

Serum Klotho Levels Contribute to the Prevention of Disease Progression

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Kaori Nakanishi 
Makoto Nishida
Manabu Taneike
Ryohei Yamamoto
Toshiki Moriyama
Keiko Yamauchi-Takahara

Health Care Division, Health and
Counseling Center, Osaka University,
Osaka, Japan

Background: Assessing the progression of a disorder from its pre-clinical state is important in the prevention of various diseases. In the present study, we evaluated the role of serum levels of α Klotho (α Kl) in the progression of several pre-clinical disorders.

Methods: This cohort study included 80 males who underwent their annual health checkup during the entry period between April 2005 and March 2008. Physical and biochemical parameters were obtained from all subjects. The associations of baseline serum levels of soluble α Kl ($s\alpha$ Kl) with the progression of the disorders were assessed in the study.

Results: Baseline serum levels of $s\alpha$ Kl were significantly lower in subjects developing a high fasting plasma glucose (FPG) level than in subjects not developing a high FPG level. Logistic multivariable analysis showed that baseline serum levels of $s\alpha$ Kl and FPG levels significantly associated with a high FPG level progression. It is suggested that low $s\alpha$ Kl levels are associated with the progression of hyperglycemia. Evaluation of serum levels of $s\alpha$ Kl in subjects with multiple disorders revealed that those with more pre-clinical disorders progression tended to show lower $s\alpha$ Kl levels.

Conclusion: A decrease in serum levels of $s\alpha$ Kl could be associated with the progression of pre-clinical disorders.

Keywords: α Klotho, metabolic disorder, hyperglycemia, hypertriglyceridemia, hypertension

Introduction

It is well-known that the pre-clinical state of disorders, including obesity, hypertension, dyslipidemia, hyperglycemia, and hyperuricemia, is a serious risk factor for developing various diseases, such as cardiovascular disease, stroke, and type 2 diabetes mellitus. Moreover, as represented by the metabolic syndrome, cluster of these disorders would be a more serious risk for these diseases than a single disorder.¹⁻³ Recently, several studies reported the relationship between these diseases and Klotho (Kl).⁴⁻⁶

Kl was originally discovered as an anti-aging gene, *Klotho*. *Klotho* mutant mice have short life spans and exhibit multiple aging phenotypes, including skin atrophy, ectopic calcification, osteoporosis, atherosclerosis, and pulmonary emphysema. The gene encodes a single-pass transmembrane protein α Kl, which is primarily expressed in the distal tubule of kidneys, parathyroid gland, and choroid plexus.^{7,8} α Kl regulates mineral metabolism and has an anti-inflammatory effect.⁹ A soluble form of α Kl, which is produced by shedding the transmembrane form, is detected in serum.¹⁰ Serum levels of soluble α Kl ($s\alpha$ Kl) show several protective effects and is reported to relate with inflammatory cytokines.^{11,12}

Correspondence: Keiko Yamauchi-Takahara
Health Care Division, Health and Counseling
Center, Osaka University, 1-17
Machikaneyama, Toyonaka, Osaka 560-0043,
Japan
Tel +81-6-6850-6012
Fax +81-6-6850-6040
Email takihara@wellness.hss.osaka-u.ac.jp

Focusing on the protective aspect of sαKl, we previously reported the unique association of serum levels of sαKl with smoking and psychological stress.^{13,14} We demonstrated that smoking and stressed condition increased serum levels of sαKl in men. Moreover, sαKl levels associated with interleukin (IL)-6, suggesting that sαKl regulates IL-6. As sαKl has protective effects, we concluded that increased serum levels of sαKl might be a compensatory response to protect against the harmful effects of smoking and psychological stress.

sαKl levels are reduced in some diseases, including type 2 diabetes mellitus and coronary artery disease.^{6,15} However, the association of sαKl with pre-clinical disorders has not been well studied. Assessing the development of disorders is important to prevent diseases and disease progression. Thus, in the present study, we evaluated the role of sαKl in preventing disease progression by assessing the association of serum levels of sαKl with several pre-clinical disorders.

