–50 ophthalmologists or optometrists)	78 (15.6 [12.5, 19.0])
Large (≥51 ophthalmologists or optometrists)	7 (1.4 [0.6, 2.9])
Number of years in practice, n (% [95% CI])	
<1 year	2 (0.4 [0.0, 1.4])
1–5 years	60 (12.0 [9.3, 15.1])
6–10 years	118 (23.6 [19.9, 27.5])
11–20 years	170 (33.9 [29.8, 38.3])
>20 years	151 (30.1 [26.1, 34.4])
Number of unique DED patients seen per year	
Mean ± SD [median] (95% CI of mean)	393.0 ± 304.5 [300.0] (366.3, 419.7)
Percentage of unique DED patients seen per year treated with lifitegrast	
Mean ± SD [median] (95% CI of mean)	20.3 ± 20.7 [14.0] (18.5, 22.1)
Treatment Practices	
Timing of lifitegrast prescription, n (% [95% CI])	
After DED diagnosis and prior to prescribing other therapies treating symptoms of DED	68 (13.6 [10.7, 16.9])
After DED diagnosis and in combination with other therapies treating symptoms of DED	180 (35.9 [31.7, 40.3])
Following treatment with other therapies treating DED symptoms	253 (50.5 [46.0, 55.0])
Average time between DED diagnosis and lifitegrast prescription, n (% [95% CI])	
<1 month	38 (7.6 [5.4, 10.3])
1–3 months	154 (30.7 [26.7, 35.0])
4–6 months	198 (39.5 [35.2, 44.0])
7–12 months	63 (12.6 [9.8, 15.8])
1–2 years	31 (6.2 [4.2, 8.7])
>2 years	17 (3.4 [2.0, 5.4])
Reasons for lifitegrast prescription, n (% [95% CI])^a	
Efficacy	288 (57.5 [53.0, 61.9])
Immediate relief of symptoms	137 (27.3 [23.5, 31.5])
Improvement in signs	151 (30.1 [26.1, 34.4])
Tolerability	138 (27.5 [23.7, 31.7])
Patient preference	76 (15.2 [12.1, 18.6])
Insurance coverage	148 (29.5 [25.6, 33.7])
Failure of other treatments	267 (53.3 [48.8, 57.7])
Other ^b	2 (0.4 [0.0, 1.4])

Notes: ^aHCPs were allowed to select more than one category. ^bOther reasons for prescription included “coupon use making it more affordable” and “plugs”.

Abbreviations: CI, confidence interval; HCP, healthcare provider; SD, standard deviation.

Table 2 Demographic and Clinical Characteristics in the Pre-Index Period Among Patients with DED Treated with Lifitegrast (n=600)

