

# Atomic force microscopy probing in the measurement of cell mechanics

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**Abstract:** Atomic force microscope (AFM) has been used incrementally over the last decade in cell biology. Beyond its usefulness in high resolution imaging, AFM also has unique capabilities for probing the viscoelastic properties of living cells in culture and, even more, mapping the spatial distribution of cell mechanical properties, providing thus an indirect indicator of the structure and function of the underlying cytoskeleton and cell organelles. AFM measurements have boosted our understanding of cell mechanics in normal and diseased states and provide future potential in the study of disease pathophysiology and in the establishment of novel diagnostic and treatment options.

**Keywords:** atomic force microscopy, cell mechanics, cell elastography, cell force spectroscopy

## Introduction

Functional properties of the various types of cells, the basic building block of all living organisms, have been studied extensively over the last decades and provided the driving force for the exceptional progress of cell biology and physiology. However, no analogous research on the cell mechanical properties in states of health and disease and their pathogenetic significance took place until recently. The mechanical properties of cells include stiffness, nonlinearity, anisotropy, and heterogeneity, as well as several functional aspects, including their relation with individual components of cytoskeleton and cell organelles, cell responsiveness to external mechanical stimulation as well as their remodeling and effects on extracellular matrix.<sup>1-4</sup> Indeed, cell mechanical properties have been found to affect substantially several important factors of cell function, such as the shape, deformability, motility, division, and adhesion. Several methods, including magnetic twisting cytometry, laser-tracking microrheology, magnetic tweezers, the optical stretcher, and various cell indenters, have been used for the study of cell mechanical properties in adherent cells. Following its invention in 1986 as a high-resolution imaging tool, atomic force microscope (AFM) has rapidly become a popular method for studying ligand-receptor and cell-cell interactions, typically with the use of AFM tip functionalization with proteins and receptors as well as the mechanical properties of living cells in culture.<sup>5-8</sup> Alterations of cell mechanical properties have been reported recently in different diseases such as cancer, arthritis, and cardiovascular disease.<sup>9-12</sup> Furthermore, it is tempting to assume that pharmaceutical or genetic treatments might affect the mechanical properties of target cells *in vitro*. The purpose of this article is to provide a brief introduction to cell biomechanics and its relation to disease; to describe

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the AFM experiment, including principles of operation and methods of data analysis; to review recent findings in the area of cell mechanics with AFM; and to identify the current limits of the technology and future developments that would enhance transfer to the basic and clinical sciences to aid in the identification of novel cell biomechanical markers that might lead to improved detection, diagnosis, and treatment of disease.

## Fundamentals of atomic force microscopy

AFM is a method with unique advantages for the study of cell mechanics, as long as it provides high sensitivity (subnanonewton), high spatial resolution (submicrometer), and the ability to be used for real-time measurements in a physiologic aqueous cell culture environment. Moreover, along with high-resolution scanning, AFM provides the ability to use nanoindentation of living cells, which allows direct correlation of local mechanical properties with underlying cytoskeletal structures,<sup>13,14</sup> which has been further potentiated lately by the incorporation of AFM onto standard or confocal inverted fluorescence optical microscope.<sup>13,15,16</sup> Unlike most other cell-imaging techniques, atomic force microscopy is based on a direct mechanical interaction between the probe and the sample. In this sense, AFM is inherently an elastography instrument.<sup>17</sup> Moreover, AFM can get information about surfaces *in situ* and *in vitro*, if not *in vivo*, in air, in water, buffers, and other ambient media: it can scan surfaces with up to nanometer (molecular) resolution and up to 0.01 nm vertical resolution; it provides true three-dimensional (3D) surface topographical information; it can scan with different forces, starting from virtually zero to large destructive forces, and detect up to single-molecule forces; and it allows measurement of various biophysical properties of materials, such as elasticity, adhesion, hardness, friction, etc. Minimum preparation of the samples is required before and during the experiment. Finally, another advantage of the method is that it is easily accessible and commercially available to most investigators.

