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# ORIGINAL RESEARCH Validation of Risk of Chemotherapy-Induced Neutropenia: Experience from Oncology Hospital of Nepal

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Background: The majority of cancer patients undergoing chemotherapy show neutropenic condition which is a common side effect of myelosuppressive chemotherapy diagnosed as the reduced complete blood cell count. Such cancer patients have a higher risk of febrile neutropenia. The present study aimed to validate whether there was a risk of neutropenia in cancer patients receiving chemotherapy at Bhaktapur Cancer Hospital, Nepal.

Methods: Cross-sectional study was performed among 203 cancer patients of all age groups who attended Bhaktapur Cancer Hospital from May 2018 to January 2019 and who received a chemotherapy course. Patients receiving at least one cycle of chemotherapy as the first-line treatment were included. Statistical analysis was performed using SPSS 25. Loglinear analysis was used to analyze more than 2×2 categories among the grades and outcome of neutropenia. Multinomial logistic regression was applied to analyze the impact of various predictor variables such as chemotherapy cycles, grades of neutropenia, and gender on the outcome of neutropenia. Variation in the absolute neutrophil count (ANC) level at various days of chemotherapy cycles was assessed with the multivariate analysis of variance (MANOVA). The p-value <0.05 was considered significant at each condition.

**Results:** The main cancer type during the study period was breast cancer (41, 20.2%). Out of 163 neutropenic patients, 149 had severe neutropenia and 14 had mild neutropenia. Most patients were continued up to the 6th cycle of chemotherapy. There was significant association between the grade of neutropenia and the outcome of the condition (p-value 0.017). There were significant relations of the grade of neutropenia and smoking habit with the recovering status (p values 0.033 and 0.001, respectively). The absolute neutrophil count (ANC) level increased and decreased inconsistently (statistically non-significantly) in between treatment period of day 1 to 52.

**Conclusion:** Chemotherapy-induced neutropenia was a common occurrence. Majority (133, 66.5%) grade 4 neutropenic patients were recovering after the chemotherapy cycles. The physicians are warranted that they be ready for any unpredictable situation during chemotherapy treatment.

Keywords: chemotherapy-induced neutropenia, chemotherapy, febrile neutropenia, myelosuppressive, Nepal

#### Background

Globally, there are an estimated 25 million cancer cases as per the World Health Organization (WHO)'s World Cancer Report 2014.<sup>1</sup> Neutropenia is one of the principal adverse events (AE) of antineoplastic chemotherapy and may lead to increased morbidity, mortality, and treatment costs.<sup>2,3</sup> One of the severe forms, ie, febrile neutropenia (FN) is

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the incidence of fever throughout a period of severe neutropenia.<sup>4</sup> When a neutropenic patient develops a fever, a cardinal sign of infection results in hospitalization for urgent evaluation, monitoring, and administration of intravenous antibiotics.<sup>5</sup> Low baseline white blood cell, neutrophil counts, hemoglobin levels, and specific chemotherapy regimen are the important predictors for FN.<sup>6,7</sup> Some regimens are more myelotoxic than others. For example, combined cyclophosphamide, methotrexate, and 5-fluorouracil are less toxic than the combination of doxorubicin (also known as adriamycin) and cyclophosphamide (combinedly known as AC). Both of these combinations are preferred in elderly patients with breast carcinoma.<sup>8</sup> The risk of FN is greater during the first cycle of cancer treatment.<sup>7,9</sup>

Neutropenia is diagnosed by a routine complete blood count (CBC), with the accompanying differential count yielding a decrease in the absolute neutrophil count (ANC). Mild neutropenia is defined as an ANC of less than 1500 cells/mm<sup>3</sup>. A count of fewer than 1000 cells/ mm<sup>3</sup> is considered moderate; less than 500 cells/mm<sup>3</sup> represents the severe degree of neutropenia.<sup>6</sup> Management of neutropenia is done with chemotherapy dose modification, dose interval delays, and/or the initiation of primary prophylaxis with recombinant granulocyte-colony stimulating factors (G-CSFs) based on the individualized FN risk assessment of the patient and chemotherapy regimen.<sup>10</sup> The National Cancer Institute Common Toxicity Criteria is the most commonly used scale for grading the severity of cytopenia associated with cancer chemotherapy; it describes neutropenia into 4 grades as shown in Table 1.11

