#### REVIEW

## Anti-Cancer and Other Biological Effects of a Dietary Compound 3,3'-Diindolylmethane Supplementation: A Systematic Review of Human **Clinical Trials**

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#### Dagnachew Eyachew Amare

Department of Environmental and Occupational Health and Safety, Institute of Public Health, College of Medicine and Health Sciences, University of Gondar, Gondar, Ethiopia

Objective: To systematically review the human clinical trial published studies regarding a dietary compound 3,3'-diindolylmethane (DIM) clinical efficacy towards the prevention and treatment of cancer and other diseases, its bioavailability, safety, and consistency of the results.

Methods: An electronic literature search of PubMed database (14), Cochrane Clinical Trials library (3), and Google Scholar (5) from inception to Feb 2020 was conducted. All the in vitro, animal, epidemiological, and review studies of DIM were excluded. Twenty-two randomized or controlled human clinical trials with prospective/retrospective studies published in the English language and that involved DIM intervention on human participants were extracted.

Results: DIM has increased estrogen metabolism, decreased androgen-specific antigen, upregulated BRCA1 expression, and increased androgen hormone-binding globulin. This suggests that DIM may have a promising beneficial role as a chemo-preventive supplement for breast and prostate cancers. DIM has shown some clinical efficacy to treat cervical/ prostate dysplasia, human papilloma-virus, and warts.

**Conclusion:** The absence of clinical evidence about DIM efficacy to treat prostate or breast cancer patients is the concern as this dietary compound is being advocated as a supplement in the market to treat these disease conditions. The maximum DIM intervention time for breast and prostate cancer patients was 28 days and 12 months, respectively, and most of the prospective trials were targeting DIM biological fate, than adequately addressing DIM efficacy in treating breast or prostate cancer.

Keywords: 3,3'-diindolylmethane, cancer, cruciferous vegetables, human clinical trials, indole-3-carbinol, supplementation

#### Introduction

Correspondence: Dagnachew Eyachew Amare

Department of Environmental and Occupational Health and Safety, Institute of Public Health, College of Medicine and Health Sciences, University of Gondar, P. O. Box 196, Gondar, Ethiopia Tel +251-939406194 Email dagnachew.eyachew@gmail.com



Cruciferous vegetables such as Brussels sprouts, broccoli, kale, cabbage, and cauliflower are rich sources of dietary bioactive compounds, namely, indole-3-carbinol (I3C) and its major metabolite 3,3'-diindolylmethane (DIM). Chewing or chopping cruciferous vegetables results in the hydrolysis of the glucosinolate glucobrassicin into indole-3-carbinol catalyzed by the enzyme myrosinase.<sup>1</sup> Glucosinolate and myrosinase are stored intact in separate plant cell compartments and come together only after cell rupture. Under acid-catalyzed reaction conditions in the stomach,

indole-3-carbinol degrades to several bioactive condensation products among the most known are 3,3'diindolylmethane (DIM) and indolo(3,2-b)carbazole (ICZ).<sup>2-6</sup> Other oligomers, namely, the cyclic trimer 5,6,11,12,17,18-hexahydrocyclonona(1,2-b:4,5-b':7,8-b") tri-indole (CTr), a cyclic tetramer CTet, the first linear trimer (LTr1), and the second linear trimer (LTr2).<sup>2-4</sup>

Dietary consumption of cruciferous vegetables is associated with a variety of beneficial biological activities. It appears most of the I3C biological activities are result from its bioactive products, as I3C is virtually converted in the gut acidic environment.<sup>2,3,7</sup> Most of the biomedical research on indole-3-carbinol and 3,3'-diindolylmethane were conducted using animals and human cultured cells. More than a thousand studies related to indole-3-carbinol and its major derivatives exposure on human cultured cells and animals have reported significant health benefits, such as chemo-prevention and therapeutic effects.

Indole-3-carbinol mainly 3.3'its derivative diindolylmethane has received considerable attention for having properties of anti-breast cancer.<sup>8-10</sup> anti-prostate cancer,<sup>10-13</sup> detoxification of toxicants,<sup>14,15</sup> induction of apoptosis,<sup>16–18</sup> prevent bone weakness<sup>19–21</sup> and anti-human papillomavirus.<sup>22,23</sup> Indole-3-carbinol and its major derivatives are the topics of ongoing research since 1975 when it was first reported that I3C increases the metabolism of carcinogenic chemicals.<sup>24,25</sup> Later on, several studies have shown that most of the biological activities of indole-3-carbinol are attributed to its condensation products. Among these, 3,3'-diindolylmethane has been recognized as the major in vivo derivative responsible for most of the biological properties of indole-3-carbinol.<sup>26-29</sup>

Epidemiological studies have shown that consumption of cruciferous vegetables significantly lowers the incidence of human cancer. An inverse association was found between high intake of cruciferous vegetables, particularly broccoli, and an incidence of breast cancer.<sup>30</sup> Higdon et al have reported that a high intake of cruciferous vegetables reduced the risk of human cancers in an epidemiological study.<sup>31</sup> Studies using animal and human cultured cells have shown that 3,3'-diindolylmethane inhibited the growth of a variety of cancer cells including prostate cancer,<sup>32–37</sup> breast cancer,<sup>17,37-39</sup> pancreatic cancer,<sup>40,41</sup> colorectal cancer,<sup>42–46</sup> lung tumors,<sup>47,48</sup> and nasopharyngeal cancer.<sup>49,50</sup> Other suggested roles of DIM include boosting immune function,<sup>42,51,52</sup> increase estrogen metabolism,<sup>53</sup> having anti-leishmaniasis<sup>54–56</sup> and anti-human papillomavirus properties  $^{57-59}$  in animal and in vitro studies.