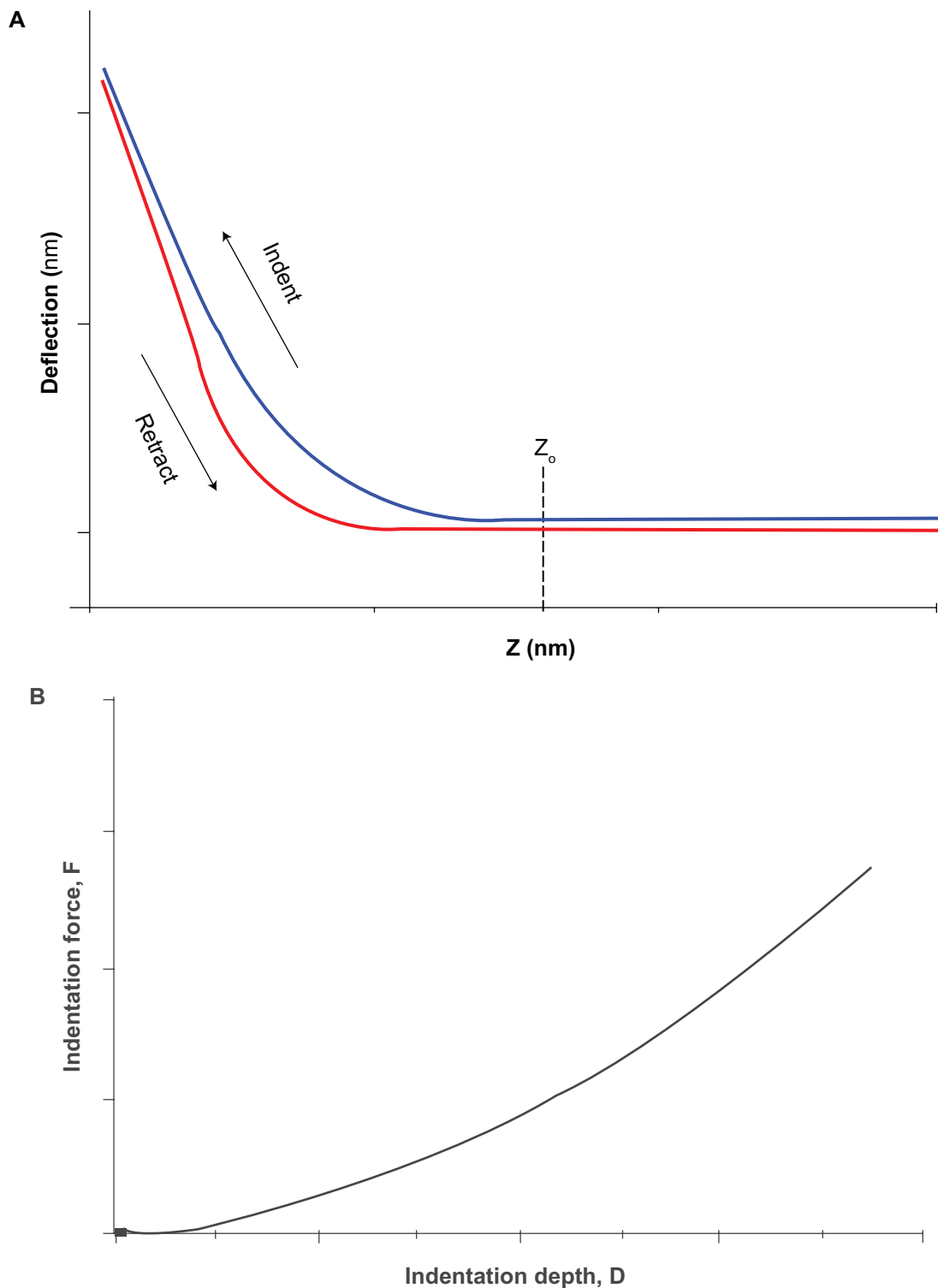
In principle, AFM is a relatively simple instrument with demonstrated resolution of fractions of a nanometer, more than 1000 times better than the optical diffraction limit. Its function is based on laser tracking of the deflection of a microscopic-sized cantilever probe as its tip scans, indents, or otherwise interacts with the sample. AFM consists of a microscale rectangular or “V”-shaped cantilever, typically made of silicon or silicon nitride, with a sharp tip (probe) at its end, with a tip radius of curvature on the order of 50–100 nm. It is this tip that actually comes in contact with the cell, while the cantilever serves as

