

# Effectiveness of Neuromuscular Electrical Stimulation on Post-Stroke Dysphagia: A Systematic Review of Randomized Controlled Trials

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<sup>1</sup>Department of Physiotherapy, School of Medicine, College of Health Sciences, Mekelle University, Mekelle, Ethiopia; <sup>2</sup>Department Of Nursing Institute of Medicine, College of Health Sciences, DebreBerhan University, Debre Berhan, Ethiopia **Abstract:** The purpose of this review was to summarize the latest best scientific evidence on the efficacy of neuromuscular electrical stimulation on swallowing function in dysphagic stroke patients. A comprehensive systematic search of literature published between November 2014 and May 2020 was performed using the following electronic databases: PubMed/Medline, CINAHL, PEDro, Science Direct, Google Scholar, EMBASE, and Scopus. Only randomized controlled trials (RCT) evaluating the effect of neuromuscular electrical stimulation on swallowing function in dysphagic stroke patients were included. Physiotherapy Evidence Database (PEDro) has been used to evaluate the risk of bias of included trials. This review was reported in accordance with PRISMA statement guideline. The methodological quality of the studies was determined using PEDro scale and GRADE approach. Evidence of overall quality was graded from moderate to high. Eleven RCTs involving 784 patients were analyzed. The primary outcome measures of this review were functional dysphagia scale (FDS) and standard swallowing assessment. This review found neuromuscular electrical stimulation (NMES) coupled with traditional swallowing therapy could be an optional intervention to improve swallowing function after stroke in rehabilitation department.

**Keywords:** swallowing dysfunction, stroke, neuromuscular electrical stimulation; NMES, systematic review

#### Introduction

Dysphagia is an irregular swallowing pattern or bolus flow disturbance from the mouth to esophagus and is a serious problem in various neurological conditions.<sup>1,2</sup> Stroke is one of the most common neurological causes of dysphagia.<sup>1,3</sup> After a stroke, dysphagia is a major health problem observed during the first 2–4 weeks with a prevalence of 29–81%.<sup>3–5</sup> Dysphagia causes an increased risk of malnutrition, dehydration, aspiration pneumonia, and even death.<sup>3–7</sup> These complications can delay functional recovery and reduce quality of life when patients are unable to eat or drink.

Treatment of dysphagia relies on traditional swallowing training, behavioral training and pharmacological therapies that focus on enhancing sensory feedback from the oropharynx to the central pattern generator, strengthening the disused or pharyngeal musculature, preventing atrophy and reduced motor output from the

Correspondence: Abayneh Alamer Mekelle University, Ethiopia Tel +251922276256 Fax +251344416681/9 Email abayphysio@gmail.com central pattern generator, and minimizing symptoms through the use of compensatory postural adjustments. 8-11 Nowadays, numerous adjunctive treatment options have gained attention that may theoretically improve the recovery of dysphagia. These treatments include; repetitive transcranial magnetic stimulation and transcranial direct current stimulation, surface neuromuscular electrical stimulation (NMES), which is commonly used in rehabilitation department. 12-15

(NMES is widely used for the treatment of pain management, muscle strengthening and sensorimotor recovery. 16 NMES can be used to stimulate muscle contractions and/or activate sensory pathways through "motor", and "sensory stimulation" that cause a depolarization of the peripheral motor nerve, usually in the neuromuscular junction or motor end plate. 17–19 It also works peripherally on the neuromuscular system in an effort to reinforce damaged oropharyngeal musculature by using surface electrodes which trigger muscle contraction by depolarizing nerve fibers on neck muscles. 19-21 While it has been presumed that NMES can enhance the strength of pharyngeal muscles after stroke, its clinical efficacy remains uncertain. Three meta-analysis studies that examined the efficacy of NMES for dysphagia have been reported and the findings confirmed its use.<sup>22–24</sup> However, such meta-analysis included studies with different dysphagia etiologies and pooled data from studies with different outcomes. Conversely, two reviews reported that NMES alone was not preferable to swallowing therapy. <sup>23,25</sup> As a result, it is difficult to interpret the efficacy of NMES for post-stroke dysphagia. In addition, prior reviews had included nonrandomized controlled trials (RCTs), and limited number of articles which considerably, affect the strength of outcome. In view of these limitations in the previous studies, conclusive conclusions on NMES therapy could not be taken. Therefore, an updated review of recent and large numbers of RCTs should be conducted to evaluate the efficacy of NMES in the treatment of post-stroke dysphagia. The purpose of this review was to synthesize the efficacy of NMES on swallowing function in subjects with post-stroke dysphagia in a systematic manner on recent RCTs.