Although most of the published studies on DIM and its parent compound (I3C) were mainly in animal or in vitro models, there is an increasing media publicity advocating individuals to try I3C or DIM supplementation including formulation in drug form<sup>60</sup> for their potential applications in the prevention and treatment of diseases such as breast and prostate cancers. Recently, researchers and companies become more interested in the formulation of DIM as a supplement.<sup>61</sup> This is because DIM has a greater stability compared to I3C after oral ingestion, where the latter virtually converted in the stomach acidic environment. Unlike DIM, there are warnings regarding the wide-spread use of I3C supplementation for cancer prevention and other roles until sufficient clinical data established regarding their potential risks and benefits.<sup>62–67</sup> The wide range of research outputs, marketing as a supplement, and other media publicity on I3C and DIM health benefits have evoked to review the existing human clinical-based literature in connection to DIM interventions. Therefore, the principal goal of this review was to review the human clinical trial published studies on DIM clinical efficacy towards prevention and treatment of diseases including the mechanism, and consistency of clinical trial scientific results. It also explores the nature of clinical-based studies in DIM intervention, adverse conditions/tolerability, and its bioavailability after direct oral supplementation. There is no comprehensive published review paper on human clinical trials from DIM supplementation.

#### **Methods**

A comprehensive literature searches were conducted from three databases, namely, the PubMed, Cochrane library, and Google Scholar from inception to Feb 2020. The key search item was DIM. All of the studies with DIM supplementation in human clinical trials were selected for this systematic review by excluding all in vitro, animal, epidemiological, and review studies. Twenty-two studies with English language that reported original data on effect of supplementation of DIM or DIM-precursors were extracted. The outcomes of DIM-precursors supplementation were solely DIM-related. All the human clinical trials published studies on supplementation of DIM were from the PubMed database (14 articles), Cochrane library (3 articles), and Google Scholar (5 articles). Allrticles indexed in PubMed regarding DIM including their study nature and annual count are depicted in Figure 1. Among

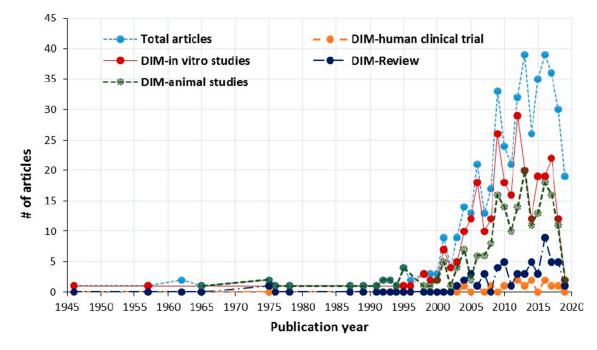


Figure I Annual count of DIM (3,3'-diindolylmethane) articles indexed in PubMed. The results include articles with the keyword "3,3'-diindolylmethane". Note: "DIM review" represents both human (in vivo) and in vitro studies.

the 14 human clinical trials indexed in PubMed, three of the DIM clinical studies were directly from cruciferous vegetable consumption (2) or indole-3-carbinol ingestion (1).

#### Data Extraction and Synthesis

The extracted DIM supplementation information were about the study design, population demographics, form of DIM supplementation, dose and duration of DIM exposure, anti-cancer properties, other health effects, bioavailability of DIM, and safety/toxicity of DIM. Appraisal of methodological qualities of the studies was mainly weighted based on intervention time, sample size, and exposure dose.

## **Results and Discussion** Bioavailability of 3,3'-Diindolylmethane in

Human Clinical Trials

The pharmacokinetics of indole-3-carbinol and 3,3'diindolylmethane were studied in rodents. Oral administration of DIM to mice at the dose of 250 mg/kg has led to a rapid rise of plasma and tissues (brain, heart, liver, kidneys, and lungs) level between 0.5 to 1 h.<sup>68</sup> In another study, indole-3-carbinol administered to mice, it was rapidly absorbed, distributed, and eliminated in the blood and body tissues, falling below the detection limit after 1  $h^2$  whilst DIM was substantially detected in the blood and tissue samples which persists after 24  $h.^2$ 

Oral administration of indole-3-carbinol to human subjects has shown that DIM is the major product detected in plasma samples relatively with a longer half-life.<sup>2,69</sup> This showed that following ingestion of indole-3-carbinol, the formation of the major derivative product DIM and the several reported biological activities has led to the study of DIM as a possible chemopreventive and therapeutic supplement. Besides the several suggested biological benefits of DIM, it has an important role as a biomarker for indole-3-carbinol or cruciferous vegetable consumption.

