

# Periodontal Status and Microbiologic Pathogens in Patients with Chronic Obstructive Pulmonary Disease and Periodontitis: A Case–Control Study

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**Purpose:** To evaluate clinical periodontal status and microbiologic pathogens in patients with chronic obstructive pulmonary disease (COPD) and periodontitis.

**Patients and Methods:** We conducted a case–control study of 60 periodontitis patients with COPD (case group) and 60 periodontitis patients with normal pulmonary function (control group). Their periodontal status and respiratory function were clinically examined. Real-time polymerase chain reaction assays were used to measure five dental pathogens and four respiratory pathogens in subgingival dental plaque. Spearman's rank correlation coefficients ( $r^2$ ) were calculated to assess correlations of pathogens. Principal component analysis (PCA) was employed to assess the similarity of bacterial diversity between the two groups. Logistic regression was performed to examine the associations of periodontal variables and pathogens with COPD risk.

**Results:** COPD patients had fewer remaining teeth, higher plaque index (PLI), and more severe site percentages of clinical attachment level (CAL) than the controls. Although COPD patients tended to have relatively higher ranked means of *Porphyromonas gingivalis*, *Tannerella forsythensis*, *Treponema denticola*, and *Haemophilus influenza* than control participants, the differences were not significant. Some periodontal pathogens and respiratory pathogens were positively correlated with each other ( $r^2 = 0.29$  to  $0.47$ , all  $P < 0.05$ ). The PCA graph showed that the distributions of pathogens were more dispersed but less discriminated in the COPD group than those in the control group. PLI ( $P = 0.045$ ) and CAL  $\geq 5$ mm site percentages ( $P = 0.01$ ) were significantly associated with an increased risk of COPD, while pathogens were not associated with COPD.

**Conclusion:** Our results from this study do not indicate periodontal pathogens as potential predictors of COPD risk, despite significantly poor periodontal status associated with COPD.

**Keywords:** periodontal, COPD, bacteria, observational research

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## Introduction

Chronic Obstructive Pulmonary Disease (COPD) is one of the most common and costly respiratory diseases in the world. It is a leading cause of mortality globally.<sup>1</sup> A recent epidemiological investigation in a large population revealed that the overall prevalence of COPD was 8.6% in China.<sup>2</sup> The etiology of COPD is complex. Cigarette smoking, ambient air pollution, and parental history of respiratory diseases are some of the major risk factors for COPD. Inflammation induced by bacterial or viral infections is also an important contributor to the etiology of COPD. Respiratory pathogens, including *Streptococcus pneumoniae* (Sp),

*Klebsiella pneumoniae* (Kp), *Haemophilus influenza* (Hi), and *Pseudomonas aeruginosa* (Pa), are common bacterial causes of COPD exacerbation.<sup>3–5</sup>

The association between periodontitis and COPD has been recognized over the past two decades. Scannapieco et al analyzed the US nationwide data from the National Health and Nutrition Examination Surveys I & NHANES III and first reported an association between poor oral health (including oral hygiene index and periodontal attachment loss) and COPD.<sup>6,7</sup> A meta-analysis of 14 observational studies concluded that periodontal disease is an independent risk factor of COPD.<sup>8</sup> A recent five-year prospective cohort study found a positive association of periodontitis severity with the risk of COPD.<sup>9</sup> Another cohort study with 11,378 subjects also reported the positive association between oral health status and COPD.<sup>10</sup> However, whether there is a cause-and-effect relationship remains unclear.

The exact mechanisms to explain the association of periodontal disease and COPD are still unknown. Aspiration of dental plaque and blood dissemination of inflammatory mediators from periodontal pockets may be two major pathways to worsen the COPD inflammatory status.<sup>11</sup> Two studies suggested that respiratory pathogens from dental plaque serves as a reservoir of bacteria may cause pneumonia.<sup>12,13</sup> A case-control study indicated that the median sputum antibody levels of two organisms periodontal pathogens [*Fusobacterium nucleatum* and *Prevotella intermedia* (Pi)] were significantly higher in patients with acute exacerbation of COPD (AE-COPD) than in controls.<sup>14</sup> Tan's study identified some lung pathogens (including Kp, Pa, and Sp) from dental plaque samples in patients with AE-COPD.<sup>15</sup> A recent case-control study reported that the prevalence of dental pathogens and respiratory pathogens [*Porphyromonas gingivalis* (Pg), Kp, Pa, and Sp] in dental plaque was increased in participants with COPD.<sup>16</sup> In this regard, respiratory pathogenic bacteria and their metabolites from dental plaque may promote airway inflammation in COPD patients.

