

# Liposomal Encapsulated Curcumin Effectively Attenuates Neuroinflammatory and Reactive Astroglial Reactions in Glia Cells and Organotypic Brain Slices

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**Introduction:** The polyphenolic spice and food coloring ingredient curcumin has beneficial effects in a broad variety of inflammatory diseases. Amongst them, curcumin has been shown to attenuate microglia reaction and prevent from glial scar formation in spinal cord and brain injuries.

**Methods:** We developed a protocol for the efficient encapsulation of curcumin as a model for anti-inflammatory drugs yielding long-term stable, non-toxic liposomes with favorable physicochemical properties. Subsequently, we evaluate the effects of liposomal curcumin in experimental models for neuroinflammation and reactive astroglialosis.

**Results:** We could show that liposomal curcumin can efficiently reduce the reactivity of human microglia and astrocytes and preserve tissue integrity of murine organotypic cortex slices.

**Discussion and Perspective:** In perspective, we want to administer this curcumin formulation in brain implant coatings to prevent neuroinflammation and glial scar formation as foreign body responses of the brain towards implanted materials.

**Keywords:** glial scar, neuroinflammation, nanoparticles, brain implants

## Introduction

Curcumin is a natural polyphenolic compound that can be obtained from the rhizome of turmeric, *Curcuma longa*, and is widely used as a spice and food coloring ingredient, but also in Ayurvedic medicine.<sup>1</sup> Apart from its use as a food additive, beneficial effects of curcumin, its derivatives and analogues have been investigated in vitro and in vivo up to clinical trials in a broad variety of diseases, for example, in cancer (eg breast, colorectal, pancreatic cancer) and inflammatory diseases [eg inflammatory bowel disease, osteoarthritis and rheumatoid arthritis].<sup>2–7</sup> In the nervous system, the effects of curcumin and its derivatives have been studied in the context of neurodegenerative diseases, eg Alzheimer's and Parkinson's disease, pathological pain, epilepsy and cerebral ischemia.<sup>8–16</sup> These and other studies revealed that curcumin may influence several intracellular signaling cascades, yielding neuroprotective and anti-inflammatory microglia-attenuating effects.<sup>17–25</sup> In recent studies, curcumin has also been described to prevent glial scar formation in spinal cord and brain injuries.<sup>26–29</sup> In experimental studies on

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spinal cord injuries, curcumin could reduce in vitro and in vivo the production of pro-inflammatory mediators, the reactive expression of gliosis/fibrosis markers and the deposition of chondroitin sulfate proteoglycans, presumably by modulating several, partly diverging signaling pathways.<sup>27–30</sup> However, curcumin is quite instable in aqueous solutions, sensitive to light and hardly bioavailable in experimental studies.<sup>31–33</sup> These facts and the failure of some recent clinical trials paved the way for the development of better bioavailable and more stable curcumin formulations, for example, by the use of micro-emulsions, nanofibers or nanoparticles.<sup>5,8,34–36</sup> In particular, drug-loaded nanoparticles are known for years to be good carriers for the encapsulation, transportation and release of drugs with tailorable release kinetics of the pharmaceutical compound to the target side as well as enhanced solubility and stability of most drugs, resulting in improved pharmacokinetic profiles.<sup>37–39</sup> Liposomal formulations have successfully climbed the step from an experimental concept to clinical application as an FDA approved nano-carrier for a variety of drugs, and liposomal encapsulation has also been shown to be a promising formulation strategy for curcumin, due to its biocompatibility and biodegradable properties.<sup>40,41</sup> Liposomes are artificial membrane-like bilayer structures, consisting of cholesterol and other non-toxic phospholipids, that are formed by exposing the lipid headgroups to a highly hydrophilic aqueous surrounding, allowing for the encapsulation of hydrophilic as well as hydrophobic drugs, such as curcumin.<sup>42–44</sup> Several reports show beneficial effects of liposomal curcumin formulations, for example, on growth-inhibition and pro-apoptotic effects on cancer cells, higher anti-inflammatory and -oxidative effects in vaginal inflammation models, increased bioavailability upon oral administration and improved skin-penetration in melanoma treatment.<sup>45–49</sup> However, only little has been shown yet for liposomal curcumin applications in the brain. To date, nano-formulated curcumin has been shown to attenuate microglia activation and to protect the blood-brain barrier integrity, but more comprehensive studies on the use of liposomal encapsulated curcumin to prevent glial scar formation are still missing.<sup>50,51</sup>

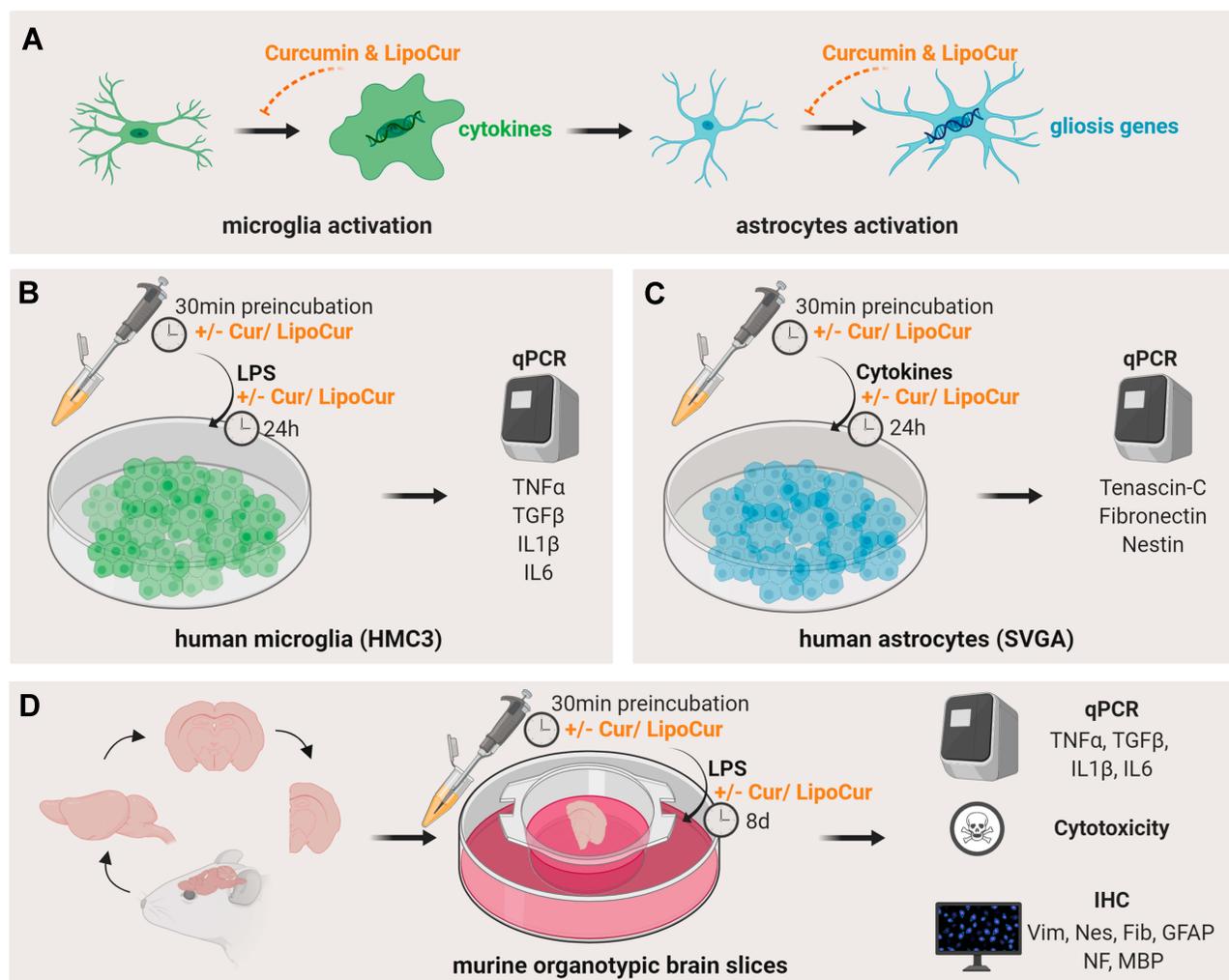
The aim of this study was to develop a liposomal nano-carrier formulation and to evaluate its protective effect in experimental microglial inflammation as well as reactive astrogliosis processes. The idea behind this concept is that, in local brain therapy strategies, the glial scarring process evoked by the brain implant materials and impairing the

implants function (eg drug delivery or signal recording) could in perspective be reduced by the (co-) administration of an anti-inflammatory drug such as curcumin.<sup>52,53</sup> The formulation of curcumin (or other anti-inflammatory drugs) in long-term stable, non-toxic nano-carriers would facilitate the local deposition, eg in biodegradable implant coatings. Thus, we developed a protocol for the liposomal encapsulation of curcumin (we termed these liposomes LipoCur) and evaluated their physicochemical properties, long-term stability and in vitro drug release kinetic. As microglia promoted neuroinflammation and astrocyte mediated reactive gliosis mainly contribute to the brain foreign body response (compare Figure 1A) we focused on our first experimental approaches on these cell types. Using human microglia and astrocytes, we analyzed the effects of liposomal curcumin in comparison to soluble “free” curcumin and empty liposomes on cell viability. To experimentally mimic the foreign body response in vitro, we challenged human microglia with lipopolysaccharide (LPS) to induce neuroinflammation (Figure 1B) and stimulated human astrocytes with a cytokine cocktail to induce reactive gliosis (Figure 1C). In these experimental settings, we analyzed the effect of liposomal curcumin (and for comparison “free” soluble curcumin and empty liposomes) on the expression of pro-inflammatory markers in microglial cells, and on the expression of glial scarring associated genes in cytokine-activated astrocytes. Additionally, we tested the effects of liposomal curcumin on LPS-challenged acute brain slices obtained from the mouse cortex to verify our observations in the complex tissue composition of the murine brain (Figure 1D).