Many studies have disclosed that indole-3-carbinol has very low bioavailability after oral ingestion because of its transformation to various condensation products in the gastric acidic environment. As a result of that, several clinical trial studies in recent times have been conducted using direct DIM intervention where its bioavailability not affected by the stomach acidic environment.<sup>68,70</sup> Yet, the crystalline DIM is not well absorbed in the gastrointestinal system, and in response to that scientists have developed a formulated DIM known as an absorption enhanced "Bioresponse-DIM" (BR-DIM) which is highly absorbable<sup>23,71</sup> compared to the generic form. Anderton et al have reported that an absorption-enhanced formulation (BioResponse-DIM) displayed approximately 50% greater bioavailability than the crystalline form after oral administration to mice.<sup>68</sup> For that reason, the absorption-enhanced BioResponse (BR)-DIM is the most widely used form of DIM for supplementation in the human clinical trials. From the total 22 human clinical trial studies conducted in relation to DIM, 12 studies were using Bioresponse-DIM, 5 using crystalline DIM, two using Infemin (formulated DIM), two using raw cruciferous vegetables, and one using indole-3-carbinol as shown in Table 1.

Herein, the focus was on the primary end-points of DIM (plasma, urine, and/or tissues) resulting from BR-DIM supplementation to human subjects. It has been reported that DIM was the only product detected in plasma samples after non-smoking women subjects (n=24; age between 23 and 58 years) with an elevated risk of breast cancer (by family history) ingested at oral doses of 400, 600, 800, 1000, and 1200 mg indole-3-carbinol.<sup>72</sup> As Reed et al reported, the maximum plasma concentration (C<sub>max</sub>) of DIM in the women was detected at 1000 mg I3C oral dose.<sup>72</sup> In another study with a principal objective to quantify DIM in urine samples of women with cervical dysplasia after ingesting I3C resulted in a mean value of DIM,  $12.1 \pm 2.5^{73}$  and  $15.6 \pm 22.2^{73}$  µg/mg creatinine for the 200 and 400 mg DIM exposed groups, respectively.<sup>69</sup>

Two randomized human clinical trials were also performed with the primary purpose of quantifying DIM in urine after twenty-five (healthy, non-vegetarian, and nonsmoking) adults' consumed raw cruciferous vegetables (Brussels sprouts and cabbage).<sup>74,75</sup> Fujioka et al<sup>74</sup> revealed that urinary DIM was successfully quantified with higher quantity in Brussels sprout than cabbage after 25 subjects (10 males and 15 females who were healthy, non-vegetarian, non-smoking; ages 22 to 63 years) ingesting 50 g of these raw vegetables per day for 3 days. Fujioka et al<sup>75</sup> demonstrated that urinary DIM increased with increasing glucobrassicin dose after the 45 subjects (19 males and 26 females, age 18 to 60 years) consumed cruciferous vegetables (with exposure doses of glucobrassicin at 25, 50, 100, 200, 300, 400 or 500 µmol). These authors claimed that the majority of DIM was eliminated in urine in the first 12 h of the intervention. In prostate cancer patients (n=45; mean age of 61.1 years), the mean value of DIM level in plasma samples was reported as 4.95±17.6, 151.42±197.1, and 280.4±217.2 ng/mL for placebo, 200 mg, and 400 mg/day BR-DIM doses, respectively, after 2 weeks of intervention

period.<sup>76</sup> Rajoria et al reported that the mean value of DIM in urine, serum, and thyroid tissues was 383.5 ng/ mg of creatinine, 12.32 ng/mg of creatinine, and 40.67 ng/ mg tissue, respectively, after seven women patients (between ages of 39-56 years) with thyroid proliferative disease ingested 300 mg of BR-DIM per day for 2 weeks.<sup>77</sup> Reed et al depicted a dose-dependent DIM concentration in plasma samples of 24 healthy subjects (13 Men and 11 Women; ages 22 to 58 years) who consumed a single dose of BR-DIM at the doses of 50, 100, 150, 200 or 300 mg. These authors reported that DIM C<sub>max</sub> in plasma was reached at 200 mg dosage. Similarly, Heath et al have reported that BR-DIM (at the doses of 75, 150, 225, and 300 mg twice daily) supplementation to 12 prostate cancer patients resulted in rapid absorption of DIM between 2 and 4 h, and dose-proportional plasma levels.<sup>78</sup> Another study has shown that the mean value of DIM in prostate tissue and plasma was 14.2 ng/gm tissue and 9.0 g/mL, respectively, in 36 prostate cancer patients who ingested 225 mg of BR-DIM twice daily for 2 weeks.<sup>79</sup>

## DIM Supplementation and Cancer: Human Clinical Trials

The completed human clinical studies mainly focused on breast or prostate cancer patients. Besides the suggested benefits of DIM in breast and prostate cancer prevention and treatment, similar studies in animal and human cultured cells have shown the role of DIM to inhibit growth of a variety of cancer cells, namely, pancreatic cancer,<sup>40,41</sup> colorectal cancer,<sup>42–46</sup> lung tumors,<sup>47,48</sup> and nasopharyngeal cancer.<sup>49,50</sup> However, there was no single human clinical trial study conducted to establish an association between DIM supplementation, and pancreatic, colorectal, lung, or nasopharyngeal cancer. Hence, the discussion under this section emphasized on clinical trial studies that involved DIM supplementation and endpoints mainly in either healthy subjects, breast, or prostate cancer patients.

