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ORIGINAL RESEARCH

Development and Validation of a Nomogram Model to Predict Acute Kidney Disease After Nephrectomy in Patients with Renal Cell Carcinoma

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Purpose: To develop and validate a nomogram model to predict the occurrence of acute kidney disease (AKD) after nephrectomy.

Patients and Methods: A retrospective cohort including 378 patients with renal cell carcinoma (RCC) who had undergone radical or partial nephrectomy between March 2013 and December 2017 at the First Affiliated Hospital of Zhengzhou University was analyzed. Of these, patients who had undergone surgery in an earlier period of time formed the training cohort (n=265) for nomogram development, and those who had undergone surgery thereafter formed the validation cohort (n=113) to confirm the model's performance. The incidence rate of AKD was measured. Univariate and multivariate logistics regression analysis was used to estimate the independent risk factors associated with AKD. The independent risk factors were incorporated into the nomogram. The accuracy and utility of the nomogram were evaluated by calibration curve and decision curve analysis, respectively.

Results: Overall, AKD occurred in 27.5% and 28.3% of patients in the training and validation cohorts, separately. The final nomogram included surgery approach, Charlson comorbidity index (CCI), and the decrement of eGFR. This model achieved good concordance indexes of 0.78 (95% CI=0.71–0.84) and 0.76 (95% CI=0.67–0.86) in the training and validation cohorts, respectively. The calibration curves and decision curve analysis (DCA) demonstrated the accuracy and the clinical usefulness of the proposed nomogram, separately. **Conclusion:** The nomogram accurately predicts AKD after nephrectomy in patients with RCC. The risk for patients' progress into AKD can be determined, which is useful in guiding clinical decisions.

Keywords: kidney cancer, renal cell carcinoma, nephrectomy, acute kidney disease, nomogram

Introduction

Kidney cancer has become the 9th most common cancer among men and the 14th most common cancer among women globally.¹ RCC is the most common pathology subtype of kidney cancer.² Surgery is still the optimal treatment approach for RCC according to the European Association of Urology Guidelines.³ Patients who are able to undergo nephrectomy are usually long-term cancer survivors.⁴ However, decreased kidney function or contralateral kidney failure are common after nephrectomy. Previous studies demonstrated that renal function reduction occurred

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In clinical practice, acute kidney injury (AKI) and chronic kidney disease (CKD) are the most common outcomes of renal function reduction after surgery. AKI is defined as a 50% increase in serum creatinine (SCr) within 7 days after surgery or a 0.3 mg/dL SCr increase within 48 hours according to the Kidney Disease: Improving Global Outcomes (KDIGO) clinical practice guidelines.¹¹ Alteration in kidney function and structure for over 3 months are defined as CKD.^{12,13} However, more and more evidence has demonstrated that AKI and CKD are strongly connected and patients with AKI have an increased risk of developing CKD.¹⁴ Thus, the term acute kidney disease (AKD) has been put forward by KDIGO guidelines in order to define the course of disease after AKI in patients in whom the renal pathophysiologic processes are still ongoing.¹³ During the time window of AKD, interventions such as patient education, drug adjustment, and frequent follow-up can be initiated and may reverse the process of the disease.¹³ Thus, it is important to identify the risk factors of AKD and patients with high risk of developing AKD in order to provide timely intervention. Previous studies have demonstrated that risk factors such as surgery approach, perioperative eGFR, complications, age, and sex were associated with AKI and CKD postoperatively.^{15,16} However, as far as we know, the incidence rate and risk factors for AKD have not been studied and the prediction model for AKD after nephrectomy in RCC patients has not been established.

Therefore, we retrospectively analyzed the incidence rate of postoperative AKD in RCC patients and risk factors associated with AKD. Besides, we developed a nomogram to predict the occurrence of AKD in the early stage after surgery among the patients with RCC.

Patients and Methods

Patients

During March 5, 2013 and December 31, 2017, patients who had undergone nephrectomy for histologically confirmed RCC were retrospectively collected at the First Affiliated Hospital of Zhengzhou University. The eligibility criteria for the study were as follows: I) patients older than 18 years; II) patients who had undergone radical or partial nephrectomy for kidney cancer for the first time; and III) patients with available data of baseline SCr and SCr level within 3 months after surgery. Exclusion criteria included postoperative follow-up time less than 3 months, incomplete data, or any previous kidney surgery (ipsilateral or contralateral). Patients (70%) included in the training cohort were treated with surgery between March 5, 2013 and March 6, 2017. Patients (30%) who had undergone surgery between March 6, 2017 and December 21, 2017 entered the validation cohort.

