

Profiles of Vertebral Artery Dissection with Congenital Craniovertebral Junction Malformation: Four New Cases and a Literature Review

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Objective: Vertebral artery dissection (VAD) combined with congenital craniovertebral junction malformation (CVJM) is rare. This study aimed to analyze the etiology, clinical and imaging features, treatment, and prognosis of VAD with CVJM.

Methods: Four new cases of VAD with congenital CVJM and 28 similar cases found in the literature were included. Detailed clinical data from all cases were retrospectively analyzed.

Results: A total of 32 patients (28 men, four women; mean age 19.01±12.53 years) were included. Seventeen of 32 cases (53.1%) had had multiple ischemic episodes. The most common neurological symptoms were limb numbness/weakness (20/32), ataxia (15/32), and dizziness/vertigo (12/32). In sum, 31 of 32 cases had multiple infarcts scattered throughout the posterior circulation area on cranial computed tomography or resonance imaging. Dissection had occurred in the V3 segment of the VA in 29/31 cases (93.5%). The most common congenital CVJMs were atlantoaxial dislocation and atlantoaxial subluxation (found in 20/32 cases [62.5%]), while 27/32 cases (84.3%) had multiple combined abnormalities. Seven of eleven cases (63.6%) with initial antiplatelet treatment and one of eleven (9.1%) with initial anticoagulation treatment experienced stroke recurrence. Fusion or vertebral fixation was performed in 16 patients and aneurysm resection in one patient. There was no reported recurrence after surgery in 13 patients with follow-up data.

Conclusion: Underlying CVJM is a rare but overlooked etiology in VAD, and is prone to induce recurrent ischemic stroke. Patients with VAD, especially that localized in the V3 segment, should be examined for CVJM. Timely assessment is critical for determining the specific cause and to provide targeted intervention.

Keywords: vertebral artery dissection, craniovertebral junction malformation, clinical and imaging features, treatment, prognosis

Introduction

Vertebral artery dissection (VAD) is an important cause of posterior-circulation ischemic stroke (PCIS) in young patients, with an estimated incidence of 1.87 per 100,000 people. VAD is usually spontaneous or caused by chiropractic neck manipulations, trauma, nose-blowing, turning the head, some connective-tissue disorders,¹ or rheumatoid arthritis.² The VA has four parts. It shares a close association with cervical vertebrae in its second part (intraforaminal), which is more or less fixed. It is mobile, with loops in its third part.³ Very rarely, VAD can

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also derive from a congenital craniovertebral junction malformation (CVJM), involving anomalies of the bones and soft tissue surrounding the foramen magnum, which often leads to instability of this mobile area.^{4–29} Clinically, congenital CVJM underlying VAD is easy to overlook, and treatment is often delayed, especially in the early stage. Further, the clinical profiles of VAD with congenital CVJM may differ from those of patients with general VAD. However, to our knowledge there have been no systematic, comprehensive reports of such cases. Herein, we report four cases with a definite diagnosis of VAD with congenital CVJM, and present a literature review of similar cases.^{4–26} This systematic summary and analysis of the etiology, clinical and imaging characteristics, treatment, and prognosis of VAD with CVJM aims to improve the understanding of this rare pathology and provide clues for early diagnosis and effective treatment.

Methods

In addition to our case reports of four patients diagnosed with VAD and congenital CVJM in our hospital, the details of 28 similar reported cases were also examined using the following search protocol. Studies written in English were searched in PubMed between January 1, 1966 and February 1, 2020 using the terms “vertebral artery dissection” or “vertebral artery dissecting aneurysm” or “posterior circulation strokes” and “craniovertebral junction malformation” or “craniovertebral junction anomaly” or “craniovertebral anomaly” or “occipitocervical anomaly” or “atlantooccipital anomaly” or “atlantoaxial anomaly” or “atlantoaxial subluxation” or “atlantoaxial instability” or “atlantoaxial dislocation” or “basilar invagination” or “basilar impression” or “platybasia” or “assimilation of atlas” or “atlantooccipital fusion” or “occipitalization of the atlas” or “Klippel–Feil syndrome” or “Chiari malformation”. A neurologist and radiologist then carefully reviewed the studies, and only cases with a diagnosis of VAD with congenital CVJM and complete clinical data were included. A final 32 patients were included in the present study. Detailed clinical data are shown in [Tables 1](#) and [2](#).

Case Reports

Case 1

A 31-year-old woman was admitted to our hospital with a complaint of sluggishness, memory decline, and weakness in the right limbs for >20 days. Three months prior, she had experienced sudden numbness in the right limbs and

was diagnosed with acute infarction in the left thalamus. Since then, she had received oral daily treatment of 100 mg aspirin plus 75 mg clopidogrel as antithrombotic therapy. She had no medical history of hypertension, diabetes, heart disease, smoking, or alcohol use. After hospitalization, neurological physical examination showed slight speechlessness, decline in recent memory and calculation, tongue deviation to the right when extended, and slight numbness in her right limbs. Routine laboratory examination showed no significant abnormalities, while cranial magnetic resonance imaging (MRI) showed a new infarct in the anterior portion of the left thalamus, with old infarcts in the left thalamus and right cerebellar hemisphere ([Figure 1A–C](#)). Head computed-tomography angiography (CTA) and digital subtraction angiography (DSA) showed a localized, small protuberance at the V3–V4 junction area of the left VA ([Figure 1D](#) and [E](#)), which was thought to be a dissection on high-resolution (HR) MRI ([Figure 1F](#)). Carotid ultrasonography and CTA both showed that the left VA was normal when the neck was in the neutral position ([Figure 1G](#)), but was occluded when the neck was rotated to the right ([Figure 1H](#)). Atlanto-occipital fusion and basilar invagination were also observed on CTA ([Figure 1I](#)), and MRI showed the left atlas lateral mass was small with dysplasia ([Figure 1J](#)). The patient was given anticoagulant therapy (150 mg twice daily) with dabigatran and a neck brace to prevent excessive neck activity. At the 3-month follow-up, the left VA was still occluded during neck rotation, although the patient had not had stroke recurrence. She then underwent atlantoaxial fusion ([Figure 1K](#)), and at 6 months after surgery repeated CTA showed disappearance of the small protuberance at the V3–V4 junction of the left VA ([Figure 1L](#)).

Case 2

A 16-year-old male sports student who had started shot-put training 8 months prior was admitted to our hospital after a sudden headache and limb weakness for 8 days. Head MRI in a local hospital showed multiple infarcts in the bilateral cerebellar hemisphere and the pons ([Figure 2A–C](#)). He had no anomalies on neurological physical examination, and was administered aspirin, clopidogrel, and statins orally once hospitalized. Laboratory examination showed no significant abnormalities on routine blood tests. Head CTA showed severe distal stenosis or occlusion of the basilar artery (BA) and irregular aneurysmal dilation at the site where the left VA exited the axial