#### Breast Cancer

Many studies in animals and in-vitro including epidemiological have shown that 3,3'-diindolylmethane modulate the endogenous estrogen hormone playing a role in the prevention and inhibition of growth of estrogen-dependent breast cancer including endometrial and cervical cancers.<sup>80–82</sup> The human estrogen receptor becomes a crucial target of chemo-preventive and therapeutic strategies to control the estrogen-dependent proliferation of

s (Indexed in PubMed, Cochrane-Embase and Google Scholar Databases) Regarding DIM Supplementation	Dbjectives         Form of DIM and Doses         Outcomes	. double-blind randomized controlled trial to see the ffect of BR-DIM on HPV infection or cytology     I50 mg BR-DIM (BioResponse DIM)     - DIM supplementation was well tolerated       . ffect of BR-DIM on HPV infection or cytology     or placebo daily for 6 months     tolerated       . No effect on HPV infection or cytology     . No effect on HPV infection or cytology	· double-blind randomized controlled trial to10 in the BR-DIM (108mg/day)-DIM increased the estrogen metabolismetermine the effect of DIM supplements on uninarytreatment group or 9 in the placebowhere the ratio of 2-OHE1 to 16α-OHE1strogen metabolitesfor 30 daysfor 30 days	DIM efficacy and toxicity in the treatment of cervical       -2 mg/kg body-weight BR-DIM       - DIM was well tolerated         itraepithelial neoplasia grade II or III lesions.       per day or placebo for 3 months       -21 subjects (47%) in the DIM group         improved with a decrease by 1-2 grades       cervical intraepithelial neoplasia or         a normal result.       a normal result.	<ul> <li>randomized controlled trial to determine DIM level</li> <li>50g of raw Brussels sprouts (high in -Urinary DIM was successfully quantified: 13C content) or cabbage (low in 13C with higher quantity in Brussels sprouts content) were ingested per day for 3 ingestion than in cabbage days</li> </ul>	c randomized clinical trial to determine the       Glucobrassicin dose in the vegetables       -Urinary DIM increased with dose-         onsistency and level of urinary DIM excretion       were in the range of 25, 50, 100, 200,       dependent and reached plateau between         300, 400 or 500 µmol per day for       200 and 300 µmol doses.       - Majority of DIM was eliminated in the         two days       first 12 hours of intervention.       - Majority of DIM was eliminated in the	double-blind controlled trial to assess the endpoints       100 or 200 mg BR-DIM to 28       - DIM was well tolerated         f DIM       patients or 17 patients' placebo twice       -DIM was detected in prostate tissue in the seven patients specimens         daily for 21–28 days.       - Significant increase in urinary 2-OHEI* ratio in the exposed group.         PIM       - DIM was detected in prostate tissue in the seven patients specimens         daily for 21–28 days.       - Significant increase in urinary 2-OHEI*         provide       - DIM induces metabolizing enzymes         CYPIAI (31% reduction) and       CYP286 (87% reduction) in peripheral mononclear cells
soogle Scholar Data	Form of DIM and	I50 mg BR-DIM (Bi or placebo daily for	10 in the BR-DIM ( treatment group or for 30 days	-2 mg/kg body-weig per day or placebo	50g of raw Brussels 13C content) or cab content) were inges days	Glucobrassicin dose were in the range of 300, 400 or 500 µm two days	100 or 200 mg BR-1 patients or 17 patien daily for 21–28 days
	Objectives	A double-blind randomized controlled trial to see the effect of BR-DIM on HPV infection or cytology	A double-blind randomized controlled trial to determine the effect of DIM supplements on urinary estrogen metabolites	- DIM efficacy and toxicity in the treatment of cervical intraepithelial neoplasia grade II or III lesions.	A randomized controlled trial to determine DIM level in urine	A randomized clinical trial to determine the consistency and level of urinary DIM excretion	A double-blind controlled trial to assess the endpoints of DIM
Table I Summary of Peer-Review Published Clinical Trials	Participants	551 women (age range 19–65 years).	19 (postmenopausal women aged 50–70 year) with a history of early-stage breast cancer	64 women (age between 18 to 61)	25 healthy, non-vegetarian, and non-smoking adults (10 males and 15 females; ages 22 to 63 years)	45 subjects (19 males and 26 females, age 18 to 60 years)	45 men patients (mean age of 61.1 years) with prostate cancer and who have scheduled radical prostatectomy
I Summary of	Authors	Castanon et al 2012 <sup>130</sup>	Dalessandri et al 2004 <sup>28</sup>	Del Priore et al 2010 <sup>127</sup>	Fujioka et al 2014 <sup>74</sup>	Fujioka et al 2016 <sup>75</sup>	Gee et al 2016 <sup>76</sup>
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(Continued)

