

# ARPP-19 Mediates Herceptin Resistance via Regulation of CD44 in Gastric Cancer

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**Purpose:** As the first-line drug for treatment of *HER2*-positive metastatic gastric cancer (GC), Herceptin exhibits significant therapeutic efficacy. However, acquired resistance of Herceptin limits the therapeutic benefit of gastric cancer patients, in which the molecular mechanisms remain to be further determined.

**Methods:** Quantitative real-time polymerase chain reaction was performed to detect the mRNA levels of *ARPP-19* and *CD44* in GC cells. Protein levels were determined using Western blot and IHC staining. MTT and soft agar colony formation assays were used to measure cell proliferation. Xenograft model was established to verify the functional role of *ARPP-19* in Herceptin resistance in vivo. Sphere formation assay was conducted to determine cell stemness.

**Results:** We observed *ARPP-19* was up-regulated in Herceptin resistance gastric cancer cells NCI-N87-HR and MKN45-HR. The forced expression of *ARPP-19* promoted, whereas the silencing of *ARPP-19* impaired Herceptin resistance of *HER2*-positive gastric cancer cells both in vitro and in vivo. Moreover, *ARPP-19* significantly enhanced the sphere formation capacity and *CD44* expression, *CD44* was also a positive factor of Herceptin resistance in *HER2*-positive gastric cancer cells. In addition, high level of *ARPP-19* was positively associated with Herceptin resistance and poor survival rate of gastric cancer patients.

**Conclusion:** We have demonstrated that *ARPP-19* promoted Herceptin resistance of gastric cancer via up-regulation of *CD44*, our study suggested that *ARPP-19* could be a potential diagnostic and therapeutic candidate for *HER2*-positive gastric cancer.

Keywords: Herceptin resistance, HER2, gastric cancer, ARPP-19, CD44

#### Introduction

Gastric cancer (GC) is one of the most commonly diagnosed malignancies and contributes to the third leading cancer-specific mortality worldwide.<sup>1</sup> Although notable progress in GC diagnosis and treatment has been achieved, the prognosis of patients with recurrent or metastatic GC remains much unsatisfactory due to the high cancer heterogeneity.<sup>2,3</sup> Human epidermal growth factor receptor 2 (*HER2*), whose expression dysregulated in as many as 7–34% of GC,<sup>4–6</sup> is a 185 kDa transmembrane tyrosine kinase receptor.<sup>7</sup> Herceptin (the only monoclonal humanized antibody targeting at *HER2* approved by the FDA) has been demonstrated to significantly improve the clinical outcome of patients with *HER2*-positive gastric cancer in the ToGA trial. However, most patients developed Herceptin resistance after their one-year initial response.

Increasing studies have reported that the complicated molecular mechanisms of Herceptin resistance in GC, with the involvement of some crucial molecules and signal pathway. Shi et al reported the *HER4-YAP1* axis promotes Herceptin

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resistance in HER2-positive gastric cancer by inducing epithelial and mesenchymal transition.<sup>8</sup> The sensitivity of gastric cancer to Herceptin is regulated by the miR-223/FBXW7 pathway.9 Tang et al demonstrated that NES1/KLK10 promotes Herceptin resistance via activation of PI3K/AKT signaling pathway in gastric cancer. 10 Nevertheless, the diverse and deep molecular mechanisms of Herceptin resistance in GC are still urgent to be characterized to provide diagnosis and treatment strategies for patients suffering from inevitable resistance.

ARPP-19 (cAMP-regulated phosphoprotein 19) is a member of the alpha-endosulfine (ENSA) family and characterized as a substrate for protein kinase A in brain. 11,12 ARPP-19 mammalian is ubiquitously expressed and its related proteins have also been identified in Caenorhabditis elegans, Schistosoma mansoni, Drosophila melanogaster and yeast genomes. 12,13 In the neuronal system, ARPP-19 links the nerve growth factor signaling to the post-transcriptional regulation of neuronal gene expression to promote the growth of synapses and synaptic plasticity. 14 ARPP-19 has also been reported to play a pivotal role in the pathogenesis of neuronal system diseases, such as Down syndrome and Alzheimer's disease. 15 Furthermore, ARPP-19 has been demonstrated to act as an oncogene in tumorigenesis and progression. For example, it has been reported that ARPP-19 promotes proliferation and metastasis of human glioma; 16 ARPP-19 has also been reported to be targeted by microRNA-320a and mediate tamoxifen resistance of breast cancer cells; in addition, increased ARPP-19 expression is associated with hepatocellular carcinoma.<sup>17</sup> However, the functions and underlying mechanisms of ARPP-19 in Herceptin resistance of HER2-positive gastric cancer cells have been rarely explored.

In the present study, ARPP-19 was observed to be remarkably up-regulated in GC cells and tissues with Herceptin resistance. We also demonstrated that ARPP-19 enhanced Herceptin resistance of HER2-positive GC cells both in vitro and in vivo. Besides, CD44 was positively regulated by ARPP-19 and silence of CD44 resensitized Herceptin resistant GC cells to Herceptin. Furthermore, elevated ARPP-19 predicted poorer overall survival rate of GC patients. Therefore, these results help throw light upon the complicated mechanisms of Herceptin resistance and ARPP-19 may be used as a new prognosis bio-marker or therapeutic target in GC patients with Herceptin resistance.