#### **Methods**

# Study Design

This systematic review was performed and reported in accordance with the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guideline.<sup>26</sup>

# Search Strategy

A literature review has been carried out to determine all qualifying RCTs. An online literature query was undertaken to recognize appropriate studies from the following databases; PubMed/MEDLINE, CINAHL, PEDro, Science Direct, Google Scholar, EMBASE, and Scopus. The keywords used to search journal articles were: "stroke/cerebrovascular accident/post-stroke", "Dysphagia", "swallowing difficulty", "NMES", and "RCTs". The retrieval of the studies for the articles was carried out between November 2010 and May 2020. Manual searches were also performed from the reference list of included documents.

# Eligibility Criteria

For inclusion the studies had to meet the following PICO criteria: All RCTs conducted to determine the efficacy of NMES on dysphagia in post-stroke patients. Only full-text articles published in English, in a peer reviewed journals have been included. Observational research, quasiexperimental studies and conference abstracts were excluded from this study. Studies involving patients in dysphagia with conditions other than stroke disorders have been eliminated from this review. Studies examined NMES, and/or NMES combined with conventional swallowing therapy irrespective of the duration of the intervention was provided or the outcome(s) measured were included. Comparison group of any conventional swallowing therapies (lingual-strengthening exercises, effortful swallowing training, laryngeal adduction-elevation exercises, pharmacological therapy, acupuncture therapy), placebo/sham stimulations or control were considered appropriate. Studies included were expected to declare an outcome indicator of swallowing functions and/or complication.

# Study Selection

One reviewer (A.A.) performed the electronic database searches and screened the titles and abstracts. Two reviewers (A.A. and H.M.) have retrieved and independently reviewed the potential eligible articles. The studies were retrieved in detail through methodological quality and data extraction tools. The third reviewer (F.N.) was there to solve the disagreements between the two reviewers.

### Risk of Bias in Individual Studies

The quality assessment of the individual studies was carried out by two independent reviewers on the basis

of the Physiotherapy Evidence Database (PEDro) scale. This scale is valid and reliable tool which used to evaluate the methodological consistency of the 10-item studies and contains the first item to assess the external validity of the studies.<sup>27,28</sup> The PEDro scale assesses the methodological quality of a study based on important criteria, such as concealed allocation, intention-to-treat analysis, and adequacy of follow up. These characteristics make the PEDro scale a useful tool to assess the methodological quality of physical therapy and rehabilitation trials. The overall quality of the evidence and the strength of recommendations have been evaluated using the GRADE approach.<sup>29</sup> The GRADE approach defines four levels of quality (high, moderate, low and very low). The overall evidence was downgraded based on the existence of five factors: limitations (due to risk of bias); quality of results; directness (e.g., whether participants are similar to those about whom conclusions are drawn); precision (i. e., sufficient data to produce narrow confidence intervals); and other (e.g., publication bias).