Patients who are treated with chemotherapy are at risk for the development of neutropenic complications.<sup>6</sup> Risk factors for neutropenia may be either patient-specific or regimenspecific; patient-specific being predominately important in cancer treatment as the chemotherapy regimens are standardized by the types of cancer. Patient-specific risk factors include not only the type of cancer but also the disease stage and age.<sup>12,13</sup>

#### Table I Grades of Neutropenia

Grade	Absolute Neutrophil Count		
0	Within normal limits		
1	≥1500 to <2000		
2	≥1000 to <1500		
3	≥500 to <1000		
4	≥500		

**Note:** Data from Muturi-Kioi et al.<sup>11</sup>

Chemotherapy-induced neutropenia (CIN) affects the quality of life (QoL) and shows risk in people of different age groups. CIN is an important prognostic factor in metastatic colon cancer patients.<sup>14</sup> Weycker et al<sup>5</sup> concluded that patients hospitalized for chemotherapy-induced FN can be identified in health-care databases but they may have misclassification using the diagnosis code for neutropenia.<sup>5</sup> Lyman et al surveyed to develop and validate a risk model for neutropenic complications in a large prospective cohort of cancer patients receiving chemotherapy.<sup>7</sup> Crawford et al<sup>13</sup> performed research on CIN, its complications, and impact to manage patients with cancer treated with myelosuppressive chemotherapy and identified that age, performance status, nutritional status, chemotherapy dose intensity, and low baseline blood cell counts as the different risk factors for severe FN.<sup>13</sup>

Neutropenic condition is managed by various methods of treatments including the use of antibiotics. Besides the FN, neutropenic colitis (also known as typhlitis) is also the complication of neutropenia. Patients with FN are at a higher risk of increased mortality and this neutropenic complication is known as an oncologic emergency.<sup>7</sup> Therefore, the current study aimed to validate whether there was a risk of neutropenia in cancer patients receiving chemotherapy at one of the oldest oncology-based hospitals of Nepal, ie, Bhaktapur Cancer Hospital, Bhaktapur, Nepal.

# **Methods** Study Design, Study Site, and Study Population

A cross-sectional study was conducted at Bhaktapur Cancer Hospital, situated at Bhaktapur district, Nepal, from May 2018 to January 2019. It is one of the specialized tertiary cancer treatment centers in Nepal. It is equipped with state-of-the-art technology and most innovative, advanced therapies of cancer in Nepal. Patients of all age groups (eg, baby, young adults, middle-aged adults, old adults) suffering from cancer and under treatment were taken for the study. Patients' medical records along with their laboratory parameters, chemotherapy cycles were extracted. Also, some patients came to the OPD of the hospital during the study period.

#### Sample Size

We included a total number of 203 cancer patients for the research purpose, taking into consideration the prevalence of 0.5, precision of 0.07, and z value of 1.96. The inclusion and exclusion criteria of the current study are as follows:

#### Inclusion Criteria

- Cytological or histologically diagnosed cancer patients who were not eligible for operation
- Patients receiving at least one cycle of chemotherapy as the first-line treatment
- Patients with sufficient bone marrow function and with no bone marrow metastasis
- Patients with normal hepatic and renal function

# **Exclusion** Criteria

- Incomplete data of toxicities due to chemotherapy
- Lost follow-up
- Treatment uncooperative patients after 1 cycle

# Data Collection Tools and Technique

Clinical data and information of the patients were retrieved from the medical records of the patients admitted in the hospital for chemotherapy and whose all blood tests and treatment were performed as per the institutional guidelines. Patients' diagnosis was presented as in <u>Appendix 1</u> and data collection sheet in <u>Appendix 2</u>.

# Grades of Neutropenia

Grades of neutropenia are categorized in Table 1 based on the absolute neutrophil count.<sup>11</sup> Outcome of neutropenia was categorized as unknown, recovering, recovered, and critical. No information about neutropenia status was categorized as unknown; neutrophil count progressing towards normal after chemotherapy was categorized as recovering; neutrophil count that became normal after chemotherapy was categorized as recovered; and the lowest possible neutrophil count was categorized as critical.