### **Materials and Methods**

## Clinical Gastric Cancer Specimens

Fifty paraffin-embedded HER2-positive (diagnosed as HER2++ or HER2+++ by immunohistochemistry in the department of pathology) gastric cancer tissues were collected at Department of General Surgery, the First Affiliated Hospital of Anhui Medical University between 2005 and 2015. The clinicopathological characteristics of these patients without any other diseases or special therapies were recorded according to World Health Organization (WHO) classification system. The follow-up time is from the diagnosis to mortality or the date last known alive. Moreover, all of these 50 patients were received Herceptin-based therapy and their prognosis was collected. Tissues from patients with good prognosis after Herceptin treatment were designated to be Herceptin sensitive, and tissues from patients with poor prognosis or with tumor recurrence shortly were designated to be Herceptin resistant. Informed consent was signed by each patient enrolled. The study protocol about the clinical samples has been reviewed and approved by the ethics committee of the First Affiliated Hospital of Anhui Medical University in accordance with the Code of Ethics of the World Medical Association (Declaration of Helsinki).

#### Cell Lines and Cell Culture

Two human HER2-positive GC cell lines (NCI-N87 and MKN45) were purchased from ATCC. All cell lines were cultured in RPMI 1640 (Hyclone) supplemented with 10% fetal bovine serum (FBS, Gibco-BRL; Invitrogen) and incubated at 37°C in a humidified chamber containing 5%  $CO_2$ .

Herceptin resistant GC cell lines (NCI-N87-HR and MKN45-HR) were established as described previously. 18 Briefly, the parental NCI-N87 and MKN45 cells were treated with increasing doses of Herceptin gradually for 6 months until Herceptin resistance was stably acquired. NCI-N87-HR and MKN45-HR cells were normally maintained with 10 µg/mL Herceptin.

# RNA Extraction and qRT-PCR Analysis

Total RNA from cells was isolated by using Trizol (Invitrogen, Carlsbad, CA) and converted to cDNA using RevertAid First Strand cDNA Synthesis Kit (Thermo Scientific Bio) following the manufacturer's instructions. qRT-PCR analysis was performed by SYBR green Master MIX (Applied Biosystem) as

recommended. 19,20 GAPDH was used as an internal control to normalized the mRNA expression. Sequences of all the primers used are listed in Table 1.

#### Plasmid Constructs and Transfection

Human ARPP-19 coding sequence transcript (Gene ID: 10776) was cloned and inserted into mammalian expression vector pIRESneo3 (Invitrogen). Lipofectamine 2000 (QIAGEN) was used for plasmid transfection as described previously. 10,16

## RNA Oligonucleotides and Transfection

siRNAs against ARPP-19 and CD44 were designed and synthesized by GenePharma (Shanghai, China). The sequences of siRNAs used in this study are listed in Table 1. siRNAs transfection was performed by using Lipofectamine 2000 (QIAGEN) according to the manufacturer's instructions as recommended. 21,22

#### Protein Extraction and Western Blot

Cells were lysed in modified RIPA buffer and total protein was extracted according to the manufacturer's instructions. Western blot was conducted as previously described. 17,23 The primary antibodies against ARPP-19 (11678-1-AP) and CD44 (3570) were purchased from Proteintech and Cell Signaling Technology, respectively. Anti-β-actin antibody (sc-47778, Santa Cruz Biotechnology, USA) was used as a protein loading control.

## Immunohistochemical Staining

The UltraSensitive-SP kit (Maxin-Bio, Fuzhou, China) was used to conduct immunohistochemistry (IHC) analysis. All steps were performed strictly according to the kit's instructions. Protein levels of ARPP-19 in the paraffinembedded HER2-positive gastric cancer tissues were

Table I Oligomers Used in This Study

Name	Application	Sequence
qRT-ARPP-19-F	qRT-PCR	GCCTGGAGGTTCAGATTT
qRT-ARPP-19-R	qRT-PCR	CAGTAGGAAGTTGCTTGTTC
qRT-CD44-F	qRT-PCR	TCAGTCACAGACCTGC CCAA
qRT- CD44-R	qRT-PCR	CCTTTCTGGACATAGCGGGT
qRT-GAPDH-F	qRT-PCR	AGAAGGCTGGGGCTCATTTG
qRT-GAPDH-R	qRT-PCR	AGGGCCATCCACAGTCTTC
siARPP-19-1	Transfection	GAAATGGAAGATAAAGTGACT
siARPP-19-2	Transfection	ATGAAGAACAAGCAACTTCCT
siCD44-1	Transfection	GCAGCACTTCAGGAGGTTACA
siCD44-2	Transfection	GGATGACTGATGTAGACAGAA

detected by using the rabbit polyclonal antibody against ARPP-19 (1:200, 11678-1-AP, Proteintech, Wuhan, China), and rabbit polyclonal antibody against CD44 (1:200, 15675-1-AP, Proteintech, Wuhan, China) was used to detect CD44. Sections with ≥15% stained cells were considered to be ARPP-19/CD44 high expression, and sections with <15% stained cells were considered to be ARPP-19/CD44 low expression.

## MTT Assays

Logarithmically growing cells (5.0x10<sup>3</sup> cells/well) were seeded in the 96-well plates and treated with the indicated concentrations of Herceptin for 6 days. MTT reagent (Promega) was added into each well and the absorbance at 570 nm was measured after 2 hours of incubation.