#### Data Extraction

Data were extracted by using the PICO approach: 1) Participants; post-stroke dysphagia/difficulty of swallowing; 2) Interventions - NMES and/or combined with conventional swallowing therapy; 3) Comparison; conventional swallowing therapies (lingual-strengthening exercises, effortful swallowing training, laryngeal adduction-elevation exercises, pharmacological therapy, acupuncture therapy), placebo/sham stimulations were considered; and 4) Outcomes; swallowing functions and/or complication. Two reviewers (H.M. and A. A.) extracted the data independently and the extracted data were checked by the third author (F.N.). Disagreements were resolved by consensus between the three review authors. The following data were extracted from each RCT: Author's name and year of publication, stroke definition (Stroke and dysphagia severity measure, type and duration), number of participants in treatment and control group, types of treatments, both in experimental and control group, mean follow up time, mean age of the participants and treatment outcomes (baseline, follow-up and post-intervention). The effectiveness of interventions for each outcome, mean and standard deviations of the outcome measures at baseline, post- intervention, and during follow-ups were extracted.

#### Results

# Study Selection

A total of 852 articles were recognized by the searching strategy. After adjusting for duplicates 600 were remained. After title and abstract screening of studies, 520 studies were expelled. After full content screening out of 40 articles, 11 RCTs were included in this review. (Figure 1.)

#### Characteristics of Included Studies

These studies have been published between 2014 through 2019. All eleven studies evaluated the efficacy of NMES on swallowing functions of subjects with post stroke dysphagia. The detailed descriptions on characteristics and results of the included trials were presented in Table 1. The synthesized characteristics and outline of the results about the included studies, based on PICO standard, are shown below.

### **Participants**

Eleven RCTs with a total of 784 individual participants were analyzed. The mean age of participants in the experimental groups ranged from 54 (11.9)<sup>30</sup> to 66.2 (15.6)<sup>31</sup> and 55.8 (12.2)<sup>30</sup> to 66.1 (13.1)<sup>32</sup> in controlled groups. The mean duration of the stroke ranges 15.7 (6.2) hours<sup>33</sup> to 35.4 (5.4) weeks<sup>30</sup> in the experimental groups and 16.0 (5.9) hours<sup>33</sup> to 36 (6) weeks<sup>30</sup> in the controlled groups. The sample size of the participants in the included studies was ranged from 29 to 135 individuals, both in the experimental and control groups. <sup>34,35</sup>

#### Interventions

Studies comparing the effectiveness of nNMES, and/or conventional swallowing therapy with controlled group; conventional swallowing therapies, and/or placebo/sham stimulations were considered. The treatment duration ranged from 10–60 minutes for each session, 35,36 2–5 times per week 33,34,37 for a 2–6 week period. 30,38

#### Outcome Measures

Data were extracted for the following outcomes: swallowing function and/or complications. The primary outcome measures of this review were functional dysphagia scale (FDS), video fluoroscopy dysphagia scale (VFDS) and standardized swallowing assessments (SSA). Of all included studies, 6 studies evaluated swallowing function

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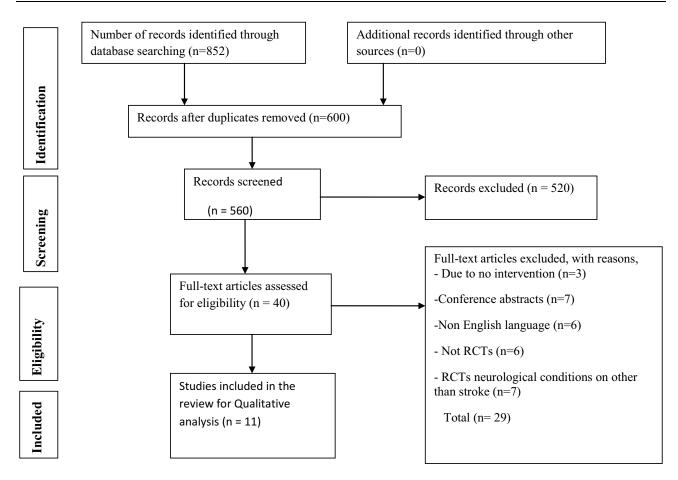


Figure I Preferred reporting items for systematic reviews and meta-analyses (PRISMA) diagram.

