# Evaluation of the Effect of an Aqueous Extract of Azadirachta indica (Neem) Leaves and Twigs on Glycemic Control, Endothelial Dysfunction and Systemic Inflammation in Subjects with Type 2 Diabetes Mellitus - A Randomized, Double-Blind, Placebo-Controlled Clinical Study

This article was published in the following Dove Press journal: Diabetes, Metabolic Syndrome and Obesity: Targets and Therapy

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**Purpose:** Neem tree (Azadirachta indica) offers different bioactives ranging from pesticides to therapeutic molecules, depending on which part of the plant is used and the extraction methodology and the solvent used. This study was aimed at evaluating the safety and efficacy of a standardized aqueous extract of Azadirachta indica leaves and twigs (NEEM) on glycemic control, endothelial dysfunction, and systemic inflammation in patients with type 2 diabetes mellitus (T2DM).

Methods: In this randomized, double-blind, placebo-controlled clinical study (RCT), 80 T2DM subjects, who have already been on standard metformin therapy, received either 125 mg, 250 mg, 500 mg of NEEM or placebo twice daily for 12 weeks. Postprandial blood sugar level (PPBS), fasting blood sugar level (FBS), glycosylated hemoglobin (HbA1c), insulin resistance (IR), endothelial function, oxidative stress, systemic inflammation, IL-6 and TNF-α, platelet aggregation and lipid profile were assessed. Adverse drug reactions, if any, were noted. GraphPad Prism 8 was used to perform statistical analysis.

Results: NEEM at the doses of 125, 250, and 500 mg BID significantly reduced PPBS (from 194.4±14 to 173.1±12.8mg/dL, 192.3±17.1 to 161.8±9.7mg/dL, and 205.9±7.2 to 159.3 ±7.1mg/dL, respectively), FBS (from 119.2±5.0 to 109.2±5.7mg/dL, 115.5±4.4 to 103.7  $\pm 4.2$ mg/dL, and 120.7 $\pm 4.2$  to 97.3 $\pm 3.7$ mg/dL, respectively), HbA1c (from 6.87  $\pm$  0.4% to  $6.64 \pm 0.4\%$ ,  $7.52 \pm 0.4\%$  to  $6.86 \pm 0.3\%$ , and  $7.78 \pm 0.2\%$  to  $6.26 \pm 0.4\%$ , respectively), and IR (from  $4.5 \pm 1.2$  to  $3.4 \pm 0.9$ ,  $3.8 \pm 1.1$  to  $2.5 \pm 0.6$ , and  $4.6 \pm 1.3$  to  $2.0 \pm 0.6$ , respectively) compared to placebo. Also, NEEM significantly improved endothelial function, decreased oxidative stress and systemic inflammation compared to placebo. The efficacy was significant with all the doses, but no effect on platelet aggregation or lipid profile was observed.

Conclusion: NEEM may significantly ameliorate hyperglycemia, endothelial dysfunction, and systemic inflammation, on top of what metformin could do, in subjects with T2DM.

**Keywords:** Azadirachta indica, neem, hyperglycemia, insulin resistance, endothelial dysfunction, type 2 diabetes, metformin

### Introduction

Diabetes mellitus (DM) is a critical health problem, affecting the global population. The prevalence and future of diabetes, of which type 2 diabetes (T2DM) comprises

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the most, the economic burden, the complicating factors and how diabetes impacts cardiovascular disease (CVD) are described in several publications. <sup>1–4</sup> Endothelial dysfunction<sup>5</sup> (EnD), Insulin resistance<sup>6</sup> (IR), oxidative stress<sup>7</sup> and hyperglycemia contribute to CVD.

T2DM often exhibits serum elevations of pro-inflammatory mediators, including sialic acid, a-1 acid glycoprotein, amyloid A, interleukin-1 $\beta$ , tumor necrosis factor-alpha (TNF- $\alpha$ ), interleukin-6 (IL-6), C-reactive protein, and cortisol, which also exacerbate CVD.  $^{9-12}$ 

Neem (*Azadirachta indica*; family: Meliaceae), a tropical evergreen tree, is widely distributed in Asia. Neem leaves<sup>13</sup> and seeds<sup>14</sup> are used in Ayurveda as a household remedy. Different bioactive compounds have been isolated from neem leaves, such as nimbin, azadirachtin, nimbidiol, quercetin, nimbidin, etc.<sup>13</sup> Many in vitro and in vivo studies have reported hypoglycemic activity of neem extracts, made with different solvents.<sup>15–20</sup> Azadirachtin,<sup>21</sup> Nimbidiol<sup>22</sup> and Gedunin and Azadiradione<sup>23</sup> also showed anti-hyperglycemic activity, but toxicity is of concern with these bioactives. Aqueous neem leaf and twig extract used in this study does not contain these bioactives, and showed good anti-hyperglycemic activity in our pre-clinical studies.

Thus, the objective of the present study is a controlled clinical study to evaluate an aqueous extract of neem leaves and twigs (NEEM) primarily in hyperglycemia.

### **Methods and Materials**

We performed this 12-week prospective, randomized, single-center, double-blind, placebo-controlled clinical trial (RCT) between December 2018 to July 2019 at the Department of Clinical Pharmacology and Therapeutics, Nizam's Institute of Medical Sciences (NIMS), Hyderabad, India.

# **Enrollment of Study Subjects**

Ninety-four subjects, who have already been on a standard therapy of metformin, were screened from the outpatient department of NIMS. The participants received an information package containing an informed consent form, background information of the study, and a description of the intended care as per study protocol. Informed consent was obtained from each patient before study enrollment.

#### Inclusion Criteria

Patients of either sex, aged between 30 and 65 years with fasting plasma glucose levels of 110–126 mg/dL, glycosylated hemoglobin (HbA1c) levels between 6.5% and 8%, under the antidiabetic treatment (metformin

1500–2500 mg/day) for the past 8 weeks before the screening visit, diagnosed with endothelial dysfunction defined as ≤6% change in reflection index (RI) on post salbutamol challenge test, were included in the study. The patients have been explained the risks and benefits of the study, and they willfully provided written informed consent.

#### **Exclusion Criteria**

The patients with severe uncontrolled diabetes (HbA1c > 8% and FBS > 210 mg/dl), uncontrolled hypertension (SBP>180mmHg and DBP>100mmHg), high levels of serum Triglycerides (>500mg/dl), or impaired hepatic or renal function (AST and ALT elevation >3 times upper limit of normal; serum creatinine >1.5 mg/dl), were excluded from this study. The patients with any serious disease requiring active treatment or patients receiving any other herbal supplements were also excluded from the study.