Demographic Characteristics	
Age (years) at index date, mean ± SD [median] (95% CI of mean)	57.1 ± 12.8 [58.3] (56.1, 58.1)
Age (years) at index date, n (% [95% CI])	
18–34	34 (5.7 [4.0, 7.8])
35–49	129 (21.5 [18.3, 25.0])
50–64	278 (46.3 [42.3, 50.4])
65–69	70 (11.7 [9.2, 14.5])
70–74	50 (8.3 [6.2, 10.8])
75–79	25 (4.2 [2.7, 6.1])
80–84	8 (1.3 [0.6, 2.6])
≥ 85	6 (1.0 [0.4, 2.2])
Female, n (% [95% CI])	455 (75.8 [72.2, 79.2])
Clinical Characteristics	
Year of DED diagnosis, n (% [95% CI])	
≤ 2015	88 (14.7 [11.9, 17.8])
2016	71 (11.8 [9.4, 14.7])
2017	136 (22.7 [19.4, 26.2])
2018	185 (30.8 [27.2, 34.7])
2019	78 (13.0 [10.4, 16.0])
Unknown	42 (7.0 [5.1, 9.3])
HCP who made the first DED diagnosis, n (% [95% CI])	
Ophthalmologist	332 (55.3 [51.3, 59.4])
Optometrist	262 (43.7 [39.7, 47.7])
Physician	4 (0.7 [0.2, 1.7])
Other	0 (0.0)
Unknown	2 (0.3 [0.0, 1.2])
Cause/type of DED, n (% [95% CI])	
Evaporative deficiency	536 (89.3 [86.6, 91.7])
Blepharitis	211 (39.4 [35.2, 43.6])
Ectropion	4 (0.7 [0.2, 1.9])
Entropion	1 (0.2 [0.0, 1.0])
Exposure to smoke, wind, or a very dry climate	93 (17.4 [14.2, 20.8])
Looking at a computer screen for a long time, reading, or other activities that reduce blinking	238 (44.4 [40.1, 48.7])
Meibomian gland dysfunction	362 (67.5 [63.4, 71.5])
Refractive eye surgery (eg, LASIK, PRK, etc)	55 (10.3 [7.8, 13.1])
Thyroid disease	19 (3.5 [2.1, 5.5])
Using contact lenses for a long time	87 (16.2 [13.2, 19.6])
Aqueous deficiency	192 (32.0 [28.3, 35.9])
Graft vs host disease	8 (4.2 [1.8, 8.0])
Lupus	9 (4.7 [2.2, 8.7])
Medication use (eg, diuretics, beta-blockers, antihistamines, sleeping pills, antidepressants)	107 (55.7 [48.4, 62.9])
Rheumatoid arthritis (and other auto-immune conditions)	45 (23.4 [17.6, 30.1])
Sjögren's syndrome (primary and secondary)	35 (18.2 [13.0, 24.4])
Other ^a	10 (1.7 [0.8, 3.0])
None of the above	28 (4.7 [3.1, 6.7])
Unknown	1 (0.2 [0.0, 0.9])
Evaporative deficiency only	376 (62.7 [58.7, 66.5])
Aqueous deficiency only	32 (5.3 [3.7, 7.4])
Both evaporative and aqueous deficiency	160 (26.7 [23.2, 30.4])

(Continued)

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Table 2 (Continued).

Ocular diseases/conditions, n (% [95% CI])	
Amblyopia	7 (1.2 [0.5, 2.4])
Cataract	203 (33.8 [30.1, 37.8])
Glaucoma	33 (5.5 [3.8, 7.6])
Macular degeneration	24 (4.0 [2.6, 5.9])
Previous ocular injury	15 (2.5 [1.4, 4.1])
Strabismus	3 (0.5 [0.1, 1.5])
Other ^b	29 (4.8 [3.3, 6.9])
None of the above	334 (55.7 [51.6, 59.7])
Unknown	2 (0.3 [0.0, 1.2])
DED-related comorbidities, n (% [95% CI])	
Acne rosacea	41 (6.8 [4.9, 9.2])
Allergies (eg, environmental, dietary, drug, latex, etc)	114 (19.0 [15.9, 22.4])
Anxiety	63 (10.5 [8.2, 13.2])
Depression	63 (10.5 [8.2, 13.2])
Diabetes	82 (13.7 [11.0, 16.7])
Fibromyalgia	21 (3.5 [2.2, 5.3])
Hypercholesterolemia	42 (7.0 [5.1, 9.3])
Hypertension	163 (27.2 [23.6, 30.9])
Rheumatoid arthritis	35 (5.8 [4.1, 8.0])
Sjögren's Syndrome	21 (3.5 [2.2, 5.3])
Sleep apnea	21
Thyroid dysfunction	43 (7.2 [5.2, 9.5])
None of the above	172 (28.7 [25.1, 32.5])
Unknown	1 (0.2 [0.0, 0.9])
Contact lenses usage, n (% [95% CI])	
Daily disposable	72 (12.0 [9.5, 14.9])
Weekly disposable	30 (5.0 [3.4, 7.1])
Monthly disposable	40 (6.7 [4.8, 9.0])
Disposable soft	13 (2.2 [1.2, 3.7])
Extended wear	4 (0.7 [0.2, 1.7])
Gas permeable	8 (1.3 [0.6, 2.6])
None of the above	438 (73.0 [69.3, 76.5])
Unknown	1 (0.2 [0.0, 0.9])
Self-reported screen time per day, n (% [95% CI])	
< 1 hour	52 (8.7 [6.5, 11.2])
1–3 hours	149 (24.8 [21.4, 28.5])
4–6 hours	197 (32.8 [29.1, 36.8])
7–9 hours	126 (21.0 [17.8, 24.5])
10–12 hours	21 (3.5 [2.2, 5.3])
>12 hours	3 (0.5 [0.1, 1.5])
Unknown	52 (8.7 [6.5, 11.2])