Table 1 Demographics, symptoms, treatment, and prognosis in patients

| | Patient | Age/ sex | Clinical presentation | Ischemic events | History of CVJ [#] | Precipitating factors | Other risk factors | Antithrombotic treatment and effects* | Conservative treatment/ surgery | Stroke/TIA recurrence ^{&} |
|-----------------------------|---------|--------------------|--|--|--------------------------------|-----------------------------|--------------------------|---|---------------------------------------|---|
| Present | 1 | 31 years/F | Sluggishness, memory decline, weakness | Two episodes of stroke in 3 months | – | Absent | Absent | Dual antiplatelets (+), dabigatran | Atlantoaxial fusion | (–) at 1-year follow-up |
| | 2 | 16 years/M | Headache, weakness, aphasia, dizziness | Three episodes of stroke in 1.5 months | – | Shot-put training | Absent | Dual antiplatelets (+), rivaroxaban | Atlantoaxial fusion | (–) at 6- month follow-up |
| | 3 | 46 years/F | Blurred vision, vertigo, weakness, speechlessness | Two TIAs and two episodes of stroke in >months | – | Absent | Absent | Dual antiplatelets (+), dabigatran (+) warfarin | Conservative treatment | (–) at 6- month follow-up |
| | 4 | 16 years/M | Speechlessness, numbness, ataxia, unstable gait, hypoesthesia | Two episodes of stroke in 8 months | – | Absent | Absent | Aspirin (+), dual antiplatelets | NA | NA |
| Singer et al ⁴ | 5 | 6 years/ M | Nausea, vomiting, ataxia, hemiplegia, dysarthria | Multiple TIAs, three episodes of strokes in >1 month | – | Absent | Absent | NA | Fusion of C1 to C3 | (–) at 1-year follow-up |
| Ross et al ⁶ | 6 | 23 months/ M | Willingness to use left arm, dragging left leg while walking, loss of left-sided facial tone | Two episodes of stroke in >10 days | – | Mild neck trauma | Absent | Heparin followed by aspirin | Conservative treatment | (–) but with new proximal occlusion of PCA |
| Born et al ⁷ | 7 | 11 years/M | Headaches, dizziness, nausea, vomiting, slurred speech, ataxia, syncope | Intermittent episodes in 2 weeks | – | Absent | Absent | NA | Fusion from occiput to C5 | (–) at 18- month follow-up |
| Phillips et al ⁸ | 8 | 5 years/ M | Headaches, weakness, ataxia, nausea, vomiting, dysmetria | One TIA and two episodes of stroke in 6 weeks | – | Somersaulting, wrestling | Absent | NA | Atlantoaxial fusion | NA |

(Continued)

Table 1 (Continued).

| | Patient | Age/ sex | Clinical presentation | Ischemic events | History of CVJ [#] | Precipitating factors | Other risk factors | Antithrombotic treatment and effects* | Conservative treatment/ surgery | Stroke/TIA recurrence ^{&} |
|------------------------------|---------|----------------|---|---|--------------------------------|--------------------------|--------------------------|---|---------------------------------------|---|
| Bhatnagar et al ⁹ | 9 | 5.5 years/M | Ataxia, vertigo, diplopia, nausea, vomiting | One episode of stroke | – | Absent | Absent | NA | Atlantoaxial fusion | (–) at 3-year follow-up |
| Kikuchi et al ¹⁰ | 10 | 27 years/M | Nuchal pain, nausea, vertigo, neurological symptoms indicating sensorimotor and cranial nerve involvement | One episode of stroke | + | Absent | Absent | NA | Fusion of atlas, axis, and C3 | (–) at 5 year follow-up |
| Takakuwa et al ¹¹ | 11 | 21 years/M | Vertigo, syncope, hoarseness, dysphagia, diplopia | One episode of stroke | – | Rugby | Absent | NA | Refused surgery | (–) at 1-year follow-up |
| Randall et al ¹³ | 12 | 13 years/M | Diplopia, left facial parasthesia | Multiple TIAs, two episodes of stroke in 5 months | – | Absent | Absent | NA | Aneurysm resection | (–) at 3-year follow-up |
| Miyata et al ¹⁴ | 13 | 11 years/M | Headache, nuchal pain, gait disturbance | One episode of stroke | – | Art class | Absent | NA | Refused surgery | NA |
| Sasaki et al ¹⁶ | 14 | 5 years/ M | Head pain, gait disturbance, vomiting | One episode of stroke | – | Absent | Absent | Antithrombotic therapy | Atlantoaxial fusion | (–) at 1-year follow-up |
| Zotter et al ¹⁷ | 15 | 9 years/ M | Slurred speech, ataxia | One episode of stroke | – | General anesthesia | Absent | NA | NA | NA |
| Hasan et al ¹⁸ | 16 | 7 years/ M | Headaches, nausea, vomiting, unconsciousness, slurred speech, right peripheral facial paresis, right hemiparesis, weakness in left leg, unsteady gait | Two episodes of stroke in several days | – | Water sports | Absent | Anticoagulation | Conservative treatment | (–) at 6-month follow-up |
| Fukuda et al ¹⁹ | 17 | 43 years/M | Vertigo, ataxia of the right extremities | Two episodes of stroke in 2 weeks | + | Absent | Absent | Aspirin (+), anticoagulants | Atlantoaxial fusion | (–) at 8-month follow-up |
| Karimi et al ²⁰ | 18 | 38 years/F | Unsteadiness | One episode of stroke | + | Absent | Absent | Aspirin | Conservative treatment | (–) at 1-year follow-up |

| | | | | | | | | | | | | |
|------------------------------|----|------------|--|---|---|--|--------------------------|--------|--------|--|--|------------------------------|
| Dirik et al ²⁷ | 19 | 14 years/M | Ataxia, right-side weakness | One episode of stroke | + | | Physical training lesion | Absent | Absent | Aspirin | Conservative treatment | (-) at 1 year follow-up |
| Chen et al ²¹ | 20 | 18 years/M | Severe headache, neck pain, unconsciousness, irritating cough | One episode of stroke | - | | Absent | Absent | Absent | Oral warfarin | Occipital-cervical reduction and fusion | NA |
| Kulkarni et al ²² | 21 | 18 years/M | Pain in neck or occipital areas, neurologic illness with cerebellar, pyramidal, and sensorium involvement | Three episodes of stroke over 2 months | - | | Mild neck trauma | Absent | Absent | Anticoagulants (3 months) (+), followed by antiplatelets | Posterior fusion | (-) at 18-month follow-up |
| | 22 | 28 years/M | Pain in neck or occipital areas, blurring of vision, presenting with bulbar and cerebellar, excessive drowsiness | Multiple TIAs, one episode of stroke in 2 days | - | | Mild neck trauma | Absent | Absent | Anticoagulants (3 months) followed by antiplatelets | Fusion of occiput, atlas, and axis | (-) at 30-month following-up |
| | 23 | 30 years/M | Pain in neck or occipital areas, features of left Wallenberg syndrome | One episode of stroke | - | | Absent | Absent | Absent | Anticoagulants (3 months) followed by antiplatelets | Fusion of occiput, atlas, and axis | (-) at 6-year follow-up |
| | 24 | 38 years/M | Pain in neck or occipital areas, diplopia, dysarthria, ataxia, sensory loss and visual symptoms | Multiple TIAs, two episodes of stroke over 1 week | - | | Absent | Absent | Absent | Anticoagulants (3 months) followed by antiplatelets | Conservative treatment, but awaiting surgery | (-) at 12-month follow-up |
| | 25 | 10 years/M | Headache, vomiting, ataxia, progressive drowsiness | One episode of stroke | - | | Absent | Absent | Absent | Anticoagulants (3 months) followed by antiplatelets | Conservative treatment, but awaiting surgery | (-) at 6-month follow-up |
| | 26 | 11 years/M | Neck pain, loss of consciousness, headache, ataxia, right-side weakness of limbs | Two episodes of stroke in 20 days | - | | Mild neck trauma | Absent | Absent | Anticoagulants (3 months) followed by antiplatelets | Fusion from occiput to C2 | (-) at 36-month follow-up |
| | 27 | 6 years/M | Neck pain, dysarthria, unsteadiness of gait, altered sensorium | One episode of stroke | - | | Absent | Absent | Absent | Anticoagulant (3 months) followed by antiplatelets | Refused surgery | (-) at 12-month follow-up |

(Continued)

Table 1 (Continued).

| | Patient | Age/ sex | Clinical presentation | Ischemic events | History of CVJ [#] | Precipitating factors | Other risk factors | Antithrombotic treatment and effects* | Conservative treatment/ surgery | Stroke/TIA recurrence ^{&} |
|--------------------------------|---------|---------------|---|---|--------------------------------|--------------------------|--------------------------|---|---------------------------------------|--|
| Panda et al ¹⁵ | 28 | 24 years/M | Vertigo, diplopia, recurrent vomiting, gait unsteadiness | One episode of stroke | – | Absent | Present ^{\$} | Anticoagulant | Atlantoaxial fusion | NA |
| Dornbos et al ²³ | 29 | 45 years/M | Neck and occipital head pain | (–) | + | Hairstyling | Absent | Dual antiplatelets | Conservative treatment | (–) at 6- month follow-up |
| Ouyang et al ²⁴ | 30 | 16 years/F | Dizziness, ataxia, nausea, vomiting, numbness, weakness | One episode of stroke | – | Absent | Absent | Dual antiplatelets | Refused surgery | (–), but with aneurysm enlargement at 1-year follow-up |
| Jadeja et al ²⁶ | 31 | 24 years/M | Dizziness during neck rotation, diplopia, disorientation | Intermittent TIAs and two episodes of stroke in 1 month | – | Absent | Absent | Aspirin (+) | Conservative treatment | (+) |
| Hu et al ²⁵ | 32 | 15 years/M | Sudden vertigo, unsteady gait, tinnitus, nausea, brief unconsciousness | Two episodes in 13 days | – | Absent | Absent | Aspirin (+), warfarin | Atlantoaxial fusion | NA |

Notes: [#]+, History of diagnosed CVJM before VA dissection; –, no history of previously diagnosed CVJM before dissection of VA. *+ Episode(s) recurring while patient was on antithrombotic therapy. [&](–) no stroke/TIA recurrence at follow-up. ^{\$}This patient had a history of hypercholesterolemia and hypertriglyceridemia.

