

# In vitro to Clinical Translation of Combinatorial Effects of Doxorubicin and Abemaciclib in Rb-Positive Triple Negative Breast Cancer: A Systems-Based Pharmacokinetic/Pharmacodynamic Modeling Approach

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Brett Fleisher<sup>1</sup>  
Jovin Lezeau<sup>1</sup>  
Carolyn Werkman<sup>1</sup>  
Brehanna Jacobs<sup>1</sup>  
Sihem Ait-Oudhia<sup>2</sup>

<sup>1</sup>Center for Pharmacometrics and Systems Pharmacology, Department of Pharmaceutics, College of Pharmacy, University of Florida, Orlando, Florida, USA; <sup>2</sup>Quantitative Pharmacology and Pharmacometrics (QP2), Merck & Co, Inc, Kenilworth, New Jersey, USA

**Background:** Doxorubicin (DOX) and its pegylated liposomal formulation (L\_DOX) are the standard of care for triple-negative breast cancer (TNBC). However, resistance to DOX often occurs, motivating the search for alternative treatment approaches. The retinoblastoma protein (Rb) is a potential pharmacological target for TNBC treatment since its expression has been associated with resistance to DOX-based therapy.

**Methods:** DOX (0.01–20  $\mu\text{M}$ ) combination with abemaciclib (ABE, 1–6  $\mu\text{M}$ ) was evaluated over 72 hours on Rb-positive (MDA-MB-231) and Rb-negative (MDA-MB-468) TNBC cells. Combination indices (CI) for DOX+ABE were calculated using Compusyn software. The TNBC cell viability time-course and fold-change from the control of phosphorylated-Rb (pRb) protein expression were measured with CCK8-kit and enzyme-linked immunosorbent assay. A cell-based pharmacodynamic (PD) model was developed, where pRb protein dynamics drove cell viability response. Clinical pharmacokinetic (PK) models for DOX, L\_DOX, and ABE were developed using data extracted from the literature. After scaling cancer cell growth to clinical TNBC tumor growth, the time-to-tumor progression (TTP) was predicted for human dosing regimens of DOX, ABE, and DOX+ABE.

**Results:** DOX and ABE combinations were synergistic ( $\text{CI} < 1$ ) in MDA-MB-231 and antagonistic ( $\text{CI} > 1$ ) in MDA-MB-468. The maximum inhibitory effects ( $\text{I}_{\text{max}}$ ) for both drugs were set to one. The drug concentrations producing 50% of  $\text{I}_{\text{max}}$  for DOX and ABE were 0.565 and 2.31  $\mu\text{M}$  (MDA-MB-231) and 0.121 and 1.61  $\mu\text{M}$  (MDA-MB-468). The first-order rate constants of abemaciclib absorption ( $k_a$ ) and doxorubicin release from L\_DOX ( $k_{\text{rel}}$ ) were estimated at 0.31 and 0.013  $\text{h}^{-1}$ . Their linear clearances were 21.7 (ABE) and 32.1 L/h (DOX). The estimated TTP for intravenous DOX (75 mg/m<sup>2</sup> every 21 days), intravenous L\_DOX (50 mg/m<sup>2</sup> every 28 days), and oral ABE (200 mg twice a day) were 125, 31.2, and 8.6 days shorter than drug-free control. The TTP for DOX+ABE and L\_DOX+ABE were 312 days and 47.5 days shorter than control, both larger than single-agent DOX, suggesting improved activity with the DOX+ABE combination.

**Conclusion:** The developed translational systems-based PK/PD model provides an in vitro-to-clinic modeling platform for DOX+ABE in TNBC. Although model-based simulations suggest improved outcomes with combination over monotherapy, tumor relapse was not prevented with the combination. Hence, DOX+ABE may not be an effective treatment combination for TNBC.

**Keywords:** phosphorylated retinoblastoma protein, clinical prediction, drug-drug interaction, time-to-tumor Progression, nonlinear mixed effect modeling

Correspondence: Sihem Ait-Oudhia  
Merck & Co, Inc, Kenilworth, New Jersey, USA  
Email [sihem.bihorel@merck.com](mailto:sihem.bihorel@merck.com)

## Introduction

Triple-negative breast cancer (TNBC), as defined by the lack of the three hallmark receptors (estrogen receptor (ER), progesterone receptor (PR), and human epidermal growth factor receptor 2 (HER2))<sup>1</sup> tends to be more aggressive than other BC subtypes.<sup>2,3</sup> Clinical biomarkers validated by the US Food and Drug Administration (FDA) for predicting the clinical outcome of TNBC patients' subpopulations to a specific therapy are not currently available in the clinic.<sup>4</sup> Because of the lack of FDA-approved targeted therapy for TNBC, the anthracycline, doxorubicin (DOX), is the mainstay of treatment.<sup>5–7</sup> However, DOX-based therapy is limited due to life-threatening cardiotoxicities<sup>8</sup> in addition to the emergence of drug-resistance in TNBC.<sup>7</sup> The pegylated liposomal formulation of DOX (L\_DOX) improves the efficacy-toxicity tradeoff by reducing the peak-to-trough fluctuations of unencapsulated DOX in the plasma.<sup>9</sup> L\_DOX accumulates in the highly vascularized tumor tissue and slowly releases unencapsulated DOX within the tumor,<sup>10</sup> thus localizing cytotoxicity at the tumor site and reducing myocardial toxicity.<sup>11</sup>

DOX acts as an intercalant agent into the DNA, where it induces DNA damage by forming a covalent bond between topoisomerase II and DNA.<sup>12</sup> The effectiveness of DOX in TNBC decreases over time due to the emergence of resistance,<sup>7</sup> necessitating improved therapy. The retinoblastoma protein (Rb) signaling pathway has been suggested as a potential pathway involved in patients' resistance to DOX chemotherapy.<sup>13</sup> One proposed mechanism of resistance is that in response to DNA-damage, the tumor cells upregulate mitogenic signaling proteins to increase the expression of the cyclin D-CDK4/6 complex as well as the phosphorylate Rb (pRb) protein.<sup>14–16</sup> The latter will dissociate from the E2F transcription factor, hence allowing for cancer cells to transition from G1 to S phase, and subsequently for cell cycle progression.<sup>17</sup> The addition of a CDK4/6 inhibitor is postulated to prevent Rb-mediated resistance to DOX, but the clinical benefit of this combination is unclear.<sup>18–21</sup>

Pharmacokinetic/pharmacodynamic (PK/PD) modeling has been previously used to capture Rb-mediated tumor growth and to, ultimately, simulate the tumor response after exposure to novel therapeutics.<sup>22</sup> Poor outcomes in TNBC patients after being treated with current chemotherapy treatment strategies<sup>7,23</sup> warrant the search for targeted therapeutic combinations. This study aimed to explore the

clinical benefit of combining abemaciclib (ABE), a potent CDK4/6 inhibitor with DOX in Rb-positive TNBC, using an extended PK/PD modeling approach. The nature of ABE+DOX interaction (synergism, additivity, or antagonism) was examined first in vitro on Rb-positive (MDA-MB-231) and Rb-negative (MDA-MB-468) TNBC cells.<sup>18</sup> Next, an in vitro cell-based PD model was developed to characterize the concentration-dependent and time-dependent relationships between drugs and pRb-mediated cell proliferation in MDA-MB-231, which was scaled-up from an in vitro growth setting to a clinical solid tumor growth setting. Finally, clinical PK models for DOX, L\_DOX, and ABE were developed and integrated into a final “humanized” systems-based PD model. The latter served as an in silico simulation platform to predict patients' outcomes for the proposed combination. The developed systems-based PK/PD modeling approach serves as a proof-of-concept in silico simulation tool. Its use was explored using the optimized dosing regimen for ABE given alone and/or in combination with DOX or L\_DOX to Rb-positive TNBC patients.

## Materials and Methods

### Cell Culture and Reagents

MDA-MB-231 and MDA-MB-468 were purchased from American Type Culture Collection (Manassas, VA, USA). Cells were maintained in Dulbecco's Modified Eagle Medium (DMEM) containing 10% sterile filtered fetal bovine serum (FBS, Sigma-Aldrich, Saint Louis, MO, USA) and 1% penicillin/streptomycin. The cells were cultured at 37°C in a 5% CO<sub>2</sub> incubator. DOX (99.69% purity) and ABE (99.82% purity) were both supplied from Selleck Chemicals LLC (Houston, TX, USA). Stock solutions of 30 mM DOX and employing mM ABE were prepared in Millipore water and stored as 20 µL aliquots at –80°C.

### Cell Growth Inhibition Assay

Cells were seeded in 96-well plates at a density of  $2 \times 10^3$  cells/well in three replicates. Cells were allowed to adhere overnight at 37°C and 5% CO<sub>2</sub>. Cells were then exposed over 72 hours to a range of concentrations of DOX (0.01–20 µM) or ABE (0.1–20 µM). The relative cell density was measured using the Cell Counting Kit-8 assay (CCK-8; Sigma-Aldrich, Saint Louis, MO, USA). Briefly, after the desired length of drug exposure, cells were incubated with CCK-8 (10% dilution in 10% FBS DMEM) for 1 hour at 37°C, and absorbance was measured

at 450 nm using the Epoch microplate reader (BioTek, Winooski, VT, USA). The percent cell viability was calculated by dividing the absorbance of each treatment by the absorbance of cells grown in the drug-free medium at respective time points. In vitro growth inhibition assay was performed twice for each cell line and treatment. The resulting data were pooled and presented as mean  $\pm$  SEM.

## Concentration-Effect Relationships for Single Agents

The measured percent cellular viabilities of each cell lines versus DOX, ABE, and their combination was modeled using a sigmoidal Hill inhibitory function such as:

$$R = R_0 - \frac{I_{\max} \times C^\gamma}{IC_{50}^\gamma + C^\gamma} \quad (1)$$

where R represents the percentage (%) of viable cells.  $R_0$  is the % cell viability at a time equal to 0.  $I_{\max}$  is the maximum drug inhibitory effect, which was fixed to 1 since, at high concentrations, both agents led to ~100% killing of cancer cells.  $IC_{50}$  is the drug concentration that produces half the  $I_{\max}$ ,  $\gamma$  is the Hill coefficient, and C is the drug's concentration. The parameters  $IC_{50}$  and  $\gamma$  were estimated using GraphPad Prism Version 5 and determined as means  $\pm$  % residual standard error (%RSE)

## Drugs Combinatorial Effect

Cells were seeded in triplicate wells in 96-well plates at a seeding density of  $2 \times 10^3$  cells/well. Cells were allowed to adhere overnight in the cell culture medium. Four treatment arms were examined: 1) control treatment arm where cells were seeded in cell culture devoid of drug, 2) ABE treatment arm at concentrations ranging at 1–6  $\mu$ M in MDA-MB-231 and at 1–2.5  $\mu$ M in MDA-MB-468, 3) DOX treatment arm at its  $IC_{50}$  of 0.565 and 0.121  $\mu$ M in MDA-MB-231 and MDA-MB-468, and 4) combination of ABE+DOX at their respective  $IC_{50}$ . The cellular absorbance was measured at 450 nm and normalized using the method described in the Cell Growth Inhibition Assay section. The analysis was run in duplicate. The overall nature of interaction for DOX at its  $IC_{50}$  and ABE concentrations after 72 hours of exposure was determined by calculating the Combination Index (CI) using CompuSyn software. The fractional inhibition ( $Fa = 100 - (\% \text{ cell viability})/100$ ), which represents the relative inhibition potency of a reversible inhibitor to the target, served as inputs for Compusyn.<sup>24,25</sup> The [supplementary Figure S1](#) depicts the

calculated mean  $Fa \pm$  SEM for DOX (0.1–20  $\mu$ M for MDA-MB-231 and 0.01–20  $\mu$ M for MDA-MB-468), ABE (1–6  $\mu$ M and 1–2.5  $\mu$ M), and DOX  $IC_{50}$  with ABE.