## Materials and Methods

### Preparation of Liposomal Nano-Carriers

Briefly, lipids and curcumin were dissolved in 3 mL absolute ethanol at a total concentration of 1.34 mg/mL dipalmitoylphosphatidylcholine (DPPC, 16:0 PC, Avanti Polar Lipids, Alabaster, AL), 0.26 mg/mL cholesterol (Sigma-Aldrich/Merck, Darmstadt, Germany) and 0.063 mg/mL curcumin (Sigma-Aldrich) respectively, and mixed by slightly shaking of a 100 mL round bottom flask. The organic solvent was then removed using a rotary evaporator (Buchi R200, Flawil, Switzerland) for 1 h at 37°C. The lipid film was rehydrated with 2 mL ultrapure water, vortexed and directly extruded through a polycarbonate membrane (200 nm and 100 nm, Whatman®, Fisher Scientific, Merelbeke, Belgium) at 51°C. Thereafter,



**Figure 1** Graphical depiction of brain cell activation and the experimental cell culture-based models. **(A)** Activated by tissue damage, microglial cells enter their activated state characterized by an amoeboid shape and the production of pro-inflammatory cytokines such as IL1 $\beta$ , IL6, TNF $\alpha$  and TGF $\beta$ . These cytokines exert neuroinflammatory reaction and turn astrocytes to a reactive state in which they upregulate the expression of gliosis specific genes. **(B)** Human microglial cells HMC3 were stimulated with LPS to induce an inflammatory reaction, which is amongst others represented by the production of inflammatory cytokines like IL1 $\beta$ , IL6, TNF $\alpha$  and TGF $\beta$ . Expression of these mediators was analyzed on mRNA level by qPCR to investigate the modulating effects of liposomal-encapsulated curcumin (LipoCur) in comparison to free curcumin and empty liposomes on the inflammatory response of microglial cells. **(C)** In order to transfer the inflammatory response to astrocytes, human SVGA astrocytes were stimulated with a combination of the microglia produced cytokines IL1 $\beta$ , TNF $\alpha$  and TGF $\beta$  (with or without co-stimulation with LipoCur or free curcumin), and genes that are known to contribute to reactive gliosis and glial scar formation (Nestin, Vimentin, Tenascin-C, Fibronectin) were analyzed by qPCR. **(D)** To study the cellular reactions in their tissue environment, we stimulated murine organotypic brain slices with LPS and analyzed by cytotoxicity assay, qPCR and immunohistochemistry whether LPS effects could be ameliorated by co-incubation with LipoCur or free curcumin.

liposomes were purified by centrifugation to separate free curcumin from the nano-formulation. In brief, a first centrifugation step for 15 min at 9000 xg at room temperature (Centrifuge 5418, Eppendorf AG, Hamburg, Germany) separated free curcumin agglomerates (pellet) from the liposomal formulation (supernatant), whereas two ultracentrifugation steps for 1 h at 100,000 xg at 4°C (Optima™ L-90K, Beckman-Coulter, Brea, CA) separated the liposomal formulation (pellet) from the remaining free drug (supernatant). The final pellet was resuspended in 1.2 mL ultrapure water. The pellet from the first centrifugation as well as the supernatants from the

ultracentrifugation steps were kept for indirect quantification of the encapsulated curcumin concentration by high-performance liquid chromatography (HPLC, see below).

For freeze-drying of the liposomes (see paragraph Freeze-drying of liposomal formulations), the ultrapure water for the rehydration of the lipid film as well as the resuspension of the liposomal pellet after centrifugation was supplemented by 10% trehalose in the final protocol. To evaluate the most suitable condition for freeze-drying, at first different concentrations of trehalose were tested against each other and evaluated by their physicochemical properties.

## Freeze-Drying of Liposomal Formulations

Freshly produced liposomes were freeze-dried using a vacuum freeze-dryer (Drywinner 8, Heto-Holten A/S, Allerød, Denmark). In brief, the samples were frozen from room temperature to  $-45^{\circ}$  (cycle 1) under 1bar over a period of 3 h and 30 min. After that, primary drying was performed at  $-45^{\circ}\text{C}$  for 15 min (under 0.8bar pressure), at  $-15^{\circ}\text{C}$  for 3 h (under 0.1bar pressure) and then at  $-10^{\circ}\text{C}$  for 12 h (under 0.1bar pressure). Finally, the secondary drying was carried out at  $10^{\circ}\text{C}$  for 5 h (under 0.1 bar pressure). The entire freeze-drying cycle took 23 h and 45 min. Freeze-dried samples were kept at  $4^{\circ}\text{C}$  under vacuum until further usage.

The mass yield of curcumin loaded liposomes was determined by gravimetric analysis of the dried liposomes dispersions. Briefly, liposome formulation without the addition of trehalose were freeze-dried as described before. The weight of the dried liposomes was measured and the mass yield (%) was calculated using Equation 1.

$$\text{mass yield (\%)} = \frac{\text{weight of } 200 \mu\text{L liposomes} \times 100}{\text{theoretical weight of } 200 \mu\text{L liposomes}} \quad (1)$$

## Physico-Chemical Properties of Liposomes

### Dynamic Light Scattering (DLS) and Laser-Doppler Electrophoresis

All samples of liposomes (empty or curcumin loaded) were analyzed three times for the size (nm), polydispersity index and zeta potential (mV) by Dynamic Light Scattering (DLS) (BIC 90 plus, Brookhaven Instruments Corp., Holtsville, NY) followed by Laser-Doppler Anemometry, based on the electrophoretic mobility (Zetasizer Nano ZS, Malvern, UK), right after production. The measurements were performed following a 1:100 dilution of all the samples with ultrapure water, at  $25^{\circ}\text{C}$ . For long-term stability tests, aliquots of freeze-dried samples, stored at  $4^{\circ}\text{C}$  under vacuum, were rehydrated and analyzed over the time for up to 9 months in storage with three technical replicates,  $n = 3$ .

### High-Performance Liquid Chromatography (HPLC)

The percentage of encapsulation efficiency (%EE) was determined indirectly (see equation 2) of the curcumin content lost during the centrifugation respective ultracentrifugation steps by a previously validated HPLC method (Agilent LC1100 Series, Agilent Technologies Santa Clara, CA, with OpenLab CDS LC ChemStation version C.01.05

as the software). Moreover, the drug loading capacity was determined by direct quantification of encapsulated curcumin in the final liposomal formulations, as described in equation 3. The elution was carried out in an isocratic mode with sample volumes of  $10 \mu\text{L}$  and a flow rate of  $0.8 \text{ mL/min}$  at ambient temperature and a wavelength of  $427 \text{ nm}$ . The separation was performed on an Xterra RP8 column ( $5 \mu\text{m}$ ,  $4.6 \times 250 \text{ mm}$ , end-capped Waters<sup>®</sup>, Zellik, Belgium) and a mobile phase consisting of 10% ultrapure water and 90% phase E1 (41% acetonitrile, 23% methanol and 36% acidified ultrapure water). Curcumin concentrations were calculated on the basis of linear calibration functions and with regard to the dilution factor. Standards, as well as the curcumin pellet (centrifugation), were prepared in absolute ethanol and the supernatants (ultracentrifugation) and the liposomal formulation were diluted 1:3 with ethanol respective 1:10 with ethanol supplemented with 0.5% Triton-X. All samples were run as duplicates.

$$\%EE = \frac{(\text{total amount of curcumin}) - (\text{free curcumin})}{\text{total amount of curcumin}} * 100\% \quad (2)$$

$$\text{drug loading capacity} \left( \frac{\mu\text{g curcumin}}{\text{mg liposomes}} \right) = \frac{\text{amount of curcumin in } 200 \mu\text{L liposomes} * [\mu\text{g}]}{\text{weight of } 200 \mu\text{L liposomes} [\text{mg}]} \quad (3)$$

## Transmission Electron Microscopy

The morphology of the freeze-dried liposomes, empty as well as curcumin loaded, was analyzed by Transmission Electron Microscopy (TEM) after 9 months of storage as described before.<sup>54</sup> In brief,  $3 \mu\text{L}$  of diluted sample was added on a freshly glow discharged continuous carbon-coated copper grid (Electron Microscopy Sciences, Hatfield, PA) and liposomes were allowed to adhere to the surface for 10 sec. The excess sample was blotted off from the side with filter paper,  $5 \mu\text{L}$  of half saturated uranyl acetate solution was added twice and removed two sec after each incubation. After drying at room temperature, grids were transferred to a JEOL JEM 1400Plus TEM operating at  $100 \text{ kV}$  (Tokyo, Japan). Images were digitally recorded on a  $4\text{k} \times 4\text{k}$  digital camera (F416, TVIPS, Germany).

## In vitro Curcumin Release from Liposomes

The in vitro drug release profiles of liposomes were studied with the dialysis method. In brief, freeze-dried

curcumin loading liposomes were rehydrated in a final volume of 400  $\mu\text{L}$  ultrapure water and vigorously vortexed. To determine the total amount of curcumin available at time  $t=0$  (X1), a 10  $\mu\text{L}$  sample volume was kept for HPLC quantification. In parallel, 50  $\mu\text{L}$  of the sample were taken to quantify free curcumin outside the liposomes. For this, the 50  $\mu\text{L}$  were diluted in 950  $\mu\text{L}$  ultrapure water and centrifuged 15 min at 9000  $\times g$  at room temperature. The remaining pellet, considered to be free curcumin, was diluted in 1 mL ethanol and the free curcumin content (X2) was quantified via HPLC. Therefore, the difference of concentration between X1 and X2 represents the actual amount of curcumin present inside the liposomes at  $t=0$ .

Then, the remaining 340  $\mu\text{L}$  of rehydrated samples were taken in dialysis bags (Biotech CE Tubing, MWCO 20 kDa, Fisher Scientific) and dialyzed against 5 mL ultrapure water containing 20% ethanol and 0.5% Tween-80 (1:50 acceptor/donor volume ratio to obtain sink condition) at 37°C, stirred at 25rpm for 7 days. At certain time points (0 h, 1 h, 3 h, 6 h, 8 h, 10 h, 24, 48 h, 72 h, 96 h and 120 h, respectively) 250  $\mu\text{L}$  sample volume was taken from the receptor compartment and replaced by the same volume of fresh receptor compartment media. The concentration of curcumin was then determined via HPLC and the accumulated drug release over the time was calculated taking X2 into account (as it will be directly released from the dialysis bag).