Notes: ^aOther causes/types of DED included acne rosacea, allergies, diabetes, lacrimal gland radiation, lagophthalmos, post-chemotherapy, postmenopausal, and tear film abnormalities. ^bOther ocular diseases and conditions included allergic conjunctivitis, anatomically narrow angles, blepharitis, chalazion, conjunctivochalasis, corneal dystrophy, dermatochalasis, diabetic retinopathy, drusen, epiretinal membrane, filamentary keratitis, giant papillary conjunctivitis, keratoconus, lagophthalmos, penetrating keratoplasty, posterior vitreous detachment, pseudophakia, pterygium, recurrent corneal erosion, retinal detachment, retinal hole, Stevens–Johnson syndrome, superficial punctate keratitis, and uveitis.

Abbreviations: CI, confidence interval; DED, dry eye disease; LASIK, laser-assisted in-situ keratomileusis; PRK, photoreactive keratectomy; SD, standard deviation.

reasons for lifitegrast treatment discontinuation included insufficient response (34 [44.7%]), intolerance to treatment (25 [32.9%]), patient preference (22 [28.9%]), and issues with insurance coverage (18 [23.7%]).

DED-Related Treatments and Ocular Surgeries in the Pre- and Post-Index Periods

Two hundred and twenty six patients (226, 37.7%) were treated with lifitegrast alone post-index. Overall, the use of

Table 3 Lifitegrast Utilization Among Patients with DED

Year of index treatment initiation, n (% [95% CI])	
2017	9 (1.5 [0.7, 2.8])
2018	281 (46.8 [42.8, 50.9])
2019	310 (51.7 [47.6, 55.7])
Time from DED diagnosis to lifitegrast initiation, months, mean \pm SD [median] (95% CI of mean) ^a	
Overall	23.3 \pm 42.7 [11.6] (19.8, 26.9)
Index treatment initiation in 2017 (n=8)	31.5 \pm 55.0 [6.7] (not reported)
Index treatment initiation in 2018 (n=265)	23.8 \pm 35.3 [12.0] (19.5, 28.0)
Index treatment initiation in 2019 (n=285)	22.7 \pm 48.4 [10.0] (17.0, 28.3)
Prescription/Pack prescribed for first prescription	
Days' supply	
Mean \pm SD [median] (95% CI of mean)	59.7 \pm 37.2 [30.0] (56.5, 62.8)
Unknown, n (% [95% CI])	60 (10.0 [7.7, 12.7])
Number of refills	
Mean \pm SD [median] (95% CI of mean)	4.8 \pm 3.0 [4.0] (4.6, 5.1)
Unknown, n (% [95% CI])	34 (5.7 [4.0, 7.8])
Number of patients with at least 6 months of follow-up, n (% [95% CI])	
554 (92.3 [89.9, 94.3])	
Patients with ongoing lifitegrast treatment at 6-months post-index, n (% [95% CI])	
Overall	512 (92.4 [89.9, 94.5])
Index treatment initiation in 2017 (n=8)	8 (100.0 [63.1, 100.0])
Index treatment initiation in 2018 (n=267)	241 (90.3 [86.1, 93.5])
Index treatment initiation in 2019 (n=279)	263 (94.3 [90.9, 96.7])
Number of patients with at least 12 months of follow-up, n (% [95% CI])	
281 (46.8 [42.8, 50.9])	
Patients with ongoing lifitegrast at 12-months post-index, n (% [95% CI])	
Overall	238 (84.7 [79.9, 88.7])
Index treatment initiation in 2017 (n=8)	8 (100.0 [63.1, 100.0])
Index treatment initiation in 2018 (n=235)	195 (83.0 [77.6, 87.6])
Index treatment initiation in 2019 (n=38)	35 (92.1 [78.6, 98.3])
Number of patients who discontinued lifitegrast, n (% [95% CI])	
76 (12.7 [10.1, 15.6])	
Treatment duration, months, mean \pm SD [median] (95% CI of mean)	
5.5 \pm 3.8 [5.0] (4.7, 6.4)	
Treatment duration, n (% [95% CI])	
0 to \leq 3 months	24 (31.6 [21.4, 43.3])
>3 to \leq 6 months	20 (26.3 [16.9, 37.7])
>6 to \leq 9 months	16 (21.1 [12.5, 31.9])
>9 months	16 (21.1 [12.5, 31.9])
Reasons for treatment discontinuation, n (% [95% CI]) ^b	
Insufficient response	34 (44.7 [33.3, 56.6])
Intolerance of treatment	25 (32.9 [22.5, 44.6])
Patient preference	22 (28.9 [19.1, 40.5])
Issue with insurance coverage	18 (23.7 [14.7, 34.8])
Other	8 (10.5 [4.7, 19.7])
Unknown	3 (3.9 [0.8, 11.1])