## Cell Viability Time-Course

Cells were seeded in triplicate wells using 96-well plates at a seeding density of  $2 \times 10^3$  cells/well. Cells adhered overnight and were exposed to media devoid of the drug (Control), ABE at 2  $\mu$ M in MDA-MB-231, DOX at its  $IC_{50}$  of 0.565  $\mu$ M, or combination of ABE (2  $\mu$ M) + DOX (0.565  $\mu$ M). Concentrations preparation was described in the Drugs Combinatorial Effect section, and absorbance was measured using the method described in the Cell Growth Inhibition Assay section. The cellular absorbances at each time point for each treatment arms were normalized to the ones of the respective treatment arms at time zero.

## Total Cell Protein Extraction for Western Blotting and Enzyme-Linked Immunosorbent Assay

Cells were seeded at a seeding density of  $3 \times 10^5$  cells/well in 6-well plates. Cells were allowed to adhere overnight in a cell culture medium devoid of drug. Then, cells were exposed to drugs or fresh medium alone for 72 hours. Sampling times included 0, 1, 3, 6, 12, 24, 48, 72 hours post-treatment. At each time point, floating and adherent cells were collected via trypsinization, pooled, centrifuged at 900 rpm for 4 minutes, and washed with PBS. Cells were suspended in 75  $\mu$ L ice-cold Milliplex<sup>®</sup> MAP Lysis Buffer supplemented with protease/phosphatase inhibitor, then shaken for 10 minutes at 4°C, and finally incubated on ice for 10 minutes. Lysed cells were centrifuged at 10,000 G for one minute, and then the supernatant was collected, aliquoted, and stored at  $-80^\circ\text{C}$ . The total cellular protein concentration was measured using the Bicinchoninic Acid (BCA) Protein Assay Kit (Thermo Fisher Scientific Inc., Waltham, Massachusetts USA).

## The Fold Change in pRb Over Time

The expression of pRb (Ser780) protein in Rb-positive (MDA-MB-231) and Rb-negative (MDA-MB-468) cells was confirmed by Western blot method. Briefly, the primary antibodies used were anti-phospho-Rb (Ser780) (D59B7) and GAPDH (2118S). The secondary antibody used was the anti-rabbit HRP-linked IgG (7074S; Cell Signaling, Danvers, Massachusetts, USA). Both primary and

secondary antibodies were diluted 1000-fold in phosphate-buffered saline with tween (PBST; 9809S; Cell Signaling Technologies, Danvers, Massachusetts, USA). Approximately 30 µg of total protein was loaded per lane of 12% MP TGX Stain-Free Gel and transferred onto trans-Blo Turbo Mini Polyvinylidene fluoride (PVDF; Bio-Rad, Hercules, California, USA) membrane. The PVDF membrane was blocked using 5% non-fat dry milk in 1x PBST for 60 minutes at room temperature. The membrane was incubated with primary antibodies overnight with gentle agitation at 4°C, washed with PBST, and incubated with secondary antibody for 1 hour at room temperature. Blots were imaged using Chemiluminescence (ECL; Bio-Rad, Hercules, California, USA). The time-course expression of pRb protein was measured in MDA-MB-231 after exposure to control (medium devoid of drugs), DOX (0.565 µM), ABE (2 µM), and DOX (0.565 µM) with ABE (2 µM). The pRb protein expression over 0–72 hours at 0, 1, 3, 6, 12, 24, 48, 72 hours post-treatment was measured using the PathScan® phosphor-Rb (Ser780) Sandwich enzyme-linked immunosorbent assay kit (ELISA, Cell Signaling Technologies, Danvers, Massachusetts, USA). Samples were run in quadruplicate at a protein concentration of 60 ng/mL per well. Per the manufacturer's protocol, samples were incubated overnight in pre-coated 96-well plates at 4°C. The next day, wells were incubated with the detection antibody at 37°C for one hour, incubated with HRP-Linked secondary antibody at 37°C for 30 minutes, and incubated with TMB substrate at room temperature for 30 minutes with washing between steps using 1x wash buffer. After the addition of the stop solution (number 7002, Cell Signaling Technologies, Danvers, Massachusetts, USA), the optic density (OD) from pRb protein absorbance at 450 nm was measured using an Epoch microplate reader (BioTek, Winooski, VT, USA). Fold change was calculated by dividing the OD of the control, DOX, ABE, or combination by the mean OD of the control at each time point. The observed data are presented as mean ± SEM. The fold change from control was calculated such as:

$$\text{Fold Change}_t = \frac{\text{OD}_{\text{tx}_t}}{\text{OD}_{\text{mean}_t}} \quad (2)$$

where  $\text{OD}_{\text{tx}_t}$  is the measured OD for drug-exposed cells at  $t^{\text{th}}$  sampling time, and  $\text{OD}_{\text{mean}_t}$  is the mean OD for the control at  $t^{\text{th}}$  sampling time. Fold change was used as a pharmacodynamic (PD) variable bridging between drug-

exposure and downstream drug cell-killing effect in the cell-based PD model.

## Cell-Based Pharmacodynamic Model pRB Protein

The final PD model representing the Rb pathway (Figure 1) is comprised of a series of transit compartments representing the pharmacological targets of DOX and ABE. The concentration-effects of DOX ( $E_{\text{DOX}}$ ) and ABE ( $E_{\text{ABE}}$ ) are represented by using the following equations:

$$E_{\text{ABE}} = \frac{E_{\text{max\_ABE}} \cdot C_{\text{ABE}}}{EC_{50\_ABE} + C_{\text{ABE}}} \quad (3)$$

$$E_{\text{DOX}} = \frac{E_{\text{max\_DOX}} \cdot C_{\text{DOX}}}{EC_{50\_DOX} + C_{\text{DOX}}} \quad (4)$$

where  $E_{\text{max}}$  is the maximum drug effect,  $EC_{50}$  is the concentration of the drug allowing 50% of  $E_{\text{max}}$ , and  $C$  is the concentration of the drug. DOX forms an irreversible complex with Topoisomerase II (TOPO) and DNA. Covalently bound TOPO is unable to repair DNA, leading to strand breaks and apoptosis.<sup>12</sup> DOX-induced TOPO inhibition and subsequent DNA damage (eg, reduction in functional DNA) are represented by the following equations:

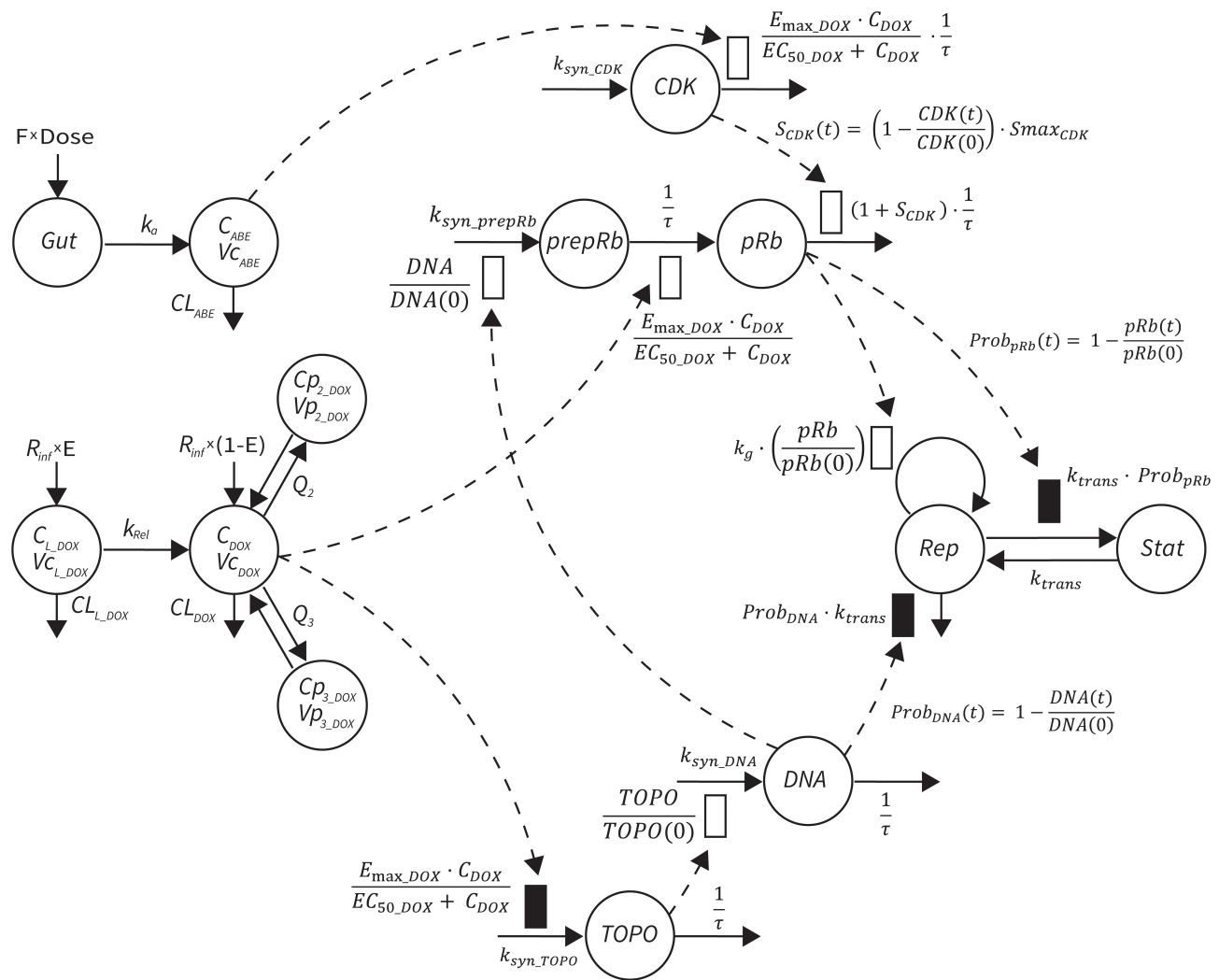
$$k_{\text{syn\_DNA}} = \text{DNA}(0) \cdot \frac{1}{\tau} \quad (5)$$

$$k_{\text{syn\_TOPO}} = \text{TOPO}(0) \cdot \frac{1}{\tau} \quad (6)$$

$$\begin{aligned} \frac{d\text{Topo}}{dt} &= k_{\text{syn\_TOPO}} \cdot (1 - E_{\text{DOX}}) - \text{TOPO} \cdot \frac{1}{\tau}, \text{TOPO}(0) \\ &= 100 \end{aligned} \quad (7)$$

$$\begin{aligned} \frac{d\text{DNA}}{dt} &= k_{\text{syn\_DNA}} \cdot \left( \frac{\text{TOPO}}{\text{TOPO}(0)} \right) - \text{DNA} \cdot \frac{1}{\tau}, \text{DNA}(0) \\ &= 100 \end{aligned} \quad (8)$$

$k_{\text{syn\_TOPO}}$  and  $k_{\text{syn\_DNA}}$  represent the rate of synthesis for TOPO and DNA. The initial conditions for each compartment are set to 100, maintaining a theoretical steady-state in the absence of the drug.  $\tau$  is the transit between PD compartments. The effects of ABE and DOX converge on the Rb pathway. ABE inhibits cyclin-dependent kinase 4/6 (CDK), leading to a reduction in pRb.<sup>18</sup> DOX exposure initially increases pRb, but DNA damage leads to a reduction in Rb-pathway activity<sup>26–28</sup> and a delayed decrease in pRb.