Clinicopathologic Characteristics and Predictors

The occurrence of AKD after surgery was the end point of the study, which was defined as AKI, eGFR<60 mL/min/ 1.73 m² for less than 3 months, a \geq 35% decrease in eGFR or a >50% increase in SCr for less than 3 months according to the criteria recommended by the Acute Disease Quality Initiative (ADOI) 16 workgroup.¹³ AKI was defined as a 50% SCr increase within 7 days after surgery or a 0.3 mg/dL SCr increase within 48 hours according to the Kidney Disease: Improving Global Outcome (KDIGO) Clinical Practice Guideline for Acute Kidney Injury.¹⁷ Baseline SCr was defined as the latest measured SCr within 30 days preoperatively. The highest SCr level within 7 days after surgery was used to measure AKI. In addition, the highest SCr levels within 3 months postoperatively were recorded to evaluated the occurrence of AKD.

eGFR was calculated using the Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI) formula. We used the baseline eGFR and eGFR within 7 days after surgery to calculate the decrement of eGFR, and the decrement is 0 when postoperative eGFR increased. Charlson comorbidity index (CCI) is a commonly used index to assess patients' burden of comorbidities and it was reported to be associated with adverse renal outcomes.^{18–20} The value is calculated based on 19 comorbid conditions as the comorbidities are incorporated into the score. The surgery approach in this study includes partial and radical nephrectomy. CT and ultrasound were used to confirm distant metastasis and venous tumor thrombus.

Statistical Analysis

Continuous variables are shown as mean (SD) and compared using an unpaired, 2-tailed *t*-test or Mann–Whitney test. Categorical variables between two cohorts were compared using the X^2 test or Fisher exact test. The univariate logistics regression was used to evaluate the risk factors for the occurrence of AKD in the training cohort. Variables with a P-value less than 0.1 in univariate logistics regression were involved in the multivariate logistics regression. A nomogram was formulated based on the independent risk factors of the multivariate logistic regression analysis. The calibration curve of the training cohort and validation cohort were generated to determine the deviation from the model's predicted probabilities, which was measured with 1,000 bootstrap samples to decrease the overfit bias. The clinical utility of the model was assessed by DCA. Data analysis was performed using SPSS v.22 (IBM Corporation, USA) and R version 3.6.2 (http://www.r-pro ject.org/).

Results

Clinicopathologic Characteristics of Patients

Our study included 378 RCC patients who have undergone nephrectomy. Patients were divided into training (n=265) and validation (n=113) cohorts according to the date of surgery. AKD occurred in 73 (27.5%) and 32 (28.3%) patients in the training and validation cohort, respectively. The baseline characteristics are summarized in Table 1 and <u>Supplement Table 1</u>. Most variables were similar between two cohorts; however, the surgery approach and operating time were statistically different between the two cohorts.

Univariate and Multivariate Analysis of Risk Factors for AKD

Variables in Table 1 were incorporated in univariate logistics regression analysis. Table 2 shows the result of univariate logistics regression analysis of 265 patients in the training cohort. In univariate logistics regression analysis, weight (OR=1.03, 95% CI=1.00–1.05, P=0.026), surgery approach (radical vs partial, OR=4.18, 95% CI=1.81–9.66, P=0.001), and decrement of eGFR (OR=1.06, 95% CI=1.04–1.08, P<0.001) were statistically significant in predicting AKD. And then, variables with a P-value less than 0.1 in univariate logistics regression analysis were further analyzed with multivariate logistics regression. The results of multivariate logistics regression analysis are summarized in Table 3, among which the surgery approach **Table I** Baseline Characteristics of the Patients in the TrainingCohort and the Validation Cohort

