

# Prevalence of Chronic Obstructive Pulmonary Disease and its Associated Factors in Nepal: Findings from a Community-based Household Survey

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**Background:** Despite chronic obstructive pulmonary disease (COPD) being the commonest non-communicable disease in Nepal, there is limited research evidence estimating the spirometry-based burden of COPD. This study aims to estimate the prevalence of COPD and its correlates through a community-based survey in Pokhara Metropolitan City, a semi-urban area of Western Nepal.

**Methods:** A cross-sectional household survey was conducted among 1459 adults  $\geq 40$  years. COPD was defined according to the Global Initiative for Chronic Obstructive Lung Disease (GOLD) criteria as a post-bronchodilator ratio of forced expiratory volume in 1st second (FEV<sub>1</sub>) to forced vital capacity (FVC)  $< 0.70$  with the presence of symptoms. COPD was also defined by the lower limit of normal (LLN) threshold – FEV<sub>1</sub>/FVC  $< \text{LLN cut-off}$  values with the presence of symptoms. Study participants were interviewed about sociodemographic and behavioural characteristics and respiratory symptoms. Descriptive statistics and logistic regression analysis were applied.

**Results:** Spirometry reports were acceptable in 1438 participants. The mean age of the participants was 55 ( $\pm 10$ ) years, and, 54% were female. The prevalence of GOLD-defined COPD was 8.5% (95% CI: 7.1–10.0) and based on the LLN threshold of 5.4% (95% CI: 4.2–6.6). The multivariate logistic regression showed that increasing age, low body mass index, illiterate, current or former smoker, and biomass fuel smoke increased the odds of COPD in both the definitions.

**Conclusion:** COPD is highly prevalent at community level and often underdiagnosed. Strategies aiming at early diagnosis and treatment of COPD, especially for the elderly, illiterate, and reducing exposure to smoking and biomass fuel smoke and childhood lung infection could be effective.

**Keywords:** COPD, Nepal, prevalence, NCD

## Background

Chronic obstructive pulmonary disease (COPD) is a common respiratory disorder characterized by irreversible and gradually progressive airflow limitation.<sup>1</sup> In 2017, 3.2 million people died from COPD (5.7% of global mortality).<sup>2</sup> The Global Burden of Disease Study estimation ranks COPD as the second most prevalent cause of death in 2017. This estimate already exceeds the World Health Organization's (WHO) projection of COPD being the third most important cause of death worldwide by 2030.<sup>3</sup>

More than 90% of COPD-related deaths occur in low- and middle-income countries. COPD associated mortality is projected to increase by 160% in the

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Southeast Asian region in the coming decades.<sup>3</sup> It is also one of the commonest forms of non-communicable diseases (NCDs) among the Nepalese adult population accounting for 43% of NCDs among out-patient visits.<sup>4</sup> A recent study by the Nepal Health Research Council reported 11.7% prevalence of COPD in Nepal.<sup>5</sup>

Tobacco smoking and long-term exposure to smoke from biomass fuel are the major risk factors of COPD. Above 28% of Nepalese men, and nearly 8% of Nepalese women smoke tobacco.<sup>6</sup> Nearly 66% of Nepalese households use biomass fuel in cooking and heating.<sup>7</sup> The Nepal NCDs risk factor survey 2019 estimated that more than 33% of the Nepalese adult population is exposed to secondhand smoking at home, and 23% are exposed at the workplace.<sup>6</sup> Poor air quality in Nepal imposes an additional threat in worsening lung health.<sup>8</sup> Similarly, lack of awareness, low health literacy on COPD, educational and economic status are also observed to increase the risk of COPD.<sup>9</sup>

Despite this high burden of disease and its risk factors in Nepal, there is a paucity of evidence on the prevalence of COPD diagnosed using spirometry applied in community-settings. This study estimates the prevalence of COPD and its correlates in a semi-urban area of western Nepal.

## Methods

### Study Design

A cross-sectional, community-based household survey was conducted during May–August 2019 in the semi-urban area of Pokhara Metropolitan city of western Nepal. The study site is located in Kaski district, home to 58,816 residents.<sup>10</sup>

### Study Population and Sampling

Our study population consisted of adults  $\geq 40$  years - 15,507 according to the latest census.<sup>10</sup> Assuming the prevalence of COPD to be 8%, 5% margin of error, a design effect of 1.5, and a response rate of 90%, the sample size determined was 1508.<sup>11,12</sup> We used households selected for the Community-based management of non-communicable disease study in Nepal (COBIN).<sup>13</sup> These households were selected using systematic random sampling. It was followed by the random selection of one adult per household using a Kish sampling grid, from the household list of adults  $\geq 40$  years.<sup>14</sup> Interviews were conducted by trained enumerators at participants' homes using a structured questionnaire. At least three visits were made

to contact selected individuals to reduce the non-response rate.