### **Ethical Consideration**

The study was conducted following the Declaration of Helsinki (2013) and 'Guidelines for Clinical Trials on Pharmaceutical Products in India – GCP Guidelines issued by the Central Drugs Standard Control Organization, Ministry of Health, and Government of India. As required by the ethics committee for a study in patients, subjects enrolled for the study were on standard therapy with metformin. IRB approval was received from NIMS (EC/NIMS/2301/2019 36th ESGS No:798/2018). Ethics committee notifications as per Good Clinical Practice Guidelines, Central Drugs Standard Control Organization and Ethical Guidelines for Biomedical Research on Human Subjects, issued by the Indian Council of Medical Research, were followed. This clinical study has been registered in the clinical trial registry, India -CTRI/2018/12/016666 [registered on: 13/12/2018].

Link: <a href="http://ctri.nic.in/Clinicaltrials/showallp.php?mid1=29882&EncHid=&userName=CTRI/2018/12/016666">http://ctri.nic.in/Clinicaltrials/showallp.php?mid1=29882&EncHid=&userName=CTRI/2018/12/016666</a>

# **Investigational Products**

Capsules of the standardized aqueous extract of the leaves and twigs of neem (PhytoBGS®), 125 mg and 250 mg strengths, and matching placebo capsules were received from Natreon Inc, New Brunswick, NJ, USA. Placebo capsules contained microcrystalline cellulose, croscarmellose sodium, fumed silicon dioxide and magnesium stearate as excipients.

# Bioactive Composition of PhytoBGS®

The bioactives quantified by HPLC-PDA are flavonoids consisting of quercetin-3-O-glucoside, quercetin-3-O-rutinoside,

apigenin rutinoside and rutin derivatives. The bioactive quantified by HPLC-ELSD is myo-inositol monophosphate, which appears to be the most predominant bioactive.

### Randomization and Blinding

After screening, we randomized the eligible subjects using a computer-based predetermined randomized formation (GraphPad Prism version 8) in a 1:1:1:1 ratio using unstratified blocks of the same length and assigned the subjects to one of the four treatment groups. The randomization and group assignment were concealed both from doctors and subjects.

All the study medications were formulated as hard gelatin capsules having identical size, shape, color, texture, and weight, and they were packed in identical (both appearance and weight) and tamper-proof containers. The bottles containing the test products contained sequentially designated numbers and were dispensed by the pharmacist to the subjects as per the randomly allocated sequence.

During data collection, the research coordinators, the study investigators, and the attending care personnel were prohibited to access the randomization codes and allocations. Unblinding was allowed only after completion of the entire data collection process or in case of serious adverse events.

After the completion of the study period, the allocations were unblinded to tabulate the data, but the identity of the study groups was undisclosed to the data analysts. The data were double entered and blinded to the statisticians.

# Study Groups

Group A: Placebo – Two identical capsules of placebo, twice daily administration (BID)

Group B: NEEM125: 1 capsule of neem 125 mg + 1 placebo capsule, BID – (250 mg/day dose of NEEM)

Group C: NEEM250: 1 capsule of neem 250 mg + 1 placebo capsule, BID – (500 mg/day dose of NEEM)

Group D: NEEM500: 2 capsules of neem 250 mg, BID -- (1000 mg/day dose of NEEM)

Subjects took the study products with 250 mL of water after food; they continued their concomitant medication.

### Outcome Measures

The primary outcome measure was glycemic control, as assessed by reduction in post-prandial blood glucose (PPBS), fasting blood glucose (FBS), glycosylated hemoglobin (HbA1c) and homeostatic model assessment of

insulin resistance (HOMA-IR) from baseline to the end of the study period, and compared to placebo.

Secondary outcome measures included change in endothelial function (Reflection Index, RI), oxidative stress biomarkers – nitric oxide (NO), glutathione (GSH), malondialdehyde (MDA), inflammatory biomarker – high sensitivity C-reactive protein (hsCRP), platelet aggregation, lipid profile, and pro-inflammatory cytokines (IL-6 and TNF-α) from baseline to the end of the study period.

### Assessment of Endothelial Function

Endothelial function was assessed using the salbutamol challenge test employing digital volume plethysmography, following the methods of Chowienczyk et al<sup>24</sup> and Naidu et al.<sup>25</sup> The patients were inspected in the supine position after resting for 5 minutes. A digital volume pulse (DVP) was recorded using a photo-plethysmograph (Pulse Trace PCA2, PT200, Micro Medical, Kent, UK) transmitting infra-red light at 940 nm, placed on the index finger of the right hand. The signal from the plethysmograph was digitized using a 12-bit analog to a digital converter with a sampling frequency of 100 Hz. DVP waveforms were recorded over 20 second period, and the height of the late systolic/early diastolic portion of the DVP was expressed as a percentage of the amplitude of the DVP to yield the RI, following the procedure of Millasseau et al.<sup>26</sup> After the DVP recording, three measurements of RI were calculated, and the mean value was determined. The patients were then administered with 400 µg of salbutamol by inhalation. After 15 minutes, three more measurements of RI were recorded. and the difference in mean RI before and after administration of salbutamol was used for assessing endothelial function. A change in ≤6% of RI postsalbutamol administration was considered as endothelial dysfunction.

### Biomarker Evaluation

MDA,<sup>27</sup> NO <sup>28</sup> and GSH<sup>29</sup> levels were estimated spectrophotometrically, and hsCRP by ELISA method. After overnight fasting of 12hr, the samples were collected after the last dose of medication for determining HbA1c and lipid profile, including total cholesterol (TC), highdensity lipoprotein-cholesterol (HDL-C), low-density lipoprotein-cholesterol (LDL-C), triglyceride (TG), and very low-density lipoprotein-cholesterol (VLDL-C) by appropriate standard techniques.

Platelet aggregation tests with ADP and collagen were performed using a platelet aggregometry test, ie, (Chronologlight transmittance aggregometry). TNF- $\alpha$  and

IL-6 were estimated using commercially available ELISA kits. The HOMA-IR is an approximating equation for insulin resistance. It is estimated using the formula: fasting insulin (mIU/L) x fasting glucose (mg/dL)/405. HOMA-IR value less than 3 indicates normal insulin resistance; values between 3 and 5 indicate moderate insulin resistance, whereas values above 5 indicate severe insulin resistance.

