

# Real-Time Monitoring and Point-of-Care Testing: A Review of the Current Landscape of PrEP Adherence Monitoring

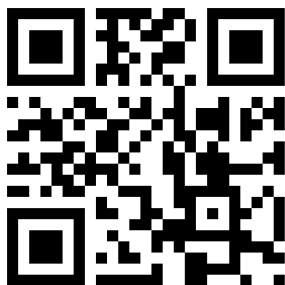
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**Background:** Despite pre-exposure prophylaxis (PrEP) being highly effective at preventing HIV, HIV infections among individuals prescribed PrEP continue to occur. The vast majority of these new infections occur among individuals with sub-optimal adherence. One factor that is likely to decrease HIV incidence among PrEP users is a real-time, objective measurement of adherence. Monitoring adherence to PrEP can identify those at risk of becoming lost to follow-up and therefore at greater risk of HIV infection, those in need of additional layers of support to overcome barriers to PrEP, and individuals who need enhanced adherence support.

**Objective:** This paper reviews subjective and objective methods for monitoring PrEP including self-report, drug level monitoring (including serum, plasma, peripheral blood mononuclear cells [PBMC], red blood cell dried blood spots [DBS], hair, and urine) and by measuring participant interaction with the study drug (pill counts, medication event monitoring systems [MEMS] caps).

**Clinical Use:** A multitude of methods exist for monitoring and supporting adherence. Objective monitoring using DBS and urine will provide a more accurate picture of adherence compared to subjective and non-biomarker objective methods. Preliminary data show that detection of non-adherence using biomarkers, followed by augmented adherence support and counseling, is associated with improved adherence, although more research is needed. PrEP providers will need knowledge of and access to these various strategies, which will require investment and resource allocation from clinics and other PrEP care sites to provide these tools.

**Keywords:** pre-exposure prophylaxis, PrEP, adherence, adherence monitoring, biomarker

## Background

The United States is home to more than 1.1 million people living with HIV/AIDS and has approximately 35,000 new HIV infections per year, almost 60% of which are among adolescents and young adults.<sup>1</sup> There is currently no vaccine that will adequately protect against HIV and there is no cure. Pre-exposure prophylaxis (PrEP) is one of the most important tools to prevent new infections and curb the epidemic. The Centers for Disease Control has estimated that over 1 million individuals are at high risk for HIV acquisition and would benefit from PrEP.<sup>2</sup> Once-daily oral tenofovir disoproxil fumarate-emtricitabine (TDF-FTC) was the first drug approved by the Federal Drug Administration (FDA) for the prevention of HIV in 2012. Tenofovir alafenamide-emtricitabine (TAF-FTC), was FDA approved for PrEP in 2019 for men who have sex with men and transgender women.<sup>3,4</sup> Long-acting

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injectable cabotegravir (CAB) administered every 8 weeks is currently undergoing FDA approval.

Despite these advances, new HIV infections among individuals prescribed PrEP continue to occur. While there are a small number of documented seroconversions despite sufficient medication adherence,<sup>5–7</sup> these are exceptional events, with the vast majority occurring among individuals with sub-optimal adherence and shorter persistence on PrEP.<sup>8</sup> Having access to PrEP is insufficient to decrease new HIV infections; the prescription must be coupled with counseling and emphasis on adherence. Adherence has been referred to as the “behavioral bridge between PrEP efficacy and effectiveness,”<sup>9</sup> suggesting that in order to realize a widespread reduction in HIV transmission, optimal adherence to PrEP must be achieved. Many studies<sup>10–13</sup> have drawn the same conclusion – when participants take PrEP, it works, and when they do not, it does not.

Indeed, two major original PrEP trials – FEM-PrEP<sup>14</sup> and VOICE<sup>15</sup> – failed to show the efficacy of TDF-FTC chemoprophylaxis in the reduction of HIV risk, which was found to be due to poor adherence in these study populations. Conversely, the iPrEx trial found that the risk of HIV acquisition was reduced by more than 90% with daily oral TDF-FTC. Yet this prophylactic effect was only seen in participants who had detectable drug levels; participants in the intervention arm of the trial with undetectable drug levels had a twelve-fold greater risk of HIV infection than those with detectable drug levels.<sup>16</sup> Results of HPTN 083 and 084 found that long-acting injectable cabotegravir every 8 weeks was superior to daily oral TDF/FTC for MSM, transgender women and cis-gender women, possibly largely in part due to the injectable medication obviating the need for daily adherence.<sup>17,18</sup>