Notes: ^aForty two patients were missing information on the date of DED diagnosis and were excluded from the calculation. ^bReasons for treatment discontinuation were calculated among the 76 patients who discontinued treatment with lifitegrast. Patients could have \geq 1 reason for index treatment discontinuation. Therefore, the sum of percentages may exceed 100%. Other reasons for discontinuing lifitegrast included out of pocket cost, developed cyst, symptom resolution, sign resolution, and bad taste.

Abbreviations: CI, confidence interval; DED, dry eye disease; NR, not reached; SD, standard deviation.

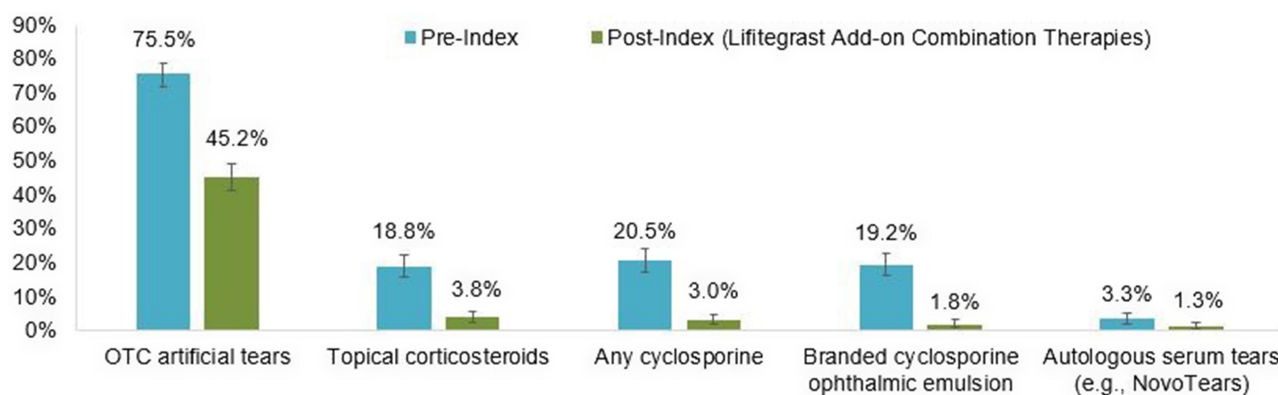


Figure 1 Proportion of patients with DED-related treatments in the 6-month pre-index period and added to lifitegrast in the post-index period (n=600)*. Vertical bars indicate 95% confidence intervals. Patients may have multiple DED-related treatments; therefore, the sum of percentages may exceed 100%. *11 patients (1.8%) switched from lifitegrast to other therapies during the post-index period. These patients are not included in Figure 1.

non-lifitegrast DED-related treatments was lower following lifitegrast initiation. **Figure 1** displays other DED-related treatment used pre-index and concomitantly with lifitegrast in the post-index period. OTC artificial tear use was reported for 453 patients (75.5%) pre-index and for 271 patients (45.2%) at some point in the post-index period. One hundred and thirteen patients (113, 18.8%) were documented as treated with topical corticosteroids pre-index, whereas 23 patients (3.8%) were documented as treated with topical corticosteroids post-index. One hundred and twenty three patients (123, 20.5%) were treated with any cyclosporine pre-index vs 18 patients (3.0%) post-index. One hundred and fifteen patients (115, 19.2%) and 11 patients (1.8%) were treated with branded cyclosporine ophthalmic emulsion pre-index and post-index with lifitegrast, respectively. The frequency and proportion of patients who had ocular and DED-related surgical procedures during the pre- and post-index periods are summarized in [Table S1](#). Cataract surgery was the most common surgery in the pre-index period.