**Figure 1** Systems-based PK/PD model for abemaciclib (ABE), doxorubicin (DOX), and pegylated liposomal DOX (L\_DOX) in Rb-positive TNBC. A one-compartment model with first-order elimination and absorption rate constants best captured the PK of ABE, while a three-compartment model with linear elimination best described the PK of L\_DOX. An additional one compartmental model with a first-order release rate constant into the DOX central compartment best captured the PK of L\_DOX. A series of compartments represent DOX and ABE targets, eg, topoisomerase II (TOPO) and cyclin-dependent kinase 4/6 (CDK). ABE stimulates the removal of pRb, whereas DOX influences pRb expression in addition to stimulating cell death.

**Abbreviations:**  $C_{DOX}$ , DOX concentration in the central compartment;  $C_{p2}$ , drug concentration in the first peripheral compartment;  $C_{p3}$ , drug concentration in the second peripheral compartment;  $CL_{ABE}$ , linear clearance (CL) for ABE from central compartment;  $CL_L$ , CL of liposomal DOX via reticuloendothelial system;  $CL_{DOX}$ , linear CL of DOX from the central compartment; DOSE, dose of drug; E, fraction of DOX in pegylated liposomal form; F, oral bioavailability; Gut, gut compartment;  $k_a$ , first-order absorption rate constant;  $k_g$ , first-order growth rate constant for cancer cell;  $k_{rel}$ , first-order release rate constant;  $k_{syn\_CDK}$ , zero-order production rate constant for CDK;  $k_{syn\_prepRb}$ , zero-order production rate constant for the precursor pool of pRb (prepRb);  $k_{syn\_TOPO}$ , zero-order production rate constant for TOPO;  $Prob_{DNA}$ , the probability cell growth inhibition from decrease in functional DNA;  $Prob_{pRb}$ , probability of cell stasis via pRb inhibition;  $Q_2$ , intercompartmental CL between the central and the first peripheral compartments for DOX;  $Q_3$ , intercompartmental CL between the central and the second peripheral compartments for DOX;  $R_{inf}$ , rate of drug infusion;  $\tau$ , transit time between compartments;  $V_{C_{ABE}}$ , central volume of distribution for ABE;  $V_{C_{DOX}}$ , central volume of distribution for DOX;  $V_L$ , Vd of liposomal drug;  $V_{p2}$ , volume of distribution for the first peripheral compartment for DOX;  $V_{p3}$ , volume of distribution for the second peripheral compartment for DOX.

The CDK-pRb pathway is described using the following equations:

$$S_{CDK}(t) = \left(1 - \frac{CDK(t)}{CDK(0)}\right) \cdot S_{max_{CDK}} \quad (11)$$

$$k_{syn\_CDK} = CDK(0) \cdot \frac{1}{\tau} \quad (9) \quad k_{syn\_prepRb} = prepRb(0) \cdot \frac{1}{\tau} \quad (12)$$

$$\begin{aligned} \frac{dCDK}{dt} &= k_{syn\_CDK} - CDK \cdot \frac{1}{\tau} \cdot (1 + E_{ABE}), CDK(0) \\ &= 100 \end{aligned} \quad (10) \quad \begin{aligned} \frac{dprepRb}{dt} &= k_{syn\_prepRb} \cdot \left(\frac{DNA}{DNA(0)}\right) \\ &- prepRb \cdot \frac{1}{\tau} \cdot (1 + E_{DOX}), prepRb(0) = 100 \end{aligned} \quad (13)$$



$$\begin{aligned}\frac{dpRb}{dt} &= \frac{1}{\tau} \cdot (prepRb \cdot (1 + E_{DOX}) - pRb \cdot (1 + S_{CDK})), \\ pRb(0) &= 100\end{aligned}\quad (14)$$

A precursor pool compartment (prepRb) was used to describe activity upstream of pRb.  $k_{syn\_CDK}$  and  $k_{syn\_pRb}$  are the zero-order production rate of the CDK and prepRb compartments. The lack of active CDK stimulates pRb loss (SCDK) by the maximum stimulation constant ( $S_{max\_CDK}$ ). The initial conditions for prepRb and pRb compartment are set to 100 because the pRb is normalized to control.

### Cell Growth Inhibition Model

The in vitro killing of cancer cells was captured with the following equation:

$$Prob_{DNA}(t) = 1 - \left( \frac{DNA(t)}{DNA(0)} \right) \quad (15)$$

$$Prob_{pRb}(t) = 1 - \left( \frac{pRb(t)}{pRb(0)} \right) \quad (16)$$

$$\begin{aligned}\frac{dRep_{invitro}}{dt} &= k_{g\_invitro} \cdot \left( \frac{pRb}{pRb(0)} \right) \cdot Rep_{invitro} + k_{trans} \\ &\cdot (Stat_{invitro} - Rep_{invitro} \cdot Prob_{pRb} - Rep_{invitro} \cdot Prob_{DNA}), \\ Rep_{invitro}(0) &= 100\end{aligned}\quad (17)$$

$$\begin{aligned}\frac{dStat_{invitro}}{dt} &= k_{trans} \cdot (Rep_{invitro} \cdot Prob_{pRb} - Stat_{invitro}), \\ Stat_{invitro}(0) &= 0\end{aligned}\quad (18)$$

$$Cells_{invitro}(t) = Rep_{invitro}(t) + Stat_{invitro}(t) \quad (19)$$

where  $k_{g\_invitro}$  is a first-order rate constant, which dictates in vitro cancer cell growth of the replicating cancer cells (Rep).  $k_{trans}$  is a first-order rate constant describing both the transit between Rep and static cancer cells ( $Stat_{invitro}$ ) and the death rate of replicating cells. The inhibition of functional DNA increases the probability of cell death ( $Prob_{DNA}$ ), and the lack of pRb increases the probability of stasis ( $Prob_{pRb}$ ). The sum of Rep and Stat cells equates to the total number of cells measured ( $Cells_{invitro}$ ). Because the total number of cells in the no-drug control exhibited log-phase growth, the initial values of Rep and Stat were set to 100 and 0, respectively.

## Clinical PK Models

### Source of Data

A literature search was conducted using Pubmed Central (PMC) and Google Scholar to collect the PK data for ABE and DOX. The criteria for selection for the source publications were: 1) the dosing and infusion time for intravenous (IV) formulation are specified; 2) the lower limit of quantification (LOQ) is defined for the measurement technique used; and 3) the plasma concentrations are provided as mean values. Plasma concentration-time course data for ABE,<sup>29</sup> total DOX, free DOX, and L\_DOX ([Supplementary Table S1](#)) were digitized using “GetData Graph Digitizer” (<http://getdata-graph-digitizer.com>).

### PK Model Structures

The plasma concentration-time course data were obtained from the literature for ABE<sup>29</sup> as well as for DOX, L\_DOX, and total DOX (free DOX + DOX bound to plasma proteins) as summarized in [Supplementary Table S1](#). Data were extracted using “GetData Graph Digitizer” (<http://getdata-graph-digitizer.com>). Noncompartmental analysis (NCA) using Phoenix software (Version 8.1, Certara, St. Louis, MO USA) was employed to determine the initial PK parameters, and nonlinear mixed-effects modeling (NLME) was used to estimate the parameters typical values as well as the between-study variability (BSV) and residual variability (RV). An internal model qualification strategy was adopted through graphical and numerical inspections by generating visual predictive checks (VPCs) and 95<sup>th</sup> bootstrap confidence intervals from 1000 simulated individuals.

### PK Model for ABE

The absolute clearance ( $CL_{ABE}$ ) parameter was calculated by multiplying the oral bioavailability (F) as published by the FDA<sup>30</sup> by the apparent clearance generated ( $CL_0$ ) generated from the non-compartmental analysis. Then,  $CL_{ABE}$  was multiplied by mean residence time (MRT) from NCA to calculate the volume of distribution ( $V_{dABE}$ ). The PK of ABE was described using a one-compartment model with a first-order absorption process as follows:

$$\frac{dGut}{dt} = -k_a \cdot Gut, \quad Gut(0) = F \cdot Dose_{ABE} \quad (20)$$

$$\begin{aligned}V_{C_{ABE}} \frac{dC_{ABE}}{dt} &= k_a \cdot Gut - k_{el\_ABE} \cdot C_{ABE} \cdot V_{C_{ABE}}, \\ C_{ABE}(0) &= 0\end{aligned}\quad (21)$$

The tablet formulation of ABE is administered into a Gut compartment where bioavailability F governs the extent of the drug entering the plasma. The transit of ABE through

the GI tract is characterized by the first-order absorption constant,  $k_a$ .  $C_{ABE}$  is the estimated concentration of ABE in the central compartment, and  $V_{c_{ABE}}$  is the volume of distribution of ABE in the central compartment. The elimination of ABE from the central compartment is characterized by the first-order rate constant,  $k_{el\_ABE}$ . The apparent  $CL_{ABE}$  and  $V_{d_{ABE}}$  derived from NCA and served as the initial parameter estimates for model fitting.  $k_{el\_ABE}$  was calculated from  $CL_{ABE}$  and  $V_{c_{ABE}}$ :  $k_{el\_ABE} = \frac{CL_{ABE}}{V_{c_{ABE}}}$ .