Inclusion criteria included: (i) age  $\geq 40$  years; (ii) full-time residency of the study area; and (iii) capacity to consent to the study. Exclusion criteria comprised having active pulmonary tuberculosis or a current respiratory infection or any contraindication performing spirometry such as pregnancy, a recent history of eye, chest or abdominal surgery, myocardial infarction, hemoptysis, and history of pneumothorax.<sup>15</sup>

### Questionnaire

The questionnaire included information on sociodemographic information (age, sex, ethnicity, education, occupation, marital status), lifestyle variables comprised detailed smoking history, physical activity, exposure to secondhand smoking, biomass fuel use, chimney in the kitchen, presence of black smoke mark in the kitchen wall, history of severe childhood lung infection, or tuberculosis infection and occupation exposure to dirt and dust as well as other relevant questions on respiratory symptoms. Pack-years were calculated for all participants with a history of smoking. The questionnaire was adapted from similar past studies.<sup>11,16–18</sup> Bodyweight was measured using a digital scale and recorded in kilograms. Height was measured from the head (vertex) to the bottom of the feet and recording the nearest units in centimetres.

### Spirometry

Spirometry was performed after completion of the questionnaire using a portable diagnostic spirometer (EasyOne Air, ndd Medizintechnik AG, Switzerland) chosen for its accuracy, portability, and calibration stability. Spirometers were verified regularly using a 3L's syringe. The American Thoracic Society (ATS) and European Respiratory Society (ERS) task force guidelines of spirometry were adhered to, and the data enumerators were trained for three weeks on the same guidelines.<sup>15</sup> The spirometry procedure was explained in the Nepalese language and demonstrated to participants by enumerators. At least three acceptable and reproducible blows were recorded based on the ATS/ERS criteria.<sup>15</sup> Two pulmonologists subsequently over-read the spirometry.

As there were no established lung function reference values among Nepalese, an established reference equation of a North Indian population was used.<sup>19</sup> Those with Forced Expiratory Volume in 1<sup>st</sup> second (FEV<sub>1</sub>) to Forced Vital Capacity (FVC) ratio  $< 0.70$  of predicted

normal or  $FEV_1/FVC < \text{lower limits of normal (LLN)}$  during the pre-bronchodilator test was given 400 mcg of salbutamol from a metered-dose inhaler followed by spirometry after 15 minutes. Based on the Global Initiative for Chronic Lung Disease (GOLD) criteria of COPD, those participants who demonstrated  $FEV_1/FVC < 0.70$  or below LLN cut-off values in the post-bronchodilator test with the presence of symptoms were identified as COPD.<sup>19</sup> Dyspnoea was graded using the modified Medical Research Council (mMRC) scale (0 to 4).<sup>20</sup> COPD severity was classified according to GOLD classification: grade 1–4. Chronic bronchitis was defined as the presence of cough and sputum production for at least three months in each of two consecutive years.

## Statistical Analysis

Data were entered using Epi Data version 4.0 (The EpiData Association, Odense, Denmark) and analysed using STATA version 15.1 (StataCorp. Texas, USA). Categorical variables were summarized using frequencies and 95% confidence intervals (CI), and continuous variables summarized using the mean and standard deviation. Odds Ratios (ORs) were obtained by unadjusted and adjusted logistic regression analysis. Variables significantly associated in the univariable analysis ( $p \leq 0.05$ ) were included in the subsequent multiple logistic regression analysis using the Wald backward elimination method. A value of  $p < 0.05$  was considered statistically significant throughout the analysis.

## Results

Of 1508 individuals approached, 1459 consented to be part of the survey. Spirometry reports were acceptable (grade A, B, or C) in 1438 and were thus included in the final analysis. The characteristics of included participants are presented in Table 1. The mean age of the participants was  $55.5 (\pm 9.7)$  years, and mean body mass index was  $25.5 \text{ kg/m}^2 (\pm 4.6)$ . Seven out of ten household kitchens were poorly ventilated without a chimney. Similarly, about 35% of the respondents were exposed to the smoke of biomass fuel. Nearly, 60% of participants had a low level of physical activity. A high percentage of respondents were current and former smokers, with statistically significant more males than females ( $p \leq 0.001$ ). More than 7 out of 10 ever smokers had a smoking history of more than 10 pack years.

## Lung Function Parameters

A total of 218 participants presented pre-bronchodilator airflow obstruction and underwent a reversibility test. During post-bronchodilator spirometry 177 (12.3%) participants were found with airflow obstruction according to GOLD criteria. Likewise, out of 109 participants presenting pre-bronchodilator airflow obstruction according to LLN criteria, 95 of them sustained the airflow obstruction during post-bronchodilator spirometry. The average  $FEV_1$  and FVC were higher in male participants during pre- and post-bronchodilator spirometry (Table 2).

## Symptoms of COPD

According to mMRC dyspnoea scale, 14.4% of participants had mMRC scores  $\geq 2$  and 31% had 0 score, which is presented in Table 1. Moreover, more than one-third of the participants self-reported at least one of the respiratory symptoms, ie, either cough, phlegm, breathlessness or wheezing. The presence of symptoms was not significantly between males and females (Figure 1).

## Prevalence of COPD

The prevalence of COPD was 5.4% (95% CI: 4.2–6.6) based on LLN criteria and 8.5% (95% CI: 7.1–10.0) based on GOLD criteria (Figures 2A and B). The agreement between COPD by the LLN and GOLD criteria for COPD was substantial (kappa 0.76). Likewise, 12.3% and 6.6% of study participants were identified with chronic airflow obstruction based on GOLD criteria and LLN criteria, respectively. Out of 494 participants reporting at least one symptom of COPD, 24.7% (122) of them showed airflow limitation according to GOLD criteria and 15.6% (77) according to the LLN criteria of the case definition.