#### Absolute Neutrophil Count Calculation

All patients were daily checked for complete blood count (CBC)/differential count (DC) after the chemotherapy, on the basis of which the absolute neutrophil count (ANC) was calculated for each day. The ANC was calculated based on the following formula:

ANC (cells/mm<sup>3</sup>) = [(Neutrophil % + Band Cells %) × Total WBC]/100<sup>15</sup>

Since there was no information on band cells, we kept zero on this.

# **Ethics** Approval

The study protocol was approved by the Institutional Review Committee of Nobel College (affiliated to

Pokhara University) (ID: PYIRC/176/2018) and data collection approval was granted from Bhaktapur Cancer Hospital authority prior to data collection. Patients' confidentiality was highly maintained. Patients' informed consent was waived by the Review Committee as this was the retrospective study performed from the patients' medical records.

# Statistical Analysis

Statistical analysis was performed using IBM SPSS version 25. Loglinear analysis was used to analyze the categorical variables with more than 2×2 categories. Multinomial logistic regression was applied to analyze the impact of various predictor variables on categorical outcome variable (with more than two categories), considering the principle of the parsimony. Variation in the ANC level at various days of chemotherapy cycles was assessed with the multivariate analysis of variance (MANOVA). The p-value <0.05 was considered significant at each condition.

#### Results

Sociodemographic characteristics of the study population are shown in Tables 2 and 3. A total of 203 cancer patients, who met the eligibility criteria, were included in the analysis. The mean age of the patients was 45.34  $(\pm 18.432)$ . Patients were in the age range of 5–85 years. The majority of the people diagnosed with cancer were mainly young and middle-aged adults, females and persons with blood groups A+ve and O+ve. Cancer was diagnosed more on patients with no smoking and no alcohol consumption habit (Table 2). The most commonly diagnosed cancer was breast cancer (20.2%) followed by non-Hodgkin's lymphoma (14.3%)(Appendix 1).

Cycles of chemotherapy that were mostly continued were up to CT6 cycle (Table 3). There was a significant association between the grade of neutropenia and the outcome of the condition among the study participants (p-value 0.017); ie, a majority (133, 66.5%) grade 4 neutropenic patients were recovering after the chemotherapy cycles (Table 4).

There were significant relations of the grade of neutropenia and smoking habit with the recovering status (p values 0.033 and 0.001, respectively) (Table 5). The variation in the ANC level was significant at days 13, 14, 19, 28, 40, 47, and 48 days of chemotherapy (p values 0.008, 0.024, 0.010, 0.048, 0.018, 0.019, and 0.012, respectively).

Study Variables	Frequency (%)
Age (in years) (Mean ± SD: 45.34±18.432) (Range: 5-85)	
≤5	I (0.5)
6–15	11 (5.4)
16–25	25 (12.3)
26–35	21 (10.3)
3645	46 (22.7)
46–55	33 (16.3)
56–65	35 (17.2)
66–75	25 (12.3)
76+	6 (3)
Gender	
Male	81 (39.9)
Female	122 (60.1)
Blood group	
O+ve	55 (27.1)
A+ve	72 (35.5)
B+ve	48 (23.6)
AB+ve	22 (10.8)
B-ve	4 (2)
A-ve	2 (I)
Smoking habit	
No	160 (78.8)
Smoker	43 (21.2)
Alcohol consumption	
No	146 (71.9)
Alcoholic	57 (28.1)

Table 3 Cycles of Chemotherapy

Cycles of Chemotherapy (CT)	Frequency (%)
No	118 (58.1)
СТІ	4 (2)
CTI, CT2	2 (1)
СТІ, СТ2, СТ3	8 (3.9)
CTI, CT2, CT3, CT4	12 (5.9)
СТІ, СТ2, СТ3, СТ4, СТ5	12 (5.9)
CTI, CT2, CT3, CT4, CT5, CT6	29 (14.3)
СТІ, СТ2, СТ3, СТ4, СТ5, СТ6, СТ7	5 (2.5)
СТІ, СТ2, СТ3, СТ4, СТ5, СТ6, СТ7, СТ8	7 (3.4)
СТІ, СТ2, СТ3, СТ4, СТ5, СТ6, СТ7, СТ8, СТ9,	I (0.5)
СТІО, СТІІ, СТІ2	
Induction, High Dose Methotrexate, Maintenance	5 (2.5)

The ANC level increased some days and decreased some days (but in statistically non-significant ways) in between those days, beginning from day 1 to 52 (Table 6).