DED Severity in the Pre- and Post-Index Periods

Information on DED severity was scarce. Four hundred and forty four patients (444, 74.0%) had no severity assessments pre-index. Data were less widely available post-index (results not shown), with no severity information reported for 546 (95.6%), 509 (95.3%), and 266 (83.1%) patients at 3, 6, and 12-months post-index, respectively.

DED Symptoms in the Pre-Index and Post-Index Periods

Compared with patients in the 6-month pre-index period, patients with ongoing lifitegrast treatment had fewer DED

symptoms reported at 3, 6, and 12-months post-index ([Table 4](#)). Likewise, a lower proportion of patients had DED symptoms reported at any time up to 6 months post-index compared to the 6-month pre-index period ([Table 4](#)). Symptom questionnaires were more common in the 6-month pre-index period vs the 3, 6, and 12-month time points in the post-index period with no particular symptom questionnaire used predominantly ([Table 5](#)). The average scores for DEQ-5, OSDI, and SPEED questionnaires were numerically lower at 3, 6, and 12-months post-index vs pre-index ([Table 5](#)).

DED Signs in the Pre- and Post-Index Periods

Results from the most common DED clinical sign assessments (ie, corneal staining score, Schirmer score, and TFBUT) are displayed in [Table 6](#). Among patients with corneal staining scores, the averages were numerically lower at 3, 6, and 12-months post-index than in the pre-index period. Among patients with Schirmer scores and TFBUT, patients had higher average scores at these post-index time points than in the pre-index period.

Discussion

This retrospective analysis of DED patients treated with lifitegrast in real-world settings in the US and Canada suggests that DED symptoms, including QoL impacts, and signs improved following lifitegrast initiation. In addition, the use of other DED-related treatments (particularly, OTC artificial tears, branded cyclosporine ophthalmic emulsion, any cyclosporine, and topical corticosteroids) decreased following lifitegrast initiation. Other DED-related treatment use was lower following lifitegrast initiation, and over one-third of patients were reported to be

Table 4 Patients with DED Symptoms Reported in the Pre- and Post-Index Periods

	Pre-Index n=600 n (% [95% CI])	3-Months (± 30 Days) Post- Index n=571 n (% [95% CI])	6-Months (± 30 Days) Post- Index n=534 n (% [95% CI])	12-Months (± 60 Days) Post- Index n=320 n (% [95% CI])	Any Time 0–6 Months Post- Index n=513 n (% [95% CI])
Blurred vision	346 (57.7 [53.6, 61.7])	28 (4.9 [3.3, 7.0])	25 (4.7 [3.1, 6.8])	11 (3.4 [1.7, 6.1])	59 (11.5 [8.9, 14.6])
Depression	55 (9.2 [7.0, 11.8])	8 (1.4 [0.6, 2.7])	6 (1.1 [0.4, 2.4])	9 (2.8 [1.3, 5.3])	11 (2.1 [1.1, 3.8])
Eye dryness	525 (87.5 [84.6, 90.0])	47 (8.2 [6.1, 10.8])	37 (6.9 [4.9, 9.4])	16 (5.0 [2.9, 8.0])	107 (20.9 [17.4, 24.6])
Fatigue	82 (13.7 [11.0, 16.7])	4 (0.7 [0.2, 1.8])	0 (0.0)	1 (0.3 [0.0, 1.7])	10 (1.9 [0.9, 3.6])
Foreign body sensation	299 (49.8 [45.8, 53.9])	13 (2.3 [1.2, 3.9])	9 (1.7 [0.8, 3.2])	8 (2.5 [1.1, 4.9])	29 (5.7 [3.8, 8.0])
Headache	19 (3.2 [1.9, 4.9])	1 (0.2 [0.0, 1.0])	1 (0.2 [0.0, 1.0])	0 (0.0)	3 (0.6 [0.1, 1.7])
Itching	93 (15.5 [12.7, 18.6])	9 (1.6 [0.7, 3.0])	7 (1.3 [0.5, 2.7])	2 (0.6 [0.1, 2.2])	15 (2.9 [1.6, 4.8])
Ocular burning/ stinging	336 (56.0 [51.9, 60.0])	25 (4.4 [2.9, 6.4])	17 (3.2 [1.9, 5.0])	8 (2.5 [1.1, 4.9])	65 (12.7 [9.9, 15.9])
Ocular pain	73 (12.2 [9.7, 15.1])	4 (0.7 [0.2, 1.8])	3 (0.6 [0.1, 1.6])	2 (0.6 [0.1, 2.2])	8 (1.6 [0.7, 3.0])
Photophobia/ sensitivity to light	57 (9.5 [7.3, 12.1])	1 (0.2 [0.0, 1.0])	1 (0.2 [0.0, 1.0])	1 (0.3 [0.0, 1.7])	4 (0.8 [0.2, 2.0])
Asymptomatic	5 (0.8 [0.3, 1.9])	28 (4.9 [3.3, 7.0])	16 (3.0 [1.7, 4.8])	17 (5.3 [3.1, 8.4])	42 (8.2 [6.0, 10.9])
Other symptoms ^a	7 (1.2 [0.5, 2.4])	0 (0.0)	0 (0.0)	1 (0.3 [0.0, 1.7])	1 (0.2 [0.0, 1.1])