### PK Model for DOX

The PK of an intravenous (IV) bolus infusion of DOX was described using a three-compartment model with the following equations:

$$R_{inf} = \frac{DOX}{infusion\ time} \quad (22)$$

$$\begin{aligned} V_{c_{DOX}} \frac{dC_{DOX}}{dt} = & +k_{21} \cdot C_{p2\_DOX} \cdot V_{p2\_DOX} \\ & + k_{31} \cdot C_{p3\_DOX} \cdot V_{p3\_DOX} \\ & - (k_{el_{DOX}} + k_{12} + k_{13}) \cdot C_{DOX} \cdot V_{c_{DOX}}, \\ C_{DOX}(0) = & R_{inf} \end{aligned} \quad (23)$$

$$\begin{aligned} V_{p2} \frac{dC_{p2\_DOX}}{dt} = & k_{12} \cdot C_{DOX} \cdot V_{c_{DOX}} - k_{21} \cdot C_{p2\_DOX} \cdot V_{p2\_DOX}, \\ C_{p2\_DOX}(0) = & 0 \end{aligned} \quad (24)$$

$$\begin{aligned} V_{p3} \frac{dC_{p3\_DOX}}{dt} = & k_{13} \cdot C_{DOX} \cdot V_{c_{DOX}} - k_{31} \cdot C_{p3\_DOX} \cdot V_{p3\_DOX}, \\ C_{p3\_DOX}(0) = & 0 \end{aligned} \quad (25)$$

The clinical dosing scenarios were simulated at 60 and 75 mg/m<sup>2</sup> IV bolus infusion of DOX over 15 minutes.<sup>31,32</sup> The average BSA of 1.71 m<sup>2</sup> reported from a previously published clinical study in breast cancer patients<sup>32</sup> was used to calculate the DOX amount (mg).  $C_{DOX}$ ,  $C_{p2}$ , and  $C_{p3}$  represent drug amounts in the central and two peripheral compartments;  $k_{el\_DOX}$  is the first-order elimination rate constant of DOX from the central compartment;  $k_{12}$ ,  $k_{21}$ ,  $k_{13}$ ,  $k_{31}$  are first-order inter-compartmental transfer rate constants;  $V_{c_{DOX}}$ ,  $V_{p2}$ , and  $V_{p3}$  represent the volumes of distribution in the central and two peripheral compartments. The first-order rate constants were calculated from  $CL_{DOX}$ , inter-compartmental clearances  $Q_2$  and  $Q_3$ , and volumes of distributions:

$$\begin{aligned} k_{12} = \frac{Q_2}{V_{c_{DOX}}}, k_{21} = \frac{Q_2}{V_{p2\_DOX}}, k_{13} = \frac{Q_3}{V_{c_{DOX}}}, \\ k_{31} = \frac{Q_3}{V_{p3\_DOX}}, k_{el\_DOX} = \frac{CL_{DOX}}{V_{c_{DOX}}} \end{aligned}$$

### PK for Liposomal DOX

An additional compartment was added to the PK model of DOX to account for the slow release of DOX from the liposome nanocarrier, such as:

$$\begin{aligned} V_{L\_DOX} \frac{dC_{L\_DOX}}{dt} = & -k_{rel} \cdot C_{L\_DOX} \cdot V_{L\_DOX} \\ & - \frac{CL_{L\_DOX}}{V_{L\_DOX}} \cdot C_{L\_DOX}, C_{L\_DOX}(0) = E \cdot R_{inf} \end{aligned} \quad (26)$$

$$\begin{aligned} V_C \frac{dC_{DOX}}{dt} = & +k_{rel} \cdot C_{L\_DOX} \cdot V_{L\_DOX} + k_{21} \cdot C_{p2\_DOX} \cdot V_{p2\_DOX} \\ & + k_{31} \cdot C_{p3\_DOX} \cdot V_{p3\_DOX} - (k_{12} + k_{13} + k_{el\_DOX}) \cdot C_{DOX} \cdot V_C, \\ C_{DOX}(0) = & (1 - E) \cdot R_{inf} \end{aligned} \quad (27)$$

$$\begin{aligned} V_{p2\_DOX} \frac{dC_{p2\_DOX}}{dt} = & k_{12} \cdot C_{DOX} \cdot V_{c_{DOX}} \\ & - k_{21} \cdot C_{p2\_DOX} \cdot V_{p2\_DOX}, C_{p2\_DOX}(0) = 0 \end{aligned} \quad (28)$$

$$\begin{aligned} V_{p3\_DOX} \frac{dC_{p3\_DOX}}{dt} = & k_{13} \cdot C_{DOX} \cdot V_{c_{DOX}} \\ & - k_{31} \cdot C_{p3\_DOX} \cdot V_{p3\_DOX}, C_{p3\_DOX}(0) = 0 \end{aligned} \quad (29)$$

$R_{inf}$  is the zero-order infusion rate of L\_DOX.  $E$  represents the fraction of L\_DOX in the pegylated liposomal form.<sup>33,34</sup> The clinical dosing of 50 mg/m<sup>2</sup> of L\_DOX over a 1-hour infusion time is based on the approved dosing for L\_DOX administration to metastatic breast cancer patients.<sup>11</sup> The rate of L\_DOX eliminated via the reticuloendothelial system (RES,  $CL_{L\_DOX}$ ) was fixed to zero due to the slow uptake of the L\_DOX formulation by RES.<sup>33</sup> L\_DOX was subsequently released to DOX via the first-order rate constant,  $k_{rel}$ . Parameters describing the PK of DOX were previously described (PK Model for DOX section). Total DOX was described as the sum of DOX (ie, DOX not encapsulated within the pegylated liposomal formulation) and L\_DOX in the PK model. DOX and ABE concentrations were further converted into micromolar concentrations based on their published molecular weights and then converted into unbound concentrations ( $C_{unbound}$ ) in plasma by multiplying the concentration of the drug by the fraction of drug unbound to protein ( $f_u$ ) obtained from the FDA reviews.<sup>30,35</sup>  $C_{unbound}$

of ABE and DOX in the central compartments served as input for the translational growth model.

## Clinical Translation of in vitro Growth Model

A clinical growth model was translated from the in vitro model previously described. Assuming that the growth rate of a tumor is proportional to that of an in vitro cell culture,  $kg_{clin}$  as published by Kay et al<sup>36</sup> was applied to in vitro growth model to estimate clinical TNBC tumor growth ( $Cells_{clin}$ ):

$$\frac{dRep_{clin}}{dt} = k_{g_{clin}} \cdot \left( \frac{pRb}{pRb(0)} \right) \cdot Rep_{clin} + k_{trans} \quad (31)$$

$$\cdot (Stat_{clin} - Rep_{clin} \cdot Prob_{pRb} - Rep_{clin} \cdot Prob_{DNA}),$$

$$Rep_{clin}(0) = 1$$

$$\frac{dStat_{clin}}{dt} = k_{trans} \cdot (Rep_{clin} \cdot Prob_{pRb} - Stat_{clin}),$$

$$Stat_{clin}(0) = 0 \# (32)$$

$$Cells_{clin}(t) = Rep_{clin}(t) + Stat_{clin}(t) \quad (33)$$

where  $Rep_{clin}$  and  $Stat_{clin}$  represent replicating and static tumor cells, and the sum of both represents the total tumor size ( $Cells_{clin}$ ).

## Time-to-Tumor Progression (TTP)

The Response Evaluation Criterion in Solid Tumors (RECIST) and the World Health Organization (WHO) criterion define time-to-disease progression (TTP) as the amount of time for a tumor to increase in diameter by 20% and 25%.<sup>37,38</sup> As published by Kay et al,<sup>36</sup> a spherically shaped tumor volume (V) would meet TTP as defined by RECIST (20%) and WHO (25%) criteria of TTP when V increases by 1.73 and 1.95 times the baseline assuming spherical geometry. The diameter (D) of the tumor at baseline (ie, time=0) was set to 1 cm for ease of calculations. Clinical regimens of IV DOX at 65 or 75 mg/m<sup>2</sup> once every 21 days (q21d), L\_DOX at 50 mg/m<sup>2</sup> once every 28 days (q28d), and oral ABE at 150 or 200 mg twice daily (BID) were simulated alone and in combination over a treatment duration of 60 days using the final clinically translated model (Equation 33).

## Data Analysis

### In vitro Cell-Based Model

Mathematical modeling was performed using the Simbiology<sup>®</sup> toolbox in MATLAB<sup>®</sup> (version R2019a, Mathworks Inc., Natick, Massachusetts, USA). Parameter fitting was conducted using the first-order conditional estimation method (FOCE) within the Statistics and Machine learning Toolbox<sup>™</sup> in MATLAB<sup>®</sup>. The cell growth parameter,  $kg_{invitro}$ , was estimated for the in vitro time-course %cell viability measurements in the control arm and fixed for drug treatment arms. The %cell viability reduction under ABE treatment occurs primarily through the change in pRb.<sup>17,39</sup> Conversely, the %cell viability reduction under DOX treatment occurred through the inhibition of cancer cell growth and the stimulation of cancer cells' cytotoxicity.<sup>12,18</sup>  $EC_{maxDOX}$  was set to 1 because of the high-affinity binding between DOX and TOPO,<sup>40</sup> and  $E_{maxABE}$  was fixed to 20 because ABE quickly reduces CDK4/6 expression.<sup>17</sup> After local sensitivity analysis was conducted,  $\tau$  was fixed to 20 hr, or approximately half the doubling time of MDA-MB-231, to improve the accuracy of the parameter estimations. Model parameters were fit simultaneously to pRb fold-change time-course data (Figure 4B) and %cell viability (Figure 4C) using a combined additive and proportional residual error model.<sup>41</sup>

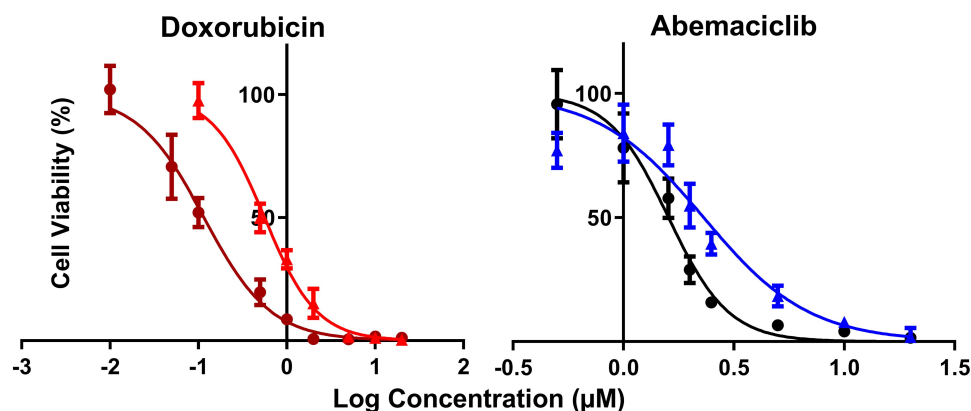
### Clinical PK Models

Due to the observed variability in the PK data, nonlinear mixed effect modeling (NONMEM) approach was employed using Phoenix WinNonlin software (Version 8.1, Certara, St. Louis, MO USA). The parameters were estimated using the first-order conditional estimation method. The hierarchical model structure was evaluated by examining the minimization of the objective function value (OFV), visual inspection of the goodness-of-fit plots (eg, conditional weighted residuals (CWRES) vs time; observation (DV) vs individual predictions (IPRED) (Supplementary Figure S3), and visual predictive checks (VPC) (Supplementary Figure S4)). The interindividual variability (IIV) was described using an exponential error model. PK parameters were estimated using a proportional residual error model.<sup>41</sup>

## Results

The final developed systems-based PK/PD model is depicted in Figure 1.