Why do some people inadequately adhere to PrEP despite presumably striving to avoid an HIV infection? Individuals may experience difficulties with access to PrEP (eg insurance or cost issues, lack of access to health-care, lack of education about how and where to obtain PrEP), have negative attitudes or beliefs about PrEP (eg PrEP perpetuating the stigma of HIV, perception of promoting condomless sex, inadequate understanding about the science behind PrEP) or may dislike a particular attribute of PrEP (eg perceived to have experienced side effects).<sup>19</sup> While social network factors often play a role in promoting PrEP, individuals may inadequately adhere if they lack social support (eg lack of support from partner to take PrEP).<sup>19</sup> Improving adherence requires a multitude of

factors, from improving access to PrEP prescriptions, monitoring adherence, identifying barriers and facilitators to adherence, providing feedback to patients regarding adherence patterns, and motivating and encouraging individuals to modify their behaviors to support adequate PrEP adherence.

Real-time, objective measures of adherence for PrEP are essential to assess how and when people are taking medication, and to accelerate a clinical and/or other supportive response for those with inadequate adherence.<sup>20,21</sup> Quantifying and monitoring adherence to PrEP can identify those at risk of becoming lost to follow-up and therefore at greater risk of HIV infection,<sup>22</sup> those in need of additional layers of support to overcome barriers to PrEP (impending health insurance loss, harm reduction tools, logistical support such as tokens), and individuals who need enhanced adherence support (more frequent visits, pill boxes, smart pill bottles, etc.). Indeed, metrics of PrEP adherence were strongly associated with future loss to follow-up in a US demonstration project study.<sup>22</sup> Similarly, we have seen that adherence monitoring for ART with serial viral load testing has been invaluable for chronic ART management. Strategies that enable health-care workers to measure and understand not only their patients’ risk of nonadherence but also their adherence patterns over time might allow them to better allocate (what are often scarce) resources such as counseling, additional staff time, and employment of more sophisticated adherence support tools, to individuals and/or groups of individuals who most need them. While PrEP can either be dosed daily, on-demand, or (in the future) as an injectable given every 4–8 weeks, tools to assess adherence allow clinicians to titrate the amount and type of adherence support resources needed.

Biomarker monitoring for other chronic therapies is in widespread use for tracking adherence. Individuals taking antiretrovirals, lipid-lowering agents or anti-glycemics have markers such as HIV viral load, LDL and Hemoglobin A1C that are checked frequently to help clinicians assess the success or identify red flags in treatment or prevention of the intended disease. These laboratory values are not synonymous with adherence but are used in conjunction with clinical assessment and patient-reported adherence. A full review of technological interventions studied in HIV, including applications for smartphones, is beyond the scope of this paper. Currently, the only routinely monitored adherence marker for individuals on PrEP is an HIV test, and while a positive test result would indicate non-adherence, once an

individual is positive for HIV, the opportunity to improve adherence is lost. Here we will explore the various tools that have been used to monitor PrEP adherence and how these may impact clinical care and public health.

## Methods

Several procedures were followed to ensure a high-quality review of the literature, including a comprehensive review of PrEP-related manuscripts in peer-reviewed literature, a review of unpublished material presented at national and regional conferences, and a review of the references section of key PrEP manuscripts to identify additional articles to include. Mesh terms used were intentionally kept broad, and were limited to “adherence,” “pre-exposure prophylaxis,” “PrEP” and “monitoring.” Papers or abstracts were included if they were written in the English language and included original research on, or a review of or commentary on, either objective and/or subjective methods of adherence to PrEP. Four experts in the field of PrEP and/or adherence (1 from within the University of Pennsylvania Health System, and 3 external reviewers) were asked to review the manuscript draft prior to submission and provide constructive criticism, which was subsequently incorporated into this review.

## Measuring Adherence

In the original PrEP trials, investigators quantified adherence using both subjective and objective methods.<sup>14,15</sup> Self-reported adherence in interviews or surveys is the main subjective tool for quantifying PrEP adherence, which can be monitored over time. Objective assessment of PrEP adherence is characterized by measuring drug concentrations in various body compartments (including serum, plasma, peripheral blood mononuclear cells [PBMC], whole blood cell dried blood spots [DBS], hair, and urine) or by measuring participant interaction with the study drug (pill counts, medication event monitoring systems [MEMS] caps). Notably, this second group of objective measures where the patient interacts with the study drug is manipulatable by the patient. These tools have been summarized in Table 1. As outlined below, objective measurements of adherence have proven to be more strongly associated with HIV risk reduction than subjective assessments.