No.	Authors	Participants	Objectives	Form of DIM and Doses	Outcomes
7.	Kong et al 2012 <sup>115</sup>	11 prostate cancer patients (age between 47 and 64)	DIM role in the regulation of let-7 and EZH2 in prostate cancer tissue	-A dose of BR-DIM is not disclosed	- BR-DIM up-regulated the let-7 and down-regulated the EZH2 expression
œ	Kotsopoulos et al 2014 <sup>99</sup>	18 healthy women but with BRCA1 mutation carriers	-clinical efficacy of DIM in up-regulating BRCA1 expression in breast cancer cells.	The intervention group (n=13) ingested 300mg BR-DIM per day for 4–6 weeks	- BRCA1 mRNA expression was increased in 10 of the exposed subjects
.6	Lorincz et al 2013 <sup>132</sup>	84 women with low-grade cytological abnormalities, of which 82 had HPV16 at baseline study	A double-blind, randomized a controlled clinical trial to see DIM efficacy to treat cytological abnormalities with HPV16.	I50 mg DIM or placebo daily for 6 months	-No cancer detected after 6 months -Only 11 subjects were HPV16 negative, hence clinically non-significant
0	Morales- Prieto et al 2018 <sup>133</sup>	10 women with endometriosis	Randomized control trial to see BR-DIM combined effect with DNG drug in bleeding pattern and endometriosis-related pelvic pain.	2 mg DNG per day and 100 mg BR- DIM three time per day	-Bleeding pattern significantly improved in the DNG-DIM group compared to the DNG group (64.5 $\pm$ 8.0 days vs 47.0 $\pm$ 2.5, respectively).
Ξ.	Rajoria et al 2011 <sup>77</sup>	7 women Patients (between ages of 39–56 years) with Thyroid Proliferative Disease	-investigate the anti-estrogenic activity of DIM	300mg of BR-DIM per day for 14 days	-DIM was detected in serum, urine and thyroid tissue of patients. - Significant increase in the ratio of OHE1* to 160OHE1*
12	Reed et al 2006 <sup>72</sup>	24 healthy, nonsmoking women (age between 23 and 58 years) but had an elevated risk of breast cancer (due to family history)	To assess the pharmacokinetics of the parent compound (13C) and its major acid condensation product DIM.	Oral capsules: single doses at 400, 600, 800, 1000, and 1200 mg 13C per day; and multiple doses: 400 mg 13C twice daily for 2 months	-For the single dose: 5 women among the 20 reported short term gastrointestinal distress - I3C was not detected in the plasma samples. -DIM was detected consistently in plasma samples.
13.	Reed et al 2008 <sup>131</sup>	24 healthy subjects (13 Men and 11 Women) ages 22 to 58 years	Randomized control clinical trial to determine the pharmacokinetics, and tolerability of DIM	An oral single dose of BR-DIM or matching placebo were: 50, 100, 150, 200, and 300 mg.	-DIM was detected in plasma with a dose- dependent manner with maximum concentration was reached at 200 mg

-DIM increased 2/16a-OHE1* ratio by (+3.2) compared to the placebo ( $-0.7$ ). -Serum androgen hormone-binding globulin increased with BR-DIM (+25 $\pm$ 22) group compared to placebo (1.1 $\pm$ 19 nmol/L). -No change observed in breast density - Plasma tamoxifen metabolites decreased in the DIM group.	<ul> <li>- no significant change in the ratio of 2 tol 6a-OHE</li> </ul>	<ul> <li>mild and transient toxicity (nausea and diarrhea in 2 subjects)</li> <li>No other clinical significant effects were observed</li> </ul>	<ul> <li>A complete reversion n of prostatic</li> <li>intraepithelial neoplasia was observed in</li> <li>the 45.5% of patients in the DIM group</li> <li>compared to 0% in the placebo.</li> <li>Urinary flow rate increased by 53.3%</li> <li>compared to the baseline</li> </ul>	<ul> <li>- BR-DIM rapidly absorbed 2 to 4 h</li> <li>- DIM plasma level was dose-</li> <li>proportional</li> <li>- 2 of 4 patients exposed to 300 mg experienced grade 3 asymptomatic hyponatremia.</li> <li>- Initially. I0 subjects had a decrease in their prostate-specific antigen rise but an eventual progression.</li> </ul>	(Continued)
150 mg BR-DIM+ tamoxifen twice daily or tamoxifen, for 12 months.	15 subjects exposed to 300 mg/day BR-DIM or 5 subjects' placebo for 4-6 weeks.	900 mg DIM (Infemin capsule) daily or placebo for 3 months	<ol> <li>Patients received Infemin (bioavailable DIM) at 900 mg of a day, and 10 patients placebo group for 12 months.</li> </ol>	-Oral doses with clustered exposure to either 75 mg, 150 mg,225 mg, or 300 mg BR-DIM twice daily for 4 months.	
A double-blind, randomized, controlled trial to determine estrogen metabolites, androgen hormone- binding globulin, breast density, serum estrogens, and tamoxifen metabolites after the combined exposure of BR-DIM and tamoxifen	- effect of DIM on the ratio of urinary estrogen metabolites (2/16a-OHE)	Randomized control clinical trial to evaluate the safety of DIM	Double-blind randomized placebo-controlled multicenter a clinical trial to assess the efficacy of DIM as a therapy	To evaluate DIM effect on molecular targets, adverse effects, and its pharmacokinetics	
98 women (mean age 53; 47 DIM +tamoxifen vs 51 tamoxifen) completed the interventions	20 healthy women (age between 25 and 65 years) with a BRCAI mutation carriers.	14 men patients (ages 18 to 60 years) with Prostatic intraepithelial neoplasia	21 men patients with a high-grade prostatic intraepithelial neoplasia	12 patients (age 62–91 years) with non-metastatic prostate cancer	
14. Thomson et al 2017 <sup>97</sup>	15. Nikitina et al 2015 <sup>98</sup>	<ul> <li>16. Patrsev et al</li> <li>2014<sup>128</sup></li> </ul>	17. Paltsev et al 2016 <sup>129</sup>	18. Heath et al 2010 <sup>78</sup>	