by using PAS.<sup>30,34–36,38,39</sup> Four studies evaluated swallowing function by using VFDS,<sup>30,32,38,40</sup> and only three studies used FDS.<sup>34,35,38</sup>

#### Risk of Bias Within Studies

The summary of risk of bias within individual studies and its score is presented in Table 2. The PEDro score of all included studies was ranged from 5 to 9, with the mean score of 7. The overall methodological quality of the evidence was ranged from moderate to high. All included studies randomized the participants to experimental and controlled groups. All included studies had been evaluated group comparisons and point measures variability for at least one key outcome. Only two studies blinded the participants and therapists whereas; four studies blinded the assessor. <sup>32,34,36,39</sup> Five studies had lost to adequate follow up, <sup>30,32-35</sup> and six studies were assessed using intention to treat analysis. <sup>30,31,34,39,40</sup> For all included studies the potential source of bias was blinding the participant, therapist, and assessor.

# Effects of NMES on Swallowing Functions

Eleven RCTs; with a total of 784 individual participants were evaluated the post treatment effect of NMES on swallowing function in post-stroke dysphagia patients. Out of 11 studies, 10 of them (n=748) confirmed that NMES had increased swallowing function of post-stroke dysphagia patients compared to the control groups in all outcome measures. 30,33-35,37-40 However, one study (n=36) indicated that the NMES had no observed differences between the experimental and control groups. 36

# **Complications**

On combining the results of all studies, no complications were reported.

#### **Discussion**

The purpose of this systematic review was to update and synthesize the most recent evidence on efficacy of NMES on swallowing function in subjects with post-stroke dysphagia. To the extent of our knowledge; there was lack of

Table I Summary of Included Randomized Controlled Trials

Authors (Year)	Participants Characteristics	Interventions	Outcome Measures	Results/Conclusions		
Park et al (2016) <sup>30</sup>	Total of 50 participants: EG = 25 and CG = 25 Mean age (years): EG = 54 (11.9), CG = 55.8 (12.2) Time since onset (weeks): EG = 35.4 (5.6), CG = 36 (6)	EG = received NMES for 30 min per session, 5 times per week, for 6 weeks. CG = placebo/shame therapy for 30 min per session, 5 sessions per week, for 6 weeks.	VDS PAS VFSS	The experimental group revealed a significant increase in anterior and superior hyoid bone movement and the pharyngeal phase of the swallowing function.		
Zeng et al (2018) <sup>31</sup>	Total of 112 participants: EG (n = 59); CG (n = 53) Mean age (years): EG = 67.9 (12.3); CG = 66.1 (13.0) Time since onset (days): not stated	EG = received conventional drug therapy, swallowing training and NMES performed once daily over a 20-minute period in intervals of three seconds for 12 days followed by a two-day break and then another 12-day course of treatment.  CG = conventional drug therapy and swallowing training	Kubota water- drinking test	The rate of improvement in swallowing function was 88.1% in the treatment group while 69.8% in the control group. Patients with stroke and dysphagia could be minimized by neuromuscular electrical stimulation in conjunction with conventional therapy.		
Li et al (2018) <sup>32</sup>	Total of 135 participants: EG1; (n = 45), and EG2 (n = 45), CG (n = 45) Mean age (years); EG1 = 65.8 (13.2), EG2 = 66.7 (14.6); CG = 66.1 (13) Duration (days): EG1 = 9.3 (3.9), EG2 = 8.4 (3.2); CG = 8.8 (3.7)	EGI = received NMES for Ihr 5 times per week for 4 weeks duration. EG2 = received NMES plus TDT for I hour at a frequency of 5 per week for 4 week duration. CG = received TDT included basic training and direct food intake training for I hour at a frequency of 5 per week for 4 week duration.	SSA VFSS VAS	There were significant differences in SSA and VFSS scores in each group after the treatment (P<0.001). After 4-week treatment, SSA value, oral transit time, and pharyngeal transit time were significantly improved in the NMES and the traditional swallowing therapy group than in the other 2 groups (P< 0.001). NMES coupled with traditional swallowing therapy may be beneficial for post-stroke dysphagia.		
Zhao et al (2015) <sup>33</sup>	120 total participants: EG = 62 CG = 58 Mean age (years): EG = 63.2 (9.7) CG = 60.2 (9.0) Duration (hours); EG = 15.7 (6.2) CG = 16.0 (5.9)	EG = received NMES combined with acupuncture for 30 minutes each time twice a day. CG = given normal acupuncture	Kubota water- swallow test	NMES combined with acupuncture for dysphagia after stroke shows better therapeutic effect than the ordinary acupuncture.		
Lim et al (2014) <sup>34</sup>	47 total participants: EGI = 18, EG2 = 14, CG = 15 Mean age (years): EGI = 66.3 (15.4), EG2 = 59.8 (11.8), CG = 62.5 (8.2) Duration (days) EGI = 37.3 (16.1), EG2 = 3 0.3 (14.8), CG = 34.4 (10.1)	EGI = received NMES, to the anterior neck for 30 minutes per session (5 days per week for 2 weeks). EG2 = received rTMS at100% resting motor threshold with I Hz frequency for 20 minutes per session (5 days per week for 2 weeks). Both EG groups were given conventional dysphagia therapy for 4 weeks. CG = received CDT four 4 weeks	FDS PTT PAS ASHA NOMS	Mean changes in FDS and PAS for liquid during first 2 weeks in the rTMS and NMES groups were significantly higher than those in the CDT group, but no significant differences were found between the rTMS and NMES group. No significant difference in mean changes of FDS and PAS for semi-solid, PTT, and ASHA NOMS was observed among 3 groups		