The prevalence of COPD was higher in males as compared to females in both GOLD (male-10.9% (95% CI: 8.7–13.5), female-6.4% (95% CI: 4.9–8.4)) and LLN (male-7.6% (95% CI: 5.8–9.9), female-3.5% (95% CI: 2.4–5.0)) criteria. Older age, being unemployed, uneducated, underweight, currently unmarried, currently smoking, coming from underprivileged Dalit caste, having exposure to secondhand smoke and biomass fuel, low physical activity, and having a history of childhood lung infection, were significantly correlated with the prevalence of COPD in both criteria (Table 3).

**Table I** Characteristics of Study Participants

Characteristics	Total (%)	Male (%)	Female (%)
<b>Age group</b>			
40–49 years	477 (33.2)	179 (37.5)	298 (62.5)
50–59 years	507 (35.3)	248 (48.9)	259 (51.1)
≥60 years	454 (31.6)	234 (51.5)	220 (48.5)
<b>Educational status</b>			
Illiterate	269 (18.7)	50 (18.6)	219 (81.4)
Non-formal education	269 (18.7)	45 (16.7)	224 (83.3)
Primary school	483 (33.6)	272 (56.3)	211 (43.7)
Secondary school	320 (22.3)	216 (66.9)	106 (33.1)
High school and above	97 (6.6)	80 (82.5)	17 (17.5)
<b>Ethnicity</b>			
Dalit	212 (14.7)	86 (40.6)	126 (59.4)
Disadvantaged janajati	205 (14.3)	85 (41.5)	120 (58.5)
Relatively advantaged janajati	244 (17.0)	109 (44.7)	135 (55.3)
Upper caste	777 (54.0)	381 (49.0)	396 (51.0)
<b>Marital status</b>			
Currently married	1194 (83.0)	630 (52.8)	564 (47.2)
Currently not married (divorced, widowed, single)	244 (17.0)	31 (12.7)	213 (87.3)
<b>Occupational status</b>			
Employee	238 (16.6)	155 (65.1)	83 (34.9)
Agriculture	590 (41.0)	253 (42.9)	337 (57.1)
Homemaker	263 (18.3)	8 (3.0)	255 (97.0)
Labour/wage worker	74 (5.2)	61 (82.4)	13 (17.6)
Retired/pensioner	84 (5.8)	83 (98.8)	1 (1.2)
Unemployed	189 (13.1)	101 (53.4)	88 (46.6)
<b>Family size</b>			
<5 members	759 (52.8)	330 (43.5)	429 (56.5)
≥5 members	679 (47.2)	331 (48.7)	348 (51.3)
<b>Physical activity</b>			
Low (<600 MET)	855 (59.5)	414 (48.4)	441 (51.6)
Medium (600–2999MET)	483 (33.6)	286 (59.2)	197 (40.8)
High (≥3000MET)	100 (7.0)	50 (50)	50 (50)
<b>Average body mass index (BMI) kg/m<sup>2</sup></b>	25.5 (4.6)	24.2 (4.2)	26.5 (4.7)
<b>Smoking status</b>			
Never smoker	829 (57.7)	309 (37.3)	520 (62.7)
Former smoker	352 (24.5)	202 (57.4)	150 (42.6)
Current smoker	257 (17.9)	150 (58.4)	107 (41.6)
<b>Smoking &gt;10 pack years</b>			
Yes	430 (70.6)	251 (58.4)	179 (41.6)
No	179 (20.4)	101 (56.4)	78 (43.6)
<b>Secondhand smoking</b>			
Yes	358 (24.9)	157 (43.8)	201 (56.2)
No	1080 (75.1)	504 (46.7)	576 (53.3)

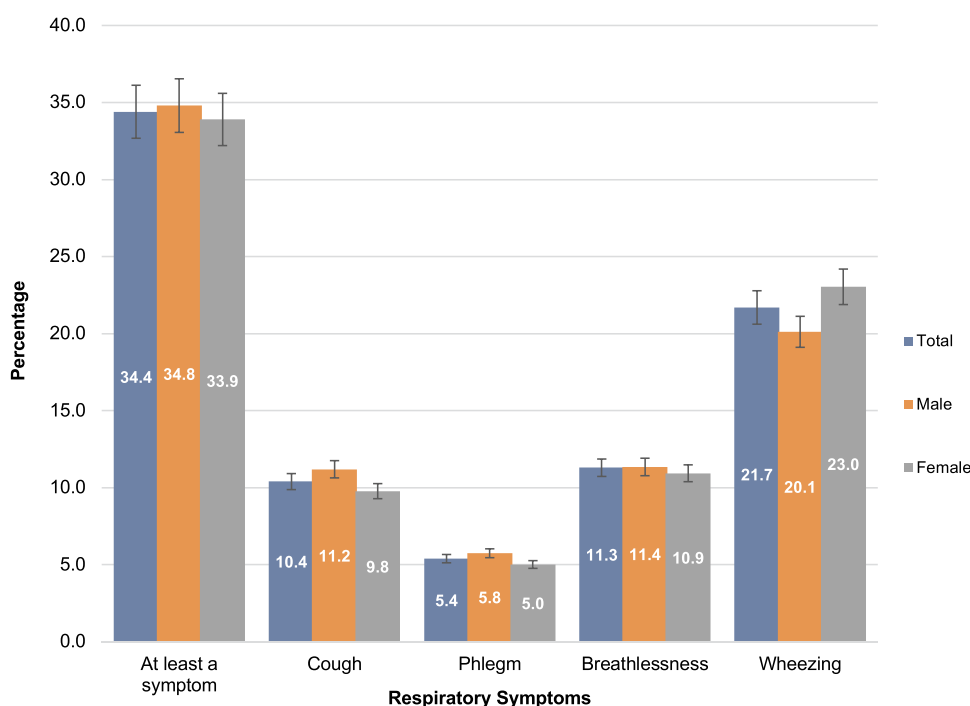
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Table 1 (Continued).