## Self-Reported Adherence

Self-reported adherence to PrEP is quantified by asking individuals a series of questions about their PrEP use in

the last month via in-person interviews or computerized surveys. Multiple studies have shown that self-reported adherence to PrEP does not correlate well with the reduction in risk in HIV acquisition. This was exemplified in the VOICE and FEM-PRP trials.<sup>14,15</sup> Other trials have shown an inconsistent correlation between self-reported adherence and plasma drug levels.<sup>13,23–25</sup> Wilson et al validated a three-item measure to ask how many days among the last 30 was a dose missed (0–30), how often the medication was taken as prescribed (never/rarely/sometimes/usually/almost always/always), and how good a job the patient felt they did at taking the medication as prescribed (very poor/poor/fair/good/very good/excellent).<sup>26</sup> Self-reported adherence may not be a reliable tool as it is limited by recall bias, over-reporting, and social desirability bias;<sup>27,28</sup> however, providers may increase reliability by asking the right questions.

## Objective Tools for Monitoring PrEP Adherence

Several objective markers of PrEP adherence have been studied. Tracking pharmacy refills involves using pharmacy records to determine whether or not an individual has picked up their prescription, and if it was filled within the appropriate timeframe. Using these data, a medication possession ratio can be calculated to determine the number of doses of medication that the patient had per time-frame of medication prescribed.<sup>29</sup> While this method has been shown to be more reliable than self-report in predicting virologic failure among persons living with HIV persons receiving antiretroviral therapy in resource-limited settings,<sup>30</sup> communication between provider and pharmacy can be labor intensive and does not happen consistently in most practices. To increase efficiency, electronic pharmacy refills that interface with prescribing clinics would streamline data collection, and can even enable clinical alerts to providers when a patient has missed picking up a prescription.<sup>31</sup> The most significant limitation to monitoring pharmacy refills is the assumption that individuals are taking all doses that they pick up, and this method likely overestimates adherence when compared to other objective measures.<sup>32</sup>

Pill counts have been used either alone or in conjunction with the above methods for monitoring adherence. Patients are given a known number of pills in a medication bottle, and they are instructed to bring this

**Table 1** Strengths and Weaknesses of Adherence Monitoring Measures

Measure		Strengths	Weaknesses	Timeframe
	Self-report	<ul style="list-style-type: none"> <li>· Inexpensive</li> <li>· Easy to collect</li> <li>· Reports of poor adherence likely accurate</li> </ul>	<ul style="list-style-type: none"> <li>· Poorly correlated with adherence</li> <li>· Limited by recall bias, over-reporting, and social desirability bias</li> </ul>	Short term > long term
	Pharmacy Refills	<ul style="list-style-type: none"> <li>· Can be used to calculate medication possession ratio</li> <li>· Electronic connection between pharmacy and clinic can alert providers when refills are not picked up</li> </ul>	<ul style="list-style-type: none"> <li>· Can be labor intensive with manual collection</li> <li>· Overestimates adherence as it assumes patients take all doses they have picked up</li> </ul>	Short and long term
	Pill counts	<ul style="list-style-type: none"> <li>· Inexpensive</li> <li>· Easy to collect</li> </ul>	<ul style="list-style-type: none"> <li>· Patients must remember to bring pill bottles to clinic</li> <li>· Must be compared with medication possession ratio</li> </ul>	Short term > long term
	Electronic adherence monitors (EAMs)	<ul style="list-style-type: none"> <li>· Enables day to day monitoring</li> <li>· Can accurately monitor adherence over time</li> </ul>	<ul style="list-style-type: none"> <li>· Can lose data with technical challenges</li> <li>· Cost of devices</li> </ul>	Short and long term
Drug Level Monitoring	Plasma	<ul style="list-style-type: none"> <li>· Detection correlates with HIV prevention</li> </ul>	<ul style="list-style-type: none"> <li>· Not commercially available</li> <li>· Susceptible to “white coat” adherence</li> <li>· Long turnaround time</li> <li>· Susceptible to patient pharmacokinetics</li> <li>· Requires venipuncture</li> </ul>	Very short term (1–2 days)
	Urine	<ul style="list-style-type: none"> <li>· Commercially available</li> <li>· Detection correlates with HIV prevention</li> <li>· Rapid turnaround time</li> <li>· Inexpensive</li> <li>· Non-invasive and easy to collect</li> <li>· Highly acceptable to patients</li> </ul>	<ul style="list-style-type: none"> <li>· Short-term measure of adherence</li> <li>· Susceptible to “white coat” adherence</li> </ul>	Short term (7–10 days)
	DBS (FTC-TP)	<ul style="list-style-type: none"> <li>· Well validated</li> </ul>	<ul style="list-style-type: none"> <li>· Not yet commercially available</li> <li>· Given not commercially available, unknown cost to roll out</li> <li>· Susceptible to patient pharmacokinetics</li> <li>· Requires fingerstick or venipuncture</li> </ul>	Short term
	Dried Blood Spot (DBS) (TFV-DP)	<ul style="list-style-type: none"> <li>· Commercially available</li> <li>· Well validated</li> <li>· Detection correlates with HIV prevention</li> <li>· Relatively inexpensive</li> <li>· Adherence over a longer time period</li> </ul>	<ul style="list-style-type: none"> <li>· Susceptible to patient pharmacokinetics</li> <li>· Requires fingerstick or venipuncture</li> </ul>	Long term
	Hair	<ul style="list-style-type: none"> <li>· Can be stored at room temperature</li> <li>· Detection correlates with HIV prevention</li> <li>· Adherence over a longer time period</li> </ul>	<ul style="list-style-type: none"> <li>· Not commercially available</li> <li>· Mixed data on acceptability given that 150+ pieces of hair are needed for each sample</li> <li>· Long turnaround time</li> <li>· Susceptible to patient pharmacokinetics</li> </ul>	Long term