° X	Authors	Participants	Objectives	Form of DIM and Doses	Outcomes
<u>6</u>	Hwang et al 2016 <sup>79</sup>	41 men patients (age range, 40–76 years) with prostate cancer	Determine the effects of DIM on the androgen receptor in prostate cancer patients. DIM levels and its androgen receptor activity were determined at the time of prostatectomy.	-36 patients took an oral dose of BR-DIM at 225 mg twice daily for two weeks	-DIM was well tolerated. -26 (93%) patients had detectable DIM (mean value of 14.2 ng/gm tissue) in the prostate biopsy. - mean DIM plasma level was 9.0 g/mL. - 27 (96%) of patients displayed exclusion of the androgen receptor from the cell nucleus of prostate cells. - prostate-specific antigen was declined in the 20 (71%) of patients
20.	Sepkovic et al 2001 <sup>69</sup>	10 Women (age not disclosed) with cervical dysplasia	A clinical trial to quantify DIM in urine following I3C ingestion	-13C doses: placebo (7 women), 200 mg of 13C (5 women), or 400 mg of 13C (5 women) for 1 month.	-A mean value of 12.1 ± 2.5 and 15.6± 22.2 μg DIM/mg creatinine was observed for the 200 mg, and 400 mg treatment groups, respectively.
21.	Zeligs and Michael, 2012 <sup>23</sup>	4 women (mean age between 20 to 62) with common warts and HPV-related cervical dysplasia	To determine DIM treatment of common warts and oral-genital epithelial dysplasias due to human papilloma-virus infections	450 mg/day DIM for I to 4 months	<ul> <li>A combination of topical and oral DIM resulted in the regression and disappearance of warts from affected patients.</li> <li>112 mg/day and above of oral DIM were associated with HPV therapeutic uses</li> </ul>
22.	Ashrafian et al 2015 <sup>60</sup>	78 women e (age between 19 to 39 years) with cervical intraepithelial neoplasia grade I–II	-A multicenter, double-blind randomized controlled clinical trial to assess the efficacy and safety of DIM in the patients.	-Three cohort groups each consist of 26 patients were exposed to 100 mg DIM, 200 mg DIM and placebo, respectively for 90–180 days	-DIM efficacies were 100.0%, 98.83%, and 61.1% for the high dose, low dose, and placebo, respectively. - Adverse events were reported in the placebo group 22% of patients, in the 100 mg/day group 40% and in the 200 mg/ day group 42%.
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Notes: \*2-OHEI, 2-hydroxyestrone; 16 a-OHEI, 16-hydroxyestrone. Abbreviations: HPV, human papillomavirus; DNG, dienogest; EZH2, enhancer of zeste homolog 2; BRCAI, breast cancer type 1.

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Table I (Continued).

breast cancers. Thus, 3,3'-diindolylmethane agonist to the human estrogen receptor has been related to having beneficial effects to treat menopausal complaints, steroids and lipid metabolism, prevent bone loss, and improve sexual problems in females.<sup>19,83-86</sup> DIM has induced the cyochromp450 enzymes specifically, by up-regulating the CYP1A1, CYP1A2, and CYP1B1 enzymes<sup>87,88</sup> to catalyze the human estrogen metabolism.

It has been shown that DIM promotes the metabolism of the estrogen hormone by increasing the beneficial estrogen metabolite, namely 2-hydroxyestrone over the unfavorable 16-alpha-hydroxyestrone.<sup>28,89</sup> The endogenous estrogen (17 $\beta$ -estradiol), the primary female sex hormone, metabolized to 16a-OHE1 or 2-OHE1. Unlike 2-OHE1, the 16 $\alpha$ -OHE1 metabolite has strong estrogenic properties, and highly associated to promote the proliferation of estrogenic dependent breast cancer.<sup>90,91</sup> Previous researches suggested that changing the course of the 17β-estradiol metabolism towards 2-OHE1, and suppressing the 16a-OHE1 may have a role to reduce the incidence of estrogen-based cancers including breast cancer<sup>89,92,93</sup> although other studies found no association.94,95 Several studies in animals and cultured cells including epidemiological have depicted that the dietary compound DIM modulates the endogenous estrogens consequently playing a role in the suppression of growth of estrogen-dependent cancers.<sup>80-82</sup>

In light of that supplementation of DIM in human clinical trial studies and its efficacy towards prevention or treatment of estrogen-dependent cancers has been discussed in this section.