## Soft Agar Colony Formation Assay

Soft agar colony formation assay was performed as described earlier. 24,25 In brief, 48h after the indicated transfection, cells were digested and resuspended with 0.3% soft agar in RPMI-1640 containing 10% FBS. Three hundred cells were seeded in the six-well plate per well, and coated with 0.6% solidified agar in RPMI-1640 containing 10% FBS. Media were refreshed every 3 days. About two weeks later, cells were fixed with methanol for 10 min at −20°C and stained with 0.005% crystal violet (Sigma-Aldrich Co., St Louis, MO, USA) for 10 min at room temperature. The colonies were counted for statistical analysis.

# Sphere Formation Assays

Cells (10<sup>5</sup>) suspended in the serum-free DMEM medium containing 0.4% BSA (Sigma), 2% B27 (BD Pharmingen), 5 μg/mL insulin (Sigma), 20 ng/mL basic fibroblast growth factor (bFGF, Invitrogen) and 20 ng/mL epidermal growth factor (EGF, Invitrogen) were plated in the sixwell ultra-low attachment plates (Corning). Two weeks later, images of the spheres were taken in three randomly chosen fields with the microscope (Nikon) and NIS-Element F3.0 program (Nikon) and the numbers of spheres in each field were counted for statistical analysis.

# Xenograft and Ki-67 Staining

For animal experiments, all procedures were reviewed and approved by the Animal Study Committee of the First Affiliated Hospital of Anhui Medical University in Hefei, Anhui Province, China, and in compliance with the

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National Institutes of Health (NIH) Guide for the Care and Use of Laboratory Animals and Animal Welfare Act. MKN45 Vec and MKN45 ARPP-19 cells  $(5x10^6)$  per  $125\mu$ L per site) were injected subcutaneously into the right flanks of 5 week-old nude female mice (12 mice each cells). Mice injected with MKN45 Vec or MKN45 ARPP-19 cells were randomized to receive Herceptin (10 mg/kg) or vehicle as control (6 mice each group) by tail vein injection once a week after the palpable tumors were formed, respectively. Tumor growth was recorded by measuring the length (L) and width (W) and tumor volume was calculated using the formula: Volume (mm3) = L x  $W^2$  x  $\Pi/6^8$ .

Mice were sacrificed and tumors were resected after 39 days. Ki-67 staining was performed to assess the tumor cell viability of the harvested tumor tissues and the percentage of Ki-67 positive cell population was calculated.

## Statistical Analysis

All the experiments were repeated at least three times. Student's *t*-test was used to analyze the difference between the two groups; chi-squared test was used to analyze expression of *ARPP-19* in *HER2*-positive gastric cancer tissues; Kaplan–Meier's analysis was used for prognostic analyses. All statistical analyses were performed using GraphPad Prism (San Diego, CA) and *P*<0.05 was considered as statistically significant.

#### Results

# The Expression of ARPP-19 Was Elevated in Human HER2-Positive Gastric Cancer Cells with Herceptin Resistance

To evaluate the expression pattern of *ARPP-19* in human *HER2*-positive gastric cancer cells with Herceptin resistance, we first established two human *HER2*-positive Herceptin resistant GC cell lines: NCI-N87-HR and MKN45-HR. We found both NCI-N87-HR and MKN45-HR cells exhibited remarkable resistance to Herceptin, compared to their parental NCI-N87 and MKN45 cells (Figure 1A). Subsequently, the expression levels of *ARPP-19* in NCI-N87-HR and MKN45-HR cells and their parental cells were determined by qRT-PCR and Western blot. Both the mRNA (Figure 1B) and protein (Figure 1C) levels of *ARPP-19* were obviously upregulated in NCI-N87-HR and MKN45-HR cells compared with NCI-N87 and MKN45 cells, respectively.

Thereby, ARPP-19 was over-expressed in GC cells with acquired Herceptin resistance.

# Silence of ARPP-19 Sensitized Acquired Herceptin Resistant Gastric Cancer Cells to Herceptin

We further explored the detailed role of ARPP-19 in Herceptin resistance of GC cells. The specific siRNAs against ARPP-19 were transfected into NCI-N87-HR and MKN45-HR cells, siARPP-19 significantly silenced the protein levels of ARPP-19 compared with siNC in NCI-N87-HR and MKN45-HR cells (Figure 2A). Cell viability of NCI-N87-HR and MKN45-HR transfected with siNC showed no significant difference when treated with Herceptin compared with vehicle control. However, depleted ARPP-19 expression led to significantly reduced cell viability with the treatment of Herceptin compared with vehicle control (Figure 2B). The fold change of vehicle-treated group compared with Herceptin treated group was 0.98 (P>0.05) in NCI-N87-HR-siNC cells, 1.38 (P<0.05) in NCI-N87-HR-siARPP-19 cells, 1.04 (P>0.05) in MKN45-HR-siNC cells, and 1.47 (P<0.05)in MKN45-HR-siARPP-19 cells (Figure 2B). Besides, as showed in Figure 2C, siRNA-mediated depletion of ARPP-19 decreased cell colony formation in soft agar on exposure to Herceptin in NCI-N87-HR and MKN45-HR cells, with the fold change of vehicle/Herceptin: NCI-N87-HR-siNC 1.01 (P>0.05), NCI-N87-HR-siARPP-19 1.41 (P<0.05), MKN45-HR-siNC 1.05 (P>0.05) and MKN45-HR-siARPP-19 1.52 (P<0.05). Taken together, these results suggested that silence of ARPP-19 significantly resensitized Herceptin resistant cells NCI-N87-HR and MKN45-HR to Herceptin.