a soft spring to measure the contact force. The tip dimension determines the spatial resolution of the instrument. Therefore, sharpened pyramids, etched silicon cones, carbon nanotubes, and other high-aspect ratio tips have been developed to scan samples with ultra-high resolution.<sup>18,19</sup> However, such tips have been shown to penetrate the cell membrane and cause damage to living cells, whereas the standard pyramid tip apparently does not penetrate the cell membrane.<sup>20</sup> Modified AFM probes with glass or polystyrene microsphere tips also have been used for some cellular applications to yield a more easily characterized tip geometry, though at the expense of decreased spatial resolution.<sup>21,22</sup> The physical and geometric properties of the cantilever determine its spring constant,  $k$ , which typically ranges from 0.01 N/m to 1.0 N/m for cell mechanics applications and which is used to convert the measured cantilever deflection,  $h$ , into a contact force,  $F = k \times h$ . When the tip is brought into proximity of a sample surface, forces between the tip and the sample lead to a deflection of the cantilever. Typically, the deflection is measured using a laser spot reflected from the top surface of the cantilever into an array of photodiodes. AFM probes often are coated with a thin layer of gold to increase reflectivity, especially for cell mechanics applications in which the laser intensity may be attenuated by the phenol red present in standard cell culture medium. As long as the parameters used to construct the topographical image of the sample are the z-position of the probe at each x–y pixel location, accuracy in the z-direction as well as in the x–y plane are critical in the optimal function of AFM, and this is achieved at a subnanometer level by ultrasensitive piezoelectric positioners used in the movement of the AFM probe. Although piezoelectric materials inherently are nonlinear and hysteretic, these effects can be overcome by software compensation (open-loop design) or direct strain-gauge monitoring (closed-loop design) to yield very precise positioning of the AFM tip. In contrast to the standard AFM configuration, where the sample is positioned relative to a stationary probe, for cell biology applications the entire AFM is placed on the stage of an inverted light microscope to allow simultaneous visualization, including fluorescence microscopy, of the cells,<sup>21</sup> in a configuration where the AFM probe is moved relative to a stationary sample.

Although both primary forms of AFM imaging, ie, tapping and contact mode, have been used in cell elastography, contact mode is easier and more convenient to use than tapping mode, as long as it is more conducive to switching back and forth between imaging and “force mode,” in which nanoindentation is used to obtain quantitative stiffness measurements, while it can also give high-resolution images with cell viability sustained for several hours.<sup>23</sup>

The indentation response depends on the spring constant of the probe, the geometry of the tip, and the mechanical properties of the sample. One also can vary the rate of indentation to study viscoelastic properties. Thus, by monitoring

the z-position and deflection of the probe (the so-called “force curve”) (Figure 1A), one can obtain an indentation curve of indentation force versus depth (Figure 1B) that can be analyzed to extract the elastic material properties of the sample