Methods

Study Subjects

This study was designed as a prospective cohort study of employees at the Osaka University. The subjects were individuals who underwent an annual health checkup in the Osaka University Health and Counseling Center during the entry period between April 2005 and March 2008. The inclusion criteria for this study were as follows: (1) male subjects, (2) ages of 40 and 60 years, (3) with no underlying disease. Information on their medical history, current treatments, and smoking status was obtained via questionnaires. From 874 individuals who met the inclusion criteria, 88 subjects were randomly selected for the study. Among the 88 subjects, eight were excluded because of no follow-up between their baseline visit and March 2018. The final study cohort consisted of 80 men with at least one follow-up visit (Figure 1). This study was carried out in accordance with the Declaration of Helsinki and the ethics guidelines for clinical research from the Ministry of Health, Labour and Welfare and the Ministry of

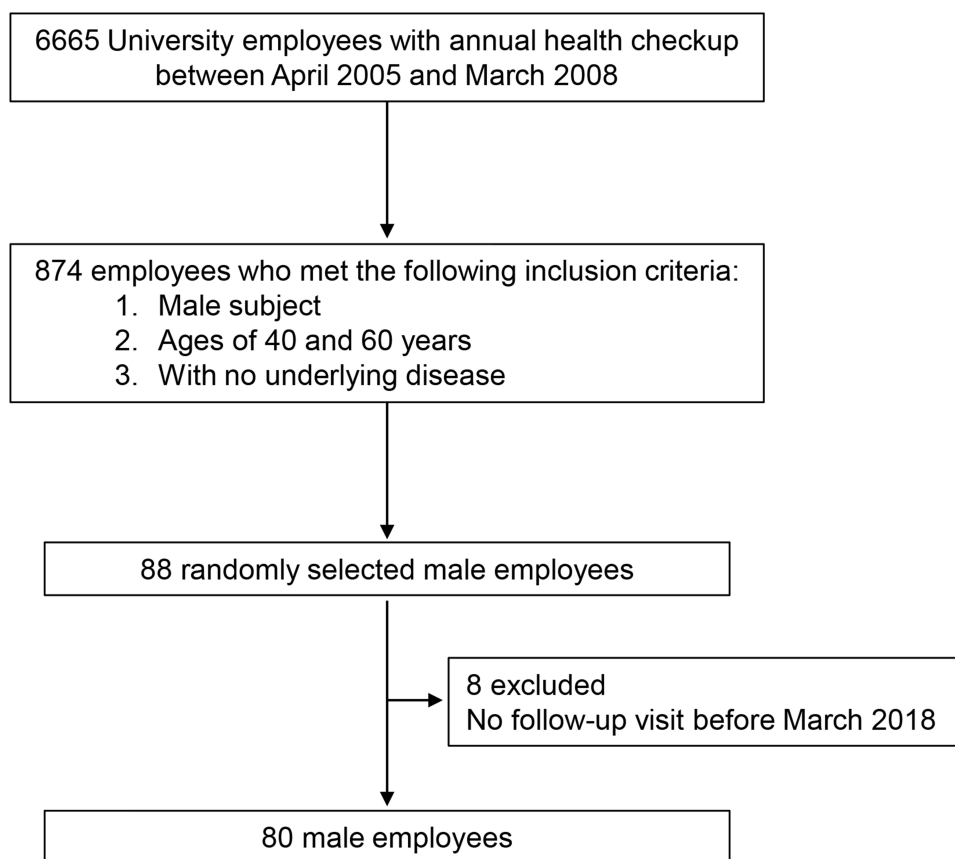


Figure 1 Flow diagram of inclusion and exclusion of the study subjects.

Education, Culture, Sports, Science and Technology. All experimental protocols in this study were approved by the Ethics Committee of Health and Counseling Center, Osaka University and written informed consent was obtained from all subjects prior to participation in the study.

Physical and Biochemical Parameters

As the baseline data, physical and biochemical parameters were obtained from all subjects at their first visit during the entry period. Body mass index (BMI: body weight (kg) divided by squared height (m^2)), waist circumference (WC) at the umbilical level, systolic blood pressure (SBP), and diastolic blood pressure (DBP) were measured as physical parameters.