Characteristics	Total (%)	Male (%)	Female (%)
<b>History of childhood lung infection</b>			
Yes	96 (6.7)	45 (46.9)	51 (53.1)
No	1342 (93.3)	616 (45.9)	726 (54.1)
<b>Occupational exposure to dust and dirt</b>			
Yes	1189 (82.7)	484 (40.7)	705 (59.3)
No	249 (17.3)	177 (71.1)	72 (28.9)
<b>Use of mosquito repellent</b>			
Yes	1024 (71.2)	484 (47.3)	540 (52.7)
No	414 (28.8)	177 (42.8)	237 (57.2)
<b>Biomass fuel exposure</b>			
Yes	525 (36.5)	288 (54.9)	237 (45.1)
No	913 (63.5)	489 (53.6)	424 (46.4)
<b>Chimney in the kitchen</b>			
Yes	421 (29.3)	228 (54.2)	193 (45.8)
No	1017 (70.7)	549 (54.0)	468 (46.0)
<b>Black smoke mark in kitchen wall</b>			
Yes	827 (57.5)	364 (44.0)	463 (56.0)
No	611 (42.5)	297 (48.6)	314 (51.4)
<b>History of tuberculosis</b>			
Yes	37 (2.6)	30 (81.1)	7 (18.9)
No	1401 (97.4)	631 (45.0)	770 (55.0)
<b>Modified MRC Dyspnea scale</b>			
mMRC Grade 0	446 (31.0)	224 (50.2)	222 (49.8)
mMRC Grade 1	785 (54.6)	345 (44.0)	440 (56.0)
mMRC Grade 2	104 (7.2)	31 (29.8)	73 (70.2)
mMRC Grade 3	52 (3.6)	30 (57.7)	22 (42.3)
mMRC Grade 4	51 (3.6)	31 (60.8)	20 (39.2)

Table 2 Lung Function Parameters

	Total		Male		Female	
	Mean ±SD	% Predicted ±SD	Mean ±SD	% Predicted ±SD	Mean ±SD	% Predicted ±SD
Pre-bronchodilation spirometry						
FVC (L)	2.5 ± 0.7	89.9 ± 19.2	3.0 ± 0.7	89.0 ± 17.9	2.1 ± 0.5	90.7 ± 20.2
FEV <sub>1</sub> (L)	1.9 ± 0.6	94.4 ± 24.8	2.3 ± 0.6	91.4 ± 23.4	1.6 ± 0.5	96.8 ± 25.8
FEV <sub>1</sub> /FVC	75.5 ± 8.9	102.4 ± 11.5	74.2 ± 10.2	100.4 ± 13.1	76.7 ± 7.5	104.1 ± 9.6
Number of participants	1438		661		777	
Change in post-bronchodilation spirometry						
FVC (L)	0.18 ± 0.14	6.50 ± 4.89	0.20 ± 0.16	6.53 ± 5.12	0.14 ± 0.10	6.44 ± 4.57
FEV <sub>1</sub> (L)	0.15 ± 0.10	7.99 ± 4.98	0.16 ± 0.11	7.46 ± 4.65	0.12 ± 0.08	8.74 ± 5.35
FEV <sub>1</sub> /FVC	0.02 ± 0.01	2.74 ± 2.67	0.02 ± 0.01	2.34 ± 2.27	0.02 ± 0.02	3.30 ± 3.11
Number of participants	218		128		90	



**Figure I** Distribution of respiratory symptoms by sex among study participants (n=1438).

## Determinants of COPD

Variables significantly associated ( $p < 0.05$ ) with having COPD in unadjusted analysis ([Supporting table](#)) were included in subsequent multiple logistic regression analysis ([Table 4](#)). The adjusted model showed that the odds were highest among  $\geq 60$  years age group in both criteria compared to 40–49 years category. Low body mass index, being a current or former smoker, exposed to biomass fuel, and having childhood lung infection were also found to be significantly positively associated with the occurrence of COPD. Likewise, the low level of physical activity was significantly associated with the presence of COPD ([Table 4](#)).