Periodontitis is a chronic inflammatory disease caused by numerous periodontal pathogens. Pg, *Tannerella forsythensis* (Tf), and *Treponema denticola* (Td) constitute a bacterial complex named the “red complex”, which is strongly associated with advanced periodontal lesions.<sup>17</sup> Pi is the most dominant periodontal pathogen commonly isolated from the periodontal abscess and increased in both COPD and periodontitis patients.<sup>18</sup> *Actinobacillus actinomycetemcomitans* (Aa) is recognized as a major pathogenic factor of aggressive periodontitis.

However, there is limited research on periodontal pathogens and respiratory pathogens from dental plaque in COPD patients. We hypothesized that specific periodontal pathogens and respiratory pathogens may play a role in COPD pathogenesis. Therefore, we conducted a case-control study of periodontitis patients with and without COPD to investigate clinical periodontal variables and microbiologic levels of dental pathogens and respiratory pathogens from subgingival dental plaque.

## Patients and Methods

### Study Population

From October 2018 to January 2020, we conducted a case-control study in which a total of 60 COPD patients and 60 controls with normal pulmonary function were recruited from the Stomatology Department and the Respiratory Department of Beijing Chao-Yang Hospital. All the participants had periodontitis. 654 control participants were selected from the clinical database of periodontitis and 60 were frequently matched with COPD patients in age, sex, body mass index, and smoking status.

All COPD cases were clinically diagnosed and confirmed by lung function examination for more than three years. Criteria used for the diagnosis of COPD and classification of severity were based on the Global Initiative for Chronic Obstructive Lung Disease (GOLD) spirometry guidelines.<sup>19</sup> Trained and certified technicians conducted lung function measurements using spirometry. Physician-diagnosed COPD from stage II to IV (moderate to very severe), post-bronchodilator forced expiratory volume in first second/forced vital capacity (FEV<sub>1</sub>/FVC) ratio <0.7 and FEV<sub>1</sub> < 80% of predicted value. Other inclusion criteria for cases and controls included: (1) aged 35 years and up with ≥ 15 teeth; and (2) Periodontitis from stage II to IV, criteria for diagnosing periodontitis stage were based on the new classification.<sup>20</sup>

Exclusion criteria for cases and controls: (1) having fever, worsening of respiratory symptoms, or medication change within the last month; (2) primary diagnosis of asthma; (3) history of lung volume reduction surgery, pneumonectomy, or lung transplantation; (4) history of any periodontal treatment in the last 6 months; (5) had received systemic antibiotic therapy within the previous 6 months.

Our study complied with the Declaration of Helsinki Ethical Principles for Medical Research Involving Human Subjects. The ethics committee of the Beijing Chao-Yang

Hospital approved the study protocol (Number: 2018-Science-276). Each patient provided written informed consent before enrollment.

## Periodontal Examination

Two trained dentists who were blinded to the patients' COPD status conducted periodontal examinations independently. Clinical examination included measurement of pocket depth (PD), location of the cemento-enamel junction (CEJ) to calculate clinical attachment level (CAL), bleeding index (BI), assessing of plaque index (PLI) and alveolar bone loss. PD and location of the CEJ were measured with a Williams periodontal probe at six sites for each tooth and recorded in millimeters. Gingival recession was recorded as a positive value if the free gingival margin occurred apical to the CEJ. CAL equals the distance from the CEJ to the pocket base (PD+ gingival recession = CAL). BI on probing was scored on a scale of 0 to 5 when any bleeding was visible.<sup>21</sup> PLI for each tooth was determined after air drying on a scale of 0 to 3.<sup>22</sup> Alveolar bone loss was examined by using full-mouth X-ray films. The examiner reliability was assessed of all the periodontal measurements with 20 patients. The correlations coefficients of agreement of PD and CAL were 0.90 and 0.94, respectively. The Kappa values of agreement of BI and PLI were 0.85 and 0.87, respectively.