# ARPP-19 Promoted Resistance to Herceptin of Gastric Cancer Cells Both in vitro and in vivo

Further on, NCI-N87 and MKN45 cells were stably transfected with *ARPP-19* overexpression plasmid or the empty vector, the overexpression efficacy was confirmed by Western blot (Figure 3A). Results in Figure 3B and C showed cell viability and cell colony formative capacity in soft agar of NCI-N87 and MKN45 cells increased after transfection with *ARPP-19* overexpression plasmid compared with Vector control. Treatment with Herceptin dramatically reduced cell viability and cell colony formative capacity in soft agar of NCI-N87

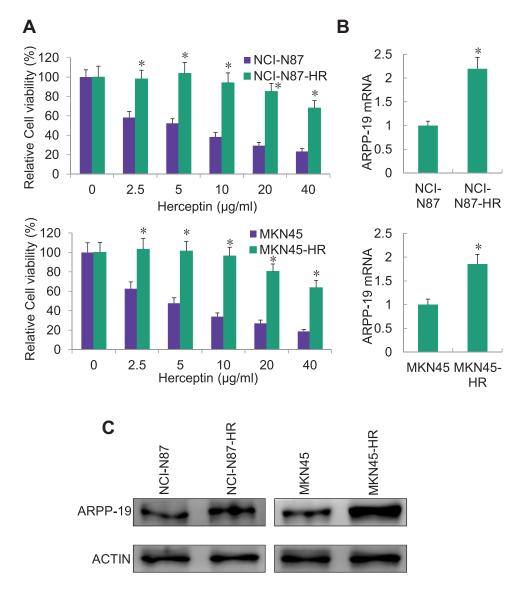


Figure 1 Expression of ARPP-19 was elevated in HER2-positive gastric cancer with acquired Herceptin resistance. (A) MTT assay was performed to detect cell viability of Herceptin resistant cells NCI-N87-HR and MKN45-HR or their parental cells NCI-N87 and MKN45 after the treatment with the indicated concentrations of Herceptin for 6 days. (B) qRT-PCR analysis of ARPP-19 mRNA level in NCI-N87-HR and MKN45-HR cells or their corresponding parental cells. (C) Western blot was conducted to examine the protein level of ARPP-19 in NCI-N87-HR and MKN45-HR cells or their corresponding parental cells. \*P<0.05.

Vec and MKN45 Vec cells, while NCI-N87 and MKN45 cells with overexpression of *ARPP-19* exhibited less significant inhibitory effects on cell viability and cell colony formative capacity in soft agar with treatment of Herceptin (Fold change of vehicle/Herceptin for cell viability: NCI-N87 Vec 2.48 (*P*<0.05), NCI-N87 *ARPP-19* 1.81 (*P*<0.05), MKN45 Vec 2.83 (*P*<0.05) and MKN45 *ARPP-19* 1.73 (*P*<0.05). Fold change of vehicle/Herceptin for cell colony formative capacity in soft agar: NCI-N87 Vec 2.16 (*P*<0.05), NCI-N87 *ARPP-19* 1.77 (*P*<0.05), MKN45 Vec 2.32 (*P*<0.05) and MKN45 *ARPP-19* 1.76 (*P*<0.05)). Therefore, forced

expression of *ARPP-19* decreased the sensitivity of NCI-N87 and MKN45 cells to Herceptin in vitro.

To determine the role of *ARPP-19* in Herceptin sensitivity of gastric cancer in vivo. MKN45 *ARPP-19* and MKN45 Vec cells were injected subcutaneously into the right flanks of 5-week-old female BALB/c nude mice. Mice injected with these two group of cells were, respectively, randomized to receive either Herceptin or vehicle as control when the palpable tumors were formed. Tumor sizes were measured every 5 days and tumor growth curves were analyzed at the 40th day. Tumors derived from MKN45 *ARPP-19* cells grew much faster, resulting in mean tumor volume and

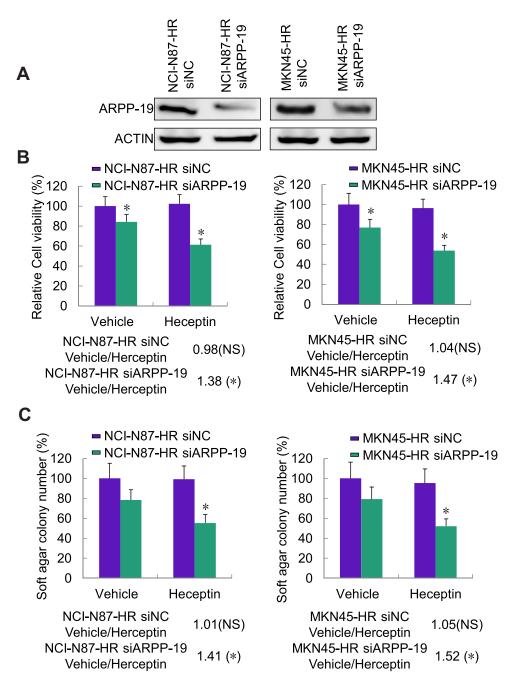


Figure 2 Knockdown of ARPP-19 re-sensitized NCI-N87-HR and MKN45-HR cells to Herceptin. (A) Protein level of ARPP-19 in NCI-N87-HR and MKN45-HR cells transfected with siRNAs against ARPP-19 (siARPP-19) or negative control siRNA (siNC) was determined by Western blot. (B) NCI-N87-HR and MKN45-HR cells transfected with siRNAs against ARPP-19 (siARPP-19) or negative control siRNA (siNC) were treated with Herceptin (10 μg/mL) or vehicle for 6 days; cell viability was determined by MTT assay. (C) NCI-N87-HR and MKN45-HR cells transfected with siRNAs against ARPP-19 (siARPP-19) or negative control siRNA (siNC) were treated with Herceptin (10 μg/mL) or vehicle for 6 days; cell colony formation in soft agar was examined. \*P<0.05.