Serum was collected from subjects between 9 and 11 AM after an overnight fast and kept at $\leq -20^\circ\text{C}$ until assayed. Serum concentrations of creatinine (Cr), uric acid (UA), triglycerides (TG), low-density lipoprotein-cholesterol (LDL-C), fasting plasma glucose (FPG), and αKI were measured as biochemical parameters. Serum levels of αKI were measured with a sandwich enzyme-linked immunoassay system according to the manufacturer's instructions (Immuno-Biological Laboratories, Takasaki, Japan).

Pre-Clinical Disorder Assessment

Pre-clinical disorders were categorized as follows: overweight was defined as $\text{BMI} \geq 25 \text{ kg/m}^2$ according to the recommendations of the World Health Organization; abdominal obesity was defined as $\text{WC} \geq 85 \text{ cm}$ according to the guideline for abdominal obesity in Japanese;¹⁶ high blood pressure was defined as $\text{SBP} \geq 135 \text{ mmHg}$ and/or $\text{DBP} \geq 85 \text{ mmHg}$ using the guideline for hypertension in Japanese.¹⁷ In the final stages of the study, we used $\text{SBP} \geq 140 \text{ mmHg}$ and/or $\text{DBP} \geq 90 \text{ mmHg}$ to define hypertension; a high Cr level was defined as $\text{Cr} \geq 1.05 \text{ mg/dL}$ using the upper normal value limit; and a high UA level was defined as $\text{UA} \geq 8 \text{ mg/dL}$ by Japanese guideline for the management of hyperuricemia.¹⁸ According to the criteria of the Japan Atherosclerosis Society, hypertriglyceridemia and hyper-LDL cholesterolemia were defined as $\text{TG} \geq 150 \text{ mg/dL}$ and $\text{LDL-C} \geq 140 \text{ mg/dL}$, respectively.¹⁹ A high FPG level was defined as $\text{FPG} \geq 100 \text{ mg/dL}$ according to the criteria of the Japan Diabetes Society.²⁰

Each pre-clinical disorder category was assessed to all study subjects. When assessing each disorder, we excluded subjects whose baseline data exceeded the values defined above. Therefore, among the 80 subjects, overweight: 20,

abdominal obesity: 32, high blood pressure: 29, high Cr level: 7, high UA level: 7, hypertriglyceridemia: 17, hyper-LDL cholesterolemia: 24, and high FPG level: 10 subjects were excluded regarding each pre-clinical disorder assessment. The onset of each disorder was defined as the time when values measured at the follow-up visit exceeded the defined values or those at the initiation of treatment for the disorder. Hypertension, hypertriglyceridemia, and high FPG were used as factors for evaluating multiple pre-clinical disorders.

The term "progression of pre-clinical disorders" or "pre-clinical order progression" was used when the measurement values at the follow-up visit were progressing from normal range to the defined pre-clinical disorder range.

Statistical Analyses

All statistical analyses were performed using STATA 14 (STATA Corp LLC, College Station, TX, USA). The distribution of continuous variables was tested by the Shapiro–Wilk test. Normally distributed variables are presented as means \pm standard deviation; non-normally distributed variables are reported as medians with the interquartile range. Student's *t*-test, Mann–Whitney *U*-test, or chi-squared test were used to compare the difference between the two groups. Multivariable logistic regression analysis was performed to evaluate the predictive risk factors for pre-clinical disorders progression. Variables were entered into the multivariable model using forward selection ($p < 0.2$ included). To compare the predictive risk factors, we constructed the Receiver operator characteristic (ROC) curves and determined their area under the curve (AUC).²¹ For multi-group comparisons, Dunnett's test was used to compare groups and the *np*trend command of STATA software was used for the trend test. Statistical significance was set at $P < 0.05$.