Abbreviations: CVJM, craniovertebral junction malformation; TIA, transient ischemic attack; NA, not available.

Table 2 Multimodal imaging features and possible ischemic mechanisms in patients

| Patient | Infarct location (CT/MRI) | Morphology and site of VA dissection (MRA/CTA/DSA) | Other associated vascular lesions (MRA/CTA/DSA) | Combined CVJM | Diagnosis mode for CVJM | Cord compression* | Dynamic change [#] |
|---------|--|--|---|--|---------------------------------------|-------------------|-----------------------------|
| 1 | Left thalamus, right cerebellum | LVA: localized and subtle pseudoaneurysm in V3 | (–) | BI, atlanto-occipital fusion atlantoaxial subluxation | 3-D CTA | (–) | CA/TCD (+) |
| 2 | Bilateral cerebellum, pons | LVA: irregular aneurysmal dilation in V3 | Severe distal stenosis or occlusion of BA | OO, AAD | Cervical X-ray, Cervical 3-D CT | (–) | NA |
| 3 | Right temporal occipital junction, bilateral medial temporal occipital lobe, left thalamus, right cerebellum, medial temporal lobe | RVA: dissecting aneurysm with dual-lumen sign on V3 segment | (–) | Atlanto-occipital fusion | Cervical 3-D CT | (–) | NA |
| 4 | Left cerebellum, bilateral thalamus | LVA: dissection in V3 | Distal occlusion of LVA | OO, AAD | Spinal MRI | (+) | |
| 5 | NA | RVA: occlusion at C2 with collateral supply to CI segment and distal vertebral filling LVA: aneurysmal dilation at C2 | Occlusion of BA beyond junction of two VAs | Disunited odontoid, atlantoaxial subluxation | Cervical X-ray with flexion/extension | NA | NA |
| 6 | Left cerebellum, left thalamus, right internal capsule, right anterior thalamus | LVA: narrowing at the C1–C2 level with formation of pseudoaneurysm | Occlusion of distal BA, left SCA, AICA, and both PCAs | Assimilation of anterior arch of C1 into basiocciput, KF | Cervical X-ray with flexion/extension | NA | NA |
| 7 | Left occipital lobe and cerebellum | RVA: stenosis and irregularity from C6 and occlusion at C2 | Thrombus in left PCA | KF | Cervical X-ray with flexion/extension | (–) | DAS LVA (+) |
| 8 | Left cerebellar hemisphere, bilateral occipital lobes | BVA: focal irregularity of both vertebral arteries at C2 foramen transversarium | Occlusion of left SCA, PICA, and PCA | Odontoid aplasia, atlantoaxial subluxation | Cervical X-Ray with flexion/extension | (–) | NA |

(Continued)

Table 2 (Continued).

| Patient | Infarct location (CT/MRI) | Morphology and site of VA dissection (MRA/CTA/DSA) | Other associated vascular lesions (MRA/CTA/DSA) | Combined CVJM | Diagnosis mode for CVJM | Cord compression* | Dynamic change [#] |
|---------|--|---|--|---|--|-------------------|-----------------------------|
| 9 | Left cerebellum, bilateral occipital and left parietal lobes | LVA: narrowing and tortuosity (C2) | Diminished flow of left PCA | OO, atlantoaxial instability | Cervical 3-D CT, cervical X-ray with flexion/extension | (-) | NA |
| 10 | Pons, cerebellum | BVA: stretching and narrowing between the transverse foramen of C2 and the foramen magnum | Complete distal occlusion of both VAs after PICA | OO, AAD | Cervical X-ray with flexion, the cervical CT | (-) | NA |
| 11 | Right ventrolateral medulla, cerebellum (PICA) | RVA: narrowing and regularity at the C2 level | No reflux into RVA or right PICA | OO, AAD | Cervical X-ray with flexion/extension | (-) | NA |
| 12 | Right anterior and lateral thalamus | RVA: large, irregular, fusiform aneurysm at the level of C1–C2 | (-) | Absent right posterior arch of C1, rudimentary lateral mass, BI | Cervical X-ray | NA | NA |
| 13 | Right cerebellum | RVA: irregularity and narrowing at the C2 level with delayed flow | (-) | OO, atlantoaxial subluxation | Cervical X-ray with flexion/extension, cervical CT | (+) | NA |
| 14 | Bilateral cerebellum, left thalamus | LVA: winding at C1–C2 | Occlusion of several branches of the BA | OO, atlantoaxial subluxation | Cervical X-rays with flexion/extension | (+) | NA |
| 15 | Right cerebellum, pons | NA | Hypoplasia of both VAs | BI, high standing tip of the odontoid | Cervical X-ray | NA | NA |
| 16 | Left cerebellar peduncle and adjacent pons | RVA: focal enlargement and irregularity at the level of C2 (dissection aneurysm) | Occlusion of BA above AICA | KF without subluxation | Cervical X-ray with flexion/extension | NA | NA |

(Continued)

Table 2 (Continued).

| Patient | Infarct location (CT/MRI) | Morphology and site of VA dissection (MRA/CTA/DSA) | Other associated vascular lesions (MRA/CTA/DSA) | Combined CVJM | Diagnosis mode for CVJM | Cord compression* | Dynamic change [#] |
|---------|---|---|--|--|--|-------------------|-----------------------------|
| 17 | Right cerebellum (SCA and PICA) | RVA: irregular narrowing at C2 | (-) | OO, atlantoaxial subluxation, hypoplasia of posterior arch of C1 | Cervical X-ray, cervical 3-D CT, 3-D CTA | (-) | NA |
| 18 | Left paramedian thalamus, red nucleus, cerebellum | LVA: dissection at C2-C3 | (-) | Partial atlanto-occipital assimilation, KF | Cervical CT | NA | NA |
| 19 | Right cerebellum, left thalamus, internal capsule, corpus callosum | RVA: narrowing and mural thrombi (dissection), mainly in V2 | Distal occlusion of RVA | KF | Cervical MRI | NA | NA |
| 20 | Right occipital lobe, bilateral cerebellum, right medulla oblongata | RVA: dissection in V3 | Distal occlusion of RVA after PICA, RVA compressed at transverse foremen of C2 | Atlanto-occipital fusion, AAD | Cervical spinal MRI, cervical 3-D CT, 3-D CTA | NA | NA |
| 21 | Bilateral cerebellum, right thalamus | BVA: dissection in V3 | (-) | OO, AAD | Cervical X-ray with flexion/extension, cervical CT | NA | DAS(+) |
| 22 | Bilateral thalamus, right occipital lobe, midbrain | RVA: dissection in V3 | (-) | BI, retroflexed, dense | Cervical X-ray, cervical CT | NA | NA |
| 23 | Left lateral medulla oblongata | LVA: dissection in V3 | (-) | AAD | Cervical X-ray, cervical CT | NA | NA |
| 24 | NA | BVA: dissection in V3 | (-) | OO, AAD | Cervical X-ray, cervical CT | NA | DAS (+) |
| 25 | Left cerebellum, right thalamus | RVA: dissection in V3 | (-) | BI, AAD, KF | Cervical X-ray, cervical CT | NA | NA |
| 26 | Bilateral cerebellum | RVA: dissection in V3 | (-) | BI, AAD, KF | Cervical X-ray, cervical CT | NA | NA |