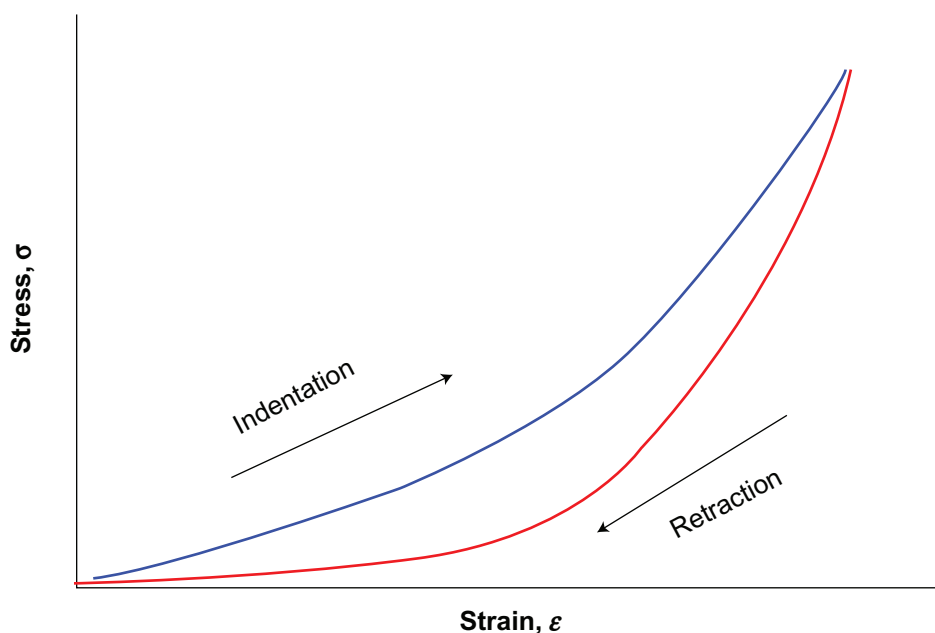
**Figure 1** The AFM cell indentation experiment. **A)** The force curve obtained by measurements of cantilever deflection versus z-position during advancement and retraction of the probe. This curve provides information about the viscoelastic properties of the cell. Once the raw force curve is obtained and the contact point ( $Z_0$ ) identified, cell mechanical properties are obtained from the analysis of the curve of indentation force ( $F = k \times h$ ) versus depth ( $D = (Z - Z_0) - h$ ) **B)**.

as discussed below. Force mapping is a hybrid combination of imaging and force probing that involves making a series of indentations in an array covering a region of interest on the sample and reconstructing an isoforce image from the z-position at which the probe reaches a preset constant deflection (ie, contact force).<sup>24</sup> In such images, larger z-values are interpreted as softer regions of the sample because a greater motion of the probe would have been required to achieve the preset force. However, in samples such as living cells, such images are complicated by the highly variable topography of the cell, which also influences the z-position at which a given contact force is achieved. Therefore, it is more accurate to analyze the indentation data and create an image that directly represents the elastic properties obtained at each pixel location. This is the method of AFM elastography. The retraction curve also contains useful information. Differences between the indentation and retraction curves reflect viscoelastic hysteresis of the sample. Upon retraction of the probe, the AFM tip may adhere to the sample and cause negative deflections of the probe. Such retraction events are the focus of experiments on protein unfolding,<sup>25</sup> receptor–ligand binding,<sup>26</sup> and cell–cell adhesion.<sup>27</sup>

## Cell mechanics

Knowledge of the relation of cell deformation (ie, strain) to internal forces and externally applied loads (ie, stress) acting on the cell is a *sine qua non* for the