weight approximately two-fold of those of tumors derived from MKN45 Vec cells. Treatment with Herceptin decreased both MKN45 ARPP-19 cells-derived and MKN45 Vec cells-derived tumor volume and weight, but MKN45 ARPP-19 cells-derived tumors showed dramatically less decrease on exposure to Herceptin with a growth curve similar with MKN45 Vec cells without the treatment of Herceptin

(Figure 4A and B). At day 40, mice were sacrificed and the resected tumors were made into paraffin sections for *Ki-67* staining analysis. In consistence with tumor volume and weight, *Ki-67* positive cell population in MKN45 *ARPP-19* cells-derived tumors was significantly higher than the tumors formed by MKN45 Vec cells. Herceptin reduced *Ki-67* positivity in both MKN45 *ARPP-19* cells-derived

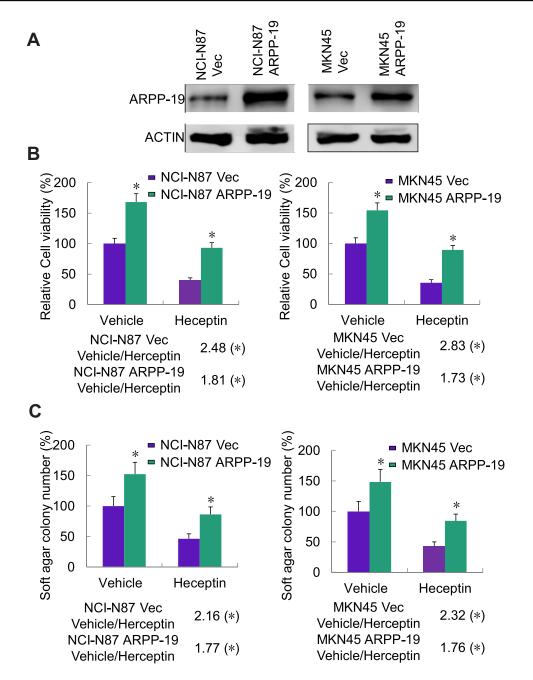


Figure 3 Overexpression of ARPP-19 decreased Herceptin sensitivity of NCI-N87 and MKN45 cells in vitro. (**A**) Protein level of ARPP-19 in NCI-N87 and MKN45 cells stably transfected with ARPP-19 overexpression plasmid (NCI-N87/MKN45 ARPP-19) or empty vector (NCI-N87/MKN45 Vec) was determined by Western blot. (**B**) NCI-N87 and MKN45 cells stably transfected with ARPP-19 overexpression plasmid (NCI-N87/MKN45 ARPP-19) or empty vector (NCI-N87/MKN45 Vec) were treated with Herceptin (2.5 μg/mL) or vehicle for 6 days; cell viability was determined by MTT assay. (**C**) NCI-N87 and MKN45 cells stably transfected with ARPP-19 overexpression plasmid (NCI-N87/MKN45 ARPP-19) or empty vector (NCI-N87/MKN45 Vec) were treated with Herceptin (2.5 μg/mL) or vehicle for 6 days; cell colony formation in soft agar was examined. \*P<0.05.

and MKN45 Vec cells-derived tumors, whereas tumors formed by MKN45 *ARPP-19* cells with the treatment of Herceptin maintained comparative *Ki-67* positivity with tumors derived from MKN45 Vec cells in the absence of Herceptin treatment (Figure 4C). Collectively, forced expression of *ARPP-19* reduced sensitivity of gastric cancer cells to Herceptin in vivo.

# CD44 Was Regulated by ARPP-19 and Overexpressed in Herceptin Resistant Gastric Cancer Cells

As reported previously, cancer stem cell (CSC) played a pivotal role in the acquired Herceptin resistance of gastric cancer, <sup>26</sup> and *CD44* was an important factor contributed to cancer stem cell-like properties in gastric

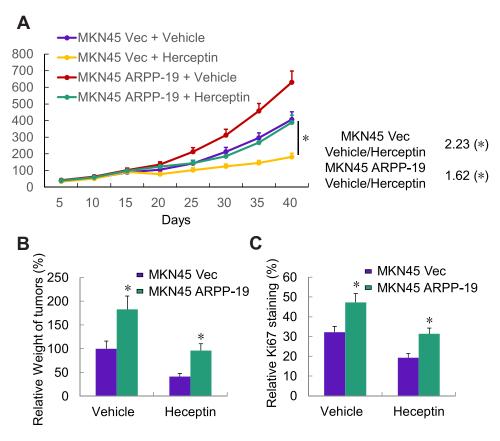


Figure 4 Forced expression of ARPP-19 decreased Herceptin sensitivity of MKN45 cells in xenograft model. (A) Tumor growth curves of MKN45 ARPP-19- or MKN45 Vecderived tumors of mice with the treatment of Herceptin (10 mg/kg) or vehicle. (B) Tumor weights of each group were measured after mice were sacrificed and tumors were resected at day 40. (C) Ki-67 staining of tumor sections from mice of each group. \*P<0.05.