## Sample Collection

We obtained subgingival dental plaque samples from four first molar teeth: 16,26,36,46 of each participant. If a first molar was missing, an adjacent tooth was scored. After removal of supra-gingival plaque, subgingival plaque samples were collected with sterile Gracey curettes from the mesial side of each tooth. The entire plaque sample of each participant was placed in an Eppendorf tube. The dental plaque samples were washed twice by 200  $\mu$ L of phosphate-buffered saline (0.1M, pH 7.4), centrifuged at 13,000 rpm for 5 minutes. The precipitates were stored at  $-20^{\circ}\text{C}$  until required for analysis.

## DNA Extraction

The dental plaque precipitate was processed for DNA extraction following the factory protocol (TIANamp bacteria DNA kit DP302, TIANGEN Biotech, Beijing, China). Briefly, the dental plaque precipitate was mixed with 180  $\mu$ L of lysis buffer (20 mM Tris-HCl buffer, pH

8.0; 2 mM Na<sub>2</sub>-EDTA; 1.2% Triton; supplemented with 20 mg/mL lysozyme) and incubated for 40 mins at  $37^{\circ}\text{C}$ , then mixed with 20  $\mu$ L of proteinase K and 220  $\mu$ L of GB buffer, vortexed for 15 sec and incubated for 10 mins at  $70^{\circ}\text{C}$ . After anhydrous ethanol precipitation, the mixture was loaded on the column and was washed, and finally DNA was eluted with 50  $\mu$ L of Tris-EDTA buffer (pH 8.0). The purified DNA samples from patients and controls were saved at  $-20^{\circ}\text{C}$  for later real-time polymerase chain reaction (PCR) as templates.

## Real-Time Polymerase PCR

Real-time quantitative PCR detections for five periodontal pathogens [*Porphyromonas gingivalis* (Pg), *Actinobacillus actinomycetemcomitans* (Aa), *Prevotella intermedia* (Pi), *Tannerella forsythensis* (Tf), and *Treponema denticola* (Td)] and four respiratory pathogens [*Haemophilus influenza* (Hi), *Streptococcus pneumonia* (Sp), *Pseudomonas aeruginosa* (Pa), and *Klebsiella pneumonia* (Kp)] and total common bacteria were performed in an ABI 7500 real-time PCR detection system (Applied Biosystems, Singapore).

The reactions for Pg, Aa, Pi, Tf, Td, Pa, and total common bacteria were performed with specific primers and probes synthesized by Invitrogen (Shanghai) CO. LTD. The forward primer, reverse primer, and probes sequences applied to each sample are shown in Table 1. The reaction system (25  $\mu$ L in total) was prepared with the 2 $\times$ SuperReal PreMix (Probe) according to the manufacturer's instruction (FP206, Tiangen, China) as follows: 1 $\mu$ L forward primer (10  $\mu$ M), 1 $\mu$ L reverse primer (10  $\mu$ M), 0.5 $\mu$ L probe (10  $\mu$ M), 2 $\mu$ L DNA template, 12.5 $\mu$ L 2 $\times$ SuperReal PreMix, and 8 $\mu$ L H<sub>2</sub>O. PCR was performed with an initial denaturation step at  $50^{\circ}\text{C}$  for 2 min and  $95^{\circ}\text{C}$  for 3 min, followed by 40 cycles of incubation at  $95^{\circ}\text{C}$  for 15 s, 55 or  $60^{\circ}\text{C}$  (based on the T<sub>m</sub> of primers) for 35s.

Sp, Hi, and Kp were detected by real-time PCR using commercial kits (JB20103, JB20104, JB20105; BioPerfectus technologies, Jiangsu, China).