study of cell mechanics. As long as stiffness, defined as the slope of the force ( $F$ )-deformation ( $\Delta L$ ) curve, depends on geometric characteristics, which vary at each sample and testing device used, it is far better to study the related normalized quantities stress ( $\sigma = F/A$ ) and strain  $\varepsilon = (\Delta L/L_0)$ , which are independent of size or geometry and rather reflect the underlying properties of the cell. The standard constitutive relation for solid materials is Hooke's law, which states that stress is proportional to strain ( $\sigma = E\varepsilon$ ), where  $E$  is the constant of proportionality called the Young's modulus. Materials that follow Hooke's law (eg, rubber, steel, bone) are called linear elastic. On the other hand, Newtonian fluids (eg, water, blood plasma) follow another similar constitutive relation for fluid materials which states that stress is proportional to the rate of strain ( $\sigma = \mu d\varepsilon/dt$ ), where the constant of proportionality,  $\mu$ , is called the viscosity. However, being viscoelastic materials and characterized by heterogeneity, anisotropy, a nonlinear stress–strain relationship and hysteresis between the loading and unloading portions of the stress–strain curve, most soft biological tissues as well as individual cells are more complex than these simple idealized materials (Figure 2).<sup>28</sup> Within cells the aqueous gel nature of the cytoplasm,<sup>29,30</sup> heterogeneously distributed actin filaments, intermediate filaments, and microtubules,<sup>31</sup> cell adhesiveness,<sup>32</sup> or the presence of nucleus and other organelles<sup>33,34</sup> are important factors that affect the mechanical properties of the cells.



**Figure 2** A nonlinear stress–strain relation (solid line) characterizes most biological soft tissues, with a viscoelastic hysteresis between loading and unloading segments of the curve, as opposed to the linear stress–strain curve of an idealized elastic material which is characterized by the Young's modulus obtained from the slope of the line.

It is clear, therefore, that the mechanical behavior of such tissues and cells is not defined adequately by Young's modulus. Constitutive equations that combine elastic and viscous properties are required to mathematically model their stress–strain behavior. Consequently, reported measurements of the Young's modulus of cells must be interpreted with caution.

Since the earliest AFM studies of soft biological samples,<sup>35,36</sup> the prevalent method of analyzing AFM indentation data has been application of the so-called “Hertz model” of contact between two elastic bodies.<sup>37</sup> In particular, the equations relating force and depth for indentation with a cone and a sphere, respectively, are given by:

$$F = \frac{2}{\pi} \frac{E}{(1-\nu^2)} \tan(\alpha) D^2$$

and

$$F = \frac{4}{3} \frac{E}{(1-\nu^2)} \sqrt{RD^3}$$

where  $\alpha$  is the semi-included angle of the cone tip,  $R$  is the sphere radius, and  $\nu$  is the Poisson's ratio that determines the amount of lateral expansion that accompanies axial compression (note that  $\nu = 0.5$  for water and other incompressible materials, and this value often is assumed for cells). As analysis based on the Hertz theory has been traditionally applied on thin films of gelatin, polyacrylamide, and similar materials, which actually satisfy several key assumptions of the theory (eg, thick films with homogeneous, isotropic, linear elastic material properties, axis symmetry; infinitesimal deformations; infinite sample thickness and dimensions; and a smooth sample surface), it is important to keep in mind that caution must be exercised when such theoretical solutions are applied to the more complex AFM–cell indentation problem, as long as it is not self-evident that these assumptions can be extrapolated to living cells.<sup>38–41</sup> Fidelity of the calculated elastic properties also requires accurate identification of the contact point,<sup>42</sup> accurate calibration of the probe spring constant,<sup>43</sup> and accurate representation of the detailed tip geometry,<sup>39,40</sup> each of which can be challenging procedures. To address some of the practical and theoretical limitations of the Hertz theory, various alternative approaches for analyzing AFM indentation data have been developed.<sup>38–40,44,45</sup> A detailed analysis of these approaches is beyond the scope of the present paper. The multitude of the analysis theories used

in cell indentation reveals the fact that a satisfying and more exact data analysis theory is still required, but this does not reduce the value of ongoing AFM indentation tests for the study of cell mechanical properties.