## Cell Lines and Cell Culture

The human fetal astrocyte cell line SVGA was kindly provided by the group of Christine Hanssen Rinaldo, University Hospital of North Norway with the permission of W.J. Altwood.<sup>55,56</sup> The human microglia cell line HMC3 was purchased from the American Type Culture Collection (ATCC, Manassas, Virginia, USA). Cells were cultured in Dulbecco's modified Eagle's medium (DMEM; Life Technologies, Carlsbad, CA, USA) supplemented with 10% fetal bovine serum (FBS; PAN-Biotech GmbH, Aidenbach, Germany), 1% Penicillin-Streptomycin (10,000 U/mL; Thermo Fisher Scientific, Waltham, MA, USA) and 2 mM additional L-Glutamine (Thermo Fisher Scientific). Cells were routinely checked for *Mycoplasma* contamination by nuclei staining and mycoplasma-specific PCR.

## Cell Stimulations

Cells were seeded in 6-well plates (Sarstedt, Nümbrecht, Germany) 1 day prior to stimulation. Curcumin (Sigma-

Aldrich) was dissolved in ultrapure water at a stock concentration of 100 mM and further diluted to 0.01  $\mu\text{M}$  with cell culture medium (DMEM + 10% FCS, 1% Pen/Strep and 2 mM glutamine, see above) shortly prior to stimulations. For all stimulations, 0.01  $\mu\text{M}$  curcumin or the corresponding amount of liposomal encapsulated curcumin (or empty liposomes) were added to the cells 30 min prior to further stimulation and maintained for the whole stimulation period. This procedure is termed "pre-incubation" in the following. The inflammatory reaction of microglia was induced by stimulation with 100ng/mL lipopolysaccharide (LPS) of *Salmonella typhimurium* (Sigma-Aldrich) for 24 h. Astrocytes were stimulated for 24 h with each 10 ng/mL recombinant human tumor necrosis factor  $\alpha$  (TNF $\alpha$ ), transforming growth factor  $\beta$ 1 (TGF $\beta$ 1) and interleukin 1 $\beta$  (IL1 $\beta$ ) (all Immunotools, Friesoythe, Germany) to induce gliosis reaction. Supernatants were collected for viability assays, cells were counted to determine effects on proliferation, and cells were lysed to isolate RNA (for quantitative reverse transcription PCR, qPCR).

Brain slices were pre-incubated with 0.01  $\mu\text{M}$  curcumin or the corresponding amount of liposomal encapsulated curcumin (or empty liposomes) 30 min prior to further stimulation with 100 ng/mL LPS to induce inflammatory conditions for 8 days, while media and stimuli were changed on days 1, 2 and 5, and supernatants collected for subsequent cytotoxicity analysis on days 2 and 8 in vitro (div). After 8 days of stimulation, slices were either lysed for RNA isolation or fixed and embedded for immunohistochemistry.

## Liposome Cell Uptake Assay

Liposomes were stained with 0.25% Nile red (Sigma-Aldrich) for 24 h at room temperature. Free Nile red was removed from liposomes by centrifugation at 3000  $\times g$  for 30 min in filter membranes (Amicon, Sigma-Aldrich, molecular cutoff: 10,000 MWCO). 10<sup>6</sup> HMC3 or SVGA cells were seeded on glass cover slips and grown for 24 h. Stained liposomes (or the Nile red staining solution for control) were applied to the cells for 24 h in concentrations corresponding to 0.01  $\mu\text{M}$  free curcumin. Cells were fixed with 4% paraformaldehyde (PFA, in phosphate-buffered saline, PBS), rinsed with PBS (3x), incubated with Alexa Fluor 647 labelled wheat germ agglutinin (Thermo Fisher Scientific, 1:200) for 1 h, rinsed with PBS (3x). Then, nuclei were counterstained with 4',6-diamidino-2-phenylindole (DAPI, Sigma-Aldrich, 30 min) and cover slips were embedded after rinsing with PBS and distilled

water using Shandon Immumount (Thermo Fisher scientific). Cover slips were inspected and documented using an Axiovert 200M microscope with Apotome (Zeiss, Oberkochen, Germany).

## Cell Viability

To measure viability, 7000 HMC3 or SVGA cells/well were seeded on 96-well plates and grown for 24 h. Then, media were changed to DMEM containing 10% FBS plus respective stimuli or alone as a positive control. After 72 h incubation, proliferation was determined by the measurement of tetrazolium salt WST-1 cleavage (Roche, Mannheim, Germany) regarding ISO 10993-5 and normalized to unstimulated control (2 individual wells for each stimulus, as technical replicates, biological replicates as indicated in the respective figure legends).

## Organotypic Brain Slices

For the generation of organotypic brain slices, female Sox10<sup>fl</sup>ox hGFAP:creERT2 mice (16–24 weeks old; the genetic modification was not relevant for the experimental procedure) were sacrificed by cervical dislocation and brains were carefully removed from the skull and rinsed in artificial cerebrospinal fluid (aCSF, 2 mM CaCl<sub>2</sub>, 10 mM D-Glucose, 1.3 mM MgCl<sub>2</sub>, 5 mM KCl, 124 mM NaCl, 26 mM NaHCO<sub>3</sub>). Mouse brains were obtained and used in agreement with the local Ethics Committee “Ministerium für Energiewende, Landwirtschaft, Umwelt und ländliche Räume des Landes Schleswig-Holstein“ [authorization V 242–70056/2015(91–7/15)] and in accordance with the German Tierschutzgesetz (BGBl. I S. 1206, BGBl. I S. 1308) and the European directive 2010/63/EU. Tissue slices of 400 µm were obtained using a digital tissue slicer (Stötting, Wood Dale, IL). In brief, brains were dissected to obtain the cerebral cortex regions from both hemispheres, placed on wet filter papers, which were fixed below the blade of the slicer using adhesive film. To ensure minimal surface tension, the blade was wetted with aCSF before every cut.<sup>57</sup> Slices were prepared, separated and controlled under a binocular microscope and placed on membrane inserts (PICM03050, Millicell<sup>®</sup>-CM 0.4 µm, Merck Millipore, Burlington, MA, USA) in 6-well plates. Wells contained 1.2 mL of MEM/HEPES (Thermo Fisher Scientific), supplemented with 24% horse serum (Sigma Aldrich), 1% glutamine, 1% penicillin and streptomycin, 6 mg/mL glucose and 168 µg/mL sodium hydrogen phosphate, allowing brain slices to be provided by nutrients

from the bottom and CO<sub>2</sub> from the top [protocol based and adjusted on previous reports].<sup>57–59</sup>

## Cytotoxicity Assay

To analyze the protective effects of liposomal and free curcumin on murine brain slices, slices were challenged with 100 µg/mL LPS, in combination or not with 0.01 µM free or liposomal curcumin respective empty liposomes. As a positive control, brain slices were treated with 83.2 ng/mL Digitonin solution instead of stimulation. Supernatants were collected and cytotoxicity was analyzed using the CytoTox-Fluor<sup>™</sup> Cytotoxicity Assay (Promega, Madison, WI) as described previously<sup>60</sup> on days 2 and 8 *in vitro*.

## Immunohistochemistry

After stimulation (8 days), brain slices were briefly washed in PBS, fixed in 4% paraformaldehyde (in Tris-buffered saline, TBS) overnight, equilibrated in 30% sucrose (in TBS, until slices sink down), embedded and frozen in Shandon Cryomatrix (Thermo Fisher Scientific) and cut in 10 µm cryosections. Sections were washed with 0.1% Tween in TBS (TBS-T), blocked for autofluorescence with 1% sudan black (Merck, in 70% ethanol) and for unspecific binding with 0.5% glycine/0.5% bovine serum albumin (in TBS-T), incubated with primary antibodies overnight at 4°C, washed 3x, incubated with Alexa Fluor 488 or 555 labelled secondary antibodies against respective host species (donkey IgG, 1:800, Thermo Fisher scientific) at 37°C for 1 h, washed (2x, TBS-T, 1x TBS), nuclei were counterstained with DAPI (Sigma-Aldrich) and slides embedded after washing in TBS-T and water with Shandon Immumount (Thermo Fisher Scientific). For secondary antibody controls, primary antibodies were omitted (compare [Figure S1](#)). Commercially available primary antibodies were anti-GFAP (glial fibrillary acidic protein; rabbit, 1:100; DAKO, Glostrup, Denmark, Z0334), anti-fibronectin (rabbit, 1:400; Novus biologicals, Wiesbaden, Germany; NBP1-91258), anti-MBP (myelin basic protein; mouse, 1:400; Novus, NBP2-22121), anti-nestin (mouse, 4D11, 1:200; Novus, NBP1-92717) and anti-vimentin (chicken, 1:200; Novus, NB300-223). The monoclonal antibody against neurofilament-M (clone 2H3) developed by T. M Jessell and J. Dodd, HHMI/Columbia University was obtained from the Developmental Studies Hybridoma Bank, created by the NICHD of the NIH and maintained at The University of Iowa, Department of Biology, Iowa

City, IA 52242. Sections were inspected and documented using an Axiovert 200M Fluorescence Microscope.

## Quantitative Reverse Transcription-PCR

HMC3 or SVGA cells were harvested and tissues homogenized using the QIAzol lysis reagent (Qiagen, Hilden, Germany) and total RNA was isolated following the manufacturer's protocol. Genomic DNA was digested by RNase-free Dnase (1U/ $\mu$ L, Promega, Madison, W, USA), and cDNA synthesis was performed using RevertAid<sup>TM</sup> H Minus M-MuLV Reverse Transcriptase (200U/ $\mu$ L, Thermo Fisher Scientific, Waltham, MA, USA). TaqMan primer probes and TaqMan<sup>TM</sup> Gene expression Master Mix (Thermo Fisher Scientific) were used to analyze samples with the ABI PRISM 7500 Sequence detection system. Analyzed genes were *gapdh* (human: Hs99999905\_m1, mouse: Mm99999915\_g1), *il6* (human: Hs00985639\_m1, mouse: Mm00446190\_m1), *il1 $\beta$*  (human: Hs01555410\_m1, mouse: Mm00434228\_m1), *tnfa* (human: Hs00174128\_m1, mouse: Mm00443258\_m1), *tgfb* (human: Hs00171257\_m1, mouse: Mm01178820\_m1), *tenascin* (human: Hs01115665\_m1), *nestin* (human: Hs0070120\_s1), and *fibronectin* (human: Hs00277509\_m1). Cycle of Threshold values (CT) were measured, and  $\Delta$ CT values = CT [gene of interest] – CT[GAPDH] were calculated. Due to the logarithmic reaction mode, a  $\Delta$ C<sub>T</sub> value of 3.33 corresponds to one magnitude lower gene expression compared to GAPDH. For stimuli-induced mRNA regulation

$\Delta\Delta$ CT values were calculated:  $\Delta\Delta$ CT = 2<sup>-</sup>( $\Delta$ CT[stimulus] –  $\Delta$ CT[control]).