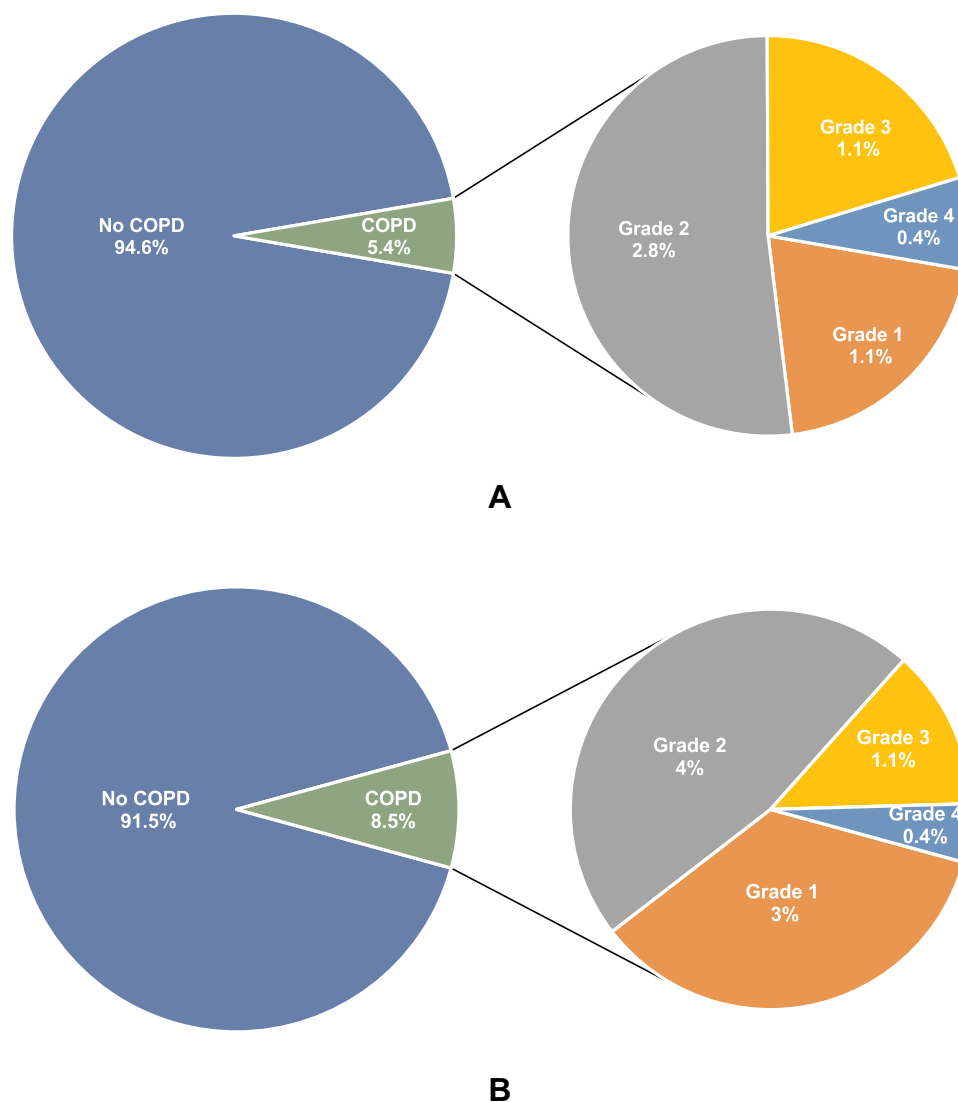
## Discussion

The present study identified an 8.5% prevalence of GOLD-defined COPD and 5.4% prevalence of LLN-defined COPD in the population of this community. This is the first study in Nepal estimating COPD prevalence from the data of a community-based survey defining COPD by spirometry data and symptoms. The prevalence reported in this study is marginally lower than the earlier national-level estimation of 9.8% based on predictive covariates,<sup>12</sup> and significantly lower than the 11.7% estimation using the GOLD criteria.<sup>9</sup> Likewise, the identified

prevalence is also lower than the estimate from neighbouring countries Bangladesh (12.5%)<sup>21</sup> and Pakistan (11.3%)<sup>22</sup> but similar to the prevalence reported in studies conducted in India (4.1% to 9%)<sup>23</sup> and the BOLD study China (8%).<sup>11</sup> However, in most of the epidemiological surveys, COPD is defined based upon spirometry data only. The discrepancy in the prevalence estimated by earlier studies in Nepal and the estimates of this study could be explained by the variation in the methods of estimation. This study defined COPD together with airflow obstruction and the presence of symptoms, while earlier studies defined COPD depending on airflow obstruction only. Although spirometry or clinical respiratory symptoms alone are not enough for an accurate diagnosis of COPD.<sup>24</sup> The prevalence of chronic airflow obstruction reported in our study (12.3%) is slightly higher than the BOLD study results (11.3%) from 26 sites around the world.<sup>25</sup>

The variation in the prevalence of COPD reported in this study by GOLD criteria (8.5%), and LLN criteria (5.4%) can be attributed to the point that GOLD criteria tend to over-diagnose in elderly and LLN criteria tend to under-diagnose among elderly.<sup>1</sup> Furthermore, only 2.8% of participants reported being clinician-diagnosed COPD suggesting the disease is underdiagnosed and unrecognized despite being largely prevalent in the community.





**Figure 2 (A)** Prevalence of COPD and severity classification by LLN criteria (n=1438). **(B)** Prevalence of COPD and severity classification by GOLD criteria (n=1438).

Similarly, chronic bronchitis was prevalent among 5.3% of participants, indicating diagnosis based on symptoms alone may tend to underestimate the prevalence of COPD. In the Nepalese context symptomatic assessment and diagnosis of COPD is common due to the limited availability of spirometry.<sup>26</sup> Though spirometry is recommended only for symptomatic individuals, in our study, nearly 6% of asymptomatic participants were detected having airways obstruction. Also, the traditional norm of ignoring the COPD symptoms especially cough as natural ailment of old age diminishes health care seeking practice, thus leading to high undetected and undiagnosed cases in the community.