## Statistical Analysis

SPSS statistical package (SPSS 26.0) was used for data analyses. Chi-squared test was used to compare characteristics between cases and controls for categorical variables. The distributions of continuous variables were examined using the Kolmogorov-Smirnov normality test. An independent sample *t*-test was used to compare

**Table 1** Sequences of Primers and Probes Used for Real-Time Quantitative PCR of Bacterial Pathogen Nucleic Acid Detection

Bacterium Name	Primer Sequence (5'→3')	Annealing Temperature (°C)
Pg	F: TTCGCTGTGGAAGCTTGACG R: ACTGACACTGAAGCACGAAG P: FAM- GCGTGGGTATCAAACAGGAT-TAMRA	60.0
Aa	F: TTACGCACATGTCCGAGCAAG R: AGCCATGGTGTGATTGAGCGT P: FAM- AATCGTCGCTTGTGAGGCG-TAMRA	60.0
Pi	F: AGATATCATGACGAACTCCGATTGC R: CGAGCTTAAGCGTCAGTAACACT P: FAM -CCGTACGCTGCCTTC-TAMRA	60.0
Tf	F: TGGTCCGTGTCTCAGTACC R: TTGCGGATGGGCATGCGTA P: FAM- CCATTAGGTAGTTGGTGAGG-TAMRA	60.0
Td	F: CCGAATGTGCTCATTTACATAAAGGT R: GATACCCATCGTTGCCTTGGT P: FAM- ATCTCAGTCCCAATGTGTCC-TAMRA	60.0
Pa	F: TTGGCACCTTCACCGGAAG R: ATGGAAATGCTGAAATTCGG P: FAM- TTGGAGGAGCAACCCACAGC-TAMRA	60.0
Total	F: GGTAAGGTTCTTCGCGTTGC R: GATTAGATACCCTGGTAGTCCA P: FAM-AACCACATGCTCCACC-MGB	55.0

**Abbreviations:** PCR, polymerase chain reaction; F, forward primer; R, reverse primer; P, probe; Pg, *Porphyromonas gingivalis*; Aa, *Actinobacillus actinomyces-*  
*temcomitans*; Pi, *Prevotella intermedia*; Tf, *Tannerella forsythensis*; Td, *Treponema denticola*; Pa, *Pseudomonas aeruginosa*.

characteristics between cases and controls for normally distributed continuous variables. We transformed the bacterial numbers to their natural logarithm since they were exponential. Because the natural logarithms of bacterial species were abnormally distributed, we used Wilcoxon signed rank-sum test to compare them. Spearman's rank correlation coefficients were calculated to assess the correlations of periodontal and respiratory pathogens. Principal component analysis (PCA) was applied to visualize similarities or differences in the bacterial diversity between COPD cases and controls. R (R Core Team, 2019, version 3.6.1) and Package gglplot2 (Springer-Verlag, 2016, version 3.2.0) were used for PCA statistical testing and graph generation. Logistic regression was performed to calculate the odds ratio (OR) and 95% confidence interval (CI) for evaluating the associations of pathogens and clinical periodontal variables with risk of COPD with adjustment for age, gender, body mass index, and smoking status.

## Results

### Demographic Characteristics

Table 2 shows the basic demographic characteristics of the study population. The mean age of the COPD group was 63.1±10.1 years; 81.7% were male; the mean age of the control group was 60.0±9.4 years; 63.3% were male. COPD patients and control participants were frequently matched in age, sex, body mass index, smoking status, smoking pack-years, smoking cessation time, residence place, marriage status, and living status (all  $P > 0.05$ ).

### Lung Function and Periodontal Health Status

Table 3 shows the lung function and clinical periodontal indexes of the study population. COPD patients had much worse lung function compared with the participants of the control group (FEV<sub>1</sub>% of predicted: 61.0±19.9 vs 94.6±7.6; FEV<sub>1</sub>/FVC: 0.53±0.11 vs 0.77±0.04, all  $P < 0.0001$ ).