bottle for subsequent visits. In the IPERGAY study, medication was given to patients in 4- and 8-week intervals and a pill count of unused medication was counted at each subsequent visit.<sup>12</sup> The TDF2 study administered 30 pills

at a time.<sup>33</sup> Pill counts may be labor-intensive during clinic visits as providers need to calculate how many pills a patient should have remaining in their bottles, which varies based on pharmacy refill timing and continuous

versus on-demand PrEP. Other limitations are that patients may discard their unused medications prior to clinic visits<sup>34</sup> or forget to bring in their medication bottle, possibly making pill counts no more efficacious than self-report.

Electronic adherence monitors (EAMs) are devices that enable day-to-day adherence monitoring and have been used for ART<sup>31</sup> and tuberculosis treatment<sup>35</sup> but have yet to be fully explored for PrEP. The most widely known is the Medication Event Monitoring System (MEMS), a medication bottle that records a time and date stamp when the bottle is opened and allows for the transfer of this information to a computer via USB. Day-to-day monitoring provides a pattern of adherence and can enable targeted interventions to decrease missed doses going forward. With traditional MEMs, information is not sent to the provider daily, but rather evaluated over time at scheduled appointments. Newer EAMs such as Wisepill™ can be linked to phone calls, text messages and/or emails to remind individuals to take their daily dose, or can make a sound once a certain time period has passed without a pill being dispensed as a reminder alarm. Messages can be sent to the prescribed individual, but can also be sent to other individuals (eg friends or providers) who can act as a support group.<sup>31</sup> This technology, among others, was shown to improve ART adherence in a cohort of rural Ugandans living with HIV.<sup>36</sup> The automatic reminder strategy may be helpful for daily use of PrEP, although with on-demand use it may be less relevant. Day-to-day dosing patterns can be used to inform counseling sessions; if patients are able to track their periods of heightened HIV risk, this can be compared with the timing of on-demand dosing. Main limitations include technical challenges causing failure of device tracking, possibility for patient manipulation by pocketing pills or opening device without removing a pill, increased staffing requirements, cost of device, requirement for internet access for patients in some cases, and patient convenience. Research is ongoing to evaluate another method: PrEP digital pills. These pills work exactly as typical PrEP pills do with respect to the active medications, but also have a miniature sensor made from silicon, magnesium and copper that emits an electrical pulse when it contacts with stomach acid, sending a Bluetooth signal to an individual's smartphone. These pills would have higher accuracy than EAMs as it would enable individuals to track exactly when and how frequently they are ingesting the medication.<sup>37</sup>

Drug concentrations have been explored as an alternative, objective metric of adherence. An understanding of the pharmacokinetics of oral TDF-FTC, TAF-FTC and CAB is critical for appreciating the various modalities for drug monitoring. TDF, the prodrug form of TFV, is absorbed in the gut and distributes in the plasma, where it has a short half-life of 2–6 hours.<sup>38</sup> TFV then enters the intracellular space, where it becomes phosphorylated into the active form, tenofovir diphosphate (TFV-DP), a nucleotide analog with a reverse transcriptase inhibitor effect. TFV-DP concentrates in the intracellular space and has a half-life of 17 days in red blood cells (RBC). TFV-DP levels can be measured by dried blood spot, a technique in which 25 µl of whole blood is collected via fingerstick or venipuncture.<sup>39,40</sup> Pharmacokinetics studies in healthy volunteers who receive varying doses of oral TDF-FTC have shown that TFV-DP measurement by DBS reveals average drug exposure over time, giving an estimate of cumulative dosing of the drug. For instance, much like a glycosylated hemoglobin level can estimate glycemic control over a course of 120 days (the life cycle of an average RBC), TFV-DP DBS measurement can estimate how much TDF-FTC an individual has consumed over a period of weeks to months.<sup>24</sup> DBS was used as the reference standard of objective adherence to PrEP used in the iPrEx trial, where participants with DBS TFV-DP levels of >700 fmol/punch were found to have a 100% reduction in risk of HIV acquisition.<sup>11</sup> Pharmacokinetics studies have shown that TFV-DP levels  $\geq 700$  fmol/punch and  $\geq 1250$  fmol/punch are equivalent to oral TDF-FTC dosing of 4–6 times per week and 7 times per week, respectively. Likewise, TFV-DP in DBS after TAF-FTC dosing was also found to have a long half-life and a linear association with dosing frequency.<sup>41</sup> In addition, FTC-TP (emtricitabine-triphosphate) has also been studied in DBS for subjects taking TDF-FTC and TAF-FTC; as it has a much shorter half-life, FTC-TP reflects a recent dose much like plasma TFV.<sup>40,41</sup>