cancer.<sup>27,28</sup> We examined the expression level of CD44 in Herceptin resistance gastric cancer cells NCI-N87-HR and MKN45-HR with the silence of ARPP-19, the mRNA (Figure 5A and B) and protein (Figure 5C) levels of CD44 obviously decreased in NCI-N87-HR and MKN45-HR cells transfected with siARPP-19 compared with siNC (another two cancer-stem-cell-related genes SOX2 and c-MYC were also determined, but they did not change significantly (data not shown)). Besides, the mRNA (Figure 5D and E) and protein (Figure 5F) levels of CD44 were significantly up-regulated in NCI-N87-HR and MKN45-HR cells compared to their parental cells.

## CD44-Mediated Herceptin Resistance of Gastric Cancer Cells

To assess the role of CD44 played in acquired Herceptin resistant gastric cancer cells. We depleted the endogenous CD44 in NCI-N87-HR and MKN45-HR cells by transfection of specific siRNAs against CD44, and the knockdown efficacy of CD44 was verified by Western blot (Figure 6A). Cell viability and cell colony formative capacity in soft agar of NCI- N87-HR and MKN45-HR cells transfected with siCD44 or siNC and treated with Herceptin or vehicle were determined by MTT assay and soft agar colony formation assay, respectively. Similarly, we found cell viability and soft agar colony formation of NCI-N87-HR and MKN45-HR transfected with siNC showed no significant difference when treated with Herceptin compared with vehicle control. However, depleted CD44 expression led to significantly reduced cell viability and soft agar colony formation with the treatment of Herceptin compared with vehicle control (Figure 6B and C). Collectively, depletion of CD44 re-sensitized the Herceptin resistant gastric cancer cells to Herceptin.

# ARPP-19 Promoted Cancer-Stem-Cell-Like Properties in Herceptin Resistant Gastric Cancer Cells

As CD44 was an important factor in gastric cancer stem cells and ARPP-19 positively regulated the expression of CD44 in Herceptin resistant gastric cancer cells, ARPP-19 might perform an important role in cancer-stem-cell-like properties of Herceptin resistant gastric cancer cells.

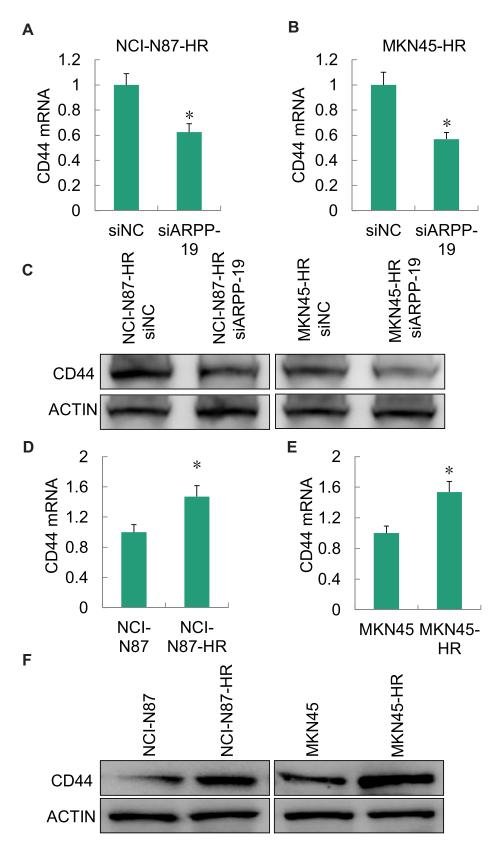


Figure 5 CD44 was regulated by ARPP-19 in NCI-N87-HR and MKN45-HR cells. (A and B) mRNA level of CD44 in NCI-N87-HR and MKN45-HR cells transfected with siRNAs against ARPP-19 (siARPP-19) or negative control siRNA (siNC) was determined by qRT-PCR. (C) Protein level of CD44 in NCI-N87-HR and MKN45-HR cells transfected with siRNAs against ARPP-19 (siARPP-19) or negative control siRNA (siNC) was determined by Western blot. (D and E) mRNA level of CD44 in NCI-N87-HR and MKN45-HR cells or their parental NCI-N87 and MKN45 cells was determined by qRT-PCR. (F) Protein level of CD44 in NCI-N87-HR and MKN45-HR cells or their parental NCI-N87 and MKN45 cells was determined by Western blot. \*P<0.05.