Results

Serum Levels of αKI Were Low in Subjects Developing Pre-Clinical Disorders

As shown in Table 1, we confirmed that there were no significant differences in baseline characteristics between the study subjects ($n = 80$) and individuals who met the inclusion criteria ($n = 874$). The mean duration of follow-up of the study subjects was 6.3 ± 3.1 years. The median

Table 1 Baseline Characteristics

	Study Subjects	Individuals Who Met the Inclusion Criteria	P-value
n	80	874	
Age (years)	48 (42–52)	45 (42–51)	0.52
BMI (kg/m ²)	23.5 ± 2.8	23.7 ± 2.9	0.51
WC (cm)	83.1 ± 7.3	84 (78–89)	0.33
SBP (mmHg)	123 (114–133)	122 (112–130)	0.27
DBP (mmHg)	80 (71–87)	78 (72–86)	0.66
Cr (mg/dl)	0.8 ± 0.1	0.8 (0.7–0.9)	0.63
UA (mg/dl)	6.0 ± 1.3	6.0 ± 1.2	0.94
TG (mg/dl)	89 (67–125)	105 (78–130)	0.36
LDL-C (mg/dl)	125 (107–146)	125 (104–141)	0.62
FPG (mg/dl)	88 (83–93)	90 (87–94)	0.59

Note: Data are expressed as means ± SD or medians (interquartile range).

Abbreviations: BMI, body mass index; WC, waist circumference; SBP, systolic blood pressure; DBP, diastolic blood pressure; Cr, creatinine; UA, uric acid; TG, triglycerides; LDL-C, low-density lipoprotein-cholesterol; FPG, fasting plasma glucose.

age at the time of enrollment was 48 (42–52) years. The mean serum level of sαKI was 500 ± 172 pg/mL.

Table 2 shows the associations of serum levels of sαKI with the progression of each pre-clinical disorder. Baseline serum levels of sαKI were significantly lower in subjects developing a high FPG level than in subjects not developing a high FPG level ($p = 0.004$). In subjects with the progression of abdominal obesity, high blood pressure, high Cr level, high UA level, hypertriglyceridemia, and hyper-LDL cholesterolemia, baseline sαKI levels tended to be lower than in subjects without progression of those disorders, however significant differences were not shown. It is suggested that low serum levels of sαKI might promote the progression of pre-clinical disorders, especially hyperglycemia.

During their follow-up period, 20 subjects were diagnosed with some diseases; including hypertension, dyslipidemia, type 2 diabetes mellitus, hyperuricemia, and cardiac disease. We evaluate the difference of sαKI levels in subjects with diseases (539 ± 262 pg/mL) between the subjects without diseases (509 ± 166 pg/mL) and confirmed that there was no significant difference ($p = 0.64$).

Low sαKI Levels is Suggested to Predict Hyperglycemia

We further evaluate the relationship between serum levels of sαKI and the progression of a high FPG level. Table 3 shows the baseline characteristics of subjects with or without progression of a high FPG level. Multivariable logistic regression analysis showed that low level of sαKI and high baseline FPG level were independent predictive factors for the progression of a high FPG level (Table 4). Evaluating

each AUC, both FPG and sαKI levels showed moderate accuracy with the progression of a high FPG level (Figure 2). Adding both factors slightly increased the AUC to 0.84, however a significant difference was not shown.

Moreover, we divided the study subjects into two groups according to the sαKI levels and compared the frequency of developing a high FPG level in the low (310 ± 76 pg/mL) and high (609 ± 112 pg/mL) sαKI groups. Individuals in the low sαKI group were significantly more likely to develop a high FPG level than those in the high sαKI group ($\chi^2(1) = 8.34$, $p = 0.0004$).

These results suggest that decreased serum levels of sαKI would be a predictive factor for the progression of hyperglycemia.

Association of sαKI Levels with Disorders in Never-Smokers

We previously reported that a smoking habit upregulated the serum levels of sαKI.¹³ Therefore, we assessed the smoking population in the study subjects and the association of serum levels of sαKI with hypertension using the hypertension definition: SBP ≥ 140 mmHg and/or DBP ≥ 90 mmHg (Table 5). Among the subjects studied, there were 44 smokers and 36 never-smokers. The baseline serum level of sαKI in the smoker group was 541 ± 158 pg/mL. This was significantly higher than that in the never-smoker group (455 ± 175 pg/mL, $p = 0.029$). Serum levels of sαKI in subjects developing hypertension were significantly higher in smokers than in never-smokers ($p = 0.041$). Among never-smoker group, serum levels of sαKI tended to be lower in

Table 2 Baseline Serum Levels of Soluble Alpha-Klotho (sαKI) in the Subjects with or without Pre-Clinical Disorder Progression