### Follow-Up Visits

The subjects were recalled for follow-up visits at 4 weeks, 8 and 12 weeks of therapy for evaluating the efficacy and safety of the interventions. FBS, PPBS, MDA, NO, GSH, hsCRP, and RI were estimated at baseline, 4 weeks, 8 and 12 weeks. HOMA-IR, IL-6, and TNF-α were evaluated at baseline, 4, and 12 weeks. HbA1c, lipid profiles, and platelet aggregation were estimated at baseline and 12 weeks of treatment (Figure 1).

### Safety Evaluation

The patients underwent a complete physical examination and laboratory investigations for safety parameters, including hematological, hepatic, and renal biochemical parameters at baseline and after 12 weeks by appropriate standard techniques. At each visit, the subjects were asked to report any adverse drug reactions for records in the case report form.

### Compliance Verification

The compliance with study medications was verified by the pill-count method. Compliance was considered good, fair or poor, if a patient received >80%, between 60% and 80%, or <60% of the dispensed medication.

### Sample Size Determination

To detect a reduction of 10mg/dL of PPBS with a 5% margin of alpha error, power of 80% and assuming a dropout rate of 10% and a screen failure of 5% a total of 94 patients were screened.

### Statistical Analysis

Data are expressed as mean  $\pm$  SD. The within-group statistical analysis was done using paired "t" test. The between-group analysis was performed using ANOVA. Post-hoc analysis between the groups was done with Tukey's test. A p-value <0.05 was considered statistically significant. The statistical analysis was done using the software GraphPad Prism 8.

### Results

A total of 94 subjects were screened, and 80 eligible subjects were enrolled in the study. A total of 78 subjects completed 12 weeks of treatment. Two subjects from the NEEM 250 group dropped out of the study before the first follow-up- one subject dropped out as he got transferred to another city and the other subject dropped out citing

#### Visit 1 (-7 days)

- Screening
- After describing in detail about the study, written informed consent was taken.
- Demography data, medical history, and vitals recorded.
- Baseline observations of PPBS, FBS, endothelial function, NO, GSH, MDA, and hsCRP
- Raseline observations HOMA-IR, IL6 and TNFa
- Baseline observations HbA1c, lipid profiles and platelet aggregation.
- Baseline observation safety parameters, including hematological, hepatic, rena biochemical parameters, and lipid profiles

#### Visit 2 (0 week)

- Inclusion/exclusion
- Randomization
- Vitals and physical examination
- Enquiry regarding the use of any concomitant medication and adverse events
- Dispensing of medications.

#### Visit 3 (4 weeks)

- physical Vitals and examination
- Examinations of PPBS, FBS, endothelial function, GSH, MDA, and hsCRP.
- Examinations of HOMA-IR IL6 and TNFα
- Adverse reporting
- Compliance checking
- Dispensing of medications.

#### Visit 4 (8 weeks)

- physical · Vitals and examination
- Examinations of PPBS, FBS, endothelial function, GSH, MDA, and hsCRP.
- Adverse drug reaction reporting
- Compliance checking
- Dispensing of medications.

#### Visit 5 (12 weeks)

- End of treatment
- Vitals physical examination
- Final examinations of PPBS endothelial function FBS. NO, GSH, MDA, and hsCRP.
- examinations HOMA-IR, IL6 and  $TNF\alpha$
- examinations HbA1c, lipid profiles and platelet aggregation.
- Final examinations of safety parameters, including hematological, hepatic, renal biochemical parameters, and lipid profiles
- drug Adverse reaction reporting
- Compliance checking

Figure I Treatment protocol.

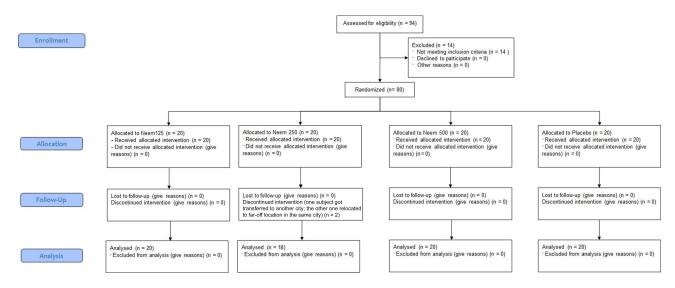


Figure 2 CONSORT diagram.

logistical reasons as he had relocated to a far-off location in the same city. Figure 2 shows the CONSORT diagram. Table 1 shows the demographic data of the subjects, who completed the study. No significant differences were observed between the treatment groups in baseline characteristics, including age, and body mass index (BMI).

The results are presented in Tables 2–12 and discussed below.

# Post-Prandial Blood Sugar Level

The within-group analysis shows that the PPBS level decreased significantly (p  $\leq$  0.0001) at all-time points of treatment, while the placebo has shown no improvement (Table 2). The between-group analysis shows that none of the groups is statistically better than the placebo at 4 weeks, while at 8 and 12 weeks, the neem extract is significantly (p  $\leq$  0.0001) better than the placebo. There is no significant difference between the doses at 4 and 8 weeks. However, at 12 weeks, there is a significant difference between 250 mg and 125 mg BID doses (p  $\leq$  0.01), but not between 500 mg and 250 mg BID doses. A 22.6% decrease in PPBS at 12 weeks with the 500 mg BID dose is remarkable.

Table I Demographic Data of the Randomized Subjects

	Placebo (A)	NEEM125 (B)	NEEM250 (C)	NEEM500 (D)
Total No.	20	20	20	20
Gender (M/F)	13M/7F	8M/12F	14M/6F	IIM/9F
Age (Years)	51.80 ± 6.69	54.50 ± 8.18	53.20 ± 7.67	55.05 ± 7.88
BMI (kg/m²)	26.54 ± 2.33	25.63 ± 2.92	24.51 ± 1.91	26.01 ± 1.84

## Fasting Blood Sugar Level

The within-group analysis shows that there is a significant improvement in FBS values at 4, 8, and 12 weeks of treatment with NEEM125, NEEM250 and NEEM500 (p  $\leq 0.01$  - p  $\leq 0.0001$ ), compared to baseline, while Placebo has not shown any improvement (Table 3). The response is dose dependent. The between-group analysis shows that NEEM125, NEEM250 and NEEM500 are significantly better than the placebo group at all the treatment time points (p  $\leq 0.05$  - p  $\leq 0.0001$ ), with the significance of improvement getting better with both the duration of treatment as well as the increasing dose. With 500 mg BID dose, a decrease of 19% in FBS in 12 weeks is again remarkable.