**Table 2** Demographic Characteristics in COPD Case and Control Groups

Characteristics	COPD Group (n=60)	Control Group (n=60)	P-value
Age (y) (Mean±SD)	63.1±10.1	60.0±9.4	0.97
BMI (kg/m <sup>2</sup> ) (Mean±SD)	25.7±4.2	24.9±3.3	0.57
Gender [n (%)]			0.07
Male	49 (81.7)	38 (63.3)	
Female	11 (18.3)	22 (36.7)	
Smoking status [n (%)]			0.88
Non-smoker	14 (23.3)	17 (28.3)	
Former smoker	30 (50.0)	29 (48.3)	
Current smoker	16 (26.7)	14 (23.3)	
Smoking pack-years (Mean±SD)	8300±4000	6200±3200	0.21
Quit smoking time (y) (Mean±SD)	9.6±5.3	10.7±5.9	0.38
Place of residence [n (%)]			0.54
Urban	53 (88.3)	55 (91.6)	
Rural	7 (11.7)	5 (8.3)	
Marriage status [n (%)]			0.73
Single	5 (8.3)	4 (6.7)	
Married	55 (91.6)	56 (93.3)	
Living status [n (%)]			0.70
Living alone	4 (6.7)	3 (5.0)	
Living with family	56 (93.3)	57 (95.0)	

**Notes:** P value obtained from Student's *t*-test for continuous variables and Chi-squared test for categorical variables.

**Abbreviations:** COPD, chronic obstructive pulmonary disease; BMI, body mass index.

COPD patients had fewer remaining teeth ( $23.8 \pm 5.2$  vs.  $26.0 \pm 3.6$ ,  $P = 0.03$ ), higher PLI ( $2.39 \pm 0.64$  vs.  $1.98 \pm 0.51$ ,  $P = 0.02$ ), and more severe site percentages of CAL (CAL  $\geq 5$ mm site:  $30.3 \pm 15.5$  vs.  $19.1 \pm 10.4$ ,  $P = 0.02$ ) than the controls with normal pulmonary function. There were no significant differences in other periodontal parameters (PD, CAL, BI, alveolar bone loss, and PD  $\geq 5$ mm site percentages) between COPD patients and controls.

## Periodontal Pathogen and Respiratory Pathogen from Subgingival Dental Plaque

Figure 1 presents the ranked mean of log-transformed values (natural logarithms) of five periodontal pathogens and four respiratory pathogens from subgingival dental plaque in the COPD group and control group. There were no significant differences in the ranked means of the nine pathogens (Pg, Aa, Pi, Tf, Td, Hi, Sp, Pa, and Kp) between COPD patients and controls (all  $P > 0.05$ ), although COPD patients tended to have higher ranked means of Pg, Tf, Td, and Hi than controls.

Table 4 presents the Spearman correlation coefficients between five periodontal pathogens and four respiratory

pathogens in control participants. Pg was positively correlated with Hi; Pi was positively correlated with Tf, Td, and Hi; Tf was positively correlated with Hi and Kp; Hi was positively correlated with Pa; Sp was positively correlated with Pa. Their Spearman correlation coefficients were weak or moderate, ranging from 0.29 to 0.47, respectively (all  $P < 0.05$ ).

Figure 2 shows the principal component analysis (PCA) graph of bacterial diversity of five periodontal pathogens and four respiratory pathogens. Pathogens in the COPD group distributed more dispersedly than the control group, but the graph revealed no significant discrimination of these pathogens between COPD and control groups.

## Multivariate-Adjusted Analyses of Pathogen and Periodontal Variables with COPD

We used logistic regression analyses to identify the variables as potential predictors of COPD risk. Table 5 presents the adjusted ORs and 95% CIs of COPD in relation to Pg and periodontal variables. After adjusted for age, gender, body mass index, and smoking status in logistic regression models,



**Table 3** Lung Function and Periodontal Indexes in COPD Case and Control Groups

Clinical Parameters	COPD Group (n=60)	Control Group (n=60)	P-value
Lung function (Mean±SD)			
FEV <sub>1</sub> % of predicted	61.0±19.9	94.6±7.6	<0.0001*
FEV <sub>1</sub> /FVC	0.53±0.11	0.77±0.04	<0.0001*
Periodontal index (Mean±SD)			
Number of remaining teeth	23.8±5.2	26.0±3.6	0.03*
Probing depth (PD) (mm)	3.29±0.55	3.20±0.57	0.51
Clinical attachment level (CAL) (mm)	5.15±1.04	4.93±0.77	0.35
Bleeding index (BI)	2.45±0.54	2.42±0.62	0.86
Plaque index (PLI)	2.39±0.64	1.98±0.51	0.02*
Alveolar bone loss	1.73±0.56	1.68±0.52	0.72
Severe site percentages			
PD ≥ 5mm site percentages	13.0±5.5	12.7±6.3	0.77
CAL ≥ 5mm site percentages	30.3±15.5	19.1±10.4	0.02*