Pre-Clinical Disorders			n	sαKI (pg/mL)	P-value
Overweight	(+)	BMI ≥ 25 (kg/m ²)	9	531 ± 171	0.493
	(-)	BMI < 25 (kg/m ²)	51	485 ± 159	
Abdominal obesity	(+)	WC ≥ 85 (cm)	17	485 ± 170	0.831
	(-)	WC < 85 (cm)	31	497 ± 160	
High blood pressure	(+)	SBP/DBP ≥ 135/85 (mmHg)	21	496 ± 145	0.487
	(-)	SBP/DBP < 135/85 (mmHg)	30	529 ± 186	
High Cr level	(+)	Cr ≥ 1.05 (mg/dl)	3	399 ± 185	0.507
	(-)	Cr < 1.05 (mg/dl)	70	504 ± 164	
High UA level	(+)	UA ≥ 8.0 (mg/dl)	12	431 ± 155	0.138
	(-)	UA < 8.0 (mg/dl)	61	511 ± 172	
Hypertriglyceridemia	(+)	TG ≥ 150 (mg/dl)	24	506 ± 181	0.497
	(-)	TG < 150 (mg/dl)	39	524 ± 174	
Hyper-LDL cholesterolemia	(+)	LDL-C ≥ 140 (mg/dl)	24	527 ± 169	0.850
	(-)	LDL-C < 140 (mg/dl)	32	536 ± 176	
High FPG level	(+)	FPG ≥ 100 (mg/dl)	19	402 ± 168*	0.004
	(-)	FPG < 100 (mg/dl)	51	543 ± 160	

Note: *P < 0.005 versus high FPG level (-) group.

Abbreviations: BMI, body mass index; WC, waist circumference; SBP, systolic blood pressure; DBP, diastolic blood pressure; Cr, creatinine; UA, uric acid; TG, triglycerides; LDL-C, low-density lipoprotein-cholesterol; FPG, fasting plasma glucose.

Table 3 Baseline Characteristics in Subjects with or without Progression of High Fasting Plasma Glucose Level

	High FPG Level (+) FPG ≥ 100 (mg/dL)	High FPG Level (-) FPG < 100 (mg/dL)	P-value
n	19	51	
Age (years)	49 (42–54)	45 (41–51)	0.15
BMI (kg/m ²)	22.6 ± 2.0	23.3 ± 2.6	0.28
WC (cm)	80.8 ± 6.1	82.9 ± 6.8	0.23
SBP (mmHg)	120 ± 13	123 ± 12	0.40
DBP (mmHg)	79 ± 9	78 ± 9	0.55
Cr (mg/dl)	0.8 ± 0.1	0.8 ± 0.1	0.77
UA (mg/dl)	6.1 ± 0.9	6.0 ± 1.5	0.60
TG (mg/dl)	77 (60–111)	86 (65–121)	0.55
LDL-C (mg/dl)	126 (118–140)	121 (102–146)	0.22
FPG (mg/dl)	92 ± 4*	85 ± 6	< 0.0001

Note: *P < 0.005 versus high FPG level (-) group.

Abbreviations: BMI, body mass index; WC, waist circumference; SBP, systolic blood pressure; DBP, diastolic blood pressure; Cr, creatinine; UA, uric acid; TG, triglycerides; LDL-C, low-density lipoprotein-cholesterol; FPG, fasting plasma glucose.

Table 4 Multivariable Logistic Analysis: Risk Factors for High Fasting Plasma Glucose Level

Risk Factors	Odds Ratio	95% Confidence Interval	P-value
Age (per 10years)	1.69	0.56–5.14	0.354
FPG (per 10mg/dl)	9.54*	2.21–41.1	0.002
sαKI (per 100pg/mL)	0.59*	0.38–0.90	0.015

Note: *P < 0.05.

Abbreviations: FPG, fasting plasma glucose; sαKI, soluble alpha-Klotho.