## Statistical Analysis

All values are shown as mean values  $\pm$  standard deviation (SD). Statistical analysis was performed using GraphPad Prism<sup>®</sup> 5 (GraphPad Software, Inc. Version, CA). Asterisks or rhombs indicate statistical significance, whereas p values <0.05 were considered as significant. Different statistical analyses were applied in the function of experiments as reported in each figure legend.

## Results

### Preparation and Characterization of Liposomes

For the development of an effective curcumin delivery system for the alleviation of brain implant-induced foreign body reactions in the brain, a liposomal formulation using 80.6% (w/v) DPPC, 15.6% (w/v) cholesterol and 3.8% (w/v) curcumin was employed. Empty and curcumin loaded liposomes were produced by hydration of the lipid-thin film technique, followed by multiple times extrusion. Particle size analysis of liposomes encapsulating curcumin showed a size, Pdl and Zeta Potential (ZP) of 180  $\pm$  34 nm, 0.170  $\pm$  0.040 respective –26.1  $\pm$  14.0 mV (Table 1) on the day of preparation.

For long-term storage of liposomal formulations, trehalose was added to the final formulation as a cryo-protectant.

**Table 1** Characterization of Empty and Curcumin Loaded Liposomes (LipoCur), Before and After Freeze-Drying

	Size [nm]	Pdl	ZP [mV]	DL [%]	MY [%]	EE [%]
<b>LipoCur</b> (wo Tre, before FD)	180 $\pm$ 34	0.17 $\pm$ 0.04	–26.1 $\pm$ 14.0	21.4 $\pm$ 1.8	78 $\pm$ 18	68 $\pm$ 6
<b>LipoCur</b> (w Tre, before FD)	153 $\pm$ 48	0.11 $\pm$ 0.05	–22.6 $\pm$ 6.2	n.d.	n.d.	46 $\pm$ 9
<b>LipoCur</b> (w Tre, after FD)	164 $\pm$ 22	0.25 $\pm$ 0.10	–29.3 $\pm$ 3.8	n.d.	n.d.	n.d.
<b>Empty liposomes</b> (w Tre, before FD)	158 $\pm$ 3	0.12 $\pm$ 0.02	–17.7 $\pm$ 0.2	n.d.	n.d.	n.d.
<b>Empty liposomes</b> (w Tre, after FD)	219 $\pm$ 82	0.30 $\pm$ 0.10	–17.2 $\pm$ 3.8	n.d.	n.d.	n.d.

**Notes:** Curcumin loaded liposomes (LipoCur) were analyzed prior to freeze-drying (FD) with or without substitution of 10% trehalose (Tre), and with trehalose substitution after freeze-drying regarding size (hydrodynamic diameter), polydispersity index (Pdl), zeta potential (ZP), for comparison, data of empty liposomes (with Trehalose) are shown below before freeze-drying and after freeze-drying. Drug loading (DL), mass yield (MY) and encapsulation efficacy (EE) were measured in freshly prepared liposomes, DL and MY only in freshly prepared, drug-loaded liposomes without trehalose (n.d.: not determined). Mean values of n = 3 measurements from one batch  $\pm$  SD are shown.

To evaluate the most suitable trehalose concentration that does not alter the size, ZP or PdI after freeze-drying, different trehalose concentrations have been added to the liposomal formulations and physicochemical properties have been evaluated before (Figure 2A) and after freeze-drying (Figure 2B). With the final concentration of 10% trehalose, sizes of  $153 \pm 48$  nm and  $164 \pm 22$  nm, PdI of  $0.11 \pm 0.05$  and  $0.25 \pm 0.10$ , as well as ZP of  $-22.6 \pm 6.2$  mV and  $-29.3 \pm 3.8$  mV have been measured of curcumin loaded liposomes before respective after the freeze-drying process. Empty liposomes showed comparable size ( $158 \pm 3$  nm) and PdI ( $0.12 \pm 0.02$  mV), and less negative ZP ( $17.7 \pm 0.2$ ) than curcumin loaded liposomes before freeze-drying (with trehalose added) and a slightly bigger size ( $219 \pm 82$  nm) and PdI ( $0.30 \pm 0.10$ ) as well as less negative ZP ( $-17.2 \pm 3.8$  mV) after freeze-drying (Table 1).

With this final formulation, the curcumin encapsulation efficiency (EE) and drug loading capacity (DL) were evaluated by HPLC quantification on the day of preparation. As seen in Table 1, EE values and DL of  $68 \pm 6\%$  respective  $21.4 \pm 1.8\%$  were obtained for liposomes without trehalose, and an EE  $46 \pm 9\%$  for liposomes containing trehalose. The total mass yield that was determined by HPLC and lyophilization was  $78 \pm 18\%$  (Table 1).

Regarding the long-term stability of fabricated liposomes, freeze-dried samples were stored in a vacuum at  $4^{\circ}\text{C}$  for up to 180 days and analyzed for their size, PdI and ZP and compared to the values directly after the freeze-drying process (Figure 2C-E). Sizes slightly increased over the time from 180 nm to 240 nm (directly vs 180 days after freeze-drying), whereas only after 120 days significant changes to the fresh freeze-dried formulation could be noted. However, the ZP (Figure 2D) and the size distribution (Figure 2E) of the liposomal formulations did not vary over the time. In summary, 180 days old liposomes did not significantly differ from freshly produced formulations in their size, PdI and ZP. Thereafter, using the dialysis method, the in vitro drug release of curcumin was performed and evaluated by HPLC. The individual drug release profile of  $n = 3$  technical replicates is depicted in Figure 2F. After 10 h, liposomes released  $26.9\% \pm 4.5$  of their curcumin cargo and a maximum with  $34.8\% \pm 5.9$  was released after 24 h, which was followed by a sustained release drug profile over the time.

Concomitant with the above-described physicochemical characterization, we analyzed the morphology of the freeze-dried liposomes (LipoCur as well as empty LP) by Transmission Electron Microscopy (TEM) after 180 days

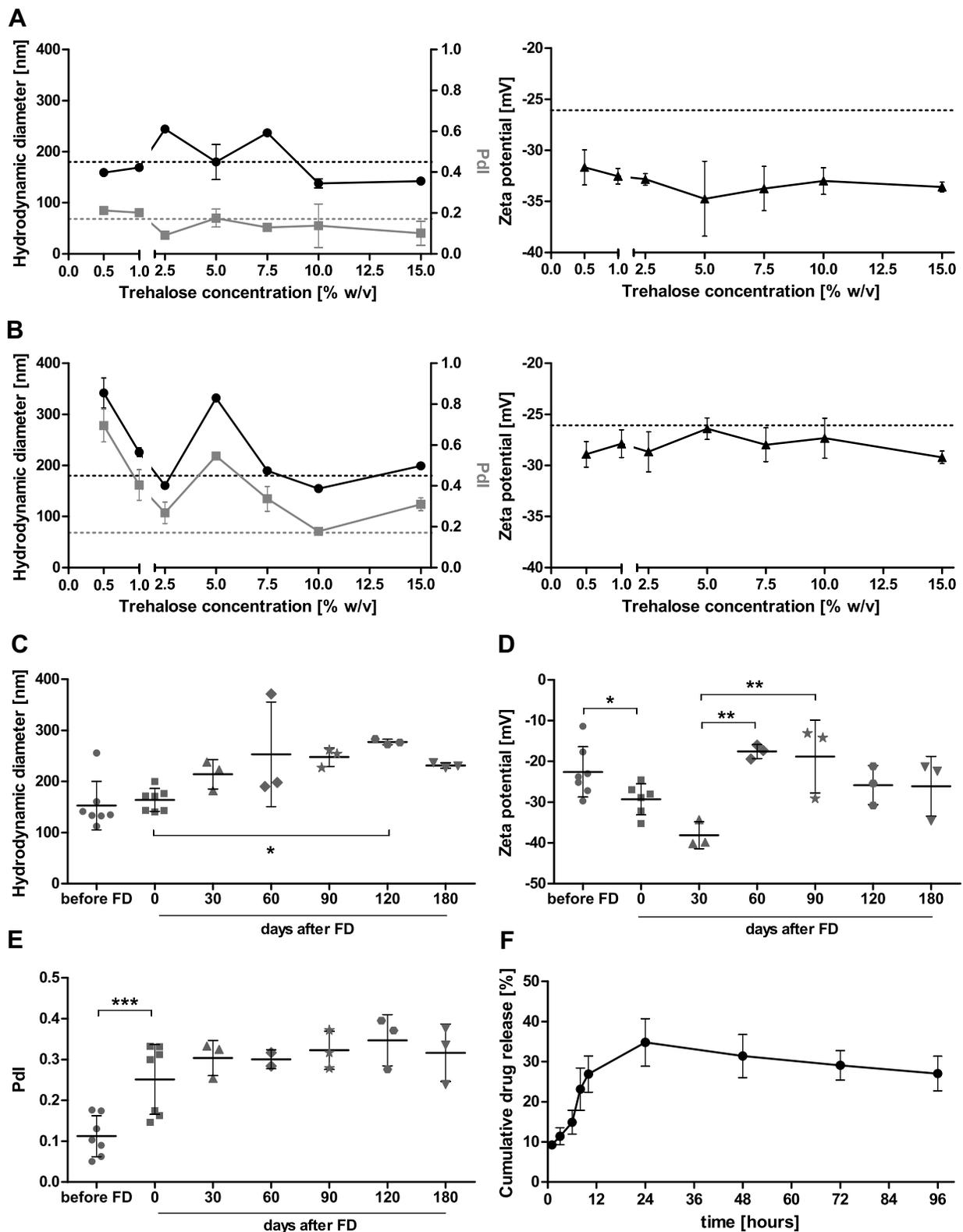
of storage, and similar sizes could be evaluated visually (Figure 3A and B) as previously measured by DLS (Figure 2C). All vesicle types tended to have a spherical shape, with similar size distributions. Some of them seemed to be dented or hollow, putatively due to the preparation process with uranyl acetate for TEM.

Taken together, we could successfully establish a protocol for the liposomal encapsulation of curcumin, yielding favorable physicochemical properties, satisfying encapsulation efficiencies and long-term stability of the produced liposomes.