Variables	Cohort		P-value
	Training Validation		
	N=265 (%)	N=113 (%)	
Age, years			
≤60	177 (66.8)	73 (64.6)	0.680
>60	88 (33.2)	40 (35.4)	
Gender			
Male	168 (63.4)	81 (71.7)	0.120
Female	97 (36.6)	32 (28.3)	0.050
Weight, mean (SD), kg	68.9 (11.5)	70.3 (11.8)	0.252
CCI	227 (22 ()	00 (04 7)	
<4	237 (89.4)	98 (86.7)	0.448
24	28 (10.6)	15 (13.3)	
Surgery approach			
Radical	66 (24.9)	47 (41.6)	
Partial	199 (75.1)	66 (58.4)	0.001
Preoperative serum creatinine,	76.7 (55.5)	73.9 (21.2)	0.641
mean (SD), μmol/L			
Preoperative eGFR,			
mL/min/1.73 m ²			
≥90	177 (66.8)	73 (64.6)	
60–89	74 (27.9)	33 (29.2)	0.895
<60	14 (5.3)	7 (6.2)	
Decrement of eGFR mean	25.3 (17.1)	22.8 (15.4)	0.164
(SD), mL/min/1.73 m ²			
Operating time, hours			
≤2	133 (50.2)	33 (29.2)	<0.001
>2	132 (49.8)	80 (70.8)	
Blood loss, mL			
<150	190 (71.7)	85 (75.2)	0.481
≥150	75 (28.3)	28 (24.8)	
Intraoperative minimum			
SBP, mmHg			
>90	86 (32.5)	33 (29.2)	0.533
≤90	179 (67.5)	80 (70.8)	
Time of SBP below 90 mmHg in	12.7 (20.1)	9.15 (16.8)	0.112
surgery, mean (SD), minutes			
Intraoperative urinary volume,	246.0 (193.0)	269.2	0.285
mean (SD), mL	1 (20.0	(216.1)	0.000
Intraoperative fluid intake volume,	1,638.9	1,/34.3	0.200
mean (SD), mL	(767.0)	(874.7)	
Pathological type	204 (77 5)	00 (01 0	
Clear cell	204 (77.0)	92 (81.4)	0.096
Papillary cell	9 (3.4)	8 (7.1) E (4.4)	
Orbors	13 (1 .7) 29 (14 7)	0 (7 I)	
Others	J7 (14./)	0(7.1)	1

(Continued)

Table I (Continued).

Variables	Cohort		P-value
	Training Validation		
	N=265 (%)	N=113 (%)	
Tumor number			
Solitary	253 (95.5)	111 (98.2)	0.246
Multiple	12 (4.5)	2 (1.8)	
Renal capsule invasion			
No	249 (94.0)	111 (98.2)	0.074
Yes	16 (6.0)	2 (1.8)	
Venous tumor thrombus			
No	248 (93.6)	107 (94.7)	0.681
Yes	17 (6.4)	6 (5.3)	
TNM stage			
нı	215 (81.1)	97 (85.8)	0.270
III–IV	50 (18.9)	16 (14.2)	

Notes: Continuous variables are displayed as median (standard deviation); Categorical variables are displayed as number (percentage).

Abbreviations: SD, standard deviation; CCI, Charlson comorbidity index; eGFR, estimated glomerular filtration rate; SBP, systolic blood pressure.

(OR=3.47, 95% CI=1.40–8.59, *P*=0.007), CCI (OR=4.75, 95% CI=1.75–12.87, *P*=0.002), and the decrement of eGFR (OR=1.06, 95% CI=1.03–1.08, *P*<0.001) were independent risk factors of AKD after nephrectomy.

Table 2	Univariate	e Logistic	Regression	Analysis	of AKD	Based
on Perio	perative D	ata in the	e Training C	ohort		