(Continued)

Table I (Continued).

Authors (Year)	Participants Characteristics	Interventions	Outcome Measures	Results/Conclusions  TS therapy and combined therapy both had significant swallowing improvement after therapy, with FOIS and PAS.  Among groups they found significant improvements in patients eating cookies and thick liquid after combined NMES/TS therapy (P < 0.05) with VFS.		
Huang et al (2014) <sup>35</sup>	29 participants; CG = 11 EG1 = 8, and combined EG2 = 10 Median age (years): CG = 67, EG1 = 64.5, EG2 = 68.9	EG1 = received electrical stimulation 60 minutes per session for 3 times per week. EG-2 = received both TS and NMES for 3 times per week (60 minutes per session), and 10 sessions. CG received therapies included oral exercise, chin tuck, head tilt, and head rotation, faucial thermal–tactile stimulation and supraglottic swallowing, effortful swallowing and Mendelsohn maneuver.	FOIS PAS FDS			
Vasant et al (2016) <sup>36</sup>	Total of 36 patients: EG = 18; CG = 18 Median age (years): EG=71 (56,79); CG = 71 (61, 78) Median Time post stroke (days): EG = 16 (9, 23) CG = 11 (7, 17)	EG = received 3 sessions of PES/NMES plus CDT for 10 minutes on 3 consecutive days. CG=received sham stimulation plus CDT (standard swallowing treatments) for the same period.	DSR PAS	Effects of EG versus sham for secondary outcomes: PAS ≥3 at 2 weeks, OR (95% CI) = 0.6 (0.2, 1.4); times to hospital discharge, 39 days versus 52 days, HR (95% CI) = 1.2 (0.5, 2.5); NGT removal 8 versus 14 days, HR (95% CI) = 2 (0.5, 7.9); and DSR <4 at 3 months, OR (95% CI) = 0.9 (0.1, 7).  Although the direction of observed differences were consistent with PES accelerating swallowing recovery over the first 2 weeks post intervention, suboptimal recruitment prevents definitive conclusions.		
Lee et al (2014) <sup>37</sup>	57 total participants: EG = 31, CG = 26 Mean age (years): EG = 63.4 (11.4), CG = 66.7 (9.5) duration since onset (Days) EG = 5.5 (2.1) CG = 6.3 (2.1)	EG = received NMES combined with TDT for 30 minutes5 days per week for 3 weeks.  CG = received TDT (thermal-tactile stimulation with any combination of lingual-strengthening exercises, laryngeal adduction-elevation exercises, effortful swallow maneuver, Mendelsohn and Masako maneuver) for 60 minutes every day for 15 days.	FOIS	NMES group showed improvement of FOIS in all periods than the TDT group		
Lee et al (2019) <sup>38</sup>	Total 40 participants EG = 20, CG = 20 Mean age (years): EG = 66.2 (15.6), CG = 64.6 (12.8) Duration (days): EG = 16.3 (10.2), CG = 16.3 (10.2)	EG = received NMES plus CDT on masseter muscle and suprahyoid muscle simultaneously for 30 minutes each time, 2 times per day for a total of 20 sessions over 2 weeks.  CG = received NMES plus CDT only on suprahyoid muscle for 30 minutes each time, 2 times per day for a total of 20 sessions over 2 weeks.	VFSS PAS FDS	After 2 weeks of NMES, both groups showed improvement in scores of total FDS and pharyngeal phase FDS. Additionally, the study group showed improvement in oral phase FDS.		