Drug level monitoring in hair samples has been explored as a marker for PrEP adherence as well and has been used in HIV seropositive patients to assess ARV adherence. The STRAND study enrolled HIV-negative participants who received oral TDF-FTC either 2, 4, or 7 times per week under directly observed therapy (DOT).<sup>42</sup> Hair samples were collected by cutting 150–200 strands of hair from the occipital scalp at study visits.<sup>43</sup> The authors found that TFV levels in hair increased proportionally to the number of doses administered per week. This level can



**Table 2** Interpretation of Urine Tenofovir Adherence Monitoring Results, with Tenofovir (Breakdown Product of Prodrugs TDF and TAF) Adjusted for Urine Creatinine, as Well as the Suggested Clinical Interpretation. Different Day Ranges, ie 7 Days vs 6 Days vs 2 Days are Based on Maximizing Sensitivity and Specificity

<b>TDF/FTC (Truvada™)</b> <b>TFV:Creatinine Control Ratio</b>		
<b>Levels</b>	<b>Key</b>	<b>Interpretation</b>
<1:1	No dose taken in the last 7 days	Non adherent in last 7 days
<22:1	No dose taken in the last 48 hours	Non adherent in last 2 days
>22:1	Dose taken in the last 4 days	Recently adherent in last 4 days
<b>TAF/FTC (Descovy™)</b> <b>TFV:Creatinine Control Ratio</b>		
<1:1	No dose taken in the last 7 days	Non adherent in last 7 days
<6:1	No dose taken in the last 48 hours	Non adherent in last 2 days
>6:1	Dose taken in the last 6 days	Recently adherent in last 6 days

**Abbreviations:** TDF/FTC, tenofovir disoproxil fumarate/emtricitabine; TFV, tenofovir; TAF/FTC, tenofovir alafenamide/emtricitabine.

be used to extrapolate the average number of PrEP doses a participant received per week, and whether they are optimally adherent to a protective dose. TFV levels in hair have also been shown to be highly concordant with TFV-DP DBS levels among adolescent PrEP users.<sup>25</sup> The advantages of using hair samples are that the collection is noninvasive and can be stored at room temperature. Limitations include a prolonged processing time, patient preference, and potential inaccuracies with dyed hair or hair permanent products, thus limiting the generalizability of this tool. Hair sampling has had variably acceptability due to patient hesitation to contribute hair,<sup>25</sup> and some data suggest lower acceptability with certain hairstyles such as short hair and weaves, which may be more prominent in Black individuals.<sup>44,45</sup>

Plasma measurement of TFV via liquid chromatography with tandem mass spectrometry (LC-MS/MS) has also been studied as an objective marker of PrEP adherence. Plasma TFV levels were measured qualitatively as detectable ( $\geq 10$  ng/mL) or below the limit of quantitation (BLQ) in multiple studies<sup>12–14</sup> and may detect dosing of PrEP in the previous 24–48 hours.<sup>38</sup> In the PROUD trial, tenofovir was detected in the plasma of all participants who reported adherence.<sup>46</sup> In the open-label PATH-PrEP study, MSM and transgender women received daily oral TDF-FTC for PrEP and random plasma TFV levels were monitored at study visits. Landovitz et al found that 12% of participants had undetectable plasma TFV levels during the study period, suggesting no PrEP dosing in the previous 2 days and raising concern for a pattern of suboptimal adherence. Due to its short half-life, random plasma TFV

measurement is a short-term measure of adherence and may not accurately predict longer-term PrEP adherence. This may be particularly problematic due to a “white coat” effect of PrEP dosing, where individuals may dose their PrEP prior to a clinic visit to suggest optimal adherence. Limitations of plasma TFV measurement for use in clinical settings are that it is not commercially available and can take up to 3 weeks to process and report.