(Continued)

Table 2 (Continued).

| Patient | Infarct location (CT/MRI) | Morphology and site of VA dissection (MRA/CTA/DSA) | Other associated vascular lesions (MRA/CTA/DSA) | Combined CVJM | Diagnosis mode for CVJM | Cord compression* | Dynamic change [#] |
|---------|--|--|---|--|--|-------------------|-----------------------------|
| 27 | Right cerebellum, left midbrain, thalamus | RVA: dissection in V3 | No visualization of distal RVA or BA | BI, AAD, KF, Sprengel deformity | Cervical X-ray, cervical CT | NA | NA |
| 28 | Cerebellum, bilateral thalamus, midbrain, left parahippocampal gyrus | BVA: linear flow with vessel-wall irregularities, mainly in V3 | Total occlusion of BVA at level of C1–C3 | OO, AAD | Brain MRI, cervical 3-D CT | (+) | NA |
| 29 | Absent | RVA: high-grade stenosis (dissection) from V3 to PICA | (–) | Atlanto-occipital assimilation, KF | Cervical X-ray | NA | NA |
| 30 | Right thalamus, cerebellum | RVA: dilation and intraluminal filling deficit in V3 with formation of dissecting aneurysm | (–) | Posterior-arch anomaly of the atlas, AAD | Cervical X-ray with flexion/extension, 3-D CTA | NA | NA |
| 31 | Left thalamus, dorsal midbrain, right cerebellum | LVA: localized and subtle pseudoaneurysm in V3 | (–) | C1 disunion, KF, malalignment of lateral masses of atlas with C2 | Head CT, cervical CT | NA | (–) |
| 32 | Right cerebellum, corpus callosum | BVA: false lumens on V3 | Intracranial occlusion of BVA and BA | OO, AAD | 3-D CTA | NA | NA |

Notes: *(+), cord compression in cervical MRI; (–), no cord compression. [#](+), Positive findings during dynamic change.

Abbreviations: LVA, left vertebral artery; RVA, right VA; BVA, bilateral VA; BA, basilar artery; AICA, anterior inferior cerebellar artery; SCA, superior CA; PICA, posterior inferior CA; PCA, posterior CA; BI, basilar invagination; OO, os odontoideum; AAD, atlantoaxial dislocation; KF, Klippel–Feil anomaly; NA, not available.

foramen. The following morning, he suffered weakness in the left limbs and aphasia at rest, and immediate DSA plus mechanical thrombectomy was performed. The arterial abnormalities observed during surgery were similar to those on head CTA (Figure 2D), and complete recanalization of the BA was achieved after mechanical thrombectomy (Figure 2E). At discharge, he had recovered entirely, and was given aspirin plus clopidogrel as antithrombotic therapy. However, at 3 weeks after discharge from hospital, he was readmitted with dizziness, weakness in the left limbs, and pain in the posterior occipital area after sneezing. Cranial MRI showed a new infarct in the right cerebellar hemisphere (Figure 2F). CTA

confirmed a dissecting aneurysm in the atlantoaxial segment of the left VA and repeated occlusion of the BA (Figure 2G). In addition, cervical vertebral X-ray (Figure 2H) and CT three-dimensional reconstruction (3-D CT Figure 2I and J) both demonstrated os odontoideum. He was given subcutaneous heparin followed by rivaroxaban (20 mg daily) and made to wear a neck brace at discharge, without neurological deficit. He had had no stroke recurrence and underwent atlantoaxial fusion after 3 months' recovery (Figure 2K). Repeat head CTA 3 months after surgery revealed a dissecting aneurysm on the V3 segment of the right VA had become smaller (Figure 2L).

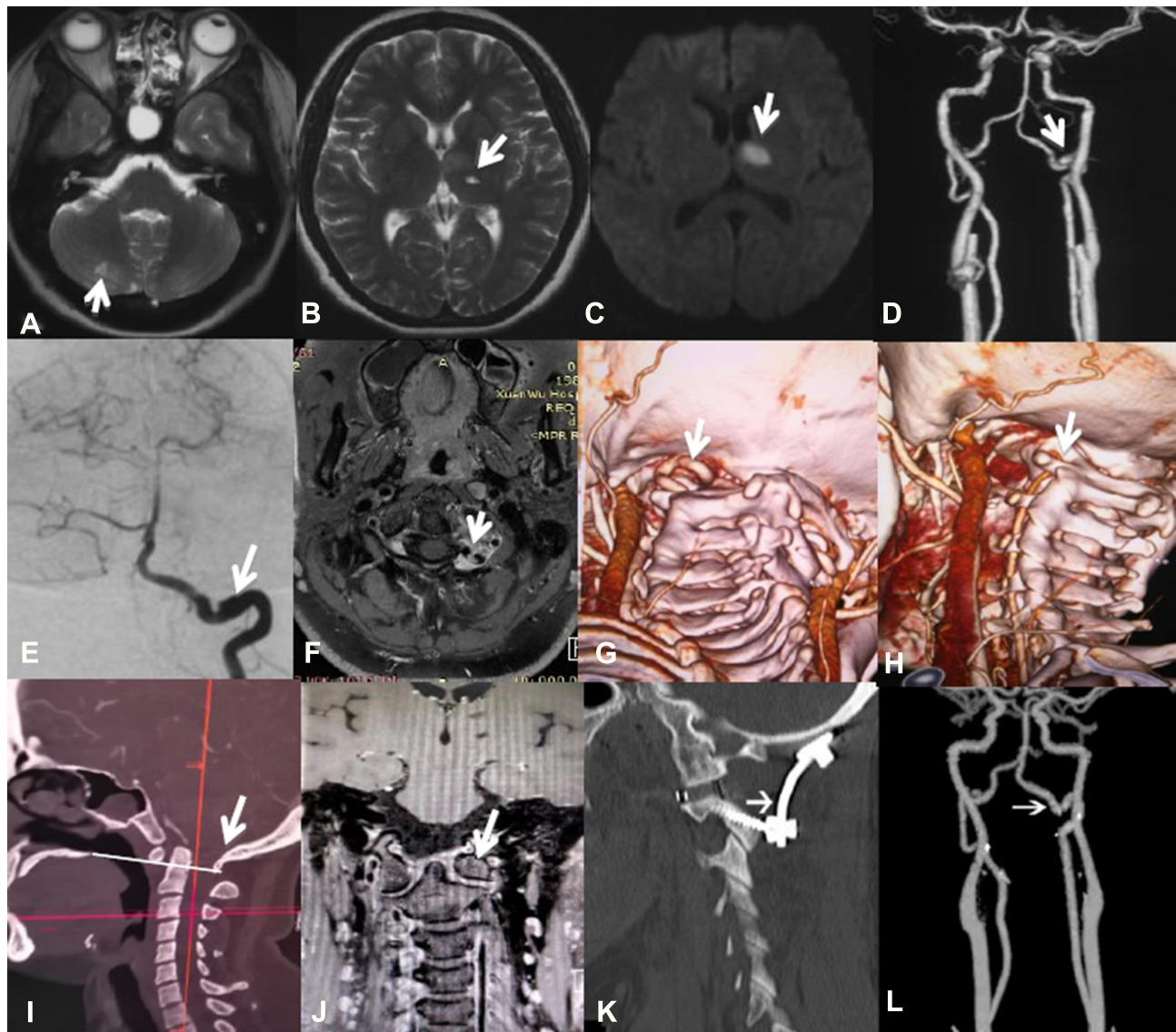


Figure 1 (A–C) MRI showing new infarction in the left anterior thalamus and old infarction in the left thalamus and right cerebellum (white arrow); (D, E) CTA and DAS showing a local protuberance at the junction of V3 and V4 in the left VA, thought to be dissection (white arrow); (F) high-resolution MRI showing an endovascular membrane-like structure protruding into the lumen at the junction of V3 and V4 in the left VA (white arrow); (G, H) head CTA showing that the left VA was normal (white arrow) with the neck in a neutral position and occlusive (white arrow) far from the C2 transverse foramen with the head turned right; (I) cervical CT showing atlanto-occipital fusion (white arrow) and the odontoid process of axis exceeded the palato-occipital line by >3 mm, considered skull-base depression; (J) MRI showing left-atlas lateral mass to be small with dysplasia (white arrow); (K) cervical CT showing atlantoaxial fusion (white arrow) had been performed on this patient; (L) repeat CTA showing that the small protuberance at the V3–V4 junction of the left VA had disappeared (white arrow).