(Continued)

Table I (Continued).

Authors (Year)	Participants Characteristics	Interventions	Outcome Measures	Results/Conclusions		
Sola et al (2017) <sup>39</sup>	Total of 50 participants: EGI = 21, EG2 = 20;CG = 21, Mean age (years): EGI = 67.9 (10.6), EG2 = 70.3 (8.4) CG = 68.9 (7.0) Duration (days) = EGI = 10.8 (8.7); EG2 = 1 1.0 (5.5); CG = 9.3 (5.1),	EGI = received SST +IEMT group's muscle training consisted of 5 sets/10 repetitions, twice-daily, 5 days/week for 3 weeks duration.  EG2 = received SST+ sham IEMT+ NMES; NMES consisted of 40-minute sessions, 5 days/week, at 80Hz for 3 weeks.  CG = received sham IEMT required no effort 5 sets/10 repetitions, twice-daily, 5 days/week for 3 weeks duration.	PAS Maximal inspiratory and expiratory pressures	Combining IEMT to SST was effective, improved respiratory muscle strength. Both IEMT and NMES were associated with improvement in pharyngeal swallowing security signs at the end of the intervention, but the effect did not persist at 3-month follow-up and no differences in respiratory complications were detected between treatment groups and controls.		
Konecny et al (2018) <sup>40</sup>	A total 108 post-stroke patients: EG = 54; CG = 54 Mean age (years): EG = 70 (7.3); CG = 69 (8.2) Time since onset (days): not stated	EG = received NMES of the suprahyoid muscles with a frequency of 60 Hz for 20 min a day, five days a week. CG = received standard OFR without ES	VFSS OTT PTT	The difference in duration of OTT after the therapy between the EG and CG was statistically significant (P=0.01). The difference in the duration of the PTT after the therapy between the EG and CG was also statistically significant (P = 0.01). The result is improved swallowing.		

Abbreviations: EG, experimental group; CG, control group; TDT, traditional dysphagia therapy; CDT, conventional dysphagia treatment; rTMS, repetitive transcranial magnetic stimulation; FDS, functional dysphagia scale; PTT, pharyngeal transit time; PAS, penetration-aspiration scale; ASHA NOMS, American Speech Language Hearing Association National Outcomes Measurement System; OFR, swallowing scale; orofacial rehabilitation; IEMT, inspiratory/expiratory muscle training; NMES, neuromuscular electrical stimulation; SST, standard swallow therapy; VFSS, video fluoroscopic swallowing studies; VDS, videofluoroscopy dysphagia scale; VAS, visual analogue scale; SSA, Standardized Swallowing Assessment; DSR, Dysphagia Severity Rating Scale.

studies that investigated the effectiveness of the NMES on swallowing function in post-stroke dysphagia patients in systematic manner from recent high quality RCTs.