**Table 4** Loglinear Analysis Between a Grade of Neutropenia andOutcome of Neutropenia (with a Cycle of Chemotherapy)

Grade of Neutropenia	Outcome of Neutropenia	Frequency (%)	p-value
Grade 0 neutropenia	Unknown Recovering Recovered Critical	1 (0.5) 29 (14.3) 4 (2) 6 (3)	0.017
Grade I neutropenia	Unknown Recovering Recovered Critical	0    (5.4) 3 (1.5) 0	
Grade 4 neutropenia	Unknown Recovering Recovered Critical	0   33 (66.5) 8 (3.9) 8 (3.9)	

#### Discussion

In the present study, a fairly large number of CIN was associated with older age (46, 22.7% among 36–45 years). Increasing age is related to the more risk of CIN due to immunosenescence phenomena (ie, natural, gradual deterioration of the immune system with aging).<sup>14,16</sup> Elderly patients may have reduced bone marrow reserves or declined renal and hepatic function, and are more prone to treatment-related complications.<sup>17,18</sup> Thus, with age, the benefits of cancer chemotherapy may be reduced and the risks may be increased.

Epidemiological data have identified chronic alcohol consumption as a significant risk factor for upper alimentary tract cancer, including cancer of oropharynx, larynx, esophagus, and liver because acetaldehyde is predominantly responsible for alcohol-associated carcinogenesis.<sup>19–21</sup> Combined exposure to alcohol and tobacco consumption showed a greater than multiplicative synergistic effect.<sup>22</sup> Alcohol ingestion is associated with an increased risk of breast cancer among postmenopausal women.<sup>23</sup> However, the present research showed indirect proportion between alcohol consumption and cancer.

In the present study, the majority of the cancer patients were in the blood groups A+ve, O+ve, and AB+ve. For several decades, ABO type antigens were suspected to have a role in the development of cancer. Aird et al<sup>24</sup> explored the association of peptic ulcer and gastric carcinoma with ABO blood types.<sup>25</sup> Huang et al also concluded the association of ABO blood types in the development of gastrointestinal and urinary tract cancers.<sup>24</sup>

Outcome of Neutropenia	Predictor Variables	b	p-value	OR (CI)	Nagelkerke's R2
Unknown	Intercept Chemotherapy cycles Grade of neutropenia Gender	0.326 -3.642 -16.949 -20.038	0.999		0.189
Recovering	Intercept Chemotherapy cycles Grade of neutropenia Gender	2.410 -0.144 0.657 -0.614	0.074 0.033 0.325	0.866 (0.739–1.014) 1.929 (1.055–3.527) 0.541 (0.160–1.837)	
Recovered	Intercept Chemotherapy cycles Grade of neutropenia Gender	-1.093 0.065 0.091 0.991	0.527 0.823 0.305	1.067 (0.872–1.306) 1.096 (0.493–2.437) 2.693 (0.405–17.909)	
Unknown	Intercept Alcohol consumption Diagnosis Smoking habit	-22.553 18.620 0.113 -15.990	0.220		0.202
Recovering	Intercept Alcohol consumption Diagnosis Smoking habit	0.533 0.109 0.042 2.628	0.883 0.175 0.001	1.115 (0.260–4.785) 1.043 (0.982–1.108) 13.849 (2.980–64.375)	
Recovered	Intercept Alcohol consumption Diagnosis Smoking habit	-3.982 1.121 0.057 4.442	0.241 0.144 0.001	3.067 (0.472–19.950) 1.058 (0.981–1.142) 84.925 (5.945–1213.209)	

Note: <sup>a</sup>Model built applying the principle of parsimony.