**Notes:** P value obtained from Student's t-test. \*P<0.05 statistically significant.

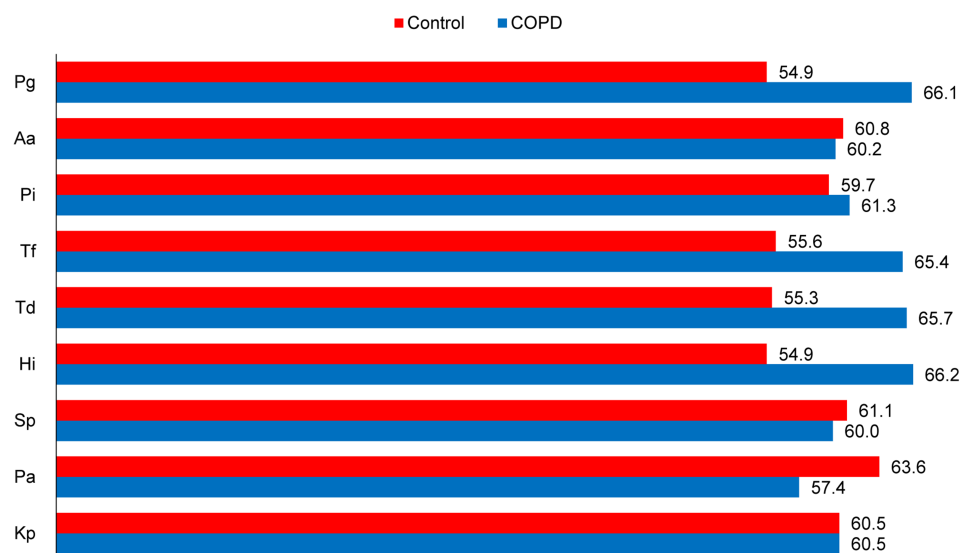
**Abbreviations:** COPD, chronic obstructive pulmonary disease; FEV<sub>1</sub>, forced expiratory volume in one second; FVC, forced vital capacity.

PLI ( $P = 0.045$ ) and CAL≥5mm site percentages ( $P = 0.01$ ) were significantly associated with COPD, whereas Pg ( $P = 0.09$ ) and other pathogens were not significantly associated with COPD (data not shown except Pg).

## Discussion

In this case–control study of 60 COPD patients and 60 controls, we found that COPD patients appeared to have poor periodontal status as reflected by fewer remaining

teeth, higher plaque index and more severe site percentages of CAL than controls with normal pulmonary function. These findings are consistent with our former study<sup>23</sup> and a five years follow-up cohort study.<sup>9</sup> A recent descriptive study suggested that the prevalence of periodontal disease was higher among those with COPD compared to non-COPD controls.<sup>24</sup> Another case–control study reported that oral health status was associated with self-reported respiratory health.<sup>25</sup> These results confirm the



**Figure 1** Mean ranks of natural log-transformed values of each bacterial species between COPD case and control groups.

**Note:** Since the log-transformed values of each bacterial species were abnormally distributed, Wilcoxon signed rank sum test was used to compare the median difference between case and control groups (n=60 in each group, all  $P > 0.05$ ).

**Abbreviations:** COPD, chronic obstructive pulmonary disease; Pg, *Porphyromonas gingivalis*; Aa, *Actinobacillus actinomycetemcomitans*; Pi, *Prevotella intermedia*; Tf, *Tannerella forsythensis*; Td, *Treponema denticola*; Pa, *Pseudomonas aeruginosa*; Sp, *Streptococcus pneumoniae*; Hi, *Haemophilus influenzae*; Kp, *Klebsiella pneumoniae*.