### **HOMA-IR**

The within-group analysis shows that HOMA-IR values reduced significantly (p  $\leq$  0.0001) in all groups (including placebo) at 4 and 12 weeks compared to baseline, although the magnitude of change is much less with the placebo group, compared to the other groups (Table 4). The between-group analysis shows that NEEM250 (p  $\leq$  0.01) and NEEM500 (p  $\leq$  0.001) significantly improved

Table 2 Effect on Post-Prandial Blood Sugar (PPBS in mg/dL)

Group	Baseline	4 Weeks	% Change	8 Weeks	% Change	12 Weeks	% Change
Placebo (A)	202.3 ± 12.6	200.7 ± 12.6 ns	-0.8 ± 2.3	200.9±12.9 ns	-0.7 ± 2.3	201.1 ± 14.4 ns	-0.6 ± 2.3
NEEM125 (B)	194.4 ± 14.7	188.4 ± 14.0 * NS	−3.1 ± 1.5	181.3±14.4 * ∞	-6.7 ± 4.5	173.1 ± 12.8 * ∞	-10.8 ± 4.8
NEEM250 (C)	192.3 ± 17.1	184.2 ± 16.6 * NS	-4.2 ± 2.6	175.5±13.4 * ∞ NS	-8.6 ± 3.6	161.8 ± 9.7 * ∞ ‡	-15.6 ± 4.7
NEEM500 (D)	205.9 ± 7.2	194.9 ± 6.0 * NS	-5.3 ± 1.8	177.9±4.4 * ∞ NS	$-13.5 \pm 3.4$	159.3 ± 7.1 * ∞ Ω NS	-22.6 ± 4.4

**Notes:** Within the groups, compared to baseline -4, 8 and 12 weeks: A - ns; B, C and  $D - *p \le 0.0001$ . Between the groups -4 weeks: B vs A, C vs A, D vs A, C vs B, D vs B, D vs C - NS; 8 weeks: B vs A, C vs A, D vs A  $- \infty p \le 0.0001$ ; C vs B, D vs B, D vs C - NS. 12 weeks: B vs A, C vs A, D vs A  $- \infty p \le 0.0001$ ; C vs B  $- \ddagger p \le 0.005$ ; D vs B  $- \Omega p \le 0.01$ : D vs C - NS.

Table 3 Effect on Fasting Blood Sugar (FBS, Mg/dL)

Group	Baseline	4 Weeks	% Change	8 Weeks	% Change	12 Weeks	% Change
Placebo (A)	121.8 ± 3.5	120.9 ± 3.1 ns	-0.7 ± 1.6	119.5 ± 4.7 ns	-1.8 ± 4.7	120.1 ± 3.5 ns	−1.3 ± 3.4
NEEM125 (B)	119.2 ± 5.0	115.8 ± 4.8 \$ ‡	-2.8 ± 2.7	113.8 ± 5.8 * β	-4.5 ± 4.2	109.2 ± 5.7 * ∞	−8.3 ± 4.1
NEEM250 (C)	115.5 ± 4.4	112.1 ± 4.7 \$ ∞ NS	-2.9 ± 3.7	108.8 ± 3.8 * ∞ ‡	−5.7 ± 3.8	103.7 ± 4.2 * ∞ β	-10.1 ± 3.7
NEEM500 (D)	120.7 ± 4.2	113.5 ± 4.4 * ∞ NS	-6.0 ± 2.1	105.3 ± 3.3 * ∞ NS	-12.7 ± 3.4	97.3 ± 3.7 * ∞	-19.3 ± 3.9

**Notes:** Within the groups, compared to baseline- 4 weeks: A − ns; B and C − \$p ≤ 0.01; D − \*p ≤ 0.0001. 8 and 12 weeks: A − ns; B, C, D − \*p ≤ 0.0001. Between groups − 4 weeks: B vs A − p ≤ 0.05, C vs A and D vs A − p ≤ 0.0001; C vs B, D vs C − NS. 8 weeks: B vs A − p ≤ 0.001; C vs A and D vs A − p ≤ 0.0001; C vs B − p ≤ 0.0001; C vs B − p ≤ 0.0001; D vs C − NS. 12 weeks: B vs A, C vs A, D vs B, D vs C − p ≤ 0.0001; C vs B − p ≤ 0.0001.

Table 4 Effect on HOMA-IR (Homeostatic Model Assessment for Insulin Resistance)

Group	Baseline	4 Weeks	% Change	12 Weeks	% Change
Placebo (A)	4.9 ± 1.1	4.8 ± 1.0 \$	-2.2 ± 3.6	4.4 ± 1.0 *	-10.1 ± 7.9
NEEM125 (B)	4.5 ± 1.2	4.2 ± 1.1 * NS	−5.0 ± 3.1	3.4 ± 0.9 * β	-23.0 ± 11.1
NEEM250 (C)	3.8 ± 1.1	3.4 ± 0.9 * Ω NS	-7.5 ± 4.3	2.5 ± 0.6 * ∞Ω	-32.9 ± 12.26
NEEM500 (D)	4.6 ± 1.3	3.7 ± 0.9* βNS	-20.8 ± 7.2	2.0 ± 0.6 * ∞ NS	−57.4 ± 6.4

**Notes:** Within the groups, compared to baseline  $-A - \$p \le 0.01$ ; B, C and  $D - \$p \le 0.0001$ ; 12 weeks: A, B, C and  $D - \$p \le 0.0001$ . Between the groups -4 weeks: A vs B - NS; A vs  $C - \Omega p \le 0.01$ ; A vs  $D - \beta p \le 0.001$ ; B vs C, B vs D and C vs D - NS; 12 weeks: A vs  $B - \beta p \le 0.001$ , A vs C, A vs  $D - \infty p \le 0.0001$ , B vs  $C - \Omega p \le 0.01$ ; B vs  $C - \Omega p \le 0.001$ ; B vs C -

HOMA-IR values than the placebo at 4 weeks, while at 12 weeks, all doses of neem extract are significantly (p  $\leq$  0.001-p  $\leq$  0.0001) better than the placebo. Also, at 12 weeks, significant differences exist between 250 mg and 125 mg BID doses (p  $\leq$  0.01) and 500 mg and 125 mg BID doses (p  $\leq$  0.0001), but not between 500 mg and 250 mg BID doses. HOMA-IR values show a 57.4% decrease at 12 weeks with the 500 mg BID dose.