## AFM elastography studies

As emphasized above, beyond imaging the surface topography, AFM is capable of mapping the elastic properties of living cells, which has yielded interesting insights into a number of physiologic cell processes. Several studies have used AFM over the last few years in the measurement of various mechanical properties<sup>46,47</sup> of various cell types, such as fibroblasts,<sup>44</sup> endothelial,<sup>48,49</sup> vertebrate cells,<sup>50</sup> etc, even between different regions within the same cell,<sup>51–53</sup> and under various conditions. To elucidate how cell mechanical properties are related to the structure and function of the underlying cytoskeleton, a number of studies have examined the effects of chemical treatments or genetic mutations that target specific cytoskeletal constituents. In general, apart from the fact that internal cell organelles contribute to the overall cell mechanics, the actin cytoskeleton has a dominant effect on cell stiffness measured with AFM.<sup>54–56</sup> Correlation of regional cell mechanics with underlying cytoskeletal components by combining AFM and fluorescent microscopy with immunolabeling showed that actin and intermediate filaments make a major contribution to elastic properties, whereas microtubules make a negligible contribution to cell elastic properties. Clearly, cell mechanics is an important indicator of cytoskeletal structure and function.<sup>57</sup> In particular, actin stress fibers are prominent linear structures comprised of actin and myosin<sup>58</sup> that provide a contractile apparatus in many cultured nonmuscle cell types, as well as in vascular endothelial cells in some physiologic conditions.<sup>59,60</sup> AFM force mapping studies show that these structures are very stiff compared to any other cellular component.<sup>4</sup> Taken together with the growing data relating cell mechanical properties to cytoskeletal structure and substrate adhesion, these studies underscore the tremendous potential for AFM elastography of living cells to provide novel biomechanical markers that will enhance the detection, diagnosis, and treatment of disease.

Of particular interest are the growing number of studies that demonstrate a close association between cell mechanical properties and various disease conditions. For example, cultured myotubes from a dystrophin-deficient rat model of Duchenne muscular dystrophy were only one-fourth as

stiff as normal cells,<sup>61</sup> and recent evidence suggests that some muscle types are protected from dystrophin deficiency by upregulating specialized accessory proteins that act to preserve cell stiffness.<sup>62</sup> In osteoarthritis, cartilage chondrocytes exhibit elevated viscoelastic moduli compared to cells from normal tissue,<sup>34</sup> which may underlie the dissimilar responses of these cells to external mechanical stimulation.<sup>63</sup> Differences in mechanical properties between normal hepatocytes and hepatocellular carcinoma cells were restricted to the elastic moduli, while the viscous modulus was unaltered.<sup>64</sup> On the other hand, pressure-overload ventricular hypertrophy specifically increases viscous damping (without affecting elastic stiffness) in passive cardiac myocytes.<sup>11</sup> Therefore, methods of elastographic mapping must be developed that are sensitive to changes in viscous as well as elastic properties of the cell. Erythrocytes from patients with sickle cell disease are stiffer and more viscous than are normal red blood cells.<sup>12,65</sup> These mechanical properties are restored to near-normal values in patients treated with hydroxyurea,<sup>12,66</sup> which suggests that measurements of cell mechanics also may be used to monitor the efficacy of therapeutic interventions. An area where AFM has been used rather extensively over the last several years is oncology. The reason why cancer cell mechanics measurement is more tempting compared to other diseases is that oncogenically transformed cells differ from normal cells in terms of cell growth, morphology, cell–cell interaction, organization of cytoskeleton, and interactions with the extracellular matrix.<sup>67</sup> Recently with the use of “sonocytology”, a method invented by Dr James K Gimzewski’s group,<sup>68</sup> which permits the detection by AFM of the vibrations of the cell membrane and their procession as a regular sound signal amplified up to the level of audible sound, it was discovered that cancerous cells emit a slightly different sound than healthy cells. More recently, in their *ex vivo* studies, Cross and colleagues<sup>69,70</sup> measured the stiffness of live metastatic cancer cells taken from the body (pleural) fluids of patients with suspected lung, breast and pancreas cancer, reported that cell stiffness of metastatic cancer cells is more than 70% softer than the benign cells that line the body cavity, and concluded that nanomechanical analysis correlates well with immunohistochemical testing currently used for detecting cancer. Because cell mechanical properties are determined largely, though not solely, by the underlying cytoskeleton, any disease process that alters the composition, organization, kinetics, or crosslinking of the cytoskeleton is likely to be detectable using single-cell elastography. Data on the mechanical properties of different