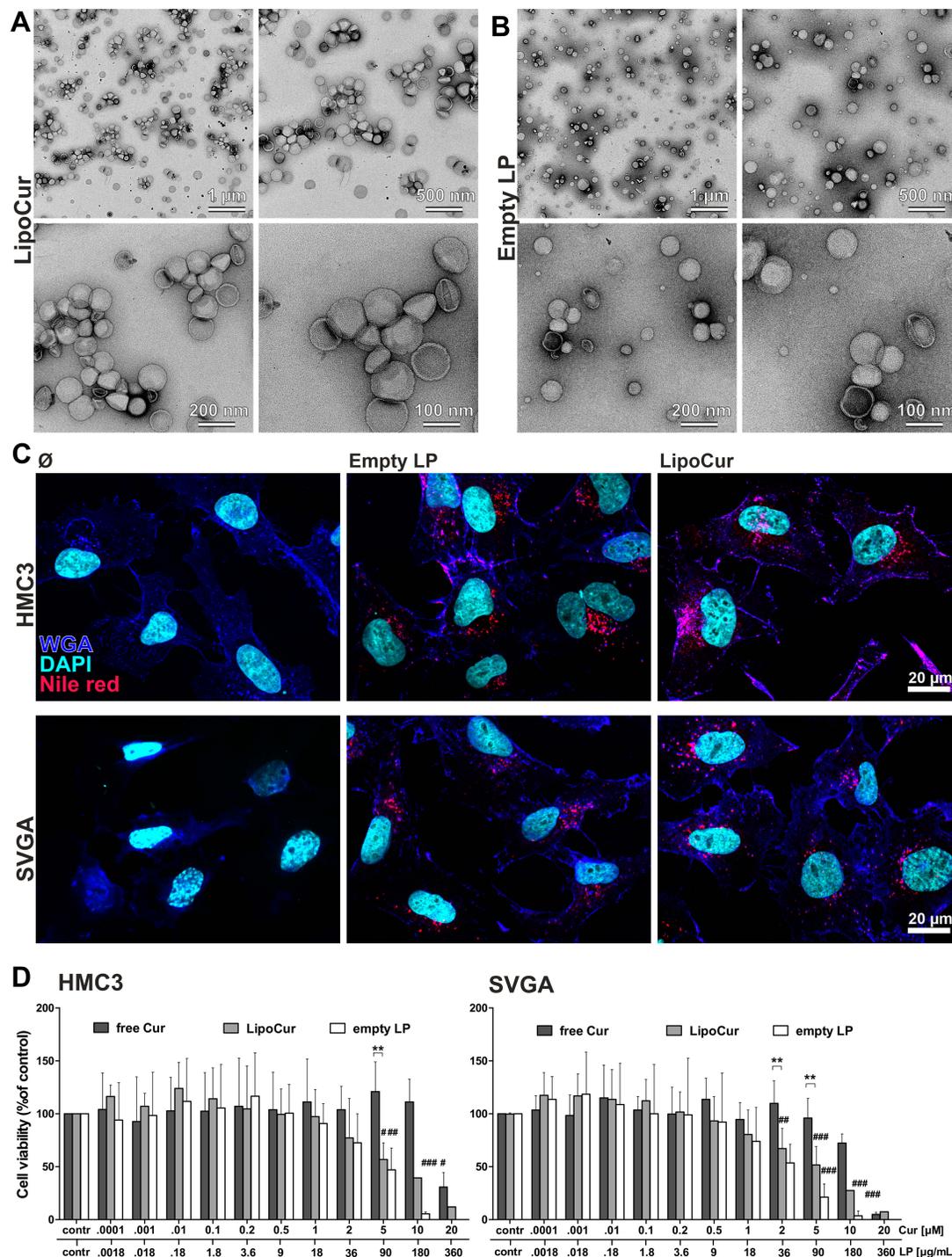
## Cellular Uptake and Effects of Liposomal Curcumin on Viability of Human Astrocytes and Microglia Cells

To study the uptake of liposomes by cells, empty liposomes (LP) and curcumin loaded liposomes (LipoCur) were fluorescently labeled with Nile red and incubated with human microglia cells (HMC3) and astrocytes (SVGA) for 24 h. The final liposome concentration of  $0.18 \mu\text{g/mL}$  corresponded to a final concentration of  $0.01 \mu\text{M}$  curcumin. We observed that both cell types efficiently incorporated labeled liposomes (Figure 3C). Using infra-red labelled wheat germ agglutinin which binds to and marks cell membranes, we could clearly demonstrate that red-labelled liposomes were located within the cell boundaries in the cytoplasm. However, as Nile red labels specifically the liposomal structures, but not the curcumin itself, we could not compare the uptake of liposomes to uptake or effects of free curcumin.

Next, we investigated to what extent empty and curcumin loaded liposomes influenced the viability of cultivated brain cells. Therefore, we stimulated microglia and astrocytes with empty LP, LipoCur and, for comparison, free curcumin (free Cur) for 3 days with concentrations ranging from  $0.0001 \mu\text{M}$  to  $20 \mu\text{M}$  curcumin (and corresponding amounts of loaded or empty liposomes). As shown in Figure 3D, concentrations up to  $1 \mu\text{M}$  did not significantly impair cell viability in both cell types. Empty and curcumin loaded liposomes showed widely comparable effects in this well-tolerated concentration range. Empty LP did significantly decrease cell viability from  $90 \mu\text{g/mL}$  on (corresponding to a curcumin load of  $5 \mu\text{M}$  for LipoCur), while LipoCur was slightly less toxic in these high concentrations. However, for further experiments, we used amounts corresponding to  $0.01 \mu\text{M}$  curcumin, being more than 2 orders of magnitude below this



**Figure 2** Physicochemical properties of curcumin loaded liposomes. Hydrodynamic diameter, polydispersity index (Pdl) and zeta potential were determined of curcumin loaded liposomes substituted with different concentrations of trehalose before (A) and after (B) freeze-drying (n = 3 batches). Long-term analysis of hydrodynamic diameter (C), zeta potential (D) and Pdl (E) of the freeze-dried liposomes using 10% trehalose revealed stability for at least 180 days. (n = 3 batches) (F) Curcumin loaded liposomes showed efficient sustained drug release over 96 h. The instability of curcumin is mirrored by decreasing cumulative concentrations after prolonged time periods (n = 3). The statistical significance of the long-term stability was determined by One-Way-ANOVA followed by Bonferroni's multiple comparison test, comparing all days after freeze-drying with one another. A two-tailed paired t-test was employed to test for significance between liposomes before and directly after freeze-drying. \*p<0.05, \*\*p<0.005, \*\*\*p<0.001.



**Figure 3** Ultrastructure of curcumin loaded and empty liposomes, cellular uptake and cell viability of HMC3 microglia cells and SVGA astrocytes upon incubation with liposomal and free curcumin. **(A)** Curcumin loaded liposomes and **(B)** empty liposomes were visualized by transmission electron microscopy (TEM) at different magnifications. Similar average sizes and size distributions could be obtained as with DLS measurements after 180 days. **(C)** Liposomes were labelled red using Nile red, and cellular uptake was monitored after 24 h incubation with human microglia cells HMC3 or human astrocytes SVGA. Nuclei were counterstained with DAPI (shown in cyan) and membrane structures with Alexa Fluor-647 labelled wheat germ agglutinin (shown in blue) to visualize the localization of the red labelled liposomes within the cell boundaries. Representative images from  $n = 2$  independent experiments, scale bars indicate 20  $\mu\text{m}$ . **(D)** To test the influence of liposomal curcumin on cell viability, human microglia cells (HMC3, left) or astrocytes (SVGA, right) were incubated with different concentrations of free curcumin (free Cur), curcumin loaded liposomes (LipoCur) containing the same amounts of curcumin (a final concentration of 1  $\mu\text{M}$  curcumin corresponds to 18  $\mu\text{g}/\text{mL}$  loaded liposomes) or the corresponding amounts of empty liposomes (empty LP) for 3 days. Viability was determined in duplicates using a WST-assay for  $n = 4$ –10 independent experiments, graphs show mean values  $\pm$  SD. For HMC3 microglia, there was no significant reduction in viability to be observed up to 10  $\mu\text{M}$  for free curcumin (IC50 19.6  $\mu\text{M}$ ), and up to 1  $\mu\text{M}$  for liposomal curcumin (IC50 5.3  $\mu\text{M}$ ). Empty liposomes only reduced microglia viability starting from 90  $\mu\text{g}/\text{mL}$  (IC50 86.4  $\mu\text{g}/\text{mL}$ ). SVGA cells showed quite similar results while being a bit more sensitive, with IC50 values of 11.6  $\mu\text{M}$  for free curcumin, 2.1  $\mu\text{M}$  for liposomal curcumin and 36.4  $\mu\text{g}/\text{mL}$  for empty liposomes. Statistical significant differences to unstimulated controls (corresponding to 100%) were analyzed by One-Way-ANOVA followed by Dunnett's multiple comparison test and are indicated by rhombs ( $^{\#}p < 0.05$ ,  $^{\#\#}p < 0.01$ ,  $^{\#\#\#}p < 0.001$ ), while differences between free and liposomal curcumin are analyzed by Two-Way-ANOVA followed by Bonferroni's multiple comparison test and marked by asterisks ( $^{*}p < 0.01$ ).

toxic range. Free curcumin was well tolerated at high concentrations (5–10  $\mu\text{M}$ ) but was also toxic at 20  $\mu\text{M}$  for both cell lines.

Taken together, empty and curcumin loaded liposomes were efficiently taken up by microglia and astrocytes and did not impair cell viability at concentrations up to 1  $\mu\text{M}$ .

## Effects of Liposomal Curcumin on Neuroinflammation and Reactive Astrogliosis in vitro

Our concept of liposomal encapsulation of curcumin aimed to provide a drug formulation for local brain administration to attenuate foreign body reactions towards brain implants. Therefore, we tested in a next step if liposomal curcumin can alleviate neuroinflammatory and reactive astrogliosis processes in vitro. As an experimental model for neuroinflammation (Figure 1B), we stimulated microglia cells with lipopolysaccharide from *S. typhimurium* (100 ng/mL), with or without pre-incubation with LipoCur and, for comparison, free curcumin or empty LP for 24 h. LPS stimulation yielded a typical change to amoeboid morphology (Figure 4A) and induced expression of the cytokines IL6, IL1 $\beta$ , TGF $\beta$  and TNF $\alpha$  (Figure 4B, black bars). These effects could be clearly reduced by pre-incubation with LipoCur, and also by free curcumin (Cur). In addition, although not being significantly different in direct comparison, LipoCur yielded frequently higher levels of significance in reducing LPS effects than free Cur. However, due to high variations in the extent of microglia activation (compare error bar of LPS stimulation and LPS + empty LP), effects were not always significant, but stimulations with LPS and LipoCur frequently yielded less induction of inflammatory cytokines than LPS stimulations alone or LPS together with empty LP. In comparison, mRNA expression of analyzed cytokines was much more robust in controls that were not challenged with LPS, and neither free nor liposomal curcumin nor empty liposomes had any effect on expression levels.