Most of the included studies confirmed that NMES was effective on swallowing function in post-stroke dysphagic patients. The overall methodological quality of included studies was rated moderate to high quality based on GRADE approach. The overall effects of NMES on the swallowing function of post-stroke dysphagic patients were evaluated for different durations of intervention.

The effect of NMES on swallowing functions was evaluated in eleven studies. Ten studies have confirmed that NMES helps to improve swallowing function in post-stroke dysphagic patients. A study conducted by Lee 2014,<sup>37</sup> demonstrated that NMES showed a significant improvement on the FOIS after treatment. Both groups showed a significant improvement on the swallowing function following treatment on acute/sub acute dysphagic stroke patients. The FOIS score was significantly more improved at 3 and 6 weeks after baseline in the NMES/TDT group compared with the TDT group (p<0.05). Similarly, Huang et al's (2014)<sup>35</sup> study observed

substantial changes on swallowing functions in patients who had cookies and thick liquid after combined NMES/ TS therapy (P<0.05) compared to TS therapy alone. In addition, the study done by Lim et al, 2014<sup>34</sup> stated that NMES could induce an early significant swallowing recovery from dysphagia relative to the conventional dysphagia treatment (CDT) groups. Moreover; the mean changes in FDS and PAS for liquid during first 2 weeks in the NMES and rTMS groups were significantly higher than those in CDT group, but no significant differences were found between the NMES and rTMS groups. Nevertheless; no significant difference in mean changes of FDS and PAS for semi-solid, pharyngeal transit time American Speech-Language Association National Outcomes Measurement System (ASHA NOMS) was observed among the three groups (rTMS, NMES, and CDT groups).

Zhao et al (2015)<sup>33</sup> reported that NMES combined with acupuncture for dysphagia after stroke showed better therapeutic effect than the ordinary acupuncture. However, Vasant et al (2016)<sup>36</sup> showed that NMES combined with standard swallowing treatment reported that no difference was

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Table 2 Methodological Quality of Included Studies

PEDro Items	Parak et al, 2016 <sup>30</sup>	Zeng et al, 2018 <sup>31</sup>	Li et al 2018 <sup>32</sup>	Zhao et al, 2015 <sup>33</sup>	Lim et al, 2014 <sup>34</sup>	Huang et al, 2014 <sup>35</sup>	Vasant et al, 2016 <sup>36</sup>	Lee et al, 2014 <sup>37</sup>	Lee et al, 2019 <sup>3,8</sup>	Solà et al, 2017 <sup>39</sup>	Konecny, 2018 <sup>40</sup>
Eligibility	Yes	Yes	Yes	No	No	Yes	Yes	No	Yes	Yes	Yes
Random allocation	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Concealed allocation	Yes	No	Yes	No	No	Yes	No	Yes	No	Yes	No
Baseline comparability	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Blind participants	No	No	No	No	No	No	Yes	No	No	No	No
Blind therapists	No	No	No	No	No	No	No	No	No	Yes	No
Adequate follow-up	No	No	Yes	Yes	No	No	Yes	Yes	Yes	Yes	Yes
Blind assessor	No	No	Yes	No	Yes	No	Yes	No	No	Yes	No
Intention to treat analysis	Yes	Yes	Yes	No	Yes	No	No	No	Yes	Yes	Yes
Between group comparison	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Point estimate and variability	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Total score	6	5	8	5	6	5	7	6	6	9	6
GRADE Approach	Moderate	Moderate	High	Moderate	Moderate	Moderate	High	Moderate	Moderate	High	Moderate

observed between the interventional groups and control groups. This might be due to the fact that VFS measurement was not possible in all patients, given that a lack of a consistent test to determine dysphagia at baseline may have contributed to non-significant findings and difficulty of detecting clinically important treatment effect on the DSR. Similarly, a lack of a targeted number of participants in the final study (only reached 36% of its targeted number of patients), which led to a diminished ability of randomization to achieve balance on important prognostic factors. In contradiction to this, Jayasekeran et al (2010) study reported that pharyngeal electrical stimulation (PES) confirmed that it is a safe neurostimulation intervention that reverses swallowing disability after virtual lesion or stroke. This invariability might be due to the differences in the baseline characteristics of the participants between the trials.