Urine drug level monitoring is also feasible as TFV is renally excreted into the urine and thus can be detected in urine samples. Urine TFV testing using LC-MS/MS has been shown to be highly sensitive for detecting recent adherence to daily TDF-FTC dosing, with a window of detection of at least 7 days. Urine testing was initially validated as a semi-quantitative assay for TDF-based PrEP<sup>47</sup> and then was further refined and re-validated on a fully quantitative scale for both TDF-based PrEP and tenofovir alafenamide (TAF)-based PrEP (Table 2).<sup>48,49</sup> Urine TFV levels can be normalized by using urine creatinine to account for individual variability in TFV metabolism based on creatinine clearance. Figure 1 provides examples of results that are returned to providers.

Urine-based TFV monitoring is particularly appealing due to its noninvasive manner of collection that can be paired with urine-based STI testing, its low cost, and its rapid turn-around time of 2–3 days. Urine testing has been shown to increase adherence to PrEP longitudinally when urine TFV results are provided to patients.<sup>50</sup> In one study that used urine testing to quantify if patients were non-adherent (no dose in the last 48

Panel A: Recent dosing of TAF/FTC					Panel B: Recent dosing of TDF/FTC				
Test	Result	Flag	Unit	Ref Range	Test	Result	Flag	Unit	Ref Range
<b>SPECIMEN VALIDITY</b>					<b>SPECIMEN VALIDITY</b>				
<b>DESCOVY PANEL</b>					<b>PREP</b>				
CREATININE	135	NORMAL	mg/dL	20 - 350	TRUVADA PANEL				
<b>PREP</b>					TENOFOVIR-TRUVADA	4082		ng/mL	
<b>DESCOVY PANEL</b>					<b>NORMALIZED RATIO</b>				
TENOFOVIR-DESCOVY	5553		ng/mL		<b>TRUVADA PANEL</b>				
<b>NORMALIZED RATIO</b>					TFV:CREATININE RATIO-TRUVADA	>22	NORMAL	Units	Cutoff: >= 22
TFV:CREATININE RATIO-DESCOVY	>=6	NORMAL	Units	>=6	DOSE TAKEN IN THE LAST 4 DAYS CLINICAL NOTES: THIS LEVEL INDICATES THE PATIENT HAS TAKEN THEIR DRUG IN THE LAST 4 DAYS. WE RECOMMEND SHARING THIS WITH THE PATIENT, COMMENDING THEIR RECENT ADHERENCE, AND TALKING WITH THEM TO IDENTIFY ANY POTENTIAL BARRIERS TO MAINTAINING THIS LEVEL OF ADHERENCE CONSISTENTLY.				
DOSE TAKEN IN THE LAST 6 DAYS CLINICAL NOTES: THIS LEVEL INDICATES THE PATIENT HAS TAKEN THEIR DRUG IN THE LAST 6 DAYS. WE RECOMMEND SHARING THIS WITH THE PATIENT, COMMENDING THEIR RECENT ADHERENCE, AND TALKING WITH THEM TO IDENTIFY ANY POTENTIAL BARRIERS TO MAINTAINING THIS LEVEL OF ADHERENCE CONSISTENTLY.									
Panel C: Recent inconsistent adherence to TDF/FTC					Panel D: Non-adherence to TDF/FTC				
Test	Result	Flag	Unit	Ref Range	Test	Result	Flag	Unit	Ref Range
<b>SPECIMEN VALIDITY</b>					<b>SPECIMEN VALIDITY</b>				
<b>TRUVADA PANEL</b>					<b>TRUVADA PANEL</b>				
CREATININE	243	NORMAL	mg/dL	20 - 350	CREATININE	52	NORMAL	mg/dL	20 - 350
<b>PREP</b>					<b>PREP</b>				
<b>TRUVADA PANEL</b>					<b>TRUVADA PANEL</b>				
TENOFOVIR-TRUVADA	4504		ng/mL		TENOFOVIR-TRUVADA	0		ng/mL	
<b>NORMALIZED RATIO</b>					<b>NORMALIZED RATIO</b>				
<b>TRUVADA PANEL</b>					<b>TRUVADA PANEL</b>				
TFV:CREATININE RATIO-TRUVADA	>1 but <22	ABNORMAL	Units	>22	TFV:CREATININE RATIO-TRUVADA	<1.0	ABNORMAL	Units	>22
NO DOSE TAKEN IN THE LAST 48 HOURS CLINICAL NOTES: THIS INDIVIDUAL HAS NOT TAKEN THEIR PREP IN THE LAST 48 HOURS. TYPICALLY INDIVIDUALS THAT FALL INTO THIS CATEGORY ARE STRUGGLING TO REMEMBER TAKING THEIR PREP. WE RECOMMEND SHARING THE RESULT WITH THE INDIVIDUAL, SPEAKING TO THE PATIENT ABOUT BARRIERS TO ACCESS AND ADHERENCE, AND BRAINSTORMING WAYS TO CONSISTENTLY TAKE THE MEDICATION. THIS INDIVIDUAL MAY BENEFIT FROM SETTING ALARMS, AUTOMATED TEXT REMINDERS, PLACING THEIR PREP PRESCRIPTION NEAR SOMETHING THEY USE EVERYDAY, SUCH AS A TOOTHBRUSH, OR A SMART PILL BOTTLE.					NO DOSE TAKEN IN THE LAST 7 DAYS CLINICAL NOTES: THIS INDIVIDUAL HAS NOT TAKEN THEIR PREP IN THE LAST 7 DAYS. TYPICALLY INDIVIDUALS THAT FALL INTO THIS CATEGORY ARE STRUGGLING WITH TAKING PREP. WE RECOMMEND SHARING THE RESULT WITH THE INDIVIDUAL, SPEAKING TO THEM ABOUT BARRIERS TO ACCESS AND ADHERENCE, AND BRAINSTORMING WAYS TO IMPROVE THEIR ADHERENCE. THIS INDIVIDUAL MAY BENEFIT FROM A NEW PRESCRIPTION, A CASE MANAGER, OR MORE REGULAR CHECK-UPS.				
<b>Abnormal Summary</b>					<b>Abnormal Summary</b>				
TFV:CREATININE RATIO-TRUVADA	>1 but <22	ABNORMAL	Units	>22	TFV:CREATININE RATIO-TRUVADA	<1.0	ABNORMAL	Units	>22