As an experimental model for astrocyte reactivity, we used a cytokine cocktail (IL1 $\beta$ , TGF $\beta$  and TNF $\alpha$ , 10 ng/mL each, 24 h) to stimulate human astrocytes (Figure 1C). This cocktail affected astrocyte morphology, yielding, for example, nuclear deformation and alteration in cell size and shape. Again, this effect was almost completely abolished by pre-incubation with LipoCur or free Cur (Figure 4C). As a choice of gliosis-associated genes, we

analyzed mRNA expression of tenascin C, fibronectin and nestin, and observed that cytokine-mediated induction of these genes could be significantly reduced by pre-incubation with LipoCur or free curcumin, reaching or reaching almost levels of controls without cytokine cocktail (Figure 4D). Again, LipoCur reached higher significance levels in gene expression reduction in comparison to free curcumin, thus attenuating more robust the reactive gliosis reaction.

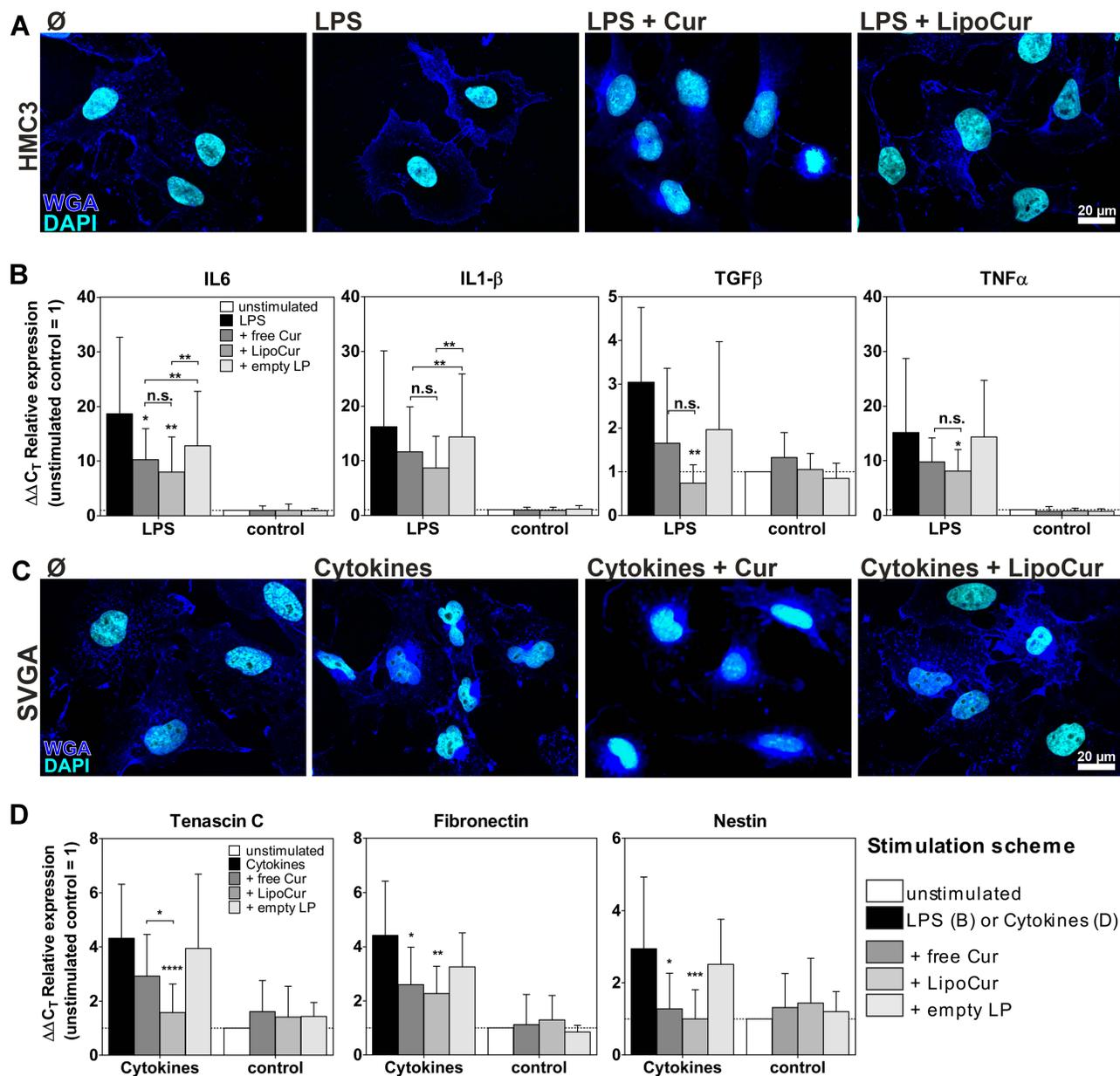
Taken together, our liposomal curcumin formulation was as effective as or even more potent than free curcumin in reducing microglia and astrocyte reactivity.

## Effects of Liposomal Curcumin on Viability and LPS Response of Organotypic Murine Brain Slices

As cellular reactions are strongly influenced by their local tissue environment and cell neighbors, we decided to investigate in the next step the beneficial effects of LipoCur in comparison to free curcumin and empty LP in LPS-challenged organotypic brain slices (Figure 1D). Using 400  $\mu\text{m}$  slices from one murine brain cortex region, the reactions of a whole-brain tissue with all its cells types towards various stimuli could be analyzed.

First, we could show that LipoCur and free Cur could restore LPS-reduced viability of organotypic brain slices to control levels at early (2 div) and late (8 div) time points of incubations (Figure 5A). In the next step, we analyzed the expression of inflammatory markers IL1 $\beta$ , IL6, TNF $\alpha$  and TGF $\beta$  on mRNA levels at 8 div. IL1 $\beta$ , IL6 and TNF $\alpha$  were induced upon LPS stimulation, and these effects were in trend or even significantly mildened by pre-incubation with LipoCur, and to lesser extend free Cur (Figure 5B). When interpreting these results, one has to keep in mind, that the microglial cells represent only one small portion of cells within the slices, so that smaller effects and higher variations should be expected. The cytokine TGF $\beta$  was not induced by LPS stimulation at 8 div, so that pre-incubation with LipoCur or free Cur did not yield any effects.

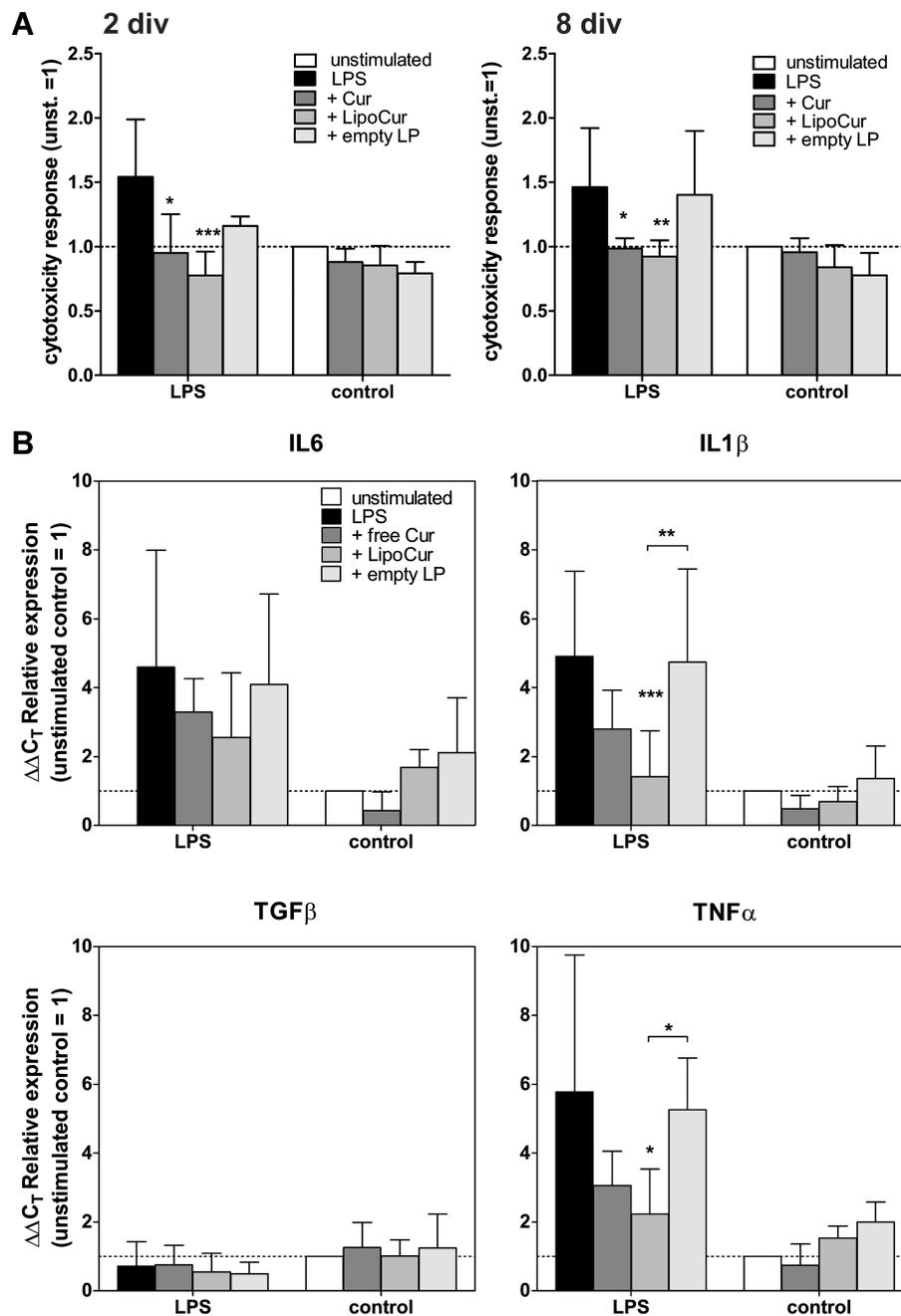
Finally, we used fluorescence immunohistochemistry to visualize LPS-mediated cellular changes and restoration by pre-incubation with LipoCur or free Cur (Figure 6). Stainings of neurofilament (NF) revealed that the neuronal network was clearly affected by LPS stimulation at 8 div, and these effects could be almost completely diminished by pre-incubation with LipoCur (or free Cur), but not by empty LP. Furthermore, markers for reactive astrogliosis