Supplementation of BR-DIM to humans has significantly increased the ratio of 2-OHE1 to 16a-OHE1<sup>28,76,96,97</sup> whilst Nikitina et al<sup>98</sup> reported no change in the ratio of the two estrogen metabolites (see the summary in Table 1). Dalessandri et al have found that BR-DIM supplementation (108 mg/day for 30 days) increased the ratio of 2-OHE1 to 16α-OHE1 (from 1.46 to 2.14) in a double-blind randomized controlled trial (10 in the treatment group and 9 in the placebo) in women (aged 50-70 years) with a history of early stage breast cancer.<sup>28</sup> Similarly, Gee et al have reported a significant increase in urinary 2-OHE1 to 16a-OHE1 ratio in the BR-DIM (at 100 or 200 mg or placebo twice daily for 21-28 days) exposed group in a double-blind randomized controlled trial in 45 patients with prostate cancer.<sup>76</sup> Through another molecular path-way, BR-DIM (300 mg per day for 4-6 weeks) supplementation to 13 women (with a tumor suppression BRCA1 gene carriers) has resulted in the up-regulation of BRCA1 (breast cancer type 1) gene expression in the 10

subjects.<sup>99</sup> The increase in BRCA1 gene expression is associated with breast cancer prevention or suppression of tumor proliferation.<sup>100–103</sup>

Overall, DIM supplementation in the aforementioned clinical trials showed its effect in increasing endogenous estrogen hormone metabolism, and the ability to induce BRCA1 gene expression, where both biological activities have been linked to having beneficial effects in the prevention of estrogens-dependent cancers. Although epidemiological studies supported cruciferous vegetables consumption and a role in lowering risks of breast cancer among women.<sup>30,31</sup> there lacks single clinical trial study that directly indicated DIM supplementation and its efficacy towards treatment of breast cancer or other estrogen-dependent cancer in the studied subjects. Given the insufficient intervention time (the maximum was 12 months), the author recommends larger cohort studies in future clinical trials to see DIM efficacy in preventing the incidence of estrogen-dependent cancers in healthy subjects or its therapeutic effect for women with breast patients.

#### Prostate Cancer

Several studies have revealed that 3,3'-diindolylmethane has a potent anti-androgenic property mediated by the human androgen receptor that renders important benefits in preventing and reducing the proliferation of androgen-based prostate cancer cells.<sup>29,37,82,104</sup> The antagonist properties of 3,3'-diindolylmethane to androgen hormone at the receptor level have useful chemopreventive properties for prostate cancer.<sup>29,105</sup> There are studies that supported the role of 3,3'-diindolylmethane in prostate tumor growth suppression via non-receptor pathways.<sup>11,18,32,34,106</sup>

Some epidemiological studies have supported that consumption of cruciferous vegetables associated with lower risks of prostate cancer.<sup>107–110</sup> On the other hand, there are studies that found statistically non-significant between consumption of cruciferous vegetables and risk of prostate cancer inverse association.<sup>111–114</sup>

The remaining discussion in this section focused on human clinical trial studies that have linked DIM supplementation and its effect on a number of molecular targets, believed to be beneficial for therapeutic or chemoprevention purposes related to prostate cancer. Kong et al have reported that BR-DIM (300 mg per day for 4–6 weeks) supplementation to prostate cancer patients (age between 47 and 64) resulted in the up-regulation of let-7, which is a family of microRNAs.<sup>115</sup> Down-regulation of the let-7 family has been

associated with promoting the recurrence and elevation of prostate cancer by regulating cancer stem cells.<sup>116</sup> Previous research conducted on BR-DIM (four cohorts exposed to doses either 75, 150, 225, or 300 mg twice daily for 4 months) supplementation has resulted a decrease in prostate-specific antigen among the 10 subjects (n=13) even though the conditions eventually progressed.<sup>78</sup> Men with elevated level of prostate-specific antigen are at the greatest risk to develop prostate cancer<sup>117–119</sup> and hence the reduction of this antigen by DIM may have a role in combating tumor formation in prostate tissues.<sup>79</sup> A study has shown that prostate cancer patients (n=36) who ingested DIM (at 225 mg twice daily for 2 weeks) resulted in the exclusion of the androgen receptor from the cell nucleus in the 27 (96%) of patients, and prostate-specific antigen decline in the 20 (71%) of patients.<sup>78</sup> These human clinical trial studies have shown that DIM is a promising bioactive compound to modulate molecular targets, responsible for the initiation and progression of prostate cancer. Even though several animals and laboratory studies<sup>18,32-34,37,120,121</sup> and some epidemiological studies<sup>107-110</sup> depicted DIM ability to exert apoptosis and arrest proliferation in prostate cancer cells, no single clinical trial study has shown DIM efficacy towards prevention and treatment of prostate cancer. Therefore, larger prospective cohort clinical trials are recommended in future interventions to establish an inverse relation between DIM supplementation and the formation or proliferation of prostate cancer.