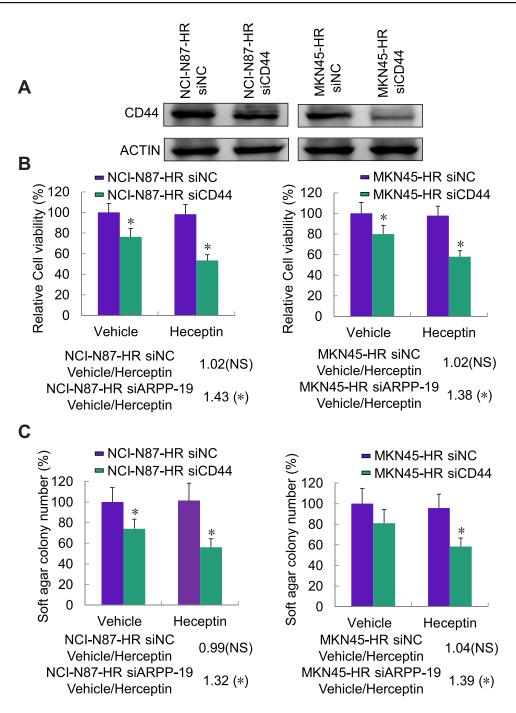


Figure 6 Depletion of CD44 reduced Herceptin resistance of NCI-N87-HR and MKN45-HR cells. (A) Protein level of CD44 in NCI-N87-HR and MKN45-HR cells transfected with siRNAs against CD44 (siCD44) or negative control siRNA (siNC) was determined by Western blot. (B) NCI-N87-HR and MKN45-HR cells transfected with siRNAs against CD44 (siCD44) or negative control siRNA (siNC) were treated with Herceptin (10 μg/mL) or vehicle for 6 days; cell viability was determined by MTT assay. (C) NCI-N87-HR and MKN45-HR cells transfected with siRNAs against CD44 (siCD44) or negative control siRNA (siNC) were treated with Herceptin (10 μg/mL) or vehicle for 6 days; cell colony formation in soft agar was examined. \*P<0.05.

Concordantly, an enhanced sphere formation capacity was observed in NCI-N87-HR cells as compared to its parental NCI-N87 cells (Figure 7A). Forced expression of *ARPP-19* promoted sphere formation of NCI-N87 cells (Figure 7B), whereas knockdown of *ARPP-19* inhibited sphere formation of NCI-N87-HR cells (Figure 7C). The

expression of *ARPP-19* was elevated in NCI-N87-derived sphere as compared to its parental NCI-N87 cells, at both mRNA and protein levels (Figure 7D and E). Taken together, these results suggested *ARPP-19* regulated stemness in Herceptin resistant gastric cancer cells and this might be mediated by *CD44*.

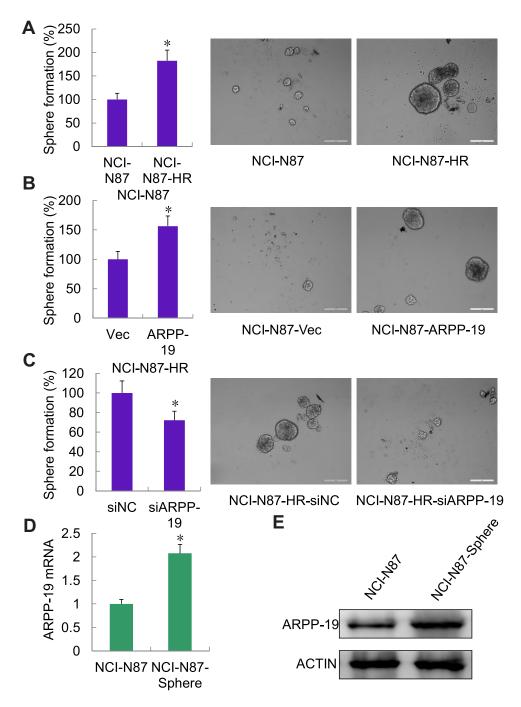


Figure 7 ARPP-19 mediated sphere formation of NCI-N87 cells. (**A**) Sphere formation was detected in NCI-N87-HR cells and its parental NCI-N87 cells. (**B**) Sphere formation was detected in NCI-N87 cells stably transfected with ARPP-19 overexpression plasmid (NCI-N87 ARPP-19) or empty vector (NCI-N87 Vec). (**C**) Sphere formation was detected in NCI-N87-HR cells transfected with siRNAs against ARPP-19 or negative control siRNA (siNC). (**D** and **E**) mRNA and protein levels of ARPP-19 in NCI-N87-HR cells and its parental NCI-N87 cells were determined by qRT-PCR and Western blot. \*P<0.05.

# ARPP-19 Was Associated with Herceptin Resistance and Poor Survival of HER2-Positive Gastric Cancer Patients

In further, 50 paraffin-embedded *HER2*-positive gastric cancer tissues were collected and protein levels of *ARPP-19* and *CD44* in these *HER2*-positive gastric

cancer tissues were examined by IHC staining. *ARPP-19* and *CD44* were both up-regulated in gastric cancer tissues with Herceptin resistance as compared with Herceptin-sensitive gastric cancer tissues (Figure 8A). Elevated *ARPP-19* was significantly positively associated with Herceptin resistance of gastric cancer