Variables	OR (95% CI)	P-value
Age, >60 vs ≤60, years	1.37 (0.78–2.40)	0.273
Gender, male vs female	0.73 (0.41–1.30)	0.289
Weight, kg	1.03 (1.00-1.05)	0.026
CCI, ≥4 vs <4	2.16 (0.97-4.83)	0.060
Surgery approach, radical vs partial	4.18 (1.81–9.66)	0.001
Preoperative serum creatinine, $\mu mol/L$	1.00 (1.00–1.01)	0.546
Preoperative eGFR, mL/min/1.73 m ²		
60–89 vs ≥90	0.92 (0.50-1.68)	0.775
<60 vs ≥90	0.41 (0.09–1.91)	0.256
Decrement of eGFR, mL/min/1.73 m ²	1.06 (1.04–1.08)	<0.001
Operating time, >2 vs ≤2 hours	0.84 (0.49–1.44)	0.516
Blood loss, ≥150 vs <150, mL	0.57 (0.30-1.08)	0.086
Intraoperative minimum SBP, ≤90 vs >90, mmHg	1.07 (0.60–1.90)	0.839
Time of SBP below 90 mmHg in surgery, minutes	1.00 (0.99–1.01)	0.497
Pathological type		
Papillary cell vs clear cell	0.29 (0.04–2.39)	0.252
Chromophobe renal cell vs clear cell	0.70 (0.19–2.65)	0.602
Others vs clear cell	0.61 (0.26-1.39)	0.237
Tumor number, multiple vs solitary	2.78 (0.87–8.91)	0.086
Renal capsule invasion, yes vs no	0.59 (0.16-2.13)	0.421
Venous tumor thrombus, yes vs no	0.33 (0.07-1.49)	0.150

Abbreviations: OR, odds ratio; Cl, confidence interval; CCl, Charlson comorbidity index; eGFR, estimated glomerular filtration rate; SBP, systolic blood pressure.
 Table 3 Multivariate Logistic Regression Analysis of AKD Based

 on Data in the Training Cohort

Variables	OR (95% CI)	P-value
Weight, kg	1.02 (0.99–1.05)	0.122
Surgery approach, radical vs partial	3.47 (1.40-8.59)	0.007
CCI, <4 vs ≥4	4.75 (1.75–12.87)	0.002
Decrement of GFR, mL/min/1.73 $\ensuremath{\text{m}}^2$	1.06 (1.03-1.08)	<0.001
Blood loss, ≥150 vs <150, mL	0.50 (0.24–1.05)	0.067
Tumor number, multiple vs solitary	3.13 (0.77–12.78)	0.112

Abbreviations: OR, odds ratio; CI, confidence interval; CCI, Charlson comorbidity index; eGFR, estimated glomerular filtration rate.

Development and Validation of a Predictive Nomogram

A nomogram model was constructed based on independent risk factors from multivariate logistics regression analysis for the prediction of postoperative AKD. Table 4 demonstrated the result of multivariate analysis of the variables included in the nomogram model. Figure 1 shows the nomogram which surgery approach, CCI, and the decrement of eGFR defined the individual risk of AKD in patients with RCC. In this nomogram, the decrement of eGFR is a continuous variable, every unit decrease in eGFR results in approximately a 1.1-point increase in risk points. CCI score ≥ 4 and a radical surgery contributes 30 points and 22 points, respectively. Therefore, a patient with a 40 mL/min/1.73 m² decrement in eGFR, CCI score \geq 4, and radical surgery has a 78% probability of developing AKD. Conversely, a patient with a 10 mL/min/1.73 m^2 decrement in eGFR, CCI score <4, and palliative surgery has less than a 5% probability of developing AKD.

The nomogram demonstrated good accuracy in estimating the risk of AKD with a C-index of 0.78 (95% CI=0.71–0.84) in the training cohort. The calibration curve in Figure 2A shows good concordance between the estimated risk of AKD and the actual presence of AKD. In addition, the probability of the occurrence of AKD in the validation cohort was calculated by the nomogram model. The nomogram of the validation cohort displayed a

Table 4 Multivariate Logistic Regression Analysis of AKD Basedon Variables in the Nomogram

Variables	OR (95% CI)	P-value
Surgery approach, radical vs partial	3.21 (1.31–7.88)	0.011
CCI, <4 vs ≥4	4.62 (1.75–12.18)	0.002
Decrement of eGFR, mL/min/1.73 m^2	1.06 (1.04–1.08)	<0.001

Abbreviations: OR, odds ratio; CI, confidence interval; CCI, Charlson comorbidity index; eGFR, estimated glomerular filtration rate.



Figure I Nomogram for the prediction of AKD in patients with RCC within the 3 months after surgery, based on multivariable model. Instructions: locate the surgery approach on the corresponding axis. Draw a line straight down to the axis to calculate how many points toward the probability of AKD in the patients undergoing his/her surgery approach. Repeat the courses for CCI and the decrement of eGFR. Add all points obtained from the previous steps, and locate the final summation on the total score axis. The probability of the AKD corresponds to the summation score on the risk scale.