#### **HbAIc**

Within the group analysis: HbA1c values reduced significantly for placebo (p  $\leq$  0.05) and all doses of neem extract (p  $\leq$  0.0001) at 12 weeks compared to baseline (Table 5). HbA1c values show a 19.6% decrease at 12 weeks with the 500 mg BID dose.

Between the group analysis: HbA1c values reduced significantly NEEM125 (p  $\leq$  0.0001), NEEM250 (p  $\leq$  0.0001) and NEEM500 (p  $\leq$  0.0001) groups, compared to placebo

at 12 weeks. Also, significant differences exist between 250 mg and 500 mg BID doses (p  $\leq$  0.0001), and 500 mg and 125 mg BID doses (p  $\leq$  0.0001), but not between 125 mg and 250 mg BID doses.

### Endothelial Function-Reflection Index

Within the group analysis: %RI values reduced significantly after 4, 8, and 12 weeks of treatment with NEEM125,

Table 5 Effect on HbAlc

Group	Baseline	12 Weeks	% Change
Placebo (A)	7.62 ± 0.4	7.57 ± 0.4 #	-0.7 ± 1.3
NEEM125 (B)	6.87 ± 0.4	6.64 ± 0.4 * ∞	-3.4 ± 1.6
NEEM250 (C)	7.52 ± 0.4	6.86 ± 0.3 * ∞ NS	−8.7 ± 3.2
NEEM500 (D)	7.78 ± 0.2	6.26 ± 0.4 * ∞	-19.6 ± 4.9

**Notes:** Within the groups, compared to baseline – 12 weeks:  $A - \#p \le 0.05$ ; B, C and  $D - *p \le 0.0001$ ; Between the groups – 12 weeks: B vs A, C vs A, D vs  $A - \infty p \le 0.0001$ ; C vs B - NS; D vs  $B - \infty p \le 0.0001$ ; D vs  $C - \infty p \le 0.0001$ .

Table 6 Effect on Reflection Index (RI%)

Group	Baseline	4 Weeks	Δ	8 Weeks	Δ	12 Weeks	Δ
Placebo (A)	-2.59 ± 1.11	-2.62 ± 1.10 ns	-0.03 ± 0.20	-2.65 ± 1.21 ns	-0.06 ± 0.20	-2.69 ± 1.60 ns	-0.10 ± 1.00
NEEM125 (B)	-2.16 ± 0.62	-2.31 ± 0.59 @ NS	-0.16 ± 0.17	-2.41 ± 0.59 @ NS	-0.25 ± 0.27	-3.00 ± 0.58 * NS	-0.84 ± 0.31
NEEM250 (C)	-2.47 ± 1.08	−3.17 ± 0.92 @ NS ‡	-0.71 ± 0.68	-4.21 ± 1.59 @ β ∞	-1.74 ± 1.72	-4.88 ± 1.51 *∞	-2.41 ± 1.93
NEEM500 (D)	-2.76 ± 0.97	-3.53 ± 1.02 * ‡ β NS	-0.78 ± 0.32	-4.47 ± 1.07 * ∞ NS	−1.72 ± 0.46	-5.96 ± 0.86 *∞ ‡	-3.21 ± 0.89

Notes: Δ – Absolute change from baseline. Within the groups, compared to baseline – 4 weeks: A – ns; B and C – @p ≤ 0.001; D – \*p ≤ 0.0001. B weeks: A – ns; B and C – @p ≤ 0.001; D – \*p ≤ 0.0001. 12 weeks: A – ns; B, C and D – \*p ≤ 0.0001, Between the groups – 4 weeks: B vs A, C vs A, D vs C – NS; D vs A – ‡p ≤ 0.05; C vs B – ‡p ≤ 0.05, D vs B – βp ≤ 0.001. 8 weeks: B vs A, D vs C – NS, C vs A – βp ≤ 0.001; D vs A – ∞p ≤ 0.0001; C vs B, D vs B – ∞p ≤ 0.0001. 12 weeks: B vs A – NS; C vs A, D vs A, C vs B – ∞p ≤ 0.0001; D vs B – ∞p ≤ 0.0001; D vs C – ‡p ≤ 0.05.

Table 7 Effect on NO (µM/L)

Group	Baseline	4 Weeks	% Change	8 Weeks	% Change	12 Weeks	% Change
Placebo (A)	35.17 ± 5.60	35.73 ± 5.62 ns	1.76 ± 4.60	36.38 ± 4.40 ns	4.43 ± 9.85	36.60 ± 4.30 ns	5.39 ± 12.58
NEEM125 (B)	37.20 ± 5.06	39.15 ± 4.46 * NS	5.58 ± 3.00	40.76 ± 4.20 * NS	10.07 ± 4.36	41.97 ± 4.18 * NS	13.40 ± 5.05
NEEM250 (C)	33.02 ± 3.37	35.31 ± 3.40 * NS	7.11 ± 5.89	36.79 ± 3.55 * NS	11.67 ± 7.33	38.29 ± 3.49 * NS	16.28 ± 7.95
NEEM500 (D)	32.25 ± 4.16	35.04 ± 3.88 * NS	8.90 ± 4.09	37.07 ± 3.43 * NS	15.45 ± 5.96	39.18 ± 3.43 * NS	22.12 ± 7.25

**Notes:** Within the groups, compared to baseline -4, 8 and 12 weeks: A - ns; B, C and D - \*p  $\leq$  0.0001. Between the groups -4, 8 and 12 weeks: B vs A, C vs A, D vs A, C vs B, D vs B and D vs C - NS.