**Figure 2** Model fittings of the concentration-effect relationships for single agents in TNBC cell lines, MDA-MB-231 (doxorubicin: red, abemaciclib: blue) and MDA-MB-468 (doxorubicin: dark red, abemaciclib: black). Symbols represent the mean of observed data ( $n=6$ ), and bars represent the standard errors on the means (SEM). Lines represent model predictions.

## Greater Resistance to DOX and ABE in Rb-Positive Than Rb-Negative TNBC Cells

Increasing DOX and ABE concentrations decrease %cell viability in both TNBC cell lines, the Rb-positive, MDA-MB-231, and Rb-negative, MDA-MB-468 (Figure 2). The  $IC_{50}$  of DOX is approximately 4-fold higher in MDA-MB-231 than MDA-MB-468 (0.565 vs 0.121  $\mu$ M) (Table 1), demonstrating that MDA-MB-231 is more resistant to DOX than MDA-MB-468. An increase in ABE concentration from 0.1 to 20  $\mu$ M decreased the mean %cell viability from approximately 100% to 0% in both cell lines. This result indicates that ABE induces cytotoxicity in both Rb-positive and Rb-negative TNBC. The  $IC_{50}$  for ABE in MDA-MB-231 and MDA-MB-468 were 1.61 and 2.31  $\mu$ M, suggesting that MDA-MB-231 being slightly less sensitive to ABE than MDA-MB-468.

## DOX and ABE Combinatorial Effects are Synergistic in Rb-Positive but Not Rb-Negative TNBC Cells

The combinatorial effects of DOX with ABE were evaluated at drug concentrations corresponding to the  $IC_{50}$  for DOX

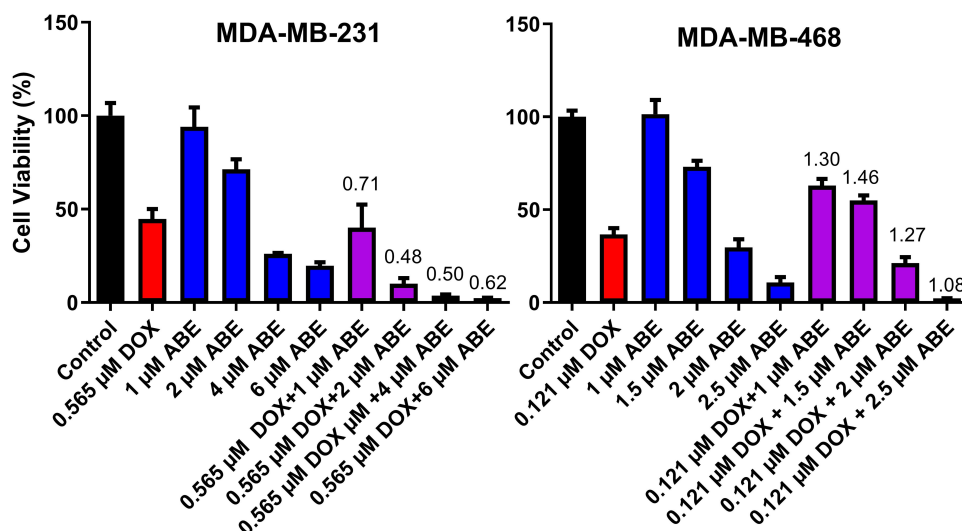
(0.565  $\mu$ M in MDA-MB-231 or 0.121  $\mu$ M in MDA-MB-468) and for ABE (1–6  $\mu$ M in MDA-MB-231 or 1–2.5  $\mu$ M in MDA-MB-468). In MDA-MB-231 cells, the interaction between DOX and ABE was synergistic with calculated combination indices (CI) at values below 1 for all tested regimens (Figure 3). This result indicates a synergistic interaction between DOX and ABE ( $CI < 1$ ) at killing Rb-positive TNBC cells. The greatest synergistic combination (ie, smallest  $CI=0.48$ ) was observed at concentrations of 2  $\mu$ M for ABE with 0.565  $\mu$ M for DOX. In contrast, DOX interaction with ABE in MDA-MB-468 is antagonistic for all tested regimens, as determined by the calculated CI values higher than 1. This result indicates that DOX and ABE act oppositely at killing Rb-positive TNBC cells.

## PD Model for pRb and Cancer Cell Growth Inhibition

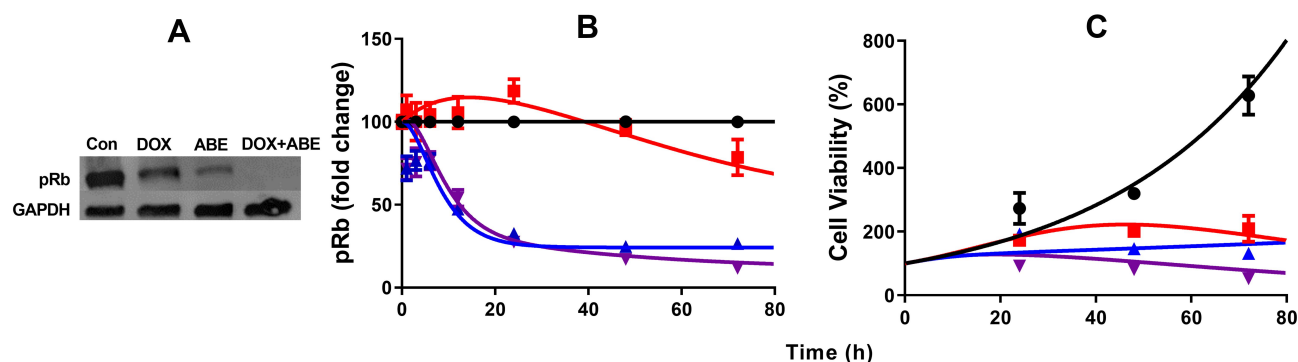
The pRb protein served as a surrogate biomarker for cancer cell cycle proliferation in Rb-positive TNBC.<sup>17</sup> The results from the Western blot analysis confirmed the presence and absence of pRb in MDA-MB-231 and MDA-MB-468 (Supplementary Figure S2). Exposure to DOX and ABE

**Table 1** Model Estimated Parameters for the Concentration–Effect Relationships of Single Agents. Parameters are Reported as Mean Values and Precisions are Reported as the % Residual Standard Error (%RSE)

Parameter (Unit)	Definition	Value (%RSE)			
		MDA-MB-231		MDA-MB-468	
		DOX	ABE	DOX	ABE
$I_{max}$	Maximum drug inhibitory effect	1 Fixed	1 Fixed	1 Fixed	1 Fixed
$IC_{50}$ ( $\mu$ M)	Drug concentration for 50% of $I_{max}$	0.565 (3.8)	2.31 (2.31)	0.121(6.1)	1.61 (1.21)
$\gamma$	Hill coefficient	0.472(16.7)	1.82 (18.5)	1.15(18.9)	3.19 (30.2)
$R_0$ (%)	Baseline of % cell viability	100 Fixed	100 Fixed	100 Fixed	100 Fixed



**Figure 3** % Cell viability of MDA-MB-231 and MDA-MB-468 cells normalized to cell culture medium devoid of a drug (Control, black) after 72 hours of exposure to doxorubicin (DOX, red), abemaciclib (ABE, blue), or DOX+ABE (purple) at their respective concentrations. Each bar represents the mean of observed data ( $n=6$ )  $\pm$  standard error on the mean (SEM). Numerical values of combination indices (CI) calculated for the various concentrations of DOX+ABE combinations are recorded above respective bars.



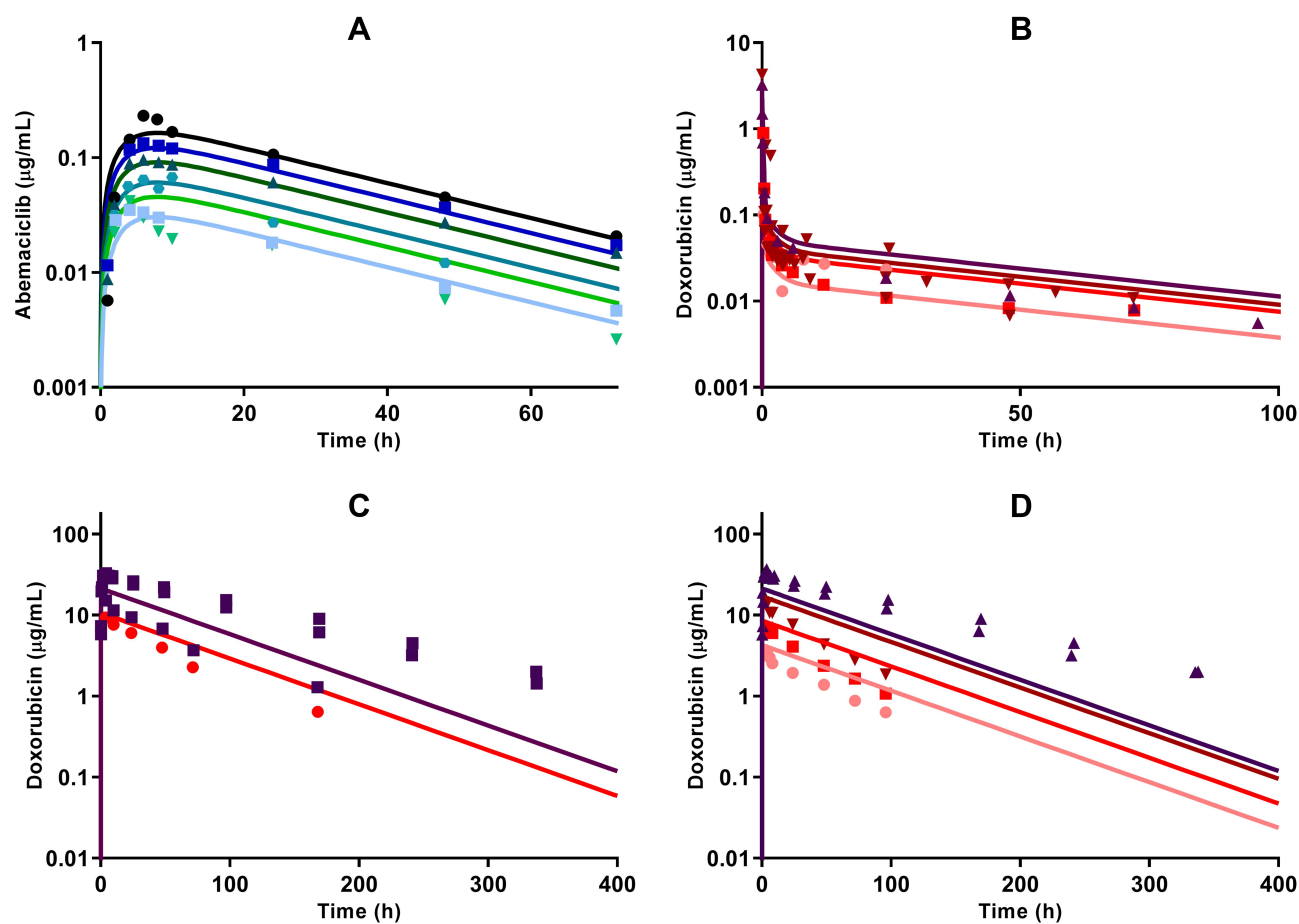
**Figure 4** Time-course profiles of pharmacodynamic measurements in MDA-MB-231 cells. (A) Western blot image of phosphorylated retinoblastoma (pRb) protein and house keeping protein glyceraldehyde 3-phosphate dehydrogenase (GAPDH) after exposure to control (no-drug), 0.565 doxorubicin (DOX), 2  $\mu$ M abemaciclib (ABE), and combination (DOX+ABE) over 72 hours. (B) Fold-change from control in the expression of pRb over time measured using an ELISA assay kit. (C) % Cell viability over time for control (black), ABE at 2  $\mu$ M (blue), DOX at 0.565  $\mu$ M (red), and DOX+ABE (purple). Symbols represent the mean of observed data ( $n=3$ ), while bars are the standard error on the mean (SEM), and the lines are model fittings.