C-index of 0.76 (95% CI=0.67–0.86) with a good calibration curve in estimating AKD risk (Figure 2B).

Besides, we built three simple models based on factors in the nomogram model and compared the performance and clinical utility of different models. The C-index of different models in the training and validation cohorts are shown in <u>Supplement Table 2</u>. The area under the decision curve in Figure 3A and B shows the clinical utility of different models. The nomogram model had a higher net benefit among a wide range of threshold probabilities than the simple models. Besides, it is better than the "treat all" (blue) or "treat none" (black) strategies in both cohorts.

Discussion

The criteria of AKD was firstly put forward in 2012.¹¹ The presence of AKD after surgery indicates the worsening of renal function, which is also associated with poorer

prognosis.¹⁷ In 2019, a large cohort study included 1.1 million patients in Canada with SCr data, which suggested that the criteria of AKD identified certain group of patients with increased risks of CKD, end stage kidney disease (ESRD), and death.²¹ Among patients with AKD, the long-term outcomes are not predetermined and might be reversed by timely intervention, such as patient education, drug adjustment active surveillance, and frequent followup.¹³ Thus, early prediction of AKD and in time intervention are of vital importance. However, information on the cause of AKD after nephrectomy in RCC patients and the clinical characteristics of these patients are sparse. Previous studies have already discussed the risk factors of AKI and CKD.^{5,17,19,22,23} Nonetheless, the incidence and risk factors of AKD remain unknown, and the AKD prediction model for RCC patients after nephrectomy has not been developed before. Therefore, we retrospectively collected the data of RCC patients who had undergone



Figure 2 Calibration curve for postoperative AKD based on the nomogram. (A) training cohort, (B) validation cohort. The blue dashed line represents the ideal line of a perfect match between predicted and observed occurrence of AKD. The red line indicates the performance of the proposed nomogram.

nephrectomy in our hospital and analyzed the incidence rate and risk factors of postoperative AKD. In our study, AKD occurred in 73 (27.5%) and 32 (28.3%) patients in the training and validation cohorts, respectively, and we found that surgery approach, CCI, and the decrement of eGFR were independent risk factors of AKD for RCC patients after nephrectomy in multivariate analysis.

Surgery approach, which is the most efficacious treatment for RCC, is associated with a substantial risk of division on renal function.²⁴ Previous studies reported that the rate of renal function reduction is higher in patients who had undergone radical nephrectomy than partial nephrectomy, as radical nephrectomy has a larger resection compared the partial range to nephrectomy.22,25,26 Consequently, existing guidelines recommend partial nephrectomy whenever technically feasible in an effort to maintain kidney function and optimize outcomes.²⁷ In a study of identifying independent predictors of AKI after radical and partial nephrectomy in RCC patients, radical nephrectomy and open surgery were associated with higher probability of postoperative AKI, which consequently affects survival.^{17,22} Similarly, we found that a surgery approach is an independent risk factor for AKD, which indicates the reduction of renal function after surgery. Thus, surgeons need to be more careful in choosing a surgery approach for RCC patients and reserve renal



Figure 3 Decision curve of the nomogram and simple models. (A) Training cohort, (B) Validation cohort. Decision curve analyses demonstrating the net benefit associated with the use of different models for the prediction of postoperative AKD. The thick black line represents the net benefit of offering no intervention, assuming that none of the patients would develop AKD; the blue line shows the net benefit of offering interventions to all patients, assuming that all patients would develop AKD; Other lines represent the net benefit of offering interventions according to different models.

parenchyma during surgery as excessive resection might lead to the development of AKD.

As for patients with comorbidities, previous studies showed that those with diabetes mellitus (DM) were more likely to develop CKD after nephrectomy.^{16,28,29} While in our study, DM alone was not significantly associated with AKD. CCI is a weighted score for each of the comorbid conditions.²⁰ It is a comprehensive index that manifests patient's comorbidities status. Previous studies showed that CCI is a stable predictor of CKD.^{18,29} In our study, we found that CCI of 10.6% (28/265) and 13.3% (15/113) patients were greater or equal to 4 in the training and validation cohort, respectively. In the multivariate analysis, CCI was independently associated with the development of AKD. In clinical practice, patients with multiple comorbidities are common. It is difficult to evaluate the effect of these comorbidities on the outcome of patients' renal function comprehensively. CCI is an easyused index and it is significantly associated with AKD, as our study demonstrated. We suggest physicians should evaluate this index for every RCC patient before surgery.