Notes: ^aOther symptoms reported included discomfort, grittiness, redness, and watering.
Abbreviations: CI, confidence interval; DED, dry eye disease.

Table 5 DED Questionnaires in the Pre- and Post-Index Periods

	Pre-Index n=600	3-Months (\pm 30 Days) Post-Index n=571	6-Months (\pm 30 Days) Post-Index n=534	12-Months (\pm 60 Days) Post-Index n=320
5-Item Dry Eye Questionnaire (DEQ-5) score [0–22]				
Patients with documentation of symptom score, n (% [95% CI])	77 (12.8 [10.3, 15.8])	10 (1.8 [0.8, 3.2])	12 (2.2 [1.2, 3.9])	14 (4.4 [2.4, 7.2])
Mean \pm SD [median] (95% CI of mean)	14.3 \pm 4.2 [15.0] (13.3, 15.2)	9.8 \pm 5.8 [9.0] (5.7, 13.9)	7.4 \pm 4.8 [6.0] (4.4, 10.4)	6.5 \pm 4.2 [6.0] (4.1, 8.9)
Ocular Surface Disease Index (OSDI) score [0–100]				
Patients with documentation of symptom score, n (% [95% CI])	54 (9.0 [6.8, 11.6])	6 (1.1 [0.4, 2.3])	6 (1.1 [0.4, 2.4])	8 (2.5 [1.1, 4.9])
Mean \pm SD [median] (95% CI of mean)	60.5 \pm 24.8 [65.5] (53.8, 67.3)	31.3 \pm 17.8 [25.0] (12.6, 50.0)	41.8 \pm 23.7 [35.0] (17.0, 66.7)	28.8 \pm 17.3 [25.0] (14.3, 43.2)
Standardized Patient Evaluation of Eye Dryness (SPEED) questionnaire score [0–28]				
Patients with documentation of symptom score, n (% [95% CI])	37 (6.2 [4.4, 8.4])	5 (0.9 [0.3, 2.0])	4 (0.7 [0.2, 1.9])	7 (2.2 [0.9, 4.5])
Mean \pm SD [median] (95% CI of mean)	17.5 \pm 5.4 [20.0] (15.7, 19.3)	12.2 \pm 3.3 [12.0] (8.0, 16.4)	6.8 \pm 3.3 [7.0] (1.5, 12.0)	7.7 \pm 5.0 [5.0] (3.1, 12.4)
No symptom questionnaire reported, n (% [95% CI])	383 (63.8 [59.8, 67.7])	539 (94.4 [92.2, 96.1])	497 (93.1 [90.6, 95.1])	281 (87.8 [83.7, 91.2])

Abbreviations: CI, confidence interval; DED, dry eye disease; SD, standard deviation.