**Figure 4** Effects of liposomal and free curcumin on experimental models of neuroinflammation (**A, B**) and reactive gliosis (**C, D**). (**A**) Upon stimulation with 100 ng/mL LPS for 24 h, HMC3 cells showed the typical amoeboid morphology of reactive microglia, which could be alleviated by pre-incubation with 0.01  $\mu$ M free (Cur) or liposomal curcumin (LipoCur). Representative images of  $n = 2$  independent stimulations, scale bar indicate 20  $\mu$ m. (**B**) Stimulation with 100 ng/mL LPS for 24 h also induced expression of pro-inflammatory cytokines IL6, IL1 $\beta$ , TNF $\alpha$  and TGF $\beta$ , as monitored by qPCR. These effects could be reduced by pre-incubation with 0.01  $\mu$ M curcumin and, in trend even more effective, by corresponding amounts of LipoCur. Please note that due to high variations of LPS-induced cytokine expression curcumin and LipoCur mediated reduction is not always significant, but trends can be seen for all investigated cytokines. Graphs show  $\Delta\Delta$ CT values representing the n-fold expression in comparison to unstimulated controls. In non-inflammatory conditions (without LPS stimulation), neither curcumin, nor LipoCur, nor empty liposomes induced any changes in expression of these genes. (**C**) Stimulation with a combination of IL1 $\beta$ , TNF $\alpha$  and TGF $\beta$  (10 ng/mL each) for 24 h served to induce activation of human astrocyte cell line SVGA. Cells show nuclear deformations as a sign of cellular stress. Effects were almost completely abolished upon pre-incubation with 0.01  $\mu$ M free curcumin or LipoCur. Representative images from  $n = 2$  experiments, scale bar indicates 20  $\mu$ m. (**D**) As representatives for reactive astrogliosis associated genes, expression of nestin, tenascin C and fibronectin was analyzed by qPCR and found to be induced upon stimulation with IL1 $\beta$ , TNF $\alpha$  and TGF $\beta$  (10 ng/mL each) for 24 h. These effects were significantly alleviated by pre-incubation with 0.01  $\mu$ M curcumin or LipoCur. LipoCur showed higher significance levels compared to soluble curcumin and was in case of tenascin C even significantly more effective. In "normal" conditions (without cytokine stimulation), neither curcumin, nor LipoCur, nor empty liposomes induced any changes in expression of these genes. Shown are mean values  $\pm$  SD from  $n = 5-15$  independent experiments, asterisks indicate significant changes of stimulations compared to LPS control (**B**) and cytokines (**D**) or between the groups under the brackets. Data have been analyzed by Two-Way-ANOVA followed by Bonferroni's multiple comparison test (\* $p < 0.05$ , \*\* $p < 0.001$ , \*\*\* $p < 0.001$ , \*\*\*\* $p < 0.0001$ ).

(GFAP, nestin, fibronectin, vimentin) showed strong immunoreactivity in LPS-stimulated slices, and again these effects were alleviated by LipoCur and free Cur,

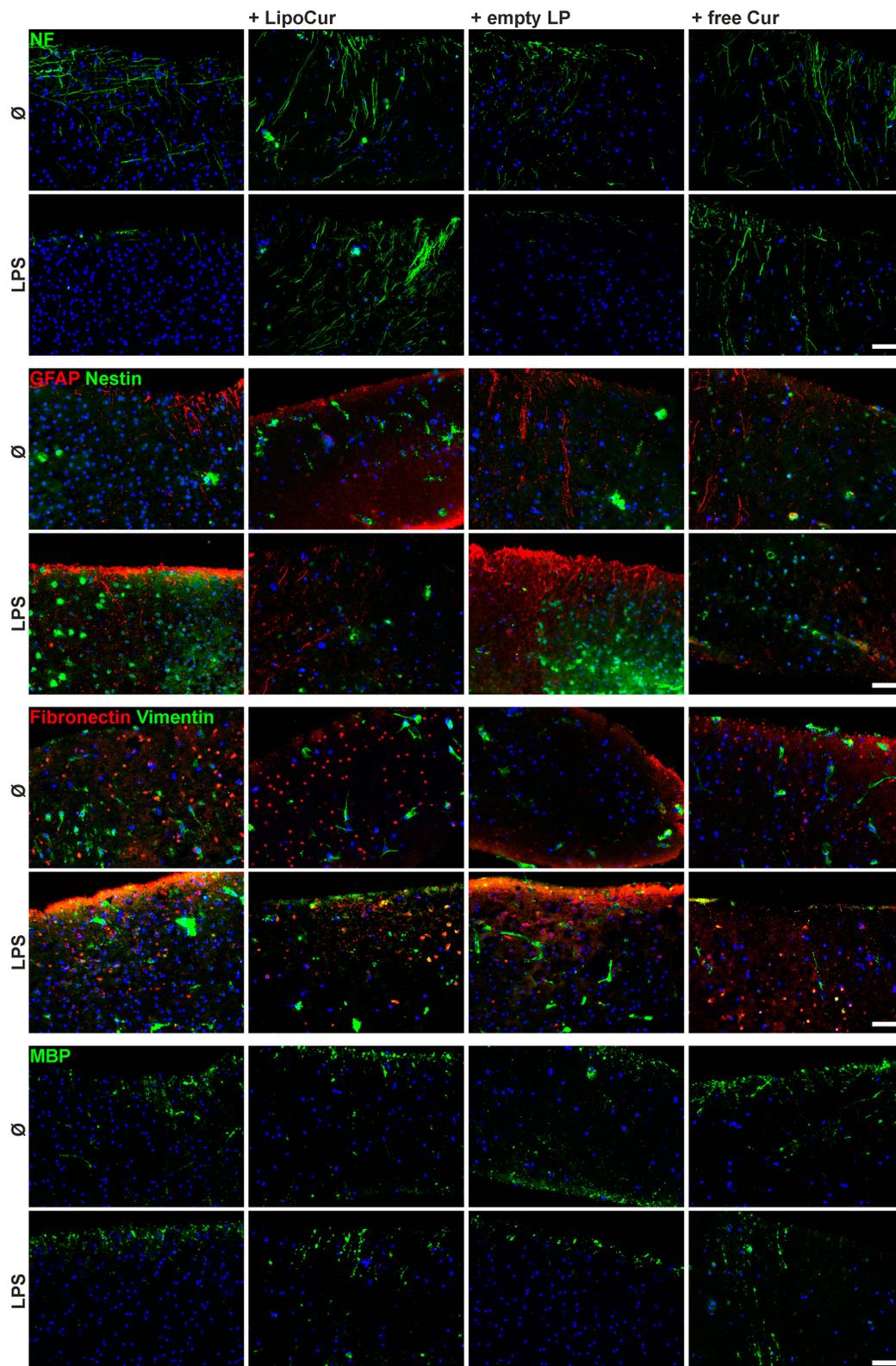
but not empty LP. However, stainings for oligodendrocytes (MBP, myelin basic protein), hardly showed any differences between LPS stimulations and controls, and within



**Figure 5** Effects of liposomal and free curcumin on LPS-challenged organotypic brain slices, regarding cytotoxicity (A) and alteration of mRNA expression of pro-inflammatory cytokines (B). (A) Murine acute brain slices were treated with 100  $\mu\text{g/mL}$  LPS with or without pre-incubation with 0.01  $\mu\text{M}$  free curcumin or LipoCur for 8 days, and cytotoxicity was measured from supernatants after 2 and 8 div by Cytotoxicity assay. LPS stimulation induced cytotoxicity (black bar) was completely abolished (compare unstimulated control: white bar) by simultaneous stimulation with LipoCur or free Cur, but not by empty liposomes (empty LP, grey bars). Neither curcumin nor LipoCur nor empty LP had significant effects on cytotoxicity in single stimulations (without LPS, grey bars). Measured extinctions were normalized to unstimulated control (=1), and mean values of 3 respective 5 independent experiments ( $\pm$  SD) are shown for cytotoxic effects after 2 div respective 8 div. Asterisks indicate significant changes to LPS-stimulated slices (\* $p$ <0.05, \*\* $p$ <0.001, \*\*\* $p$ <0.001), analyzed by Two-Way-ANOVA followed by Bonferroni's multiple comparison test. (B) Analyses of effects of LipoCur and free Cur on the expression of cytokines revealed that both could at least in trend reduce LPS-induced upregulation of inflammatory genes IL1 $\beta$ , IL6, and TNF $\alpha$ . TGF $\beta$  was not induced by LPS at 8 div, and consequently not affected by LipoCur or free Cur. Graphs show mean  $\Delta\Delta C_T$  values  $\pm$  SD representing the n-fold expression in comparison to unstimulated controls. Data have been analyzed for statistical significance by Two-Way-ANOVA followed by Bonferroni's multiple comparison test. Asterisks indicate significant changes to LPS-stimulated slices or between stimulations (\* $p$ <0.05, \*\* $p$ <0.001, \*\*\* $p$ <0.001).

there were no effects observed upon stimulation with LipoCur or free Cur. Secondary antibody controls are shown in [Figure S1](#).