**Table 4** Spearman Correlation Coefficients for Correlations Between Periodontal and Lung Pathogens Among Controls

	Periodontal Pathogens					Lung Pathogens			
	Pg	Aa	Pi	Tf	Td	Hi	Sp	Pa	Kp
Pg		0.11	0.13	0.23	0.14	<b>0.29*</b>	0.03	-0.13	0.03
Aa			-0.02	0.18	0.18	0.20	0.23	0.11	-0.12
Pi				<b>0.32*</b>	<b>0.33**</b>	<b>0.45**</b>	0.21	0.24	0.14
Tf					0.12	<b>0.41**</b>	0.10	0.06	<b>0.29*</b>
Td						0.24	0.25	0.15	0.15
Hi							0.13	<b>0.32*</b>	0.22
Sp								<b>0.47**</b>	0.13
Pa									0.13
Kp									

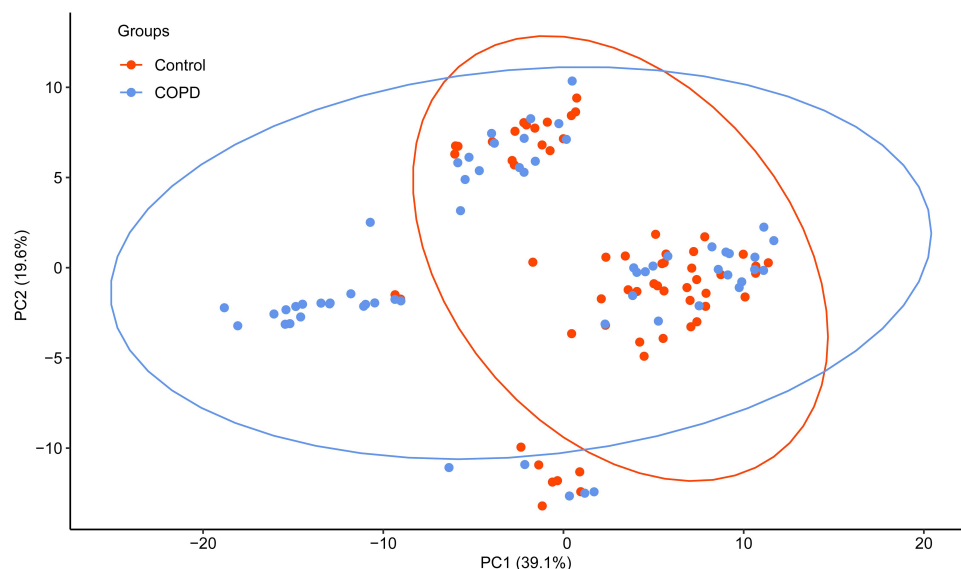
**Notes:** The bold values indicate the Spearman correlation coefficients between pathogens were statistically significant; \*\*P < 0.01 statistically significant; \*P < 0.05 statistically significant.

**Abbreviations:** Pg, *Porphyromonas gingivalis*; Aa, *Actinobacillus actinomycetemcomitans*; Pi, *Prevotella intermedia*; Tf, *Tannerella forsythensis*; Td, *Treponema denticola*; Pa, *Pseudomonas aeruginosa*; Sp, *Streptococcus pneumoniae*; Hi, *Haemophilus influenzae*; Kp, *Klebsiella pneumoniae*.

association between periodontitis and COPD. Our data shows although COPD patients tended to have relatively higher ranked means of Pg, Tf, Td, and Hi than controls, the differences did not reach statistical significance. Surprisingly, all the five dental pathogens and four respiratory pathogens from subgingival dental plaque in the study showed no significant differences between the two groups. In contrast, other studies have suggested that COPD patients have more prevalence of Pg and an increased abundance of Pi.<sup>16,18</sup> These inconsistent results might have been produced by study heterogeneity due to differences in study design, statistical handling, or patient populations. While the oral cavity is a reservoir of COPD

pathogens and the method to take an oral sample is simple, the number of respiratory pathogens in dental plaque should be lower than in sputum and bronchial lavage fluid.