Table 6 DED Sign Assessments in the Pre- and Post-Index Periods

	Pre-Index n=600	3 Months (\pm 30 Days) Post-Index n=571	6 Months (\pm 30 Days) Post-Index n=534	12 Months (\pm 60 Days) Post-Index n=320
Corneal staining score [0–15]				
Patients with documentation of sign assessment, n (% [95% CI])	111 (18.5 [15.5, 21.8])	14 (2.5 [1.3, 4.1])	15 (2.8 [1.6, 4.6])	31 (9.7 [6.7, 13.5])
Mean \pm SD [median] (95% CI of mean)	6.5 \pm 3.9 [6.0] (5.8, 7.3)	2.7 \pm 2.3 [2.0] (1.4, 4.0)	3.0 \pm 3.0 [2.0] (1.3, 4.6)	2.0 \pm 1.7 [2.0] (1.3, 2.6)
Schirmer score (mm)				
Patients with documentation of sign assessment, n (% [95% CI])	82 (13.7 [11.0, 16.7])	5 (0.9 [0.3, 2.0])	7 (1.3 [0.5, 2.7])	17 (5.3 [3.1, 8.4])
Mean \pm SD [median] (95% CI of mean)	6.3 \pm 10.8 [5.0] (3.9, 8.7)	10.6 \pm 1.7 [11.0] (8.5, 12.7)	9.6 \pm 2.8 [10.0] (7.0, 12.1)	10.0 \pm 3.2 [9.0] (8.4, 11.6)
Tear film break up time (TFBUT)				
Patients with documentation of sign assessment, n (% [95% CI])	237 (39.5 [35.6, 43.5])	36 (6.3 [4.5, 8.6])	34 (6.4 [4.4, 8.8])	46 (14.4 [10.7, 18.7])
Mean \pm SD [median] (95% CI of mean)	4.8 \pm 3.1 [4.0] (4.4, 5.2)	7.3 \pm 3.1 [7.5] (6.2, 8.3)	7.8 \pm 2.1 [8.0] (7.0, 8.5)	8.0 \pm 2.8 [8.0] (7.2, 8.8)
No sign assessment reported, n (% [95% CI])	249 (41.5 [37.5, 45.6])	522 (91.4 [88.8, 93.6])	481 (90.1 [87.2, 92.5])	248 (77.5 [72.5, 82.0])

Abbreviations: CI, confidence interval; DED, dry eye disease; SD, standard deviation; TFBUT, tear film break-up time.

treated with lifitegrast alone; this was observed despite the expansion of treatment options for DED in recent years. These findings highlight the potential effectiveness of lifitegrast on symptoms and signs both as a standalone and concurrent treatment.

This is the first real-world study to assess the long-term (ie, up to 12 months) effectiveness of lifitegrast among a large patient population treated for DED in clinical practice. Observational research such as this is critical in describing the treatment experience among patients in real-world settings, which include a broader population than those eligible for trials.^{15–17} The current study reported a quarter of patients with contact lenses usage, and nearly half with OTC artificial tears use in combination with lifitegrast; patients with these characteristics were excluded from controlled trials to control for confounding. Studies such as this are important to inform HCPs about real-world experiences and enable them to improve the patient journey for the population of patients they treat.

This study shows that lower proportions of patients reported DED symptoms including QoL impacts at 3, 6, and 12-months after initiating lifitegrast treatment relative to prior to lifitegrast use. Furthermore, the summary statistics show that compared with the pre-index period, the average corneal staining score was numerically lower as early as 3 months after initiating lifitegrast, which is indicative of an improvement in DED signs.¹⁹ The average TFBUT was less than 5 seconds during the pre-index period and was above 7 at 3, 6, and 12-months after initiating lifitegrast treatment. These findings are consistent with prior studies that report an association between lifitegrast treatment and DED symptom and sign improvement.^{16,17,20} The OPUS-1 Phase III study demonstrated DED sign improvement with lifitegrast by reduced corneal fluorescein and conjunctival lissamine staining, and the chart review study by Tong et al that described the characteristics and outcomes of 121 patients with DED treated with lifitegrast also showed lifitegrast was associated with an improvement in corneal staining and TFBUT.^{16,20}