## Anti-Viral and Anti-Dysplasia Effects: Human Clinical Trials

The human papillomavirus is an important risk determinant for the development of cervical/vaginal intraepithelial neoplasia and cervical cancer.<sup>122–126</sup>

In a double-blind randomized control trial, BR-DIM (45 DIM vs 19 placebo, 2 mg/kg body-weight for 3 months) supplementation to 64 women (mean age 28 years, range 18–61) with cervical intraepithelial neoplasia showed that 21 subjects (47%) in the treatment group improved their condition with a decrease by 1–2 grades.<sup>127</sup> DIM (at the dose of 100, 200 mg/day or placebo for 90–180 days) intervention in 78 women (age between 19 and 39 years) with cervical intraepithe-lial neoplasia grade I–II resulted in regression of their conditions as reported 100%, 98.83%, and 61.1% of subjects for the high dose, low dose, and placebo, respectively.<sup>60</sup>

Paltsev et al investigated the Infemin (a formulated DIM at a dose of 900 mg daily or placebo for 3 months) supplementation effect in 14 patients (ages 18 to 60 years) with prostatic intraepithelial neoplasia and found out that clinically non-significant improvement in their conditions.<sup>128</sup> Paltsev et al, however, continued a secondround double-blind randomized placebo-controlled multicenter clinical trial among 21 patients (11 received Infemin 900 mg vs 10 placeboes per day for 12 months) diagnosed with a high-grade prostatic intraepithelial neoplasia which resulted in a complete reversion of the 45.5% subjects condition.<sup>129</sup> This marked difference in the clinical effect compared to the first round trial is apparently related to the extended intervention duration.

On the other hand, previous research on BR-DIM supplementation (150 mg or placebo for 6 months) to 551 women (age range 19–65 years) with human papilloma-virus and low-grade cervical cytological abnormalities showed no effect on cervical cytology or on HPV infection.<sup>130</sup> Similarly, DIM (150 mg or placebo for 6 months) supplementation to 84 women diagnosed with low-grade cervical neoplasia and infected with HPV has resulted in statistically non-significant (only 11 subjects negative) clinical efficacy.

Although these clinical trial results are promising about the clinical efficacy of DIM to treat cervical or prostatic intraepithelial neoplasia, longer prospective cohort clinical studies may strongly support whether this dietary compound is clinically efficient to treat various cases of dysplasia.

## Adverse Effects

Systemic toxicity was not observed in all of the human clinical trial studies after ingestion of DIM. Adverse health effects were not reported when 18 healthy men and women were supplemented with BR-DIM between 50 and 200 mg dose.<sup>131</sup> When the dose was increased to 300 mg, however, one subject reported headache and nausea, and another subject reported vomiting. Reed et al have increased the BR-DIM dosage (1200 mg per day for 2 months) to healthy women (n=20) and subsequently, 5 women reported short-term gastrointestinal distress which seemed to be dose-dependent.<sup>72</sup>

Another study has reported that 49 women with cervical dysplasia were exposed to BR-DIM at the dose of 2 mg/kg/ day for 3 months, and the dose was well tolerated with no systemic toxicity.<sup>127</sup> DIM oral ingestion by all subjects was well tolerated, if any, short-term gastrointestinal distress,

nausea, and vomiting were adverse effects reported but in most cases were statistically non-significant.

# Limitations to the Human Clinical Trials

Most of the clinical trials focused on the determination of endpoints of DIM supplementation in human subjects. With prostate or breast cancer patients, most of the trials were merely to see DIM effect on estrogen hormone metabolism, prostate-specific antigen, or DIM plasma/ urine/tissue level (Table 1). In other words, there was no single human clinical study primarily conducted to show therapeutic effect of DIM in prostate or breast cancer patients. Similarly, no single study among the pooled human clinical researches did show DIM's (as chemopreventive) ability to impede development of cancer in healthy subjects. The major weakness of these clinical trials, in particular with prostate or breast patients was the intervention period. For prostate cancer patients the maximum DIM intervention time was 28 days<sup>78</sup> while the minimum reported time was 2 weeks.<sup>28</sup> The minimum and maximum DIM intervention period for the breast cancer patients were 4 weeks and 12 months, respectively,97,98 though the latter intervention was in combination with tamoxifen. For the combined intervention, the authors reported that no change in breast cell density observed in all subjects but did not disclose if treatment occurred. Therefore, the intervention time for future clinical trials should be sufficiently long for prostate and breast cancer patients or chemoprevention in healthy subjects. Paltsev et al have found initially clinically non-significant improvement in the prostatic intraepithelial neoplasia patients in the 3 months of DIM intervention,<sup>128</sup> however, Paltsev et al increased the exposure period to 1 year with the same DIM dosage and it turned out that the 45.5% subjects showed a complete reversion in their condition.129

## **Conclusion and Recommendations**

Several animal and human cultured cells on DIM supplementation and its effect on estrogen metabolism were consistent with the human clinical trials. DIM intervention in the human clinical trials has shown its efficacy in regulating some molecular targets responsible to induce tumor formation. This suggests that DIM can be a promising chemopreventive supplement. Among the pooled clinical trials, no single study established a research to directly see DIM's efficacy in treating breast or prostate cancer. The absence of clinical evidence about DIM efficacy to treat prostate or breast cancer is found to be the major concern as this dietary compound is being sold on the market as a supplement for treatment in these disease conditions.

Several clinical trial studies have shown that an absorption-enhanced formulation of DIM (BioResponse-DIM) displayed 50% greater bioavailability than the crystalline form after oral ingestion.

The maximum DIM intervention time for breast and prostate cancer patients was 28 days and 12 months, respectively. Therefore, it is recommended that future larger prospective clinical trial research with substantial intervention time is required to see DIM's ability to treat breast, prostate, and other cancer cases. Moreover, much of the completed clinical researches have focused on the lonely effect of DIM as a therapeutic or chemopreventive agent.

#### Disclosure

The author reports no conflicts of interest in this work.

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