We found some positive associations between periodontal and respiratory pathogens, such as Pi were positively but moderately correlated with Td and Hi. There are over 500 bacteria species living in the microenvironment of the oral cavity. Interactions between periodontal and respiratory pathogens may lead to further inflammation. A famous bacterial complex named the “red complex” which composed of Pg, Tf, and Td has been strongly associated with severe periodontal lesions and causes alveolar bone resorption. Previous research also detected some lung pathogens from dental plaque

**Figure 2** Principal component analysis of bacterial diversity in dental plaque in COPD case and control subjects.

**Note:** COPD case and control subjects are colored in blue and red, respectively (n=60 in each group).

**Abbreviation:** COPD, chronic obstructive pulmonary disease.

**Table 5** Multivariate-Adjusted ORs and 95% CIs of COPD Risk in Relation to Three Periodontal Variables

Periodontal Variables	OR	95% CI	P-value*
Pg	0.91	0.82–1.02	0.09
Plaque index (PLI)	3.55	1.03–12.2	0.045*
CAL $\geq$ 5mm site percentages	1.05	1.01–1.10	0.01*

**Notes:** The logistic regression analyses were adjusted for age, gender, body mass index and smoking status. \*P < 0.05 statistically significant.

**Abbreviations:** OR, odd ratio; CI, confidence interval; COPD, chronic obstructive pulmonary disease; Pg, *Porphyromonas gingivalis*; CAL, clinical attachment level.

samples in patients with AE-COPD.<sup>15</sup> It's natural to find a positive association between periodontal and respiratory pathogens.

The PCA graph of five periodontal pathogens and four respiratory pathogens showed their poor capabilities to discriminate correctly between COPD cases and controls. The distribution of pathogens in the COPD group was more dispersed than the control group. This may be due to the fact that our samples were obtained from subgingival plaque in which the periodontal pathogens showed higher aggregation than respiratory pathogens.

Although the amount of Pg and other periodontal pathogens failed to predict COPD risk, periodontal measures including PLI and CAL $\geq$ 5mm site percentages were robustly and significantly associated with COPD. The data confirmed that periodontal disease is an independent risk factor of COPD. The findings are in agreement with our previous study, which reported a positive association between periodontal indexes and lower quality of life in COPD patients.<sup>26</sup> A recent review also supported that periodontitis and COPD could be pathologically associated and reduction in dental plaque biofilms appears to reduce the risk for AE-COPD.<sup>27,28</sup> Further studies should be conducted to reveal the shared pathophysiological mechanisms underlying the association of periodontal disease and COPD.

The present study is one of the few studies to specifically evaluate the microbiologic pathogens in COPD and periodontitis patients. Although no positive results were obtained, our study did a useful exploration to reveal the correlation between COPD and clinical periodontal variables. Nonetheless, several limitations merit consideration. First, this study had a relatively small number of patients in each group. Second, the COPD patients in our study were all in stable status, the dominant bacteria in COPD exacerbations (such as Sp, Kp, Pa) may decrease than exacerbated COPD. Third, the respiratory microbiologic pathogen samples from dental plaque may less representative than those samples from sputum or bronchial lavage on COPD. Finally, due to observational study nature, we

cannot completely rule out the possibilities of false negative results and residual confounding.

## Conclusion

The findings from our case-control study suggest that periodontal pathogens may not be potential predictors of COPD risk, although COPD patients appeared to have poor periodontal health status. Our results do not support the clinical usefulness of microbiological profiles from periodontal plaque in predicting COPD risk. With current rapid development in the field of microbiota, further studies are required to clarify the role of pathogens from dental plaque in the etiology and progression of COPD.

## Abbreviations

COPD, chronic obstructive pulmonary disease; Pg, *Porphyromonas gingivalis*; Aa, *Actinobacillus actinomyces-temcomitans*; Pi, *Prevotella intermedia*; Tf, *Tannerella forsythensis*; Td, *Treponema denticola*; Pa, *Pseudomonas aeruginosa*; Sp, *Streptococcus pneumoniae*; Hi, *Haemophilus influenzae*; Kp, *Klebsiella pneumoniae*; AECOPD, acute exacerbation of chronic obstructive pulmonary disease; GOLD, global initiative for chronic obstructive lung disease; FEV<sub>1</sub>, forced expiratory volume in one second; FVC, forced vital capacity; PD, probing depth; CEJ, cemento-enamel junction; CAL, clinical attachment level; BI, bleeding index; PLI, plaque index; PCR, polymerase chain reaction; PCA, principal component analysis; OR, odds ratio; CI, confidence interval; BMI, body mass index.

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## Disclosure

The authors report no conflicts of interest in this work.

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