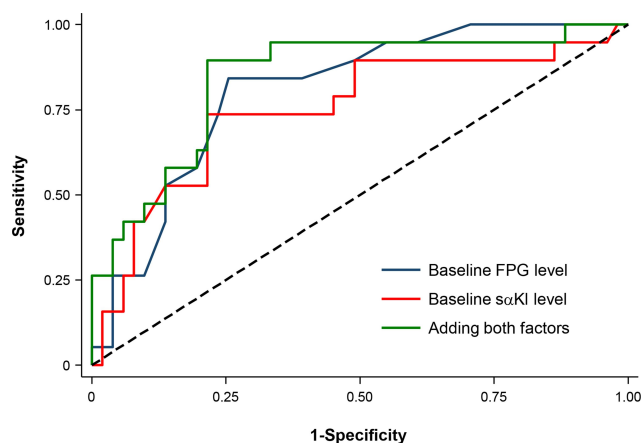


Figure 2 Receiver operation characteristic (ROC) curves of predictors for progression of a high fasting plasma glucose (FPG) level. The area under the curve (AUC) for baseline FPG and soluble alpha-Klotho (sKl) levels were 0.81 (95% confidence interval, 0.70–0.91) and 0.75 (95% confidence interval, 0.61–0.89), respectively. The AUC of adding both factors was 0.84 (95% confidence interval, 0.73–0.95).

subjects with the progression of hypertension than in subjects without progression of hypertension. As smoking status might affect sKl levels, we confirmed the association between sKl levels and each pre-clinical disorder in the never-smoker group. We found that serum levels of sKl in the never-smoker group were significantly lower for subjects developing a high FPG level (322 ± 94 pg/mL) than in subjects without this change (509 ± 174 pg/mL, $p = 0.0005$).

Serum Levels of sKl in Subjects with Multiple Disorders

As the serum levels of sKl were low in subjects with the progression of pre-clinical disorders, we evaluated the sKl levels in subjects with multiple disorders: hypertension, hypertriglyceridemia, and high FPG. To exclude the influence of smoking, the never-smoker group was used for comparison. As shown in Figure 3, subjects with multiple disorders progression tended to have lower serum levels of sKl. We also found that sKl levels in subjects

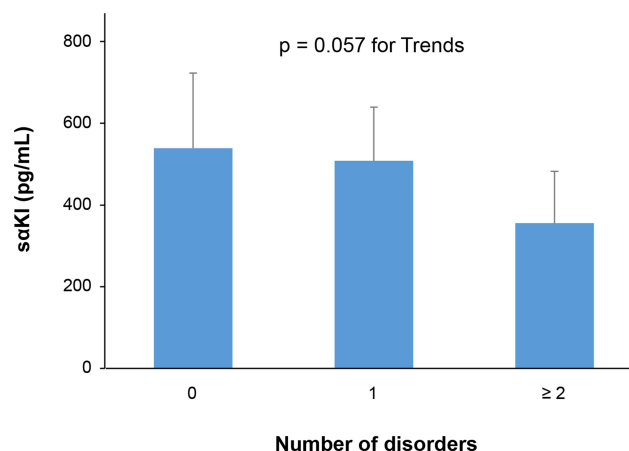


Figure 3 Serum levels of soluble alpha-Klotho (sKl) in subjects with multiple pre-clinical disorders. Association between serum levels of sKl and the number of disorders: hypertension, hypertriglyceridemia, and high fasting plasma glucose. The number of disorders was classified into three groups: 0 = no disorder progression ($n = 14$), 1 = one disorder progression ($n = 8$), ≥ 2 = more than two disorders progression ($n = 6$). Data are shown as means \pm SD.

with more than two disorders progression tended to be lower than those in subjects with no disorder.

Discussion

The number of people developing pre-clinical states of disorders, such as hypertension, dyslipidemia, and hyperglycemia, is increasing in modern society. Since the morbidity and mortality of diseases caused by these disorders are still high,²² assessing their progression is important. The present study identified a relationship between sKl levels and the progression of pre-clinical disorders.