Like other studies,<sup>16,18</sup> our study indicated a positive correlation between age and COPD. The association of

COPD with age may be ascribed to more exposure to risk factors and physiological decline in lung function with age which generally begins at the age of 30–40 years.<sup>27</sup> Similarly, our study findings reinforced that being a smoker (former or current) has a higher odds of having COPD.<sup>28,29</sup> We found a significantly higher prevalence and also the odds of COPD among current smokers compared to never smokers, in line with previous studies.<sup>30,31</sup>

Similar to previous studies,<sup>16,21,32</sup> biomass fuel consumption was found significantly associated with higher odds of having COPD. Biomass smoke is an important contributor to household air pollution that leads to COPD.<sup>33</sup> More than 60% of households use biomass fuel for cooking and heating in Nepal.<sup>7</sup> Traditionally, females

**Table 3** Prevalence of COPD by GOLD Criteria and LLN Criteria

Characteristics	COPD Prevalence by GOLD Criteria			COPD Prevalence by LLN Criteria		
	Percentage	(95% CI)	p-value	Percentage	(95% CI)	p-value
<b>Sex</b>						
Male (n=661)	10.9	(8.7–13.5)	<0.001	7.6	(5.8–9.9)	<0.001
Female (n=777)	6.4	(4.9–8.4)		3.5	(2.4–5.0)	
<b>Age category</b>						
40–49 years (n=477)	1.7	(0.8–3.3)	<0.001	1.1	(0.4–2.5)	<0.001
50–59 years (n=507)	5.5	(3.8–7.9)		3.9	(2.6–6.0)	
≥60 years (n=454)	18.9	(15.6–22.8)		11.5	(8.8–14.7)	
<b>Ethnicity</b>						
Dalit (n=212)	10.4	(6.9–15.3)	<0.01	8.0	(5.0–12.5)	<0.001
Disadvantaged janajati (n=205)	8.3	(5.2–12.9)		4.9	(2.6–8.8)	
Relatively advantaged janajati (n=244)	3.3	(1.6–6.4)		0.8	(0.2–3.2)	
Upper caste (n=777)	9.7	(7.8–11.9)		6.2	(4.7–8.1)	
<b>Occupational status</b>						
Employee (n=238)	4.2	(2.3–7.6)	<0.001	2.5	(1.1–5.5)	<0.001
Agriculture (n=590)	7.3	(5.5–9.7)		5.1	(3.6–7.2)	
Housemaker (n=263)	5.7	(3.5–9.3)		3.0	(1.5–6.0)	
Labor/wage worker (n=74)	4.1	(1.3–11.8)		2.7	(0.7–10.2)	
Retired/Pensioner (n=84)	7.1	(3.2–15.0)		3.6	(1.2–10.5)	
Unemployed (n=189)	23.8	(18.3–30.4)		14.8	(10.4–20.6)	
<b>Educational status</b>						
Illiterate (n=269)	17.8	(13.7–22.9)	<0.001	11.5	(8.2–15.9)	<0.001
Nonformal education (n=269)	8.9	(6.1–13.0)		4.5	(2.6–7.7)	
Primary school (n=483)	6.2	(4.4–8.8)		4.1	(2.69–6.3)	
Secondary school (n=320)	5.0	(3.1–8.01)		3.8	(2.1–6.5)	
High school and above (n=97)	4.1	(1.6–10.5)		2.1	(0.5–7.9)	
<b>Marital status</b>						
Currently married (n=1194)	7.5	(6.1–9.1)	<0.001	5.0	(3.9–6.4)	0.22
Currently not married (divorced, widowed, single) (n=244)	13.5	(9.8–18.4)		7.0	(4.4–10.9)	
<b>Family size</b>						
<5 members (n=759)	8.4	(6.7–10.6)	0.941	5.5	(4.1–7.4)	0.75
≥5 members (n=679)	8.5	(6.7–10.9)		5.2	(3.7–7.1)	
<b>Average body mass index (n=1438)</b>	22.1	(21.3–22.8)		21.1	(20.1–21.0)	
<b>Physical activity</b>						
Low (<600 MET) (n=855)	10.6	(8.7–12.9)	0.001	6.7	(5.2–8.6)	0.013
Medium (600–2999MET) (n= 483)	4.8	(3.2–7.1)		2.9	(1.7–4.8)	
High (≥3000MET) (n=100)	8.0	(4.1–15.2)		6.0	(2.7–12.7)	
<b>Secondhand smoking</b>						
Yes (n=358)	13.4	(10.3–17.4)	<0.001	7.8	(5.5–11.1)	0.02
No (n=1080)	6.9	(5.5–8.5)		4.5	(3.4–6.0)	
<b>Smoking status</b>						
Never Smoker (n=829)	3.1	(2.1–4.6)	<0.001	1.6	(0.9–2.7)	<0.001
Former Smoker (n=352)	13.9	(10.7–18.0)		9.9	(7.2–13.5)	
Current Smoker (n=257)	18.3	(14.0–23.5)		11.3	(8.0–15.8)	

(Continued)



Table 3 (Continued).