over 72 hours reduced pRb expression in MDA-MB-231 (Figure 4A). The temporal changes in the %cell viability and pRb dynamics using the MDA-MB-231 cells were used to develop the systems-based model linking pRb-expression with the in vitro cancer cell growth. The results from the ELISA in MDA-MB-231 cells revealed that ABE decreased pRb protein expression to 25% from the control arm within 24 hours of exposure. DOX exposure initially increased the pRb protein expression over the first 24 hours by 20%, then decreased it to approximately 65% from the control arm after 72 hours of exposure (Figure 4B). The pRb protein expression time-course profiles following the combination of ABE+DOX showed a similar trend as for the ABE treatment arm during the initial 24 hours of exposure, then reduced the pRb protein expression

relative to the control to 11% after 72 hours of exposure. Upon visual inspection, the trend of pRb observed data as well as the % cell viability time-course data were captured reasonably well (Figure 4C). Altogether these results indicate that the ABE+DOX combination resulted in improved suppression of pRb protein and an increase in cytotoxicity as compared to individual agents.

## PK Models

The plasma concentration time-course profiles of DOX over a clinical range (25–75 mg/m<sup>2</sup>) of doses was captured well with a three-compartment model with linear elimination (Figure 5B). An additional one compartment representing the liposome nanocarrier for L\_DOX from which DOX is released



**Figure 5** Clinical pharmacokinetic profiles of (A) abemaciclib (ABE) 50 mg (light blue square), 75 mg (green upside down triangle), 100 mg (green circle), 150 mg (green triangle), 200 mg (blue square), and 270 mg (black circle); (B) free doxorubicin (DOX) 25 mg/m<sup>2</sup> (pink circle), 50 mg/m<sup>2</sup> (red square), 60 mg/m<sup>2</sup> (dark red upside down triangle), and 75 mg/m<sup>2</sup> (brown triangle); (C) pegylated liposomal DOX (L\_DOX) 25 mg/m<sup>2</sup> (red circle) and 50 mg/m<sup>2</sup> (brown square); and (D) total DOX 10 mg/m<sup>2</sup> (pink circle), 20 mg/m<sup>2</sup> (red square), 40 mg/m<sup>2</sup> (dark red upside down triangle), and 50 mg/m<sup>2</sup> (brown triangle). Symbols represent observed data extracted from literature for ABE,<sup>29</sup> DOX, L\_DOX, and total DOX (Table S1). Lines are model fittings.

via a first-order release rate constant into the DOX central compartment captured well the PK of L\_DOX in a clinical range of doses (10–50 mg/m<sup>2</sup>) (Figure 5C and 5D). Similarly, the PK of ABE was captured well with a one-compartment model with first-order absorption and elimination rate constants (Figure 5A).

All model parameters for both PK models of DOX and ABE were estimated with reasonable precision and were consistent with bootstrap estimates as parameter values were determined within the 95% confidence interval (95% CI) of the mean bootstrap estimates (Table 2). The estimated plasma and inter-compartment clearances for DOX such as: CL, Q2, Q3 (62.4 L/hr, 50.7 L/hr, 28.4 L/hr) as well as the volumes of distribution such as: V<sub>c</sub>, V<sub>p2</sub>, V<sub>p3</sub> (0.17 L, 1699 L, 121.3 L) are similar to the published parameter estimates obtained using a similar NONMEM approach.<sup>42</sup> Further, V<sub>L</sub> and k<sub>Rel</sub> were 3.93 L and 0.013 hr<sup>-1</sup>, and are comparable to

L\_DOX parameters from previously reported values.<sup>9,10,43</sup> Collectively, the final DOX model parameter estimates are consistent with values from the literature.<sup>42</sup> Additionally, the goodness-of-fit plots in [supplementary Figure S3](#) suggest an appropriate fit of data as no systematic deviations were observed for ABE (Figure S3A), DOX (Figure S3B), and L\_DOX (Figure S3C and S3D). The visual predictive checks (VPC) of the final model in [supplementary Figure S4](#) support the reasonable predictive capacity of our model to describe the plasma time-course data for ABE (Figure S4A), DOX (Figure S4B), and L\_DOX (Figure S4C and S4D).

## Model-Based Simulations

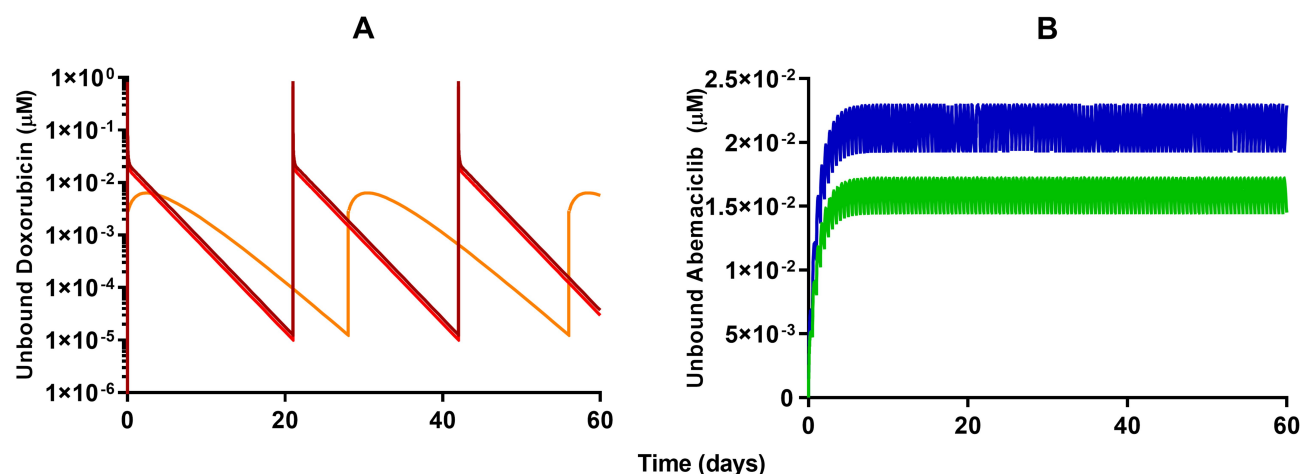
### Clinical PK

Model-based simulations of clinical dosing regimens for DOX, L\_DOX (Figure 6A), and ABE (Figure 6B) provide time-course profiles of unbound drug concentrations in the

**Table 2** Model Parameters from Population PK Analysis. Parameters are Reported as Mean Values and Residual Standard Error (RSE %). Bootstrap of Final Model Parameters Was Conducted Using 1000 Replicates. Bootstrap Parameters are Reported as Mean and 95% Confidence Interval (95% CI)

Parameter (Unit)	Definition	Doxorubicin			Abemaciclib				
		Estimate	RSE (%)	Bootstrap Mean	Bootstrap 95% CI	Estimate	RSE (%)	Bootstrap Mean	Bootstrap 95% CI
$k_a$ 1/h	Absorption rate constant	-	-	-	-	0.31	0.06	0.32	0.2–0.51
E	Fraction of drug in pegylated liposomal form	0.98	fixed	-	-	-	-	-	-
$k_{rel}$ (1/h)	First-order release rate constant	0.013	0.002	0.013	0.01–0.016	-	-	-	-
$CL_L$ (L/h)	CL of drug via RES	0	fixed	-	-	-	-	-	-
CL (L/h)	CL of drug from central compartment	32.1	0.55	35	23–52.8	21.7	1.9	21.7	18.7–26.3
$Q_2$ (L/h)	First intercompartmental CL	156	3.44	167	102–255	-	-	-	-
$Q_3$ (L/h)	Second intercompartmental CL	77.3	1.16	80.8	45.9–131	-	-	-	-
$V_L$ (L)	Vd of liposomal drug	3.93	0.442	3.97	3.11–4.84	-	-	-	-
$V_c$ (L)	Vd of drug in central compartment	0.17	0	0.159	0.002–0.262	592	45.43	592	508–716
$V_{p2}$ (L)	Vd of drug in first peripheral compartment	1699	24.57	1725	1256–2358	-	-	-	-
$V_{p3}$ (L)	Vd of drug in second peripheral compartment	121.3	3.12	113	26.6–177	-	-	-	-
$\eta k_a$ (%)	IIV of $k_a$	-	-	-	-	-0.002	0.035	-	-
$\eta k_{rel}$ (%)	IIV of $k_{rel}$	0.006	0.138	-	-	-	-	-	-
$\eta CL$ (%)	IIV of CL	0.131	0.311	-	-	0.004	0.057	-	-
$\eta Q_2$ (%)	IIV of $Q_2$	-0.298	0.234	-	-	-	-	-	-
$\eta Q_3$ (%)	IIV of $Q_3$	0.014	0.011	-	-	-	-	-	-
$\eta V_L$ (%)	IIV of $V_L$	-0.005	0.124	-	-	-	-	-	-
$\eta V_c$ (%)	IIV of $V_c$	0.712	1.186	-	-	0	0	-	-
$\eta V_{p2}$ (%)	IIV of $V_{p2}$	0.07	0.085	-	-	-	-	-	-
$\eta V_{p3}$ (%)	IIV of $V_{p3}$	-0.38	0.139	-	-	-	-	-	-

**Abbreviations:** RES, reticuloendothelial system; CL, clearance; Vd, volume of distribution; IIV, interindividual variability.