Table 8 Effect on MDA (µM/L)

Group	Baseline	4 Weeks	% Change	8 Weeks	% Change	12 Weeks	% Change
Placebo (A)	3.28 ± 0.40	3.29 ± 0.39 ns	0.38 ± 1.13	3.27 ± 0.39 ns	-0.07 ± 0.82	3.27 ± 0.40 ns	−0.25 ± 0.57
NEEM125 (B)	3.18 ± 0.39	3.15 ± 0.39 * NS	-1.23 ± 0.84	3.12 ± 0.39 @ NS	-1.94 ± 2.12	3.09 ± 0.39 * NS	-2.90 ± 2.16
NEEM250 (C)	3.25 ± 0.37	3.20 ± 0.37 * NS	-1.45 ± 0.64	3.17 ± 0.36 * NS	-2.31 ± 1.33	3.14 ± 0.36 * NS	-3.18 ± 1.33
NEEM500 (D)	$3.32 \pm 0.37$	3.23 ± 0.35 * NS	-2.63 ± 1.54	3.14 ± 0.36 * NS	$-5.43 \pm 2.32$	2.94 ± 0.29 * NS ‡	-II.47 ± 3.50

**Notes:** Within the groups, compared to baseline -4 weeks: A - ns; B, C and  $D - *p \le 0.0001$ . 8 weeks: A - ns;  $B - \textcircled{p} \le 0.001$ ; C and  $D - *p \le 0.0001$ . 12 weeks: A - ns; B, C and  $D - *p \le 0.0001$ . Between the groups -4 and 8 weeks: B vs A, C vs A, D vs A vs A, D vs A, D

Table 9 Effect on GSH (µmol/L)

Group	Baseline	4 Weeks	% Change	8 Weeks	% Change	12 Weeks	% Change
Placebo (A)	561.86 ± 12.92	561.97 ± 12.85 ns	0.02 ± 0.06	562.22 ± 12.79 ns	0.06 ± 0.14	562.40 ± 12.34 ns	0.10 ± 0.39
NEEM125 (B)	558.76 ± 15.81	560.09 ± 15.87 * NS	0.24 ± 0.16	561.69 ± 16.32 * NS	0.52 ± 0.28	565.30 ± 16.55 * NS	1.17 ± 0.34
NEEM250 (C)	556.26 ± 13.35	560.04 ± 12.67 * NS	0.68 ± 0.33	563.51 ± 12.83 * NS	1.31 ± 0.52	601.47 ± 13.92 * ∞	8.14 ± 1.49
NEEM500 (D)	559.01 ± 16.64	574.30 ± 18.79 * NS ‡	2.75 ± 2.53	603.47 ± 13.65 * ∞	7.99 ± 2.04	626.58 ± 11.23 * ∞	12.14 ± 2.39

**Notes:** Within the groups, compared to baseline -4, 8 and 12 weeks: A-ns; B, C and  $D-*p \le 0.0001$ . Between the groups -4 weeks: B vs A, C vs A-NS; D vs  $A-p \le 0.05$ ; C vs B -NS; D vs B  $-p \le 0.05$ ; D vs C  $-p \le 0.0001$ ; C vs B -NS; D vs B  $-p \le 0.0001$ ; D vs C  $-p \le 0.0001$ ; D vs C  $-p \le 0.0001$ . 12 weeks: B vs A, C vs A, D vs A, C vs B, D vs B, D vs C  $-p \le 0.0001$ .

Table 10 Effect on hsCRP (mg/L)

Group	Baseline	4 Weeks	%Change	8 Weeks	% Change	12 Weeks	% Change
Placebo (A)	3.33 ± 0.35	3.34 ± 0.36 ns	-0.24 ± 0.97	3.31 ± 0.36 ns	-0.76 ± 1.42	3.32 ± 0.36 ns	-0.59 ± 1.31
NEEM125 (B)	3.42 ± 0.30	3.31 ± 0.30 * NS	$-3.40 \pm 2.58$	3.22 ± 0.27 * NS	-5.83 ± 3.71	3.02 ± 0.24 * ‡	-11.39 ± 7.72
NEEM250 (C)	3.67 ± 0.57	3.41 ± 0.54 * NS	$-7.04 \pm 6.53$	3.37 ± 0.48 * NS	-7.91 ± 3.43	2.98 ± 0.41 * ‡ NS	-18.28 ± 6.93
NEEM500 (D)	3.25 ± 0.41	3.01 ± 0.35 * ‡	-7.32 ± 6.53	2.83 ± 0.34 * β Ω ∞	-12.49 ± 10.51	2.45 ± 0.35 *∞	-23.86 ± 12.10

Notes: Within the groups, compared to baseline -4, 8 and 12 weeks: A - ns; B, C and  $D - *p \le 0.0001$ . Between the groups -4 weeks: B vs A, C vs

Table II Effect on IL-6 (pg/ml)

Group	Baseline	4 Weeks	% Change	12 Weeks	% Change
Placebo (A)	12.75 ± 3.50	12.72 ± 3.51 ns	-0.20 ± 0.41	12.73 ± 3.49 ns	-0.08 ± 0.24
NEEM125 (B)	8.24 ± 2.69	8.18 ± 2.66 \$ ∞	-0.61 ± 0.81	7.95 ± 2.67 @ ∞	−3.86 ± 5.03
NEEM250 (C)	9.21 ± 2.18	9.06 ± 2.15 * ∞ NS	-1.61 ± 1.22	8.47 ± 2.06 * ∞ NS	-8.10 ± 4.87
NEEM500 (D)	8.07 ± 3.70	7.87 ± 3.61 * ∞ NS	-2.35 ± 2.22	7.17 ± 3.22 * ∞ NS	-10.31 ± 8.87

Notes: Within the groups, compared to baseline -4 weeks: A - ns;  $B - \$p \le 0.01$ ; C and  $D - \$p \le 0.001$ . 12 weeks: A - ns;  $B - @p \le 0.001$ ; C and  $D - \$p \le 0.0001$ . Between the groups -4 and 12 weeks: B vs A, C vs A, D vs  $A - \infty p \le 0.0001$ ; C vs B, D vs B, D vs C - NS.

**Table 12** Effect on TNF- $\alpha$  (pg/ml)

Group	Baseline	4 Weeks	% Change	12 Weeks	% Change
Placebo (A)	15.81 ± 2.61	15.82 ± 2.55 ns	0.20 ± 1.49	15.80 ± 2.53 ns	-0.06 ± 1.42
NEEM125 (B)	18.96 ± 4.45	18.71 ± 4.37 \$ NS	$-0.01 \pm 0.02$	17.92 ± 3.88 * NS	-5.11 ± 3.37
NEEM250 (C)	19.35 ± 3.26	19.03 ± 3.16 @ NS	-0.02 ± 0.01	17.90 ± 2.89 * NS	-7.27 ± 4.40
NEEM500 (D)	17.84 ± 2.44	17.42 ± 2.33 * NS	-0.02 ± 0.01	16.14 ± 1.87 * NS	-9.09 ± 6.72

Notes: Within the groups, compared to baseline -4 weeks: A - ns;  $B - p \le 0.01$ ;  $C - p \le 0.001$ ;  $D - p \le 0.0001$ ,  $D - p \le 0.0001$ ,  $D - p \le 0.0001$ . Between the groups -4 and  $D - p \le 0.0001$ . Between the groups -4 and  $D - p \le 0.0001$ . Between the groups -4 and  $D - p \le 0.0001$ .