Characteristics	COPD Prevalence by GOLD Criteria			COPD Prevalence by LLN Criteria		
	Percentage	(95% CI)	p-value	Percentage	(95% CI)	p-value
<b>Smoking &gt;10 pack years</b>						
Yes	18.1	(14.8–22.1)	0.01	12.6	(9.7–16.1)	0.01
No	10.1	(6.4–15.4)		5.6	(3.3–13.2)	
<b>Occupational exposure to dust &amp; dirt</b>			0.43			0.68
No (n=249)	7.2	(4.6–11.2)		4.8	(2.8–8.3)	
Yes (n=1189)	8.8	(7.3–10.5)		5.5	(4.3–6.9)	
<b>Exposure to biomass fuel</b>			<0.001			<0.001
No (n=913)	6.2	(4.8–8.0)		3.2	(2.2–4.5)	
Yes (n=525)	12.4	(9.8–15.5)		9.1	(7.0–11.9)	
<b>Black smoke mark in kitchen wall</b>			0.002			0.006
No (n=611)	6.6	(4.8–8.8)		3.4	(2.3–5.2)	
Yes (n=827)	9.9	(8.1–12.2)		6.8	(5.3–8.7)	
<b>Chimney in kitchen</b>			0.03			0.001
Yes (n=421)	5.9	(4.0–8.6)		2.9	(1.6–5.0)	
No (n=1017)	9.5	(7.9–11.5)		6.4	(5.0–8.1)	
<b>Use of mosquito repellent</b>			0.062			0.182
Yes (n=1024)	7.3	(5.1–10.2)		5.9	(4.6–7.5)	
No (n=414)	9.0	(7.4–10.9)		4.1	(2.6–6.5)	
<b>History of childhood lung infection</b>			<0.001			<0.001
Yes (n=96)	26.0	(18.2–35.7)		18.8	(12.1–27.8)	
No (n=1342)	7.2	(6.0–8.7)		4.4	(3.4–5.6)	
<b>History of tuberculosis</b>			0.26			0.13
Yes (n=37)	8.4	(7.0–9.9)		10.8	(4.1–25.5)	
No (n=1401)	13.5	(5.7–28.7)		5.2	(4.2–6.5)	
<b>Modified MRC Dyspnea scale</b>			<0.001			<0.001
mMRC Grade 0	2.5	(1.4–4.4)		1.1	(0.5–2.7)	
mMRC Grade 1	5.2	(3.9–7.0)		3.1	(2.1–4.5)	
mMRC Grade 2	16.4	(10.4–24.7)		8.7	(4.6–15.8)	
mMRC Grade 3	44.2	(31.4–57.8)		30.8	(19.8–44.5)	
mMRC Grade 4	58.8	(44.9–71.4)		45.1	(32.1–58.8)	
Total (n=1438)	8.5	(7.1–10.0)		5.4	(4.3–6.7)	

were predominantly exposed to the emission of biomass fuel, as this study was conducted in a semi-urban area, the majority of women had access to liquid petroleum gas as cooking fuel.

The odds of having COPD was significantly lower among the people who were physically active than the people who had low-level physical activity. This could be ascribed to the fact that physical inactivity is common among people living with COPD. Also, the lower level of

physical activity is correlated with a higher risk of exacerbation and all-cause mortality in COPD patients.<sup>34</sup> As this is a cross-sectional study, we cannot rule out the reverse association.

Likewise, education and being in an advantaged ethnic category had lower odds of COPD. Contrary to this, being unemployed increased the odds of having COPD. However, only education was found significant in multi-variable analysis. Educational status, ethnicity, and

**Table 4** Factors Associated with COPD (Adjusted Model)

Variables	COPD by LLN Criteria (LLN-COPD)		COPD by GOLD Criteria (GOLD-COPD)	
	OR (95% CI)	p - value	OR (95% CI)	p-value
<b>Age group</b>				
40–49 years	1		1	
50–59 years	2.4 (0.9–6.7)	0.09	2.2 (1.0–5.1)	0.06
≥60 years	4.4 (1.6–11.9)	0.004	5.9 (2.6–13.4)	<0.001
Body mass index (BMI) kg/m <sup>2</sup>	0.8 (0.7–0.9)	<0.001	0.9 (0.8–0.9)	<0.001
<b>Physical Activity</b>				
Low (<600 MET)	1		1	
Medium (600–2999MET)	0.3 (0.2–0.6)	<0.001	0.3 (0.2–0.6)	<0.001
High (≥3000MET)	0.6 (0.2–1.8)	0.39	0.7 (0.3–1.8)	0.48
<b>Childhood lung infection</b>				
No	1		1	
Yes	6.2 (3.1–12.3)	<0.001	5.8 (3.2–10.5)	<0.001
<b>Smoking status</b>				
Never Smoker	1		1	
Current Smoker	3.9 (1.9–8.1)	<0.001	3.6 (2.0–6.3)	<0.001
Former Smoker	3.4 (1.7–7.0)	<0.001	2.2 (1.3–3.9)	<0.001
<b>Exposure to biomass fuel smoke</b>				
No	1		1	
Yes	2.7 (1.6–4.6)	<0.001	1.7 (1.1–2.7)	0.014
<b>Education category</b>				
Illiterate	-		1	
Non-formal education	-		0.7 (0.4–1.2)	0.18
Primary school	-		0.5 (0.3–0.9)	0.02
Secondary school	-		0.6 (0.3–1.1)	0.09
High school and above	-		0.3 (0.1–1.0)	0.05

employment status are indicators of socioeconomic status. Low socioeconomic status is well known to be concomitant with poor access to healthcare and an increased risk of COPD.<sup>9</sup> This association could be explained by the high prevalence of other potential risk factors of COPD among those groups such as lower quality of life and potential exposure to risk factors in early childhood and even in utero.<sup>35</sup>