eGFR is another important indicator of kidney function after nephrectomy in RCC patients. The relationship of eGFR and CKD has been studied previously and most studies found that lower baseline eGFR independently affects the progression of CKD.^{15,30} Yet, in our study, baseline eGFR is not associated with the occurrence of AKD in the patients undergoing nephrectomy. The dissimilar outcomes might attribute to the different end points. In the training cohort of our study, only 20 (27.4%) and two (2.7%) patients with preoperative eGFR 60-89 mL/min/1.73 m² and <60 mL/ min/1.73 m² had AKD, respectively. Thus, we calculated the decrement of eGFR after surgery for each patient as we think it is more relevant to the impairment of renal function caused by surgery. And, as expected, it was an independent risk factor associated with AKD in univariate and multivariate regression analysis. Furthermore, the decrement of eGFR was the most predictive variable for postoperative AKD (C-index=0.73). Thus, we suggest routine evaluation of eGFR before and after surgery for RCC patients for early detection of AKD and timely management.

After identifying independent risk factors, we built a nomogram model based on the three factors above (surgery approach, CCI, and the decrement of eGFR) in the training cohort and validate it in the validation cohort. This model demonstrated a good prediction ability with a C-index of 0.78 (95% CI=0.71-0.84) and 0.76 (95% CI=0.67-0.86) in the training and validation cohort,

respectively. Figure 2A and B showed that the difference between the actual and predicted values of two cohorts were small, which indicated that the model is well calibrated. The decrement of eGFR is one of the most important factors in the predicting model. Every unit decrease in eGFR indicates that the probability of developing AKD would be increased by 1.06-times for patients after surgery. Surgery and CCI also had good performance in predicting AKD alone. Therefore, we established three simple models based on the independent risk factors alone, and compared the clinical utility of the simple models with the nomogram model. In decision curve analysis (Figure 3A and B), the nomogram model had more net benefit than the simple models over a wide range of thresholds and the results are consistent in training and validation cohorts, which means the nomogram model is beneficial for clinical use.

Overall, our study firstly used the definition of AKD as the end point rather than AKI and CKD, which were never studied before. We reported the incidence rate of AKD for RCC patients receiving nephrectomy, analyzed the risk factors of developing AKD postoperatively, and built an easy-used nomogram model to predict the probability of developing AKD for RCC patients. Proper use of this model can help physicians to identify patients with high risk of developing AKD. Close follow-up should be demanded from high risk patients, and timely intervention should be provided in order to reverse outcomes.

Our study had several limitations. Firstly, data for this study were retrospectively collected so that some patients' data were missing. For example, we did not have data on ischemia time of patients during the surgery, which was shown to affect renal function postoperatively in a prior study.²³ Besides, the study was based on the retrospective observational design from our institution alone. External validation of data from other institutions could be more convincing. However, the training and validation cohorts in our study were divided according to the date of operation. Similar results from different time periods demonstrate the stability of this model to a certain extent. In addition, as a retrospective study, selection bias is inevitable as only RCC patients with complete data before and after surgery were enrolled in our study. Despite these limitations, this is the first study to establish an easy-to-use model to predict AKD in early stage after surgery. These results can provide information for clinicians to choose appropriate intervention for patients in the early postoperative period.

Conclusion

Our study provided information on the incidence rate of AKD for RCC patients after surgery and analyzed the risk factors of developing AKD after surgery. By combining three independent risk factors of postoperative AKD, we built a nomogram model. This model can serve as an easy-to-use tool for the early identification of RCC patients at high risk of developing AKD after surgery.

Data Sharing Statement

The data that support the findings of this study are available from the corresponding author upon reasonable request. The data are not publicly available due to privacy or ethical restrictions.

Ethics Statement

The study was approved by the Independent Ethics Committee of First Affiliated Hospital of Zhengzhou University (2020-KY-248). All analyses were in accordance with the Declaration of Helsinki. As the data was retrospectively collected, and no identifying information was included, the ethics committee waived the requirement for informed consent.

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Disclosure

The authors report no conflicts of interest for this work.

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