cell types are critically needed to establish methodological criteria and guidelines for comparing measured mechanical properties with a normal population, as is being done for clinical hemorheology.<sup>71,72</sup> Thus, the development of tools for reliable and rapid characterization of cell mechanical properties is essential. Finally, there are great perspectives in the use of AFM to study morphological and functional properties of various microorganisms, leading to the so-called “nanomicrobiology”.<sup>73</sup>

## Limitations of AFM elastography

All of its advantages notwithstanding, AFM still has a number of limitations. We have identified many limitations when applying the standard Hertz theory, and preliminary finite element models have motivated novel experiments and yielded alternative methods of analysis that promise to increase the information that can be obtained from AFM indentation tests.<sup>40</sup> Finite element models also can accommodate challenging aspects of the AFM indentation problem such as nonaxisymmetry of the tip geometry, inclination angle of the cantilever relative to the cell surface, the irregular topography of the cell, and the more complex cell mechanical properties, including nonlinearity, viscoelasticity, anisotropy, heterogeneity, and even multiphase material composition. Such computational methods also will be critical in evaluating alternative theoretical models of the cell, including discrete structurally based models of the cytoskeleton.<sup>74,75</sup> Furthermore, other limitations inherent to AFM technique itself are often impeding. In particular, accuracy of the cantilever spring constant, defined predominantly by variations in the thickness<sup>76</sup> and stoichiometry,<sup>77</sup> has been one major limitation of using the AFM for quantitative measurements of mechanical properties, as long as it can result in spring-constant variability of nearly an order of magnitude between batch-produced wafers,<sup>78</sup> a disadvantage necessitating individual calibration for applications such as cell elastography, in which accuracy of the contact force is critical. Beyond the fact that eventually all measurements are made on the nonflat surface of the cells, another source of error in estimating mechanical properties from indentation tests is the identification of the exact point of contact between the AFM tip and the sample. In indentation on soft samples the transition from pre-contact to post-contact is smooth and obscured by noise in the data, not allowing thereby the contact point to be detected as a discontinuity in the slope (first derivative) or a spike in the curvature (second derivative) of the raw force curve, as is the case in stiff samples.<sup>79</sup> Another limitation of AFM



elastography is that typical experiments are time-consuming and copious, so that a limited number of cells can be analyzed in one day. Another major challenge is to position automatically such irregular biological samples under the probe tip for consistent and rapid sequential testing and to avoid as much as possible the lateral drag of the cell by the tip. As AFM elastography evolves, the mechanical tests become increasingly sophisticated, and data sets become increasingly large and complex, computational methods and imaging techniques will play a critical role in the analysis and visualization of cell mechanics data, but also for simulation purposes to better understand how forces at the cantilever tip are manifest as the raw force curve data.

## Conclusions

Over the last decade, AFM rapidly has become one of the most widely used and versatile tools for studying living cells. In particular, AFM elastography, which uniquely incorporates the capability for mechanical measurements and imaging of cell topography, holds great promise in the field of cell biology. A growing body of evidence relating cell mechanical properties to cytoskeletal structure and substrate adhesion suggests that single-cell elastography may provide sensitive indicators of the presence of disease. However, a number of technical and practical hurdles remain in the way of obtaining accurate and meaningful cell mechanics measurements with sufficient throughput that they will be practical for reliably examining large populations of cells. Nevertheless, as one powerful method of questioning, the future holds tremendous potential for AFM elastography of living cells to provide novel biomechanical markers that will enhance the detection, diagnosis, and treatment of disease.

## Disclosures

The authors report no conflicts of interest in this work.

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