**Figure 1** Examples of laboratory results returned to providers utilizing urine TFV adherence support. Panels A and B are consistent with recent dosing of TAF/FTC and TDF/FTC, respectively. Panel C is consistent with recent inconsistent adherence to TDF/FTC, and Panel D is consistent with nonadherence in the previous 7 days.

hours) versus recently adherent (a dose in the last 6 days), 15/17 (88%) improved from non-adherence to recently adherent in just one visit.<sup>50</sup> Non-adherent patients were contacted to discuss barriers to medication use and formulate an adherence plan.<sup>51</sup> Although a small study, these results suggest that patient adherence can be increased with increased data and targeted counselling. In addition, urine-based TFV monitoring has already been developed into a point-of-care test<sup>52,53</sup> that is currently awaiting FDA approval, where objective data on recent PrEP adherence can be reviewed in real-time with patients to guide further adherence support counseling. In contradistinction to measuring drug concentrations, the TARGET study measured an antibody-based urine TFV assay which was strongly correlated to the LC-MS/MS-based urine assay.<sup>54</sup> Urine-based adherence monitoring is highly acceptable to younger patients and men of color who have sex with men, as it is noninvasive does not require additional testing outside of routine monitoring PrEP labs; however, “white coat” dosing is a limitation of urine testing.<sup>47,55</sup> Nevertheless, urine TFV testing is potentially more useful than plasma TFV testing in

that the former can distinguish between PrEP dosing in the previous 1–2 days from dosing in the previous 2–7 days.<sup>52</sup>

## Clinical Use

While there is no “gold-standard” for monitoring PrEP adherence, we do have a multitude of methods in our armamentarium for monitoring and supporting adherence. PrEP providers will need knowledge of and access to these various strategies which will require investment and resource allocation from clinics and other PrEP care sites to provide these tools. Furthermore, even as new forms of PrEP delivery such as implantable devices or long-acting injectable medications (cabotegravir) become available and may overcome existing barriers to adherence, oral dosing of PrEP may still be a preferred method of PrEP delivery for many people at high risk of HIV acquisition. Reasons may include short-term use, initial concern for side effects, or concern for the “tail effect” with long-term formulations placing individuals at higher risk of resistance if they do acquire HIV.<sup>56</sup> In resource-limited settings, uptake of long-acting PrEP will be slow, and TDF–

FTC will likely continue to be the primary method for PrEP for the next several years.