**Figure 6** Model-based simulations of time-course profiles for unbound plasma concentrations of (A) 60 mg/m<sup>2</sup> (red) or 75 mg/m<sup>2</sup> (dark red) free doxorubicin once every 21 days, 50 mg/m<sup>2</sup> pegylated liposomal doxorubicin (light orange) once every 28 days, and (B) 150 mg (green) or 200 mg (dark blue) abemaciclib twice daily.

plasma. After IV administration of DOX at 75 and 60 mg/m<sup>2</sup> for 60 days, unbound DOX concentrations in the central compartment reached 1.3 and 0.7 μM on days 1, 21, and 42 followed by a rapid 10-fold decrease one-day post-administration (Figure 6A). This result indicates that the unbound DOX plasma concentrations are initially within the range of the in vitro concentrations examined in Figure 2. However, shortly after the end of the IV infusion, DOX unbound concentrations decline below the minimum bound of the in vitro range of concentrations, suggesting a minimal cytotoxic effect on Rb-positive TNBC. The IV administration of L\_DOX at 50 mg/m<sup>2</sup> for 60 days maintained the unbound DOX concentrations at ~0.006 μM on days 1, 28, and 56 for four days post-administration. These results agree well with concentrations reported in the literature.<sup>9,44</sup> Similarly, the unbound DOX plasma concentrations released from the L\_DOX showed a trend and magnitude comparable to DOX with a decline to values below the minimum bound of the in vitro range of concentrations cytotoxicity range (Figure 2). These findings also suggest that the free DOX released from the L\_DOX formulation will produce a minimal cytotoxicity effect on Rb-positive TNBC. Following ABE oral administration of 150 and 200 mg BID for 60 days, the steady-state unbound concentrations were attained on day six and reached 0.017 and 0.022 μM (Figure 6B). This finding is consistent with previously reported steady-state concentrations for ABE previously published.<sup>45</sup> Unbound ABE concentrations are near the minimum of in vitro cytotoxicity range for MDA-MB-231 (Figure 2), suggesting sustained albeit low cytotoxicity.

### Clinical Growth Inhibition and TTP

The %cell growth for replicating cells in vitro,  $kg_{in vitro}$  (0.026 mass·hr<sup>-1</sup>) (Table 2), was replaced with the “humanized” first-order rate constants,  $kg_{clin}$ , to predict unperturbed tumor growth (ie, placebo) in TNBC patients. The initial TTP estimates without drug exposure based on calculations using the WHO and RECIST criteria were 34.1 and 41.8 days. The calculated 7.7 days difference in the TTP for the control group coincides with the 5% difference between the two criteria for TTP calculation (20 vs 25%). Nevertheless, the TTP was the shortest in the ABE treatment arm regardless of the criterion used for its calculation. The TTP in ABE 150 mg BID alone extended TTP by 6.5–8 days longer than control (40.6–49.9 days), and, in 200 mg BID alone, the TTP was extended by 8.6–10.6 days longer than control (42.7–52.4 days). These results indicate that, although ABE targets the Rb pathway in Rb-proficient TNBC,<sup>17</sup> CDK4/6 inhibitors do not entirely suppress Rb-proficient TNBC tumor-growth.<sup>20,46</sup>

Treatment with DOX-based therapy alone produced significantly greater TTP compared to the control arm. 50 mg/m<sup>2</sup> L\_DOX extended TTP by 31.2–34 days (65.3–75.7 days), 60 mg/m<sup>2</sup> DOX extended TTP by 63.9–76.5 days (98–118 days), and 75 mg/m<sup>2</sup> DOX extended TTP by 126–157 days (160–198 days). The predicted relapse after DOX based-therapy aligns with the reduced sensitivity (higher IC<sub>50</sub>) of DOX in Rb-positive TNBC observed in Figure 2. Further, combining ABE with L\_DOX or DOX increased TTP compared to DOX alone, which agrees with our in vitro results of synergistic interaction of DOX+ABE. ABE 150 or 200 mg BID with



50 mg/m<sup>2</sup> L\_DOX q28d increased TTP by 44–57.6 days (78.2–99.4 days) and 47–62 days (81.6–104 days). The rise in TTP after combination was more pronounced with DOX than with L\_DOX. ABE 150 or 200 mg BID with 60 mg/m<sup>2</sup> DOX increased TTP by 105–121 (139–163 days) days and 125–142 days (159–183 days) and with 75 mg/m<sup>2</sup> DOX increased TTP by 249–286 days (283–328 days) and 312–368 days (347–410 days).

## Discussion

TNBC is highly metastatic, difficult-to-treat, and lacks targeted therapy.<sup>47</sup> The Rb protein plays a critical role in regulating cellular replication and is a well-studied biomarker in breast cancer subtypes, including TNBC.<sup>13,17,47–49</sup> The difficult-to-treat nature of TNBC may be attributed to the active Rb-pathway, whereby Rb-expressing TNBC cells tend to be more resistant to traditional chemotherapy than Rb-negative TNBC cells.<sup>13</sup> MDA-MB-231 is a well-characterized Rb-positive TNBC cell line and is identified as being more resistant to DOX than the Rb-negative MDA-MB-468 cell line.<sup>18</sup> This decrease in DOX sensitivity is indicated by a higher IC<sub>50</sub> (0.565 vs 0.121 µM; Table 1), suggesting that MDA-MB-231 resistance to DOX may be attributed to an active Rb-pathway.

ABE, a CDK4/6 inhibitor, demonstrated a greater IC<sub>50</sub> (reduced sensitivity) in MDA-MB-231 than MDA-MB-468 cells (2.31 vs 1.61 µM) (Table 1). This result was not anticipated based on the ABE mechanism of action. While ABE induces cytotoxicity in MDA-MB-231 cells via inhibition of pRb,<sup>17</sup> the MDA-MB-468 cells lack expression of this target (Supplementary Figure S2). However, reports have shown that the cytotoxicity of CDK4/6 inhibitors in Rb-negative TNBC may occur through off-target mechanisms such as permeabilization of the lysosomes, thereby causing the release of the hydrolytic contents into the cytoplasm and stimulation of apoptosis.<sup>46,50,51</sup> The exposure-response profiles in MDA-MB-468 cells exhibited a steeper profile; hence, a higher value for the Hill coefficient compared to MDA-MB-231 cells (3.19 vs 1.82), indicating that ABE killing of MDA-MB-468 cells greatly diminishes as concentrations decrease below its IC<sub>50</sub>. O'Brien et al<sup>17</sup> conducted an extensive examination of the effectiveness of ABE in a panel of TNBC cell lines, including MDA-MB-231 and MB-MDA-468 cells, and found the cell line MDA-MB-231 to be more sensitive to ABE than MDA-MB-468. The knockout of the *Rb1* gene expression effectively diminished the effect of ABE on MDA-MB-231,<sup>17</sup> suggesting that the therapeutic response to ABE in Rb-

positive TNBC cells is primarily driven through inhibition of the Rb pathway.<sup>17,39</sup> Similarly, Asghar et al<sup>39</sup> showed that the MDA-MB-468 cell line was relatively insensitive to the CDK4/6 inhibitor, Palbociclib (Ibrance®), as compared to other TNBC subtypes. The simulated PK profile of unbound plasma concentrations of ABE after 200 mg BID dosing (Figure 6) does not reach sustained concentrations near 1.61 µM (IC<sub>50</sub> of ABE in MDA-MB-468). Therefore, ABE will not likely elicit a clinically significant response in Rb-negative TNBC.

ABE was examined in combination with DOX because DOX is often used as a neoadjuvant chemotherapeutic treatment in TNBC<sup>52,53</sup> and reportedly works through different mechanisms of action to ABE. Concentrations of ABE, 1–2.5 µM for MDA-MB-468 and 1–6 µM for MDA-MB-231 were used in combination with DOX administered at its IC<sub>50</sub> value (Figure 2). ABE and DOX combinations were antagonistic (CI>1) in MDA-MB-468 cells. ABE and DOX may exhibit antagonism in Rb-negative TNBC by competing for apoptosis activation via lysosomal permeabilization.<sup>46,51,54,55</sup>

Conversely, in MDA-MB-231 cells, the relationship between DOX+ABE was synergistic (CI<1) (Figure 3). Resistance to DOX chemotherapy is proposed to be circumvented by inhibition of pRb-mediated proliferation.<sup>13–17,56</sup> Within our model, growth was driven by a zero-order rate constant,  $kg_{invitro}$  (Figure 1), which captured the natural growth of control cells. Both the rates of transition from a replicating state to a nonreplicating state<sup>26,27,57</sup> and apoptosis<sup>26</sup> were driven by the first-order rate constant,  $k_{trans}$ . The fast-onset of observed pRb inhibition by ABE was captured using a Hill function stimulating the reduction of CDK, which is required to maintain steady-state levels of pRb. DOX exposure produced an initial increase in the pRb expression, followed by a delayed hypophosphorylation. This relationship has previously been observed in MCF-7 breast cancer,<sup>28</sup> but to our knowledge, it has not been studied in TNBC.

Model estimations (Table 3) suggest that DOX produces a significantly larger effect on the proposed cytotoxic pathway than ABE because of the lower EC<sub>50</sub> from DOX compared to ABE (EC<sub>50DOX</sub> 0.509 µM vs. EC<sub>50ABE</sub> 12.7 µM). These results are not surprising considering that DOX exposure induces strand breakages in supercoiled DNA, promoting cell death.<sup>12</sup> As a result, MDA-MB-231 is approximately four times more sensitive to DOX (IC<sub>50</sub> 0.565 µM, Table 1) than ABE (IC<sub>50</sub> 2.31 µM, Table 1). DOX also produces radical intermediates,<sup>12</sup> which is hypothesized to activate the p38 mitogen-activated protein

**Table 3** Model Estimated Parameters for Pharmacodynamic Analysis. Parameters are Reported as Mean and Standard Error (SE, %)

Parameter (Unit)	Definition	Estimate	SE (%)
DOX MW (g/mol)	Molecular weight	543.5	-
ABE MW (g/mol)	Molecular weight	602.7	-
DOX $f_u$	The fraction of drug unbound to protein	0.25	-
ABE $f_u$	The fraction of drug unbound to protein	0.037	-
F	The oral bioavailability of ABE	0.45	-
$E_{\max\text{DOX}}$	Maximum drug-induced effect	1	fixed
$EC_{50\text{DOX}}$ ( $\mu\text{M}$ )	The concentration of drug allowing 50% of $E_{\max}$	0.509	38.9
$E_{\max\text{ABE}}$	Maximum drug-induced effect	20	fixed
$EC_{50\text{ABE}}$ ( $\mu\text{M}$ )	The concentration of drug allowing 50% of $E_{\max}$	12.7	30.6
$\tau$ (h)	Transit time between compartments	20	fixed
$k_{\text{g}_{\text{in vitro}}}$ ( $\text{mass}\cdot\text{h}^{-1}$ )	The first-order rate constant for in vitro cell growth	0.026	4.08
$k_{\text{trans}}$ ( $\text{h}^{-1}$ )	The first-order rate constant for cell death and transit between replication and stasis	0.075	16.7
$S_{\max\text{CDK}}$	Maximum effect from inhibition of CDK	4.21	15.3
$k_{\text{g}_{\text{clin}}}$ ( $\text{mass}\cdot\text{day}^{-1}$ )	The first-order rate constant for clinical cell growth	0.016	fixed