Case 3

A 46-year-old woman who denied a history of hypertension, diabetes, hyperlipidemia, smoking, or alcohol use was admitted to our hospital because of spasmodically binocular amaurosis. At 20 days before admission, she had suffered an attack of bilateral blurred vision after sweating, and the symptoms were relieved 1 hour later. Her neurological and physical examination results were normal. Laboratory examination showed no significant abnormalities in routine blood. Cranial MRI showed new infarcts in the right temporal occipital junction, with

multiple old infarcts in the bilateral medial temporal occipital lobe and left thalamus (Figure 3A–C). Head CTA showed aneurysmal dilation on the V3 segment of the right VA (Figure 3D). She was prescribed dual oral antiplatelet therapy and statins once hospitalized, and was discharged without neurological deficit. Approximately 2 months into recovery, she complained of vertigo accompanied by visual rotation. Cranial MRI showed new infarcts in the right cerebellar hemisphere and right medial temporal lobe (Figure 3E–G). DSA showed a dissecting aneurysm with a dual-lumen sign on the V3 segment of the

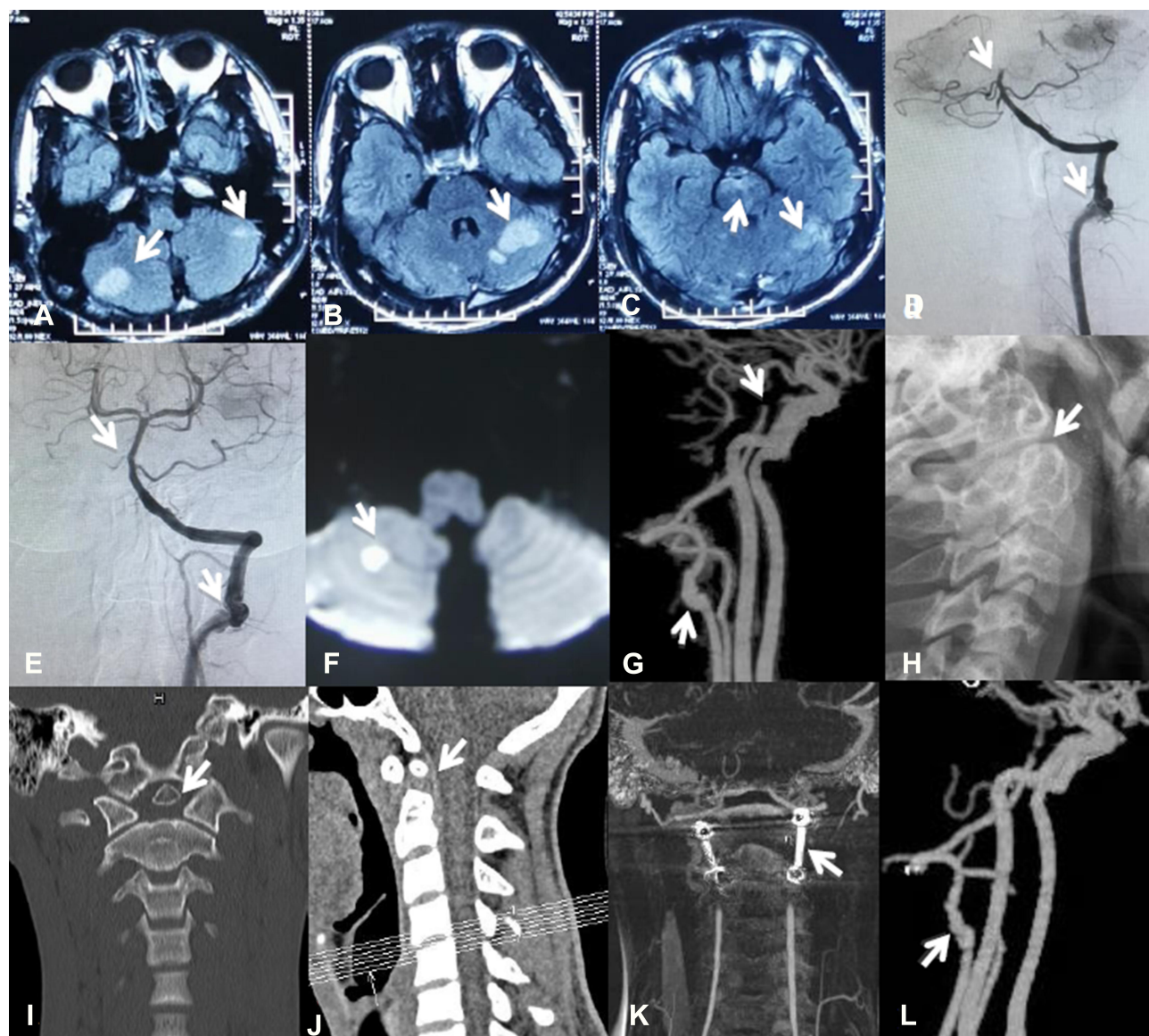


Figure 2 (A–C) Head MRI from a local hospital showing multiple infarcts in the bilateral cerebellar hemisphere and pons (white arrow); **(D, E)** DSA showing severe distal stenosis or occlusion of the BA, and irregular aneurysmal dilation at the site where the left VA exited the axial foramen and complete recanalization of the BA was achieved after mechanical thrombectomy (white arrow); **(F)** repeat head MRI showing new infarcts in the right cerebellar hemisphere (white arrow); **(G)** repeat CTA confirming a dissecting aneurysm in the atlantoaxial segment of the left VA and repeated occlusion of the BA (white arrow); **(H–J)** cervical vertebra X-ray and 3-D CT both demonstrating os odontoideum (white arrow); **(K)** CT showing atlantoaxial fusion (white arrow) had been performed on this patient; **(L)** repeat head CTA 3 months after the surgery revealing dissecting aneurysm on the V3 segment of the right VA had become smaller.

right VA (**Figure 3H**). She was administered dabigatran and statins, and achieved gradual symptomatic relief. Approximately 5 months into recovery she suffered paroxysmal vertigo, weakness of the left limbs, and speechlessness, with new infarcts in the right cerebellar hemisphere and right pons on cranial MRI (**Figure 3I** and **J**). Cervical vertebra 3-D CT demonstrated atlanto-occipital fusion (**Figure 3K**). Her neurosurgeon suggested a conservative treatment first, and she was prescribed oral warfarin and a neck brace to prevent excessive neck

activity. Repeat head CTA 7 months later revealed a smaller aneurysm on the V3 segment of the right VA (**Figure 3L**).