A study by Park et al (2016)<sup>30</sup> confirmed that NMES combined with effortful swallowing was effective in improving the pharyngeal phase of swallowing, and hyoid movement in stroke patients with dysphagia compared to effortful swallowing groups. Likewise, Park's previous study (2012) confirmed its benefits for swallowing function of dysphagic stroke patients.<sup>41</sup> The possible explanation might be the high-intensity NMES cause a strong depolarization of skeletal muscles and acts as a positive factor in the recovery of muscles required for swallowing. The muscles involved in swallowing consist of a greater number of type II muscle fibers than type I, and NMES is a strong stimulus for motor unit recruitment of type II fibers and evokes a contraction. 42 A study done by Sola et al (2017)<sup>39</sup> confirmed that NMES improved swallowing functions in sub acute stroke dysphagic

patients, compared to standard swallow therapy, after 3-week intervention. But, no difference was observed at 3-month follow-up between the groups. This might be explained by the reversibility of the training effect and/or the natural evolution of dysphagia. 43,44

A study done by Konecny et al (2018)<sup>40</sup> showed that improvement of swallowing times (OTT and PTT) was significantly better in intervention group (NMES) compared to control groups. Despite this fact, the oral and PTTs improvement was observed in both groups after 4 weeks of therapy. Similar results were reported by two studies findings Permisirivanich et al<sup>45</sup> and Ludlow et al.<sup>46</sup> In contrast, Power et al<sup>47</sup> found no changes in swallowing function were observed with NMES treatment. Likewise, Li et al (2018)<sup>32</sup> suggested that NMES therapy combined with traditional swallowing therapy may be beneficial for post-stroke dysphagia. Similar findings were observed by two previous studies. 48,49 The possible explanation might be electrical stimulation can increase pharyngeal and laryngeal activities by increasing the contraction force of hyoid bone muscle.<sup>50</sup>

A study done by Zeng et al (2018)<sup>31</sup> reported that NMES and swallowing rehabilitation training together may significantly improve swallowing function which was consistent with the results of two similar studies conducted by Park et al,<sup>41</sup> and Lim et al.<sup>51</sup> A study done by Lee et al (2019)<sup>38</sup> suggested that the application of NMES on masseter muscle had a therapeutic effect on oral dysfunction of patients after sub acute stroke. This might be due to chewing functional activities of this muscle might play an important role in stimulating the initiation of the swallowing process.

Taken together; the result of one study may indicate that NMES did not have a beneficial effect on swallowing. Nevertheless, based on the findings of 10 similar studies, a more plausible explanation noted that NMES combined with conventional swallowing therapy had a significant improvement on swallowing function of dysphagic stroke patients compared to the control groups.

#### Limitations

This review had the following limitations: this review was included only English language articles. Hence; there might be a chance of missing articles published in non-English languages. Due to heterogeneity of the interventions, to perform a meta-analysis was not possible.

# Clinical Implication

This review suggests that NMES appears to result in improved outcomes swallowing function of dysphagia patients with stroke. Clinical decision making shall be based on the accessibility of NMES especially in resource-limited setting.

#### Conclusion

NMES has been found to improve the swallowing function of patients with dysphagia after stroke. Although this systematic review found that NMES is effective in improving swallowing function compared to other interventions, great attention is needed when NMES has been used for post- stroke dysphagic subjects such as; the course of disease duration and its severity. Further research should be conducted on NMES efficacy on chronic stroke patients with swallowing dysfunction.

# **Ethical Approval**

Ethical approval or patient consent was not required since the present study was a review of previous published literature.

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#### Disclosure

The authors declare there is no conflict of interest.

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