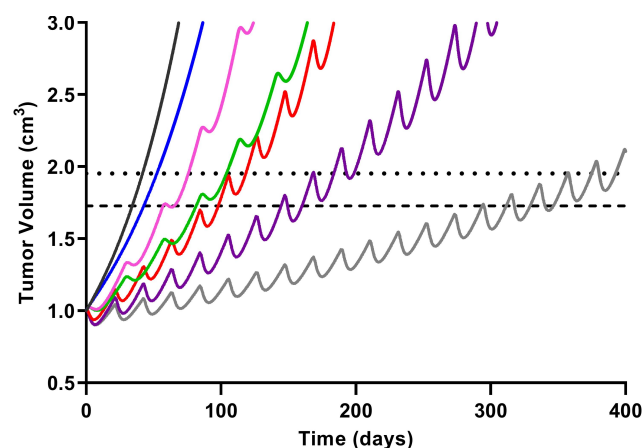
**Abbreviations:** ABE, abemaciclib; DOX, doxorubicin.

network through oxidative stress causing an initial increase in the pRb protein.<sup>56,58</sup> Prolonged exposure to DOX leads to sustained activation of the p38 pathway,<sup>59</sup> which decreases pRb<sup>28</sup> by increasing in Rb-E2F binding.<sup>59,60</sup>

The cytostatic effects from DOX and ABE converge on the pRb pathway.<sup>17,18,61–63</sup>  $\tau$  (20 hr) describes the latency of full pharmacological effect on compartments TOPO, DNA, CDK, and pRb and describes the transit time from prepRb to pRb. The lack of CDK induced by ABE drives a reduction in pRb proportional to  $S_{\max\text{CDK}}$  (4.21) and inhibits G1-S phase progression within the cell cycle.<sup>17</sup> In parallel, DOX inhibits G2-M phase transition in Rb-positive TNBC<sup>18,61–63</sup> via p38-mediated activity,<sup>61</sup> resulting in improved cytostatic activity when administered with ABE<sup>18,26</sup> over an extended time interval. An examination into mechanisms for the transient hyperphosphorylation of Rb from DOX and quantification of biomarkers within the p38 pathway may improve model structure by removing the structural need for a pRb precursor pool compartment as well as provide further insights into the mechanisms of interaction between ABE and DOX.

Model predictions with ABE administration suggest continuous tumor growth despite the constant administration of ABE (Figure 7). Our findings disagree with published results from an in vivo study conducted on mice xenografted with MDA-MB-231 cells then treated with ABE, where a shrinkage in the tumor volume was observed.<sup>17</sup> Notwithstanding that in this study, mice were exposed to 3 days of continuous dosing, which does not reflect the clinically approved ABE treatment regimen. Our

simulated clinical PK profile shows that the steady-state unbound ABE concentration in the central compartment (0.022  $\mu\text{M}$ , Figure 6) does not reach a large enough concentration to significantly impact MDA-MB-231 cell growth (0.2  $\mu\text{M}$ <sup>17</sup>). Our rationale for selecting high ABE concentrations for the in vitro examination of the proposed combination was based on in vitro studies measuring single-agent concentration-effect (Figure 2) and combinatorial



**Figure 7** Simulated triple-negative breast cancer (TNBC) tumor growth. Growth within the no-drug control (black) and treatment arms were simulated using a previously published TNBC tumor growth rate.<sup>36</sup> Mono- and combination drug arms were simulated using 400 days of continual dosing. Abemaciclib (ABE) was dosed as a 200 mg tablet (blue) twice daily (BID). Dosing regimens for free doxorubicin (DOX) were 60  $\text{mg}/\text{m}^2$  dose (red) and 75  $\text{mg}/\text{m}^2$  dose (purple) every 21 days (q21d), and pegylated liposomal doxorubicin (L-DOX) was 50  $\text{mg}/\text{m}^2$  (pink) every 28 days (q28d). ABE 200 mg BID was dosed in combination with L-DOX 50  $\text{mg}/\text{m}^2$  q28d (green) and with DOX 75  $\text{mg}/\text{m}^2$  q21d (grey). The upper dotted horizontal line represents the 25% or 1.95-fold growth from baseline (WHO criterion for TTP). The lower horizontal dotted line represents the 20% or 1.73-fold growth from baseline (RECIST criterion for TTP), assuming the tumor spherical.

effect (Figure 3). These tested concentrations produced a measurable change in cell response. Further, the 2  $\mu\text{M}$  ABE was used for the combination with DOX because it demonstrated the greatest synergism ( $\text{CI} = 0.48$ ) in MDA-MB-231 and antagonism in MDA-MB-468.

In contrast, DOX-based simulations pronounced a significantly greater response than ABE, although tumor relapse still occurred. The dose of DOX at 75  $\text{mg}/\text{m}^2$  infused over 15 minutes generated an initial unbound DOX concentration of 1.3  $\mu\text{M}$  in the central compartment, surpassing the MDA-MB-231  $\text{IC}_{50}$  value. However, unbound DOX concentrations (active pharmacological entity) in the central compartment declined to 0.008  $\mu\text{M}$  after 72 hours results in a plasma concentration that is well below the minimum of the cytotoxicity range tested for MDA-MB-231 (0.1  $\mu\text{M}$ , Figure 2). DOX simulations align with previously published clinical data where the progression-free survival (PFS) in TNBC patients treated with DOX 60  $\text{mg}/\text{m}^2$  plus cyclophosphamide 600  $\text{mg}/\text{m}^2$  every 3 weeks<sup>23</sup> was approximately twice that of our simulated TTP for DOX monotherapy (eg, 243 days vs 98.0–118 days). Considering that combining DOX with cyclophosphamide will increase PFS/TTP relative to single agents, our DOX monotherapy simulations are plausible. DOX simulations suggest a greater reduction in tumor size than L\_DOX. Unbound free DOX plasma concentrations 72 hours post-administration after a 50  $\text{mg}/\text{m}^2$  L\_DOX infusion over 1 hour are predicted to reach 0.006  $\mu\text{M}$ , lower than the minimum of the cytotoxicity range tested for MDA-MB-231 (0.1  $\mu\text{M}$ , Figure 2). To our knowledge, L\_DOX response in Rb-positive TNBC is not fully characterized. However, DOX is dosed more frequently than L\_DOX (eg, q21 days vs q28 days), leading to greater exposure throughout treatment. Regardless of the DOX formulation, the simulations suggest that relapse will reoccur. These predictions agree with the clinical observations, where DOX has shown modest efficacy in Rb-positive TNBC<sup>13</sup> and was frequently deemed inefficacious in TNBC patients.<sup>52,65,66</sup>

Model-based simulations suggest combining DOX with ABE results in added benefit, eg, an increase in TTP (Table 4), due to an increase in cytostatic activity.<sup>18,26</sup> However, simulations also suggest that the drug combination will not eliminate the tumor burden. DOX stimulates cell death primarily in replicating cells.<sup>67</sup> By inhibiting replication, ABE may reduce the susceptible cell population and antagonize DOX-induced cytotoxicity.<sup>18</sup> The predicted model-based tumor relapse after combination, together with

**Table 4** Time-to-Tumor Progression (TTP) Values Calculated from RECIST and WHO Criteria. The TTP is Defined as an Event or Disease Progression at 20 (RECIST) and 25% (WHO) Increase from Baseline. This Corresponds to a Fold Increase of 1.73 and 1.95 in Baseline Tumor Diameter, Assuming the Tumor Has a Spherical Shape

Treatment Arms	Time-to-Tumor Progression (Days)	
	RECIST Criterion (20% or 1.73-fold increase from baseline)	WHO Criterion (25% or 1.95-fold increase from baseline)
<b>Single Agents</b>		
Control	34.1	41.8
ABE 150 mg BID	40.6	49.9
ABE 200 mg BID	42.7	52.4
L_DOX 50 $\text{mg}/\text{m}^2$ q28d	65.3	75.7
DOX 60 $\text{mg}/\text{m}^2$ q21d	98.0	118
DOX 75 $\text{mg}/\text{m}^2$ q21d	160	199
<b>L_DOX + ABE</b>		
50 $\text{mg}/\text{m}^2$ q28d + 150 mg BID	78.3	99
50 $\text{mg}/\text{m}^2$ q28d + 200 mg BID	81.6	104
<b>DOX + ABE</b>		
60 $\text{mg}/\text{m}^2$ q21d + 150 mg BID	139	163
60 $\text{mg}/\text{m}^2$ q21d + 200 mg BID	159	183
75 $\text{mg}/\text{m}^2$ q21d + 150 mg BID	283	328
75 $\text{mg}/\text{m}^2$ q21d + 200 mg BID	347	410

**Abbreviations:** ABE, abemaciclib; DOX, free doxorubicin; L\_DOX, pegylated liposomal doxorubicin; BID, twice a day; q21d, once every 21 days; q28d, once every 28 days.

the potential trade-off between cytostasis and cytotoxicity, suggests that adding ABE to DOX-based therapy may not be a feasible TNBC treatment strategy.

Despite the encouraging in vitro findings and the utility of our in vitro-to-clinical translational approach, our study presents with limitations. TNBC is a heterogeneous collection of BC subtypes often undistinguished within clinical trials.<sup>68</sup> Thus, assessing individual growth rates of TNBC subtypes (including Rb-positive TNBC) in patients based on available clinical data remains a limitation. Considering that TNBC is more aggressive than other BC categories (eg, HER2, ER, PR),<sup>2</sup> the developed translational PK/PD model assumed that the natural growth of in vitro Rb-positive TNBC is proportional to the natural growth of a TNBC tumor.<sup>36</sup> Further, multiple methods exist for scaling from preclinical to clinical models.<sup>69</sup> The approach used in our study is considered an adequate method because our simulated TNBC doubling time of 43.3 days is comparable to the published doubling time of 1.44 months.<sup>36</sup> Finally, our PD model focused solely on the fold change of the pRb protein relative to the control as

a marker of efficacy.<sup>17</sup> However, the expression of biomarkers that work in concert within the Rb-signaling cascade (eg, p16 or Cyclin E1)<sup>17,49</sup> may also influence ABE efficacy. While clinical evidence is currently not available to compare against our ABE simulations, future results of NCT03130439, an ongoing Phase II clinical study, will provide efficacy data for ABE in Rb-positive TNBC. Data from this study may be used to assess the validity of our simulations and whether pRb can be used as a primary predictor of ABE efficacy.

## Conclusion

In this work, we explored the clinical effectiveness of the DOX and ABE combination using an extended PK/PD modeling approach. The interaction between both agents was found to produce synergy in Rb-positive TNBC cell line, MDA-MB-231 (and antagonism in Rb-negative TNBC). The model-based simulations suggest that clinical concentrations of both agents will result in improve activity for Rb-positive TNBC. However, the combination may only delay and not prevent tumor relapse. Our results agree with conclusions drawn from previous works where combining CDK4/6 inhibitors with cytotoxic agents (eg, DOX) may not benefit patients with difficult-to-treat cancers.<sup>18,20,21</sup>

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## Author Contributions

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

## Disclosure

Sihem Ait-Oudhia is an employee of Merck & Co, Inc, Kenilworth, New Jersey, USA. The authors declare that there is no other conflict of interest.

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