Case 4

A 16-year-old boy was admitted to our hospital because of numbness, clumsiness in the left limbs, and unstable gait. He had no medical history of hypertension, diabetes, or heart disease. Seven months prior, he had been diagnosed with multiple acute infarcts in the left

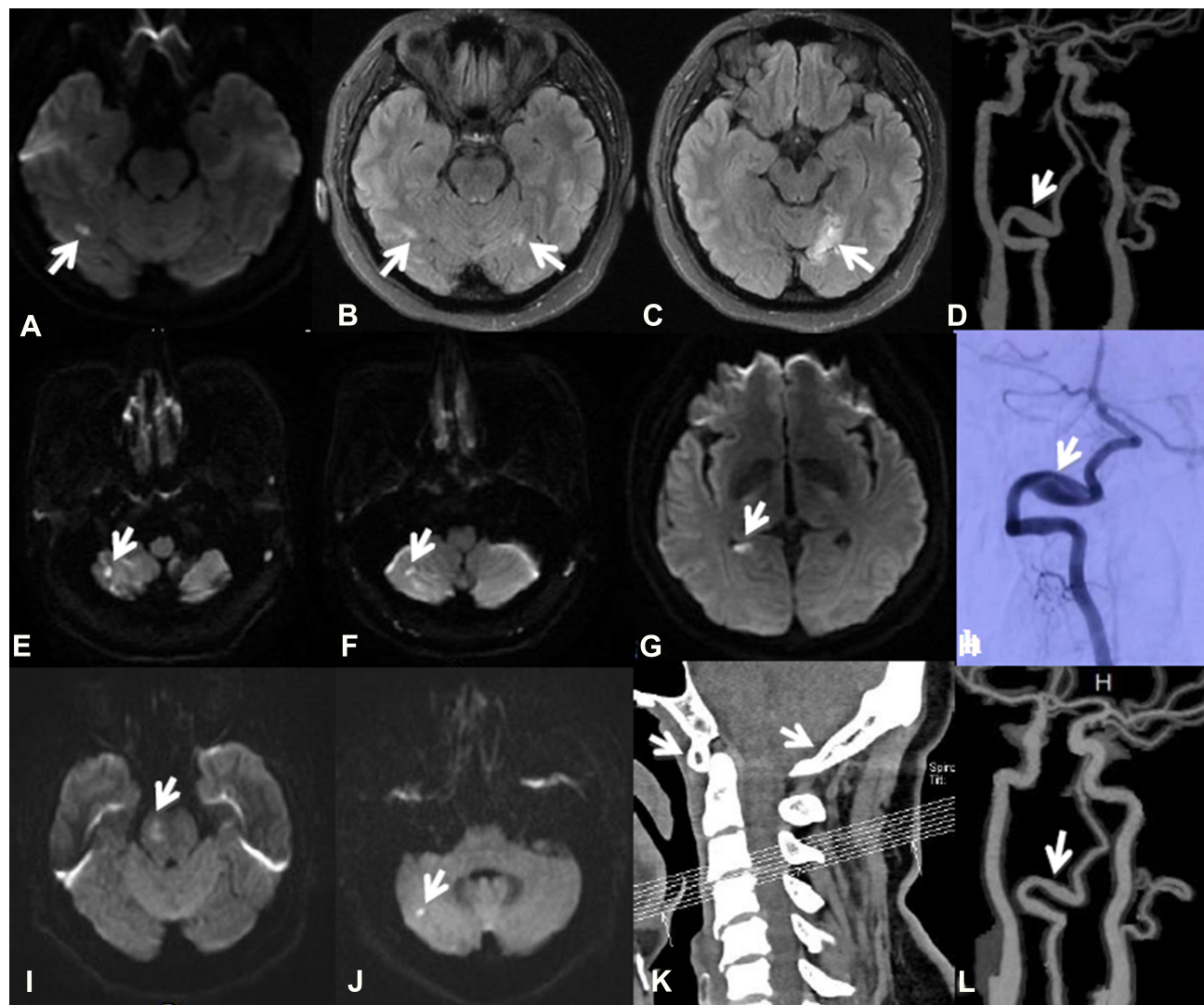


Figure 3 (A–C) Cranial MRI showing new infarcts at the right temporal occipital junction, with multiple old infarcts in the bilateral medial temporal occipital lobe and left thalamus (white arrow); (D) head CTA showing aneurysmal dilation on the V3 segment of the right VA (white arrow); (E–G) cranial MRI showing new infarcts in the right cerebellar hemisphere and right medial temporal lobe (white arrow); (H) DSA showing a dissecting aneurysm with a dual-lumen sign on the V3 segment of the right VA (white arrow); (I, J) repeat cranial MRI showing new infarcts in right cerebellar hemisphere and right pons (white arrow). (K) cervical CT scan showing atlanto-occipital fusion (white arrow); (L) repeat head CTA 7 months later revealing a smaller aneurysm on the V3 segment of the right VA (white arrow).

cerebellar hemisphere and thalamus, accompanied by a subacute infarction in the left thalamus and a complaint of speechlessness and numbness in the right limbs, and was treated with 100 mg aspirin daily. After admission, neurological physical examination indicated hypoesthesia in the left face and limbs and ataxia in the left limbs. Laboratory examination showed no significant abnormalities in routine blood or cerebrospinal fluid samples. Cranial MRI showed an acute infarct in the right thalamus and multiple old infarcts in the left cerebellar hemisphere and bilateral thalamus (Figure 4A–C). Cervical artery (CeA) ultrasound and HR MRI showed dissection in the atlantoaxial segment of the left VA (Figure 4D and

E), while distal occlusion of the left VA was demonstrated on DSA (Figure 4F and G). In addition, sagittal cranial MRI showed atlantoaxial spinal canal stenosis and os odontoideum (Figure 4H). He was administered 100 mg aspirin plus 75 mg clopidogrel orally as antithrombotic therapy, and introduced to neurosurgical department for further examination after discharge.

Results

Demographics and Clinical Presentation

The average age of the 32 patients was 19.01 ± 12.53 years (range 23 months to approximately 46 years), and there was a significant predominance of males (28/32, 87.5%).

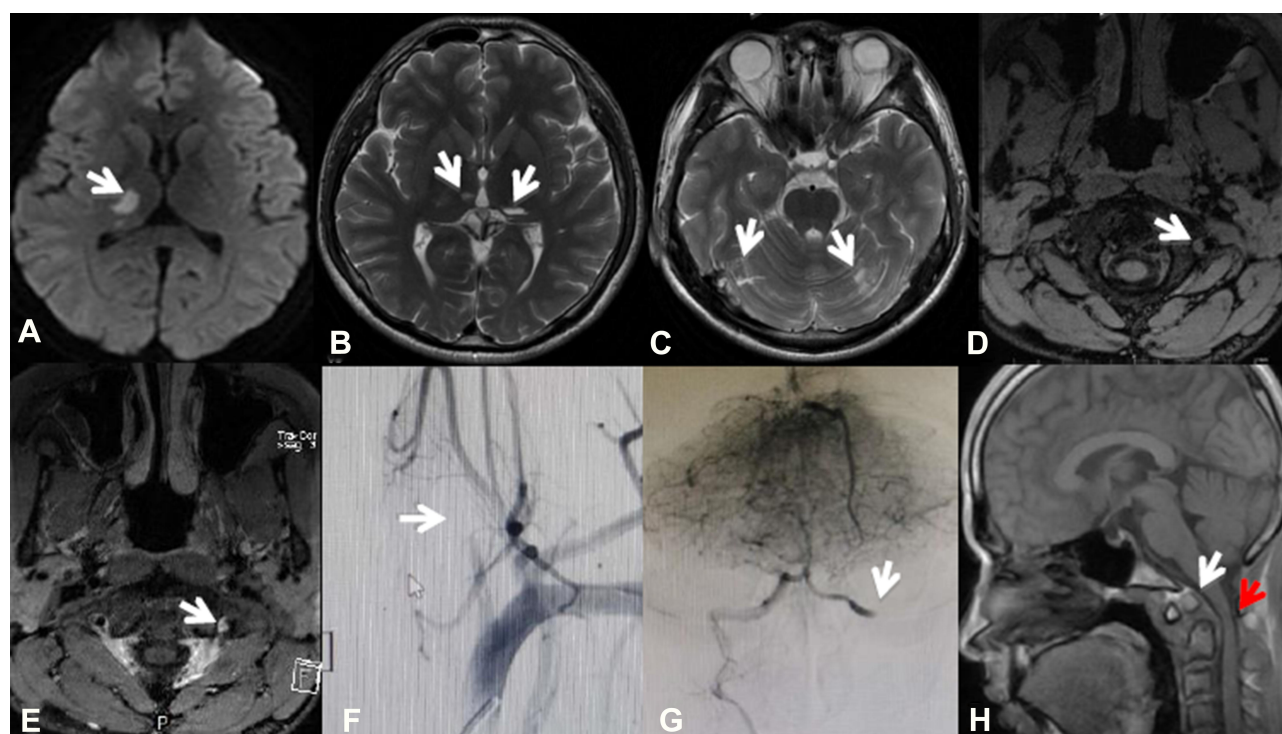


Figure 4 (A–C) Cranial MRI showing an acute infarct in the right thalamus and multiple old infarcts in the left cerebellar hemisphere and bilateral thalamus (white arrow); **(D, E)** HR MRI showing dissection (white arrow) in the atlantoaxial segment of the left VA; **(F, G)** DSA demonstrating distal occlusion of the left VA in atlantoaxial segment (white arrow); **(H)** sagittal cranial MRI showing atlantoaxial spinal canal stenosis (red arrow) and os odontoideum (white arrow).