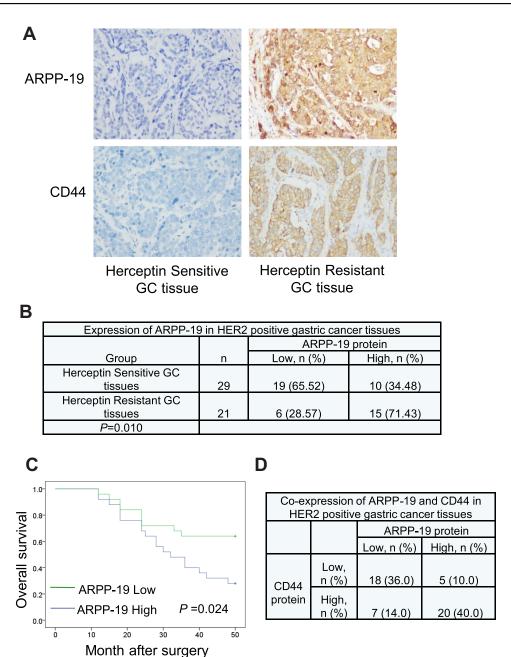


Figure 8 Increased ARPP-19 level in HER2-positive GC tissues predicted poor survival. (A) Representative images of IHC staining showed protein levels of ARPP-19 and CD44 in Herceptin-resistant GC tissues and Herceptin-sensitive GC tissues. (B) Chi-square analysis of ARPP-19 protein level in 21 Herceptin-resistant GC tissues and their 29 Herceptin-sensitive GC tissues. (C) The overall survival rates of HER2-positive gastric cancer patients with different ARPP-19 expression levels were analyzed by Kaplan-Meier curves. (D) Co-expression analysis of ARPP-19 and CD44 in HER2-positive GC tissues.

patients (Figure 8B). Besides, patients with high ARPP-19 levels showed lower overall survival rate (P=0.024) compared with patients with low ARPP-19 levels (Figure 8C). Moreover, Figure 8D shows that ARPP-19 and CD44 were correlated in these 50 HER2-positive gastric cancer tissues. Taken together, elevated expression of ARPP-19 in HER2-positive gastric cancer predicted poor prognosis.

#### **Discussion**

In the current study, we comprehensively investigated the expression pattern, function and underlying mechanisms of *ARPP-19* in Herceptin resistance of human *HER2*-positive gastric cancer. Expression levels of *ARPP-19* were elevated in *HER2*-positive gastric cancer cells and tissues with acquired Herceptin resistance, and prognosis study showed that patients with a higher expression level

of *ARPP-19* had a shorter overall survival rate. Functionally, silence of *ARPP-19* decreased, while the forced expression of *ARPP-19* promoted the Herceptin resistance of *HER2*-positive gastric cancer cells in vitro. Furthermore, overexpression of *ARPP-19* increased the Herceptin resistance of MKN45 cells in xenograft model in vivo. Mechanically, *ARPP-19* promoted the cancer stem properties by up-regulation of *CD44*, a famous cancer stem cell marker in gastric cancer.<sup>27,28</sup> In addition, depletion of *CD44* re-sensitized the Herceptin resistant gastric cancer cells to Herceptin, which indicated *CD44* was also a positive regulator of Herceptin resistance in *HER2*-positive gastric cancer. Thereby, this study expanded our understanding of *ARPP-19* in *HER2*-positive gastric cancer with Herceptin resistance.

Recent studies reported that the expression of *ARPP-19* was deregulated in hepatocellular carcinoma. <sup>17</sup> Besides, *ARPP-19* has also been reported to contribute to tumorigenesis and progression of glioma and acute myeloid leukemia. <sup>16,29</sup> Moreover, it was also reported that *ARPP-19*, which was negatively regulated by *miR-320a*, promoted tamoxifen resistance of breast cancer cells. <sup>23</sup> However, the functions and underlying mechanisms of *ARPP-19* in gastric cancer and Herceptin resistance were poorly understood.

Tumors were heterogeneous and a small proportion of cells with stem cell features in tumor tissues was called cancer stem cell (CSCs), which was considered to contribute to tumor initiation. 30,31 CSCs were characterized with selfrenewal, resistance to treatment and high metastasis potential, all of which make them the source of cancer relapse and treatment failure. 32,33 Especially, CSCs played crucial roles in cancers with acquired Herceptin resistance. 26,34,35 We herein demonstrated that CD44 was regulated by ARPP-19 and mediated Herceptin resistance of human HER2-positive gastric cancer cells. Plenty of literatures demonstrated that CD44 played oncogenic roles in various human cancers, 36-40 gastric cancer included. 41-43 Furthermore, CD44 was a famous mediator to regulate cancer stem cell-like properties. As reported previously, Isorhapontigenin (ISO) inhibits stem cell-like properties and invasion of bladder cancer cell by attenuating CD44 expression. 44 EGCG inhibits CSC-like properties through targeting miR-485/CD44 axis in A549cisplatin-resistant cells.45 LncRNA DGCR5 contributes to CSC-like properties via modulating miR-330-5p/CD44 in NSCLC. 46 In this study, we demonstrated ARPP-19 upregulated the expression of CD44 and promoted sphere formation, which indicated the enhancement of cancer stem cell-like properties in gastric cancer cells. Therefore, we

concluded *ARPP-19* promoted Herceptin resistance of *HER2*-positive gastric cancer through regulation of *CD44*-mediated cancer stem cell-like properties. However, it still needs further study to clarify the specific molecular mechanisms on regulation of *CD44* by *ARPP-19*.

In conclusion, we herein verified the functional roles and underlying molecular mechanisms of *ARPP-19* in Herceptin resistance of human *HER2*-positive gastric cancer cells, and revealed the expression patterns and prognostic correlation of *ARPP-19* in *HER2*-positive gastric cancer patients. *ARPP-19* might thereby be a potential novel therapeutic target and diagnostic biomarker in human *HER2*-positive gastric cancer.

#### Disclosure

The authors declare that they have no conflicts of interest.

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