α Kl functions as a co-receptor for fibroblast growth factor 23 and has a central role in maintaining phosphate homeostasis.²³ As α Kl influences adipose cell maturation and glucose metabolism, α Kl is regarded to have an essential role in whole-body energy metabolism.²⁴ Furthermore, serum levels of sKl decrease in obese, chronic kidney disease, and diabetic patients.^{6,25,26}

In the present study, we analyzed the association of sKl levels with the progression of several pre-clinical disorders. We found that baseline serum levels of sKl were low in

Table 5 Baseline Serum Levels of sKl Subject with or without Hypertension Among Never-Smokers and Smokers

	Never-Smoker	Smoker	P-value
SBP/DBP \geq 140/90 mmHg	450 ± 111 pg/mL	$637 \pm 171^*$ pg/mL	0.041
SBP/DBP < 140/90 mmHg	486 ± 185 pg/mL	518 ± 148 pg/mL	0.499
P-value	0.580	0.125	

Notes: * $P < 0.05$ versus never-smoker. Abbreviations are as in Table 1.

Abbreviations: SBP, systolic blood pressure; DBP, diastolic blood pressure.

subjects progressing to a high FPG level. Serum levels of α KI also exhibited a similar relationship with other pre-clinical disorders. We further identified that a low level of α KI was independent predictive factor for the progression of a high FPG level. Moreover, in subjects with low baseline, α KI were more likely to progress to a high FPG level. These results suggest that subjects with low serum levels of α KI are likely to develop the pre-clinical state of disorders.

We used BMI and WC as pre-clinical disorder assessment for overweight and abdominal obesity, respectively. The relationship between α KI levels and WC showed the same tendency as other disorders; however, BMI showed a different tendency. Since WC relates strongly to visceral fat accumulation, WC might associate with other disorders more strongly than BMI.^{27,28}

We previously reported that serum levels of α KI were upregulated by smoking and psychological stress in healthy subjects.^{13,14} As α KI levels are reported to be reduced in patients with chronic obstructive pulmonary disease and depressive symptoms; diseases which are caused by smoking and psychological stress, respectively,^{29,30} increased serum levels of α KI may be a protective response to prevent disease progression. α KI levels in never-smoker subjects progressing to hypertension tended to be lower than in subjects without such progression. Moreover, in the never-smoker group, there was a larger difference of α KI levels in those progressing to a high FPG level than in the entire subjects. Excluding the influence of smoking, the difference of α KI levels between the subjects with and without progression of pre-clinical disorders was more obvious.

α KI has several protective effects. For example, α KI regulates vascular function by improving endothelial dysfunction. It is reported that delivery of the *Klotho* gene decreased blood pressure and reduced medial hypertrophy of the aorta, and perivascular fibrosis of the coronary artery, in an animal model that displayed multiple atherogenic risk factors.³¹ Moreover, α KI exerts an anti-inflammatory effect by suppressing the TNF- α -induced expression of adhesion molecules, NF- κ B activation, and RIG-I-mediated activation of IL-6 and IL-8.^{9,32} In addition, serum levels of α KI revealed to have protective effects by suppressing cellular apoptosis, oxidative damage, and associating with pro-inflammatory status.^{11,12} We previously demonstrated that serum levels of α KI were related with IL-6 levels, and that increased α KI levels could be a compensatory response to inflammatory stress.¹³ Considering these protective aspects of α KI and α KI levels, it is quite possible that increased α KI levels would prevent the progression of various disorders and disease.

As with metabolic syndrome, people tend to suffer multiple disorders simultaneously. Therefore, we assessed differences in serum levels of α KI in subjects according to the number of disorders they displayed. Although we could not find a significant difference, subjects with multiple disorders progression tended to show lower serum levels of α KI, suggesting that extremely low levels of α KI might enable or cause the progression of multiple disorders. This finding also supports our hypothesis that high serum levels of α KI prevent the progression of several pre-clinical disorders.

This study has some limitations. Since the study was exploratory research to find a new predictive marker for the pre-clinical disorder, the sample size was set in small size. Even though the sample size was small, we could detect a statistically significant effect of α KI. We are willing to confirm the result in a larger sample size in our further study. Another limitation is that all subjects were recruited from a single center. To confirm the effect of α KI, a multicenter study is preferable in the future study.

Conclusion

We evaluated the association between serum levels of α KI and the progression of several pre-clinical disorders. Subjects with low baseline serum levels of α KI developed a high FPG level. Moreover, α KI levels in subjects with multiple disorders progression tended to present lower than those in subjects with no disorder progression.

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Disclosure

The authors report no conflicts of interest in this work.

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