NEEM250 and NEEM500 (p  $\leq$  0.01- p  $\leq$  0.0001) compared to baseline, while Placebo has not shown any improvement (Table 6).

Between the group analysis: %RI values reduced significantly with NEEM250 and NEEM500 groups, compared to placebo at 8 weeks (p  $\leq$  0.001; p  $\leq$  0.0001) and 12 weeks (p  $\leq$  0.0001; p  $\leq$  0.0001).

### Nitric Oxide

Within the group analysis: NEEM125, NEEM250 and NEEM500 treatments significantly increased NO levels after 4, 8, and 12 weeks of treatment ( $p \le 0.0001$ ) compared to baseline, while Placebo has not shown any improvement (Table 7).

Between the group analysis: all doses of NEEM failed to produce any significant effect on NO levels compared to placebo.

# Malondialdehyde

Within the group analysis: all doses of NEEM significantly reduced MDA levels after 4, 8, and 12 weeks of treatment ( $p \le 0.0001$ ) compared to baseline (Table 8). Placebo failed to show any changes.

Between the group analysis: the 12-week treatment with NEEM500 significantly reduced MDA levels compared to placebo (p  $\leq$  0.05). All other doses of NEEM failed to produce any significant effect on MDA levels compared to placebo.

### Glutathione

Within the group analysis: all doses of NEEM significantly increased GSH levels after 4, 8, and 12 weeks of treatment (p  $\leq$  0.0001) compared to baseline (Table 9). Placebo failed to show any changes.

Between the group analysis: NEEM500 significantly increased GSH levels after 4 weeks (p  $\leq 0.05$ ), 8 weeks (p  $\leq 0.0001$ ) and 12 weeks (p  $\leq 0.0001$ ) compared to placebo. NEEM250 significantly increased GSH levels after 12 weeks (p  $\leq 0.0001$ ) compared to placebo. NEEM125 failed to produce any significant effect on GSH levels compared to placebo.

#### hsCRP

Within the group analysis: all doses of NEEM significantly reduced hsCRP levels after 4, 8, and 12 weeks of treatment ( $p \le 0.0001$ ) compared to baseline (Table 10). Placebo failed to show any changes.

Between the group analysis: NEEM500 significantly reduced hsCRP levels after 4 weeks (p  $\leq$  0.05), 8 weeks (p  $\leq$  0.001) and 12 weeks (p  $\leq$  0.0001) compared to placebo. NEEM125 and NEEM250 significantly reduced hsCRP levels after 12 weeks (p  $\leq$  0.05; p  $\leq$  0.05) compared to placebo.

### IL-6

Within the group analysis: all doses of NEEM significantly reduced IL-6 levels after 4 and 12 weeks of treatment ( $p \le 0.01$  -  $p \le 0.0001$ ) compared to baseline (Table 11). Placebo failed to show any changes.

Between the group analysis: all doses of NEEM significantly reduced IL-6 levels after 4 and 12 weeks of treatment ( $p \le 0.0001$ ) compared to placebo.

### TNF-α

Within the group analysis: all doses of NEEM significantly reduced TNF- $\alpha$  levels after 4 and 12 weeks of treatment (p  $\leq 0.01$  - p  $\leq 0.0001$ ) compared to baseline (Table 12). Placebo failed to show any changes.

Between the group analysis: all doses of NEEM failed to produce any significant effect on TNF- $\alpha$  levels compared to placebo.

# Platelet Aggregation Using ADP and Collagen

NEEM did not have any significant effect on platelet aggregation induced by either ADP or collagen (Tables 13 and 14).

### Lipid Profile (Tables 15–19)

NEEM did not have any significant effect on the lipid profile (TC, HDL-C, LDL-C, and TG) (Tables 15–19).

### Safety Evaluation

No significant changes were observed in vital, hematological, renal, and hepatic functions in all groups (<u>Tables S1–S3</u>). All subjects tolerated the therapy well. One patient in NEEM125 and one in NEEM500 reported mild gastrointestinal disturbances, which subsided with symptomatic treatment. None of the subjects in either group discontinued the study due to adverse events. No incidence of hypoglycemia was reported by any of the subjects.

#### Discussion

Twelve-week treatment with NEEM, at all dosages studied, has significantly decreased the PPBS levels compared to placebo. Some pre-clinical studies support this finding. A combination of A. indica and G. procumbens ethanolic extracts has significantly reduced the postprandial blood glucose levels in alloxan-induced diabetic rats compared to the control group.<sup>30</sup> Meliacinolin, isolated

Table 13 Effect on Platelet Aggregation Using ADP

Group	Baseline	12 Weeks	% Inhibition
Placebo (A)	68.85 ± 7.76	70.85 ± 8.37	0.82 ± 1.73
NEEM125 (B)	71.95 ± 12.17	74.85 ± 12.33	1.00 ± 2.24
NEEM250 (C)	81.50 ± 12.06	83.17 ± 11.23	1.30 ± 2.14
NEEM500 (D)	66.75 ± 7.73	67.45 ± 7.20	1.47 ± 2.16

Table 14 Effect on Platelet Aggregation Using Collagen

Group	Baseline	12 Weeks	% Inhibition
Placebo (A)	64.90 ± 7.89	67.05 ± 7.39	0.58 ± 1.16
NEEM125 (B)	67.40 ± 9.08	68.60± 8.51	1.10 ± 1.58
NEEM250 (C)	70.17 ± 9.08	70.83 ± 8.30	1.32 ± 2.14
NEEM500 (D)	66.90 ± 9.18	67.35 ± 7.26	2.00 ± 2.41

Table 15 Effect on TC (mg/dL)