Only five of 32 cases (15.6%) had a history of CVJM before the onset of ischemic infarction or TIA in the posterior circulation (PC) or diagnosis of VAD, while 31/32 cases (96.8%) had no other cerebrovascular risk factors. Predisposing factors in the form of trivial neck trauma or sport was identified in 12/32 cases (37.5%).

There was one patient with only VAD without any ischemic attack. In total, 17/32 cases (53.1%) had multiple episodes of PCIS or TIA. Interestingly, 16/17 cases with multiple episodes had data on the temporal relationship between the recurrence of PCIS or TIA and diagnosis of CVJM, with 14/16 cases (87.5%) having recurrence before diagnosis and only three of 16 (18.8%) after diagnosis. Neck or head pain during presentation was noted in 16/32 cases (50%). The most common neurological symptoms were limb numbness/weakness (20/32), followed by ataxia (15/32) and dizziness/vertigo (12/32). Other symptoms included nausea and vomiting (eleven of 32), disturbance of consciousness or syncope (nine of 32), gait disturbance (eight of 32), language deficit (seven of 32), visual symptoms (six of 32), bulbar symptoms (six of 32), cognitive impairment (two of 32), irritating cough (one of 32), and tinnitus (one of 32).

Imaging Features

Except for one patient with no ischemic attack and one patient with no information, the remaining 30 patients had multiple infarcts scattered throughout the PC area (cerebellum, thalamus, medulla oblongata, pons, temporal occipital lobe) in various combinations, as shown on CT and MRI. In sum, 22 of 30 cases (73.3%) showed infarcts located in areas supplied by more than one vessel branch of the PC.

Vascular wall damage caused by VAD was diagnosed or considered in all patients using CeA ultrasound, MRA, HR MRI, CTA, or DSA in 31 patients, while one patient did not have detailed information on VAD. Dissection was located in the V3 segment (around the atlantoaxial joint) of the VA in 29/31 cases (93.5%), including 27 cases with complete dissection in the V3 segment and two cases in the V3+V4 segment. Only two of 31 cases (6.5%) had dissection damage in the V2 segment of the VA. The affected VA was on the right side in 16/31 cases (51.5%), on the left in nine of 31 cases (29%), and bilaterally in six of 31 cases (19.4%). Further, a dissecting pseudoaneurysm was diagnosed in eight of 31 cases (25.8%).

The most common congenital CVJMs were atlantoaxial dislocation (AAD) and atlantoaxial subluxation, which were found in 21/32 cases (65.6%), followed by os odontoideum in 12/32 cases (37.5%), Klippel–Feil anomaly in ten of 32 cases (31.3%), basilar invagination in six of 32 cases (18.8%), and atlanto-occipital fusion or assimilation in six of 32 cases (18.8%). The other CVJMs included posterior-arch anomaly of the atlas in three, disunited odontoid in one, odontoid aplasia in one, rudimentary lateral mass in one, malalignment of the lateral masses of the atlas with C2 in two, high standing tip of the odontoid in one, and C1 disunion in one case. Most patients (27/32, 84.3%) had multiple combined abnormalities, and AAD or atlantoaxial subluxation with os odontoideum was most common (12/27 cases, 44.4%).

Congenital CVJMs were confirmed by routine cervical spinal X-rays with or without flexion/extension in 23/32 cases (71.8%), 12/23 of whom (52.2%) received further examination, including cervical spinal CT, 3-D CT, or CTA. In addition, seven of 32 cases (21.9%) were confirmed directly by cervical spinal CT or 3-D CT, and two of 32 cases (6.2%) by spinal MRI. Further, dynamic changes in the VA were evaluated only in four cases, with a positive change in vessel caliber with anteroposterior extension and flexion neck movement in two cases and lateral and rotational neck movement in two cases.

Treatment and Prognosis

There were 22/32 cases with detailed data on antithrombotic therapy. In eleven patients with initial antiplatelet therapy and eleven with initial anticoagulation therapy, seven of eleven (63.6%: four with aspirin and three with dual antiplatelet) and one of eleven (9.1%), respectively, experienced recurrence of PCIS or TIA. Further, as a result of conversion to anticoagulant treatment, one patient with recurrence on initial aspirin treatment still experienced a recurrent PCIS.

After diagnosis of VAD and CVJM, there were 30/32 cases with treatment data. Targeted etiological treatment, including fusion or vertebral fixation, was performed in 16/30 cases, while aneurysm resection was performed in one case. There were two cases with recurrence of PCIS before surgery. However, there was no recurrence after surgery in 13 patients with follow-up data. Correspondingly, eleven of 13 cases with conservative treatment or who had rejected surgery had follow-up data, with one case of recurrence, one of new proximal occlusion of the PCA, and one with aneurysm enlargement on DSA.

Discussion

VAD can occur secondarily to blunt cervical trauma¹ and connective-tissue disorders² or be spontaneous. However, as patients with VAD derived from underlying CVJM have been occasionally reported,^{4–29} the occurrence of CVJM with PC stroke may be seriously underestimated, given that the necessary radiographic studies are rarely performed.⁸ Here, we report four cases with a definite diagnosis of VAD with congenital CVJM. Because all four cases had no family history of CeA dissection (CeAD) or hereditary connective-tissue disorders and did not meet clinical diagnostic criteria for established hereditary connective-tissue disorder, these cases had not had any genetic analysis performed for these disorders. In the present systematic review based on four new cases and similar reported cases, we analyzed and summarized the clinical profiles of VAD combined with CVJM to emphasize the significance of early recognition of CVJM in VAD patients.

We found several characteristics on etiology, clinical and imaging features, and treatment. Patients were mainly children, adolescents, and young adults, with a significant predominance of males. The majority of cases had no prior history of congenital CVJM, and only approximately a third of cases had predisposing factors in the form of trivial neck trauma or sporting activities. More than 50% of patients had multiple PCIS or TIAs over a period of several days to several months. The recurrence of PCIS or TIA also occurred before diagnosis of congenital CVJM more than after diagnosis. Further, the most common neurological symptoms were limb numbness/weakness, followed by ataxia and dizziness/vertigo, while neck or head pain during presentation was noted in approximately 50% of cases. Head MRI or CT showed infarcts scattered in the PC area in various combinations. In approximately 70% of patients, the infarcts were located in areas supplied by more than one vessel branch of the PC. Further, in 90% of these patients, vascular wall damage caused by dissection or pseudoaneurysm was located mainly in the V3 segment (around the atlantoaxial joint), wall damage on the bilateral side was found in approximately 20% of cases, and dissection pseudoaneurysm was diagnosed in approximately 25% of cases. The most common congenital CVJMs were AAD or atlantoaxial subluxation (found in 65.6% of cases), followed by os odontoideum, Klippel–Feil anomaly, and basilar invagination. More than 80% of patients had multiple combined abnormalities, and AAD

or atlantoaxial subluxation combined with os odontoideum was most common (observed in 33% of cases). Patients who accepted initial anticoagulation therapy showed lower recurrence of PCIS or TIA than patients who accepted initial antiplatelet therapy. In addition, targeted surgery was effective in preventing further recurrence in patients after careful evaluation by a neurosurgeon.