A high proportion of patients had ongoing lifitegrast treatment at the end of follow-up. The observational study by Tong et al that included 121 patients reported 80.2% of patients were still on treatment at the end of follow-up, where average follow-up was 88.1 days.²⁰ An observational study by White et al²¹ using claims data reported that among 3235 patients, 2082 (64.4%) discontinued

lifitegrast within 12 months of initiation and had a median of 29 days from lifitegrast initiation to discontinuation. That study was unable to elaborate on the reasons why patients discontinued treatment as claims data do not have this information. Notably, nearly three quarters of the patients in that study initiated lifitegrast in the year it was approved, and insurance coverage issues may have impacted discontinuation among patients who initiated lifitegrast soon after its approval. When lifitegrast was first marketed, coupons were initially offered that would cover the first 6 weeks of treatment, and patients frequently discontinued treatment following the free supply due to lack of insurance coverage for lifitegrast at that time. This was a universal issue with patients covered by Medicare but has since improved for patients with commercial insurance (D White, Department of Ophthalmology, SkyVision Centers, personal communication, December 2020).

There were some differences in findings obtained from the chart review and provider survey. This could be due to differences in experiences among patients included in the chart review who initiated lifitegrast as far back as 2017 vs current practice patterns that providers described in the provider survey. There may have been differences in reasons for treatment discontinuation captured in the provider survey vs what was reported in the patient chart if the information in the chart reflected what the patient reported, which could differ from HCPs' perceptions about why patients discontinue.

Observational studies are non-interventional and therefore limited by heterogeneity in patient follow-up and variations in treatment regimens. There were lower proportions of patients with symptom score and sign assessments available at the pre-specified post-index time points in this study as compared to prospective studies. However, the data collection tool for this study was designed to capture a wide array of symptoms, including those not necessarily ascertained through a questionnaire, which the patient may have reported to the HCP while being treated in a clinical practice setting. The HCPs who participated in this study provided real-world retrospective data for patients who were not enrolled in clinical trials, demonstrating the diversity of diagnostic tests as well as DED-related symptom questionnaires that are currently being used in clinical practices across the US and Canada. Further research could focus on reaching a consensus on best practices for diagnosing and monitoring progress while on treatment.

There are limitations to the current study. Data were collected retrospectively and are limited to the information available in the patients' medical charts. OTC treatments were those reported by the patient to the provider, and medications were those prescribed or given by the provider, but adherence was not verified. The presence of symptoms reported here was based upon information that was reported by the patient to the provider. As with all analyses of retrospective non-randomized studies, this study is subject to potential biases including selection bias, measurement error, and non-random missing data. To minimize the chance of selection bias, a randomization scheme was implemented for HCPs to include data from patients who initiated lifitegrast treatment regardless of how long they continued their treatment or their experience with their treatment. Across the different ophthalmologist and optometrist practices, clinical assessments of DED in real-world settings are based on heterogeneous criteria and assessment schedules. Findings from this study may not be generalizable to countries outside of the US and Canada. The self-selection of ophthalmologists and optometrists who joined the panel and who responded to the online chart review invitation (providing data on an average of one patient per HCP) may also limit generalizability.

Conclusions

Findings from this study suggest that patients are likely to continue treatment with lifitegrast for at least 6 months. Treatment with lifitegrast was indicative of improvements in both signs and symptoms, including QoL impacts, in real-world settings as early as 3 months and lasting as long as 12 months, and with a reduction in the use of concomitant DED-related treatments. Observational research like this study is helpful to inform the effectiveness of lifitegrast in a heterogeneous real-world patient population.

Abbreviations

CI, confidence interval; CDEA, Canadian Dry Eye Assessment; DED, dry eye disease; DEQ-5, 5-Item Dry Eye Questionnaire; DEQS, Dry Eye-related Quality of Life Score; DESL, Dry Eye Severity Level; DEWS, Dry Eye Workshop Scale; eCRF, electronic case report form; EDS, Eye Dryness Score; HCP, healthcare provider; HIPAA, Health Insurance Portability and Accountability Act; IDEEL, Impact of Dry Eye on Everyday Life; NEIRB, New England Independent Review Board; NSAID, nonsteroidal anti-inflammatory drug; OSDI,

Ocular Surface Disease Index; OTC, over-the-counter; QoL, quality of life; SD, standard deviation; SPEED, Standardized Patient Evaluation of Eye Dryness; TFBUT, tear film break-up time; US, United States.

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