Group	Baseline	12 Weeks	% Change
Placebo (A)	157.95 ± 21.95	161.75 ± 18.81	41.10 ± 2.51
NEEM125 (B)	147.50 ± 20.78	150.75 ± 20.08	2.42 ± 5.21
NEEM250 (C)	157.44 ± 9.33	161.28 ± 14.01	2.45 ± 6.59
NEEM500 (D)	162.50 ± 22.54	164.70 ± 22.27	1.57 ± 5.98

Table 16 Effect on HDL-C (mg/dL)

Group	Baseline	12 Weeks	% Change
Placebo(A)	41.10 ± 2.51	41.30 ± 2.62	0.54 ± 3.95
NEEM125(B)	42.00 ± 3.58	42.65 ± 4.43	1.44 ± 3.20
NEEM250(C)	38.72 ± 5.03	40.00 ± 4.99	3.37 ± 1.87
NEEM500(D)	40.70 ± 3.26	42.00 ± 3.45	3.22 ± 2.98

Table 17 Effect on LDL-C (mg/dL)

Group	Baseline	12 Weeks	% Change
Placebo(A)	93.55 ± 19.82	96.65 ± 16.44	4.68 ± 11.48
NEEM125(B)	78.05 ±18.55	80.15 ±16.77	4.08 ±11.22
NEEM250(C)	88.83 ±10.38	90.78 ±12.94	2.70 ±13.46
NEEM500(D)	93.70 ± 20.40	94.25 ± 20.43	1.04 ± 10.33

Table 18 Effect on VLDL-C (mg/dL)

Group	Baseline	12 Weeks	% Change
Placebo(A)	23.30 ± 7.99	23.80 ± 6.94	3.97 ± 10.03
NEEM125(B)	27.45 ± 10.08	27.95 ± 9.55	2.99 ± 9.42
NEEM250(C)	29.89 ± 6.22	30.50 ± 5.85	2.84 ± 10.52
NEEM500(D)	28.10 ± 6.79	28.45 ± 7.10	1.16 ± 4.83

from A. indica leaves, significantly reduced the postprandial blood glucose levels in streptozotocin-induced diabetic rats.<sup>20</sup> No RCTs have been found in the literature for evaluating the antidiabetic effects of neem leaf extract.

Similarly, NEEM treatment, at all the doses, significantly reduced the FBS levels compared to placebo and supported by in-vivo studies with experimentally diabetes-induced laboratory animals. <sup>17,31–33</sup>

Table 19 Effect on TG (mg/dL)

Group	Baseline	12 Weeks	% Change
Placebo(A)	115.75 ± 39.66	119.05 ± 34.78	4.62 ± 9.46
NEEM125(B)	136.95 ± 50.83	139.9 ± 47.77	3.65 ± 10.64
NEEM250(C)	149.11 ±31.52	152.39 ±29.30	3.04 ±10.51
NEEM500 (D)	140.30 ± 33.52	142.40 ± 34.92	1.44 ± 4.38

NEEM significantly reduced insulin resistance, compared to the placebo group. This result is supported by a report that a polyherbal formulation containing neem leaves significantly reduced HOMA-IR values in the high fat diet-fed, low dose streptozocin-induced experimental type 2 diabetes in rats.<sup>34</sup>

NEEM significantly reduced the HbA1c levels, confirming an improved glycemic control compared to the placebo group. This finding is supported by Shailey et al and Gutierrez et al, who reported that *A. indica* leaf extract showed significantly lower HbA1c levels in experimentally induced diabetic rats compared to the control group. <sup>18,35</sup>

In addition to its anti-hyperglycemic effect, NEEM significantly improved endothelial function in T2DM subjects, compared to the placebo group. No such findings were available in the literature.

NEEM also significantly reduced the oxidative stress as evidenced by significant reduction in MDA levels and increase in GSH level, compared to baseline. These results are substantiated by several animal studies.<sup>35–38</sup>

NEEM also significantly reduced the systemic inflammatory markers in T2DM subjects, compared to baseline. Though no studies have investigated the effect of neem extract on systemic inflammatory markers in diabetic humans, several studies have reported that neem extract can reduce the levels of pro-inflammatory cytokines (IL-6 and TNF- $\alpha$ ) in experimentally-inflammation-induced laboratory animals, which supports our findings. <sup>39–43</sup>

It is promising to note that most of the outcome measures in the present study are also significant at 8 weeks as well as 4 weeks of treatment with NEEM, compared to the placebo.

It is very interesting to note that the observed NEEM's significant effects on glycemic control, endothelial function and systemic inflammation are in addition to the effects of metformin treatment the subjects have been receiving. It is also important to note that animal study results may not always hold true in controlled human clinical trials, as NEEM in this study showed no effect on lipid profile, while some animal studies have shown improvement.

A-glucosidase and  $\alpha$ -amylase inhibitory activities of meliacinolin, a bioactive in the leaves of neem, were suggested to be the mechanisms of action for antihyper-glycemic effect. The blood sugar lowering activity of neem leaf extract may be due to its insulin release effect via preventing the glucose load induced inhibitory effect of serotonin on insulin release. Actual mechanism of action studies on NEEM are in progress.

The major limitations of the present study design are the relatively small sample size, relatively short duration of the study and lack of monitoring food intake and exercise, although the subjects were instructed to continue their routine food intake and exercise. Studies with a larger population and longer duration, involving a wider cross-section of the subjects with regard to age groups, occupation, and socioeconomic background, are planned.

### **Conclusion**

The present study has established that a proprietary standardized aqueous extract of the leaves and twigs of *Azadirachta indica* has the potential to significantly help blood sugar management, improve vascular health and reduce systemic inflammation in subjects with type 2 diabetes mellitus. And, the beneficial effects observed with this extract were in addition to the benefits provided by the metformin treatment. Although, some neem extracts, especially of the seeds and possibly of the alcoholic extracts of the leaves, the aqueous extract used in this study seemed to have no safety concerns. However, additional large-scale studies are planned to confirm safety and efficacy of this product.

# **Data Sharing Statement**

The data will be handled on a case-by-case basis as per institutional policy. The authors Usharani Pingali and Chandrasekhar Nutalapati can be contacted for the same.

# **Acknowledgments**

The authors thank Natreon Inc., New Brunswick, NJ, USA for providing capsules of the test products and the placebo used in this study, kits for biomarker estimation and relevant literature.

We are grateful to Dr Y.S.N. Raju, Professor of General Medicine, NIMS, for his clinical support.

### **Disclosure**

The authors declare no competing interests.

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