A history of childhood lung infection was reported by nearly 7% of study participants, and it was positively associated with COPD. It is well established that early childhood severe lung infection is attributable to degraded lung growth, increasing the likelihood of COPD.<sup>36</sup> Similarly, BMI is negatively correlated with COPD. The inverse association between BMI and COPD could be explained by other underlying factors which contribute to loss of muscle mass and overt malnutrition.<sup>37</sup> In a meta-

analysis, Sun et al also demonstrated low BMI as a risk factor for accelerated decline in lung function.<sup>38</sup>

This study has highlighted that COPD is highly prevalent but largely undiagnosed at the community level. Limiting to only symptomatic treatment of the disease may lead to a massive rise in the burden of COPD mostly at a severe stage when chances of mortality are high, and quality of life is poor. Early identification and diagnosis are the key to the prevention and control of COPD. Spirometry-based diagnosis enables to detect the presence and severity of COPD in symptomatic and airflow obstruction in the asymptomatic population.

The prevalence of COPD was alarmingly high among the elderly population. Considering that the life expectancy of Nepal is increasing, and the population is continuously ageing, more people are living longer. They are exposed to growing COPD-related risk factors such as

smoking, ambient air pollution. Thus, the burden is likely to surge shortly. This puts early screening and diagnosis as a priority public health measure that needs to be considered. These services should also focus on vulnerable groups such as males, illiterate, and people from disadvantaged ethnicity. Replacing biomass fuel to cleaner fuel alternatives should be prioritized.

The current policy directives for COPD prevention and management in Nepal aim to strengthen national capacity to integrate scaled up services for COPD at primary health care settings, 25% relative reduction of chronic respiratory disease-related mortality, reduction of current smokers by 30% and 50% reduction in the use of biomass fuel for cooking by 2025.<sup>39</sup> Our study provides epidemiological evidence of COPD through community-based data. Thus, supplementing our understanding for optimal community tailored prevention and management of COPD, which are the ultimate aims of these aforementioned policies.

Our study has several strengths and limitations. We diagnosed COPD based on both GOLD criteria with a fixed ratio of FEV<sub>1</sub>/FVC less than 70% and a cut-off based on LLN values together with the presence of symptoms. Contrast to most of the epidemiological studies<sup>40</sup> in COPD to diagnose COPD solely on spirometry-based data; we have defined COPD together with results of spirometry and symptoms. The use of these methods makes our results more precise to estimate the true burden of clinical COPD in the community by avoiding false-positive cases. This study is conducted with a representative subset of the adult population ( $\geq 40$  years) applying the objective measures of lung function parameters measured with high quality diagnostic robust spirometry by well-trained enumerators following standard ERS/ATS guidelines under the guidance of experienced over-readers. Nevertheless, the limitations included the absence of a reference equation for the Nepalese population. However, the North Indian population equation used was the closest acceptable equation to detect predicted percentages of different lung function parameters. Studies have reported that the GOLD criteria overestimate the burden of COPD, especially at the old ages. Since the majority of our participants were below 60 years of age; the bias should be minimum. Although we used a standardized questionnaire with extensive training of enumerators, there might have been a miscalculation in self-reported information such as smoking habits, physical activity, history of severe childhood lung infection, biomass fuel use, and symptoms of COPD. Similarly, some of

the participants identified as COPD may have asthma-COPD overlap could be misclassified only as COPD. Due to the lack of overall information on COPD exacerbations GOLD ABCD patient assessment grading was not used. However, the diagnostic evidence used in this study is sufficient to consider these patients as having COPD.

In conclusion, the first-ever community-based study in western Nepal showed a potentially significant burden of COPD, and often undiagnosed. This finding also suggests the importance of population-based screening in similar low-resource settings to identify undiagnosed COPD patients. As many people are living with COPD, comprehensive actions are needed focusing on prevention and early diagnosis. The already proven strategies like reducing the exposure to biomass fuels, enhancing the use of cleaner fuels, and reducing direct and indirect exposure to tobacco smoke could help to reduce the burden of COPD in Nepal.

## Abbreviations

ATS, American Thoracic Society; BMI, body mass index; CI, confidence interval; COPD, chronic obstructive pulmonary disease; ERS, European Respiratory Society; FEV<sub>1</sub>, forced expiratory volume in 1st second; FVC, forced vital capacity; GOLD, Global Initiative for Chronic Obstructive Lung Disease; LLN, lower limit of normal; mMRC, modified Medical Research Council; NCD, non-communicable disease; OR, odds ratio; SD, standard deviation.

## Data Sharing Statement

Data are available upon reasonable request to the principal investigator Tara Ballav Adhikari.

## Ethical Approval and Informed Consent

The study was conducted in accordance with the Declaration of Helsinki. Ethical approval was obtained from the ethical review board of the Nepal Health Research Council (Approval number: 30-2019). Written informed consent was obtained from study participants.

## Consent for Publication

Not Applicable.

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## Disclosure

The authors declare that they have no competing interests.

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