Taken together, we could establish a protocol for the liposomal encapsulation of curcumin, yielding liposomes with favorable physicochemical properties, efficient drug



**Figure 6** Effects of liposomal (LipoCur) and free Curcumin on LPS-challenged organotypic brain slice morphology. Murine acute brain slices were treated with 100 µg/mL LPS with or without 0.01 µM free curcumin or LipoCur for 8 days, slices were cut in 10 µm cryosections and immunostained with antibodies against neurofilament-M (NF, neurons), GFAP and nestin (astrocytes, glial precursors, reactive astrocytes), fibronectin and vimentin (reactive astrocytes, glial scarring), as well as MBP (oligodendrocytes). These exemplary pictures of  $n = 4$  individual experiments show that LipoCur and free curcumin (but not empty liposomes) can effectively restore LPS-mediated loss of neurofilament and attenuate induction of reactive gliosis associated markers like GFAP, nestin, fibronectin and vimentin. Oligodendrocytes marked by MBP staining, however, were hardly affected by LPS stimulation and co-stimulation with LipoCur or free curcumin. Scale Bars indicate 50 µm, please refer to Figure S1 for secondary antibody controls.

encapsulation, *in vitro* drug release and long-term stability. These liposomes (we termed them LipoCur) were taken up into the cytoplasmic cell compartment and were well tolerated by human microglia and astrocytes as well as murine organotypic brain slices. In experimental models for neuroinflammation and reactive astrogliosis, LipoCur could efficiently reduce microglia and astrocyte reactivity, in some settings even more effective than free curcumin.

## Discussion

The natural compound curcumin is a traditional coloring and flavoring food ingredient, and has attracted broad scientific interest due to its possible anti-oxidative and anti-inflammatory properties. Aiming to develop a biocompatible, long-term stable nano-formulation of a drug, that may alleviate foreign body reactions provoked by brain implant materials, we gave a chance to curcumin as several previous reports had shown that curcumin treatment reduces the extent of the experimental brain and spinal cord injuries. In animal models of spinal cord injuries, curcumin provided neuroprotection and attenuated microglial and astrocyte reactivity as shown by reduced GFAP-expression levels and Nestin<sup>+</sup>/GFAP<sup>+</sup> glial scar regions as well as reduced expression of pro-inflammatory cytokines like IL1 $\beta$ , IL6, TNF $\alpha$ , TGF $\beta$ 1 and 2, involving different intracellular signaling pathways. Additionally, curcumin reduced reactive gliosis in the rat brain stem after ethidium bromide injection yielding smaller GFAP<sup>+</sup> glial scar regions.<sup>26–29,61,62</sup>

However, like a multitude of other drugs, curcumin is quite instable and poorly bioavailable so that the systemic application of the native drug will hardly achieve therapeutically relevant local concentrations.<sup>63</sup> In first attempts to apply curcumin locally to the brain, poly (vinyl alcohol) (PVA) based implants were loaded with curcumin and implanted into the rodent brain.<sup>64</sup> In comparison to neat implants, curcumin improved neuronal survival and blood-brain integrity 4 weeks after implantation, but after 12 weeks, curcumin benefits were lost.<sup>64</sup> Due to its known beneficial effects in CNS injuries, we chose curcumin as a model drug to develop a formulation that may attenuate glial scarring processes. To provide a long-term stable nano-formulation for curcumin that can be used in implants for local brain therapy (eg entrapped in slowly biodegradable coatings) we encapsulated curcumin into liposomes, as these nano-carriers are widely used and can be easily adapted to specific requirements.<sup>42,45,65</sup> As an example, the physicochemical properties of liposomes

can be tailored by changing the phospholipids themselves or the ratio between them. In their previous work, Roy et al have analyzed the impact of different biomimetic lipids and lipid-combinations such as egg phosphatidylcholine (EPC), dipalmitoylphosphatidylcholine (DPPC) or dipalmitoylphosphatidylglycerol (DPPG) on the stability of liposomes containing curcumin.<sup>66</sup> Amongst them, DPPC was the most pH-stable one with a sustained drug release at physiological pH. Pre-experiments in our laboratory comparing DPPC with EPC (data not shown) revealed that higher encapsulation efficiencies of curcumin, as well as smaller PdIs, could be obtained using DPPC as the main phospholipid. Consequently, we chose DPPC as the backbone of our liposomal formulation.

To provide adequate storage conditions, the right cryoprotectant must be chosen for the individual phospholipid combination upon freeze-drying.<sup>67</sup> Based on the work of van Winden and Crommelin as well as Mohammed and colleagues we chose to work with trehalose as cryoprotectant, to ensure stable curcumin nanoformulations with a sufficient EE% as well as drug release kinetic.<sup>68,69</sup> With the final supplementation of 10% trehalose, we could produce a stable liposomal formulation that can be stored up to 6 months at least. Moreover, the sustained release profile of our liposomal formulation at physiological temperatures and pH with a maximum of only 35% released curcumin cargo after 24 h is well aligned with the findings of Roy et al, who highlighted the connection between the lipid transition temperature of DPPC and the slower release kinetics of curcumin liposomes.<sup>66</sup> Using other lipids than DPPC, such as EPC or SPC for example, Chen et al reported releases of 45–50% of their total curcumin cargo already after 24 h.<sup>46</sup> Also, Zhao et al claim that their liposomal formulation of curcumin using soy lecithin released  $\approx$ 50% after 24 h and already 80% of their cargo after 78 h.<sup>70</sup> Concluding these aspects, we could successfully establish a protocol for liposomal curcumin formulation, using 80.6% (w/w) DPPC and 15.6% (w/w) cholesterol and 10% trehalose as a cryoprotectant, and observed beneficial physicochemical properties, efficient drug loading and long-term stability of the liposomes.

To investigate if our liposomal encapsulated curcumin is efficient in preventing glial scar formation, we chose human cell lines of the two major cell types that contribute to these processes, microglia and astrocytes. Therefore, we experimentally split the reactive processes into two steps by activating microglial cells with LPS to analyze pro-inflammatory cytokine expression, and activating astrocytes with a pro-

inflammatory cytokine mixture typically expressed in glial scarring to analyze glial scarring associated genes.<sup>71</sup> In vitro, curcumin has previously been shown to reduce the expression of pro-inflammatory mediators and cytokines in activated microglial cells via different signaling pathways.<sup>23,25,72–76</sup> Curcumin stimulation could also reduce reactivity/activation in astrocytes or astrocytic cell culture models upon different challenges.<sup>77–79</sup> In accordance with these findings, we could show that our liposomal curcumin formulation could inhibit LPS-induced cytokine expression by human microglial cells, often even more robust than free curcumin. Furthermore, we simulated reactive astrogliosis by stimulating human astrocytes with a cytokine cocktail, and liposomal curcumin could efficiently reduce the cytokine-mediated upregulation of gliosis-associated genes, exemplarily ECM components tenascin C and fibronectin, and the intracellular structure protein nestin.

Very recently, different other approaches have already shown that nanoformulations may improve curcumin stability and applicability, for example, in cancer therapy and brain disease models.<sup>34,48,80,81</sup> In a spinal cord injury model, Lipodisq-formulated curcumin was shown to be effective in reducing the glial scar formation, and in a demyelination model, curcumin nanoparticles reduced GFAP expression, signs of inflammation and demyelination even a bit more effective than free curcumin.<sup>82,83</sup> We could also show that our liposomal formulation of curcumin was more effective in inhibiting most of the analyzed pro-inflammatory and astrogliosis reactions, although differences between free and liposomal curcumin in some aspects were also quite faint. These differences between free and liposomal curcumin might be caused by better bioavailability or higher stability of liposomal curcumin in aqueous solutions, but as we did not test these aspects, we can only speculate on that. However, the efficacy of curcumin nanoformulations needs to be carefully evaluated as sometimes simple monolayer (2D) cell culture models are more susceptible than more complex 3D in vitro models.<sup>80</sup> Therefore, we used murine brain acute slices from the cerebral cortex as a second test system. We induced reactivity by application of LPS and observed the induction of pro-inflammatory cytokines and reactive gliosis associated genes. In comparable brain slice models, curcumin has previously been shown to protect from A $\beta$ 1–42-induced toxicity, to prevent cell death as well as bilirubin-induced cell damage, and to reduce seizure-like events.<sup>84–88</sup> In our brain slice model, we could show that our liposomal curcumin formulation could alleviate cytotoxic effects of LPS stimulations, induction of pro-

inflammatory cytokines and genes associated with reactive astrogliosis and restore cellular loss, being at least as effective as free curcumin. Thus, we could show in two different test systems (even from different species) that liposomal encapsulated curcumin (LipoCur) can effectively prevent reactive processes that occur in glial scar formation.

## Conclusion

Our liposomal formulation of curcumin can effectively reduce glial scarring associated microglia and astrocyte reactions in human cell culture models as well as murine acute brain slices. Liposomal curcumin is suitable for long-term storage ( $\leq 6$  months), well tolerated by healthy cells in relevant concentrations and at least as effective as free (soluble) curcumin in alleviating glial scarring reactions in human astrocytes and microglia as well as in murine acute brain slices.

## Abbreviations

BBB, blood-brain barrier; CT, cycle of threshold; DBS, deep brain stimulation; div, days in vitro; DL, Drug Loading; DLS, Dynamic Light Scattering; DMSO, dimethylsulfoxide; DPPC, dipalmitoylphosphatidylcholine; EE, Encapsulation Efficiency; GAPDH, glyceraldehyde 3-phosphate dehydrogenase; GFAP, glial fibrillary acidic protein; HPLC, High-Performance Liquid Chromatography; IHC, immunohistochemistry; IL1 $\beta$ , interleukin 1 $\beta$ ; IL6, interleukin 6; LipoCur, liposomal encapsulated curcumin; LP, liposomes; LPS, lipopolysaccharide; qPCR, quantitative reverse transcription-polymerase chain reaction; MBP, myelin basic protein; MY, mass yield; NF, neurofilament; PdI, Polydispersity Index; SD, standard deviation; TEM, Transmission Electron Microscopy; TGF $\beta$ 1, transforming growth factor  $\beta$ 1; TNF $\alpha$ , tumor necrosis factor  $\alpha$ ; ZP, zeta potential.

## Ethics Approval and Informed Consent

Mouse brains were obtained and used in agreement with the local Ethics Committee “Ministerium für Energiewende, Landwirtschaft, Umwelt und ländliche Räume des Landes Schleswig-Holstein“ [authorization V 242-70056/2015 (91-7/15)] and in accordance with the German Tierschutzgesetz (BGBl. I S. 1206, BGBl. I S. 1308) and the European directive 2010/63/EU.

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## Author Contributions

All authors made substantial contributions to conception and design, acquisition of data, or analysis and interpretation of data; took part in drafting the article or revising it critically for important intellectual content; gave final approval of the version to be published; and agree to be accountable for all aspects of the work.

## Disclosure

The authors report no conflicts of interest in this work.

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