Two tests that have been commercially available for objective PrEP adherence monitoring are DBS monitoring for TFV-DP<sup>57</sup> and urine TFV testing.<sup>53</sup> While DBS monitoring can quantify to some degree the average doses of PrEP per week, it cannot provide insight into variable adherence patterns over time or day-to-day adherence. Urine TFV monitoring cannot quantify TFV dosing over time and is best used to provide data on when the last dose of PrEP was taken within the previous 7–10 days. Thus, neither is a stand-alone definitive test of adherence, but both can provide clinicians with valuable information to gauge patient adherence over different periods of time. Concentrations of PrEP drug metabolites are lower in the female genital tract compared with the rectum.<sup>14,15</sup> Being aware of patient gender and type of sexual activity that a patient is engaging in is necessary as the adherence threshold differs by the site of exposure. Tests for TFV-DP levels will have different cut-offs which can be extrapolated to confer “rectally protective dosing” and “vaginally protective dosing.” Urine tests correlate with recent adherence and can differentiate between non-adherence and inconsistent adherence over the last week (Table 2). Examples of laboratory results returned to providers utilizing urine TFV adherence support can be seen in Figure 1, with examples of recent dosing, recent inconsistent dosing and non-adherence.

Notably, all of the objective methods are more informative than self-reported adherence alone, with the caveat that self-reported non-adherence correlates well with non-adherence.<sup>58</sup> Using a combined approach to adherence monitoring, with the increased roll-out of commercial methods to monitor plasma, DBS and urine drug levels in addition to expanding and electronically facilitating monitoring of pharmacy refills and EAM devices would provide a toolbox of options for providers to choose from. It is important to note that urine and plasma TFV measurement can detect short-term PrEP adherence over the course of days, while DBS TFV-DP and hair TFV measurement are better suited to detect long-term adherence to PrEP, which can then be extrapolated to average dosing per week. However, average dosing per week is limited in how well it predicts day-to-day adherence. As data from each of these objective markers offer patients and their providers a picture of adherence over a different window of time, they may be used in conjunction to obtain a more complete picture of adherence, similar to using a glucometer for daily blood glucose monitoring as well

as a glycosylated hemoglobin every 3 months in diabetic patients. Individuals will continue to acquire HIV even while prescribed PrEP if we do not increase our ability to monitor adherence. With these tools and the information gleaned from them, healthcare workers can curate the volume of resources given to a particular individual, providing targeted counseling and increased resource allocation to those who need it the most.

A biopsychosocial framework will also help providers navigate monitoring adherence in the setting of prevention-effective adherence, a term coined by Haber et al to take into account dynamic HIV acquisition risk behaviors.<sup>59</sup> As an individual's risk for HIV acquisition changes over time, there will be periods of time when an individual is at considerably lower risk for HIV (eg in a long-term monogamous relationship or during the COVID-19 pandemic). High PrEP adherence is important during periods of risk, as adherence in the absence of risk confers cost, and potential side effects and toxicity without benefit.<sup>32</sup> It can be difficult to predict when an individual will be at high risk; thus, the need for “seasons of protection” that are conservative estimates of risk.<sup>60</sup> Helping individuals understand specifically when they should be taking PrEP may alleviate some of the barriers to adherence. Conversations between providers and patients about risk over time will help determine whether daily oral PrEP is the best tool for a given individual, or whether another dosing strategy would be more appropriate (event-driven PrEP, post-exposure prophylaxis for individuals with infrequent sexual encounters or long-acting injectables) with the hope that once an individual is on the best method for their lifestyle, it will be easier to achieve optimal adherence. Additionally, adherence can be influenced by implicit judgments; frameworks have been developed to further understand patients' perspectives on medication adherence taking into account their personal beliefs and concerns. While not yet validated for PrEP, these frameworks may serve as an additional tool for improving adherence outcomes.<sup>61</sup> Pairing adherence monitoring with specific interventions to target these drivers of suboptimal adherence will be paramount.

## Further Directions

A key gap in our current landscape of adherence is data to demonstrate that detection of suboptimal adherence leads to improvements in adherence and ultimately lower the risk of HIV infection. While Landovitz et al showed that a modest improvement in long-term adherence was achieved when suboptimal TFV detection in plasma was coupled with intensive adherence counseling term,<sup>13</sup> the



scalability and sustainability of this intervention are unclear. Preliminary data show that detection of PrEP non-adherence by urine TFV monitoring, followed by augmented adherence support counseling, was associated with increased urine TFV detection, and thus PrEP adherence, at subsequent clinic visits.<sup>50</sup>

Furthermore, varying levels of adherence may be sufficient to prevent HIV infection in different populations, as we know that protective drug levels vary by risk group. Although overall PrEP uptake is increasing, certain populations in the United States continue to be at increased risk of HIV infection with disparities persisting among minority groups. In particular, adolescent and young adults, as well as black and Latino MSM, are at the highest risk.<sup>62</sup> Social and cultural contexts will be important to consider when designing adherence monitoring strategies. Addressing these gaps in care and finding effective ways to help patients improve their adherence in a population-specific manner will be imperative to reducing the overall incidence and burden of HIV.

## Disclosure

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