As described, the patients in this study were predominantly children and young people and male. This demographic characteristic was consistent with coexisting CVJM. We found that AAD or atlantoaxial subluxation — os odontoideum, Klippel–Feil anomaly, and basilar invagination — were the most common types of CVJM, with the majority of cases showing coexistence of multiple types. This coexistence of multiple types of anomaly and lack of history of secondary etiology, such as severe trauma and rheumatic or degenerative osteoarthritis, suggests a congenital etiology for these disorders. A similar marked preponderance of males in such CVJMs as odontoid dysgenesis has previously been reported.³⁰

The majority of patients in the present study had no history of congenital CVJM, although obvious skeleton marks were reported in some patients.^{22,27} Interestingly, >50% of patients had multiple episodes of PCIS or TIA, and ischemic episodes typically recurred before the diagnosis of CVJM. These findings suggest that VAD patients with underlying CVJM might have a greater risk of ischemic recurrence than patients without CVJM, because ischemic recurrence is quite rare in CeAD, and only 1.4%–2% of patients had experienced recurrent stroke at 3 months.^{31,32} In addition, there is poor awareness of CVJM in patients with VAD in general clinical practice. Nevertheless, the early recognition and targeted treatment of CVJM in VAD patients can prevent further worsening of neurological symptoms. As previously reported, the common neurological symptoms associated with CVJM are likely a result of mechanical compression of the spinal cord or nerve root,³³ while most cases in the present study did not show mechanical compression of the spinal cord. As such, stabilization of the cervical spine with fusion at appropriate levels in these symptomatic patients with compression of the spinal cord may be successful in preventing further episodes of stroke. This may explain the relative rarity of stroke complications in patients with CVJM and the lack of history of congenital CVJM in the majority of patients.

As shown on CT or MRI, the majority of these patients exhibited repeated and multiple infarcts located in areas

supplied by more than one vessel branch of the PC. These findings support a predominantly ischemic mechanism involving artery-to-artery embolisms in most cases. Another striking imaging feature was that vascular wall damage caused by dissection or pseudoaneurysm was mainly located in the V3 segment (ie, the C1–C2 level of the VA — >90% of cases), suggesting a causal relationship between CVJM and VAD. The mechanism of vascular wall damage in VAD caused by CVJM has been well described, and involves repeated motion, kinking, and stretching of a hypercurved VA because of an unstable occipitatlantoaxial complex, which triggers intimal tears, dissection, and thrombus formation.³⁴ Therefore, trivial neck trauma or sports may be predisposing factors for VAD with CVJM and only occur in some patients. In addition, as shown by the dynamic changes in the VA evaluated in four cases in this systematic review, as well as other reported cases,^{35,36} in which rotational VA-occlusion syndrome may be typically diagnosed, neck activity may also repeatedly crush and damage the VA wall, causing thrombus formation and hemodynamic changes, and might be contributing etiologies, other than the arterial dissections.³⁷

Of note, this characteristic injury site may represent a radiological marker for recognizing underlying CVJM in VAD patients. Radiography of the cervical spine is a convenient and useful technique for detecting bony abnormalities. In the present study, CVJM was confirmed by routine cervical spinal X-ray with or without flexion/extension in 70% of cases. Considering that only two patients with a coexisting Klippel–Feil anomaly had VAD located in the V2 segment, patients with general VAD should undergo routine cervical spinal X-ray to screen for the Klippel–Feil anomaly. However, for patients with VAD at the V3 segment, further assessment of CVJM using spinal X-ray with flexion/extension and cervical spinal 3-D CT is required, as these techniques have greater resolution and provide valuable anatomical information on the mechanism of VAD formation induced by bone compression. In addition, dynamic changes in the VA assessed using CeA ultrasound, CTA, or DSA should be considered to assess potential mechanisms of VA damage.

With regard to therapeutic strategies for VAD, numerous meta-analyses based on case series and observation studies have been published in recent years showing no significant difference in stroke recurrence, symptomatic intracerebral hemorrhages, other major bleeds, or mortality with anticoagulation versus antiplatelet therapy in CeAD

patients.^{38–40} The multicenter randomized controlled CADISS trial also found no differences in the efficacy of antiplatelet versus anticoagulant drugs for preventing stroke and death in patients with symptomatic carotid-artery and VAD.^{41,42} Furthermore, a recent study with data from CADISS aimed to determine whether dissecting aneurysm is associated with increased stroke-recurrence risk and whether the type of antithrombotic drug (antiplatelets vs anticoagulants) modifies the persistence or development of dissecting aneurysm. The results showed that the presence of a dissecting aneurysm did not indicate that an individual with dissection was at higher risk of recurrent stroke, and there was no difference in the persistence of dissecting aneurysms or development of new dissecting aneurysms for antiplatelet vs anticoagulant therapy.⁴³ Current 2018 American Heart Association–American Heart Association guidelines, based on CADISS results and prior observational studies, suggest that 3- to 6-month therapy with either antiplatelet or anticoagulant therapy may be reasonable (class of recommendation IIB, level of evidence B-R).⁴⁴ However, the question of choice of antithrombotic therapy in CeAD remains unanswered and controversial in some cases.⁴⁵ No direct oral anticoagulants (DOACs) were used in these studies, and only very limited information on treatment of CeAD with DOACs is available. Treatment of VAD with DOACs in our reported cases was off-label, and their role in CeAD patients has yet to be investigated. The antiplatelet regimens used in the CADISS trial do not allow a clear conclusion on the efficacy of various antiplatelet regimens and is probably obscuring the potential beneficial effect of dual-antiplatelet therapy. In addition, it is still unclear whether CeAD patients with certain characteristics, such as microembolic signals, may have early recurrence of ischemic events and need more aggressive treatment.

In our systematic review of cases with combined VAD and CVJM, 63.6% of patients who accepted initial antiplatelets and 9.1% who accepted initial anticoagulation therapy experienced recurrence of PCIS or TIA, respectively. Therefore, the respective efficacy of antiplatelet and anticoagulation treatment was different for patients with combined VAD and CVJM. These benefits of anticoagulation therapy may be because the vascular wall of the VA is more prone to injury in CVJM patients than in those with VAD without CVJM, resulting in generation of more emboli. Further, as supported by our findings, the recurrence of ischemic episodes may be decreased after diagnosis of underlying CVJM in VAD patients. Based on the

potential mechanism of vascular wall damage of VAD caused by CVJM, patients diagnosed with CVJM are usually required to limit their head and neck motion by wearing a neck brace to avoid vascular wall damage. In particular, surgery using an internal fixation system can reduce C1–C2 luxation. After this reduction in C1 and C2 foramina displacement, retraction strength on the VA is markedly reduced, and in combination with avoidance of dynamic injury because of the atlanto-odontoid joint fusion reduces the recurrent risk of VAD.²¹

Of note, some patients still showed recurrence while on antithrombotic treatment before surgery, and new proximal occlusion of the PC or aneurysm enlargement was observed in some patients who had had conservative treatment or refused surgery after diagnosis of CVJM. By contrast, no recurrence was reported in follow-up after surgery. Therefore, after careful neurosurgical evaluation, surgical treatments (eg, fusion and internal fixation) should be considered in patients with a combination of VAD and CVJM to strengthen the stability of the craniovertebral junction and protect the vascular wall.

Conclusion

Underlying CVJM is a rare but overlooked etiology in patients with VAD. VAD patients with underlying CVJM may be at greater risk of ischemic recurrence and require more active antithrombotic therapy. A striking imaging feature was that vascular wall damage caused by dissection or pseudoaneurysm was located mainly in the V3 segment (ie, the C1–C2 level of the VA), which may represent a radiological marker for underlying CVJM in VAD patients. In patients with VAD at the V3 segment, spinal X-ray with flexion/extension and cervical spinal 3-D CT should be considered for assessment of CVJM. Such timely assessment is important for determining the specific cause, and enables targeted intervention to prevent worsening of neurological symptoms. Further prospective radiographic studies are required to assess the clinical significance of CVJM in patients with VAD.

Ethics

Approval from the Ethics Committee of Xuanwu Hospital was obtained for this study. Consent was obtained from study participants prior to study commencement, and they provided written informed consent for publication of these case reports.

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Disclosure

The authors report no conflicts of interest for this work.

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