In this study, we observed the neutropenic and nonneutropenic conditions of the patients receiving chemotherapy and also calculated the grade of neutropenia during chemotherapy. Out of 203 patients, 163 were suffered from neutropenia during chemotherapy, 14 of them suffered from grade 1 whereas 149 from grade 4 neutropenia (ie, severe neutropenia). Fortner et al found that neutropenia-related hospitalizations prevented the patients from normal activities provided useful information for future modeling of additional factors such as disease status and chemotherapy schedule.<sup>26</sup> Hashiguchi et al (2015) reported maximum number of chemotherapy-induced neutropenia from chemotherapy cycles 1-5.27 Nearly similar was the case here in our research as well where we had maximum number of cycles that were up to 6th cycle. Li et al (2016) also concluded that there was very limited information and insight on the quantitative relationships between severity and duration of CIN.<sup>28</sup>

Educational programs directed toward this population may reduce the risk of preventable infections and decrease

morbidity and mortality.<sup>29</sup> Similarly, the involvement of clinical pharmacists can improve patient care.<sup>30</sup> Since the grade of neutropenia and outcome of the condition were significantly associated with each other (p-value 0.017), ie, majority (133, 66.5%) grade 4 neutropenic patients were recovering after the chemotherapy cycles, prediction of neutropenic attack could have been made. This helps the physicians better prepare for the aggravating condition if any. The present study showed infrequent increment and decrement of the ANC level in between the whole treatment period from day 1 to 52.

#### Limitations

The present study was single-centric, hence, necessitating future multi-centric studies of a similar nature. Also, the cross-sectional nature of the study might have limited the exact cause–effect relationship among the risk factor and the outcome of interest, ie, neutropenia. Therefore, a cohort study of a similar type might be warranted with the findings of the present study. **Table 6** Multivariate Analysis of Variance of the ANC Level atVarious Days of Chemotherapy Cycles

ANC Level at Day	ANC (Mean±SD)	F-Statistic	p-value
Day I	5964.23±8578.042	6.792	0.128
Day 2	5089.78±6591.525	5.878	0.145
Day 3	5990.20±13219.594	37.279	0.026
Day 4	4427.52±9549.716	1.700	0.370
Day 5	3837.57±3285.540	0.179	0.848
Day 6	3504.91±3404.898	1.503	0.399
Day 7	4058.95±4447.777	0.404	0.712
Day 8	3478.89±2965.005	0.648	0.607
Day 9	3831.13±4136.246	0.186	0.843
Day 10	3379.40±3650.158	1.220	0.451
Day 11	3387.01±2570.762	0.764	0.567
Day 12	3716.66±4383.345	0.668	0.600
Day 13	3388.35±3084.764	120.580	0.008
Day 14	3567.14±3900.720	40.751	0.024
Day 15	4147.40±6376.380	6.001	0.143
Day 16	3504.65±2829.492	2.298	0.303
Day 17	3862.60±4694.010	1.104	0.475
Day 18	3984.80±4867.150	0.928	0.519
Day 19	3351.57±3323.572	100.460	0.010
Day 20	5799.62±15352.194	0.182	0.846
Day 21	2814.87±2037.837	2.194	0.313
Day 22	3271.66±3383.769	13.322	0.070
Day 23	2947.45±3653.785	0.060	0.944
Day 24	2569.17±2082.842	2.521	0.284
Day 25	3031.02±3388.727	3.046	0.247
Day 26	2303.62±1635.803	3.456	0.224
Day 27	2627.91±3042.440	0.863	0.537
Day 28	2719.53±2583.391	19.885	0.048
Day 29	2355.98±2068.761	12.111	0.076
Day 30	3646.48±3876.561	0.457	0.686
Day 31	2120.21±2317.400	0.027	0.974
Day 32	2247.43±1986.162	0.316	0.760
Day 33	3018.30±3019.092	0.055	0.948
Day 34	2642.97±3126.555	509.895	0.002
Day 35	4383.39±4863.298	0.584	0.631
Day 36	2331.82±1541.113	1.038	0.491
Day 37	4433.88±3476.406	0.434	0.697
Day 38	2123.00±1539.114	8.105	0.110
Day 39	353.24±  50.526	0.397	0.716
Day 40	1147.60±1059.122	55.563	0.018
Day 41	1584.93±1541.505	2.432	0.291
Day 42	2018.09±2419.107	9.794	0.093
Day 43	2526.91±3565.399	5.610	0.151
Day 44	2771.14±3347.936	12.633	0.073
Day 45	1992.09±862.025	9.809	0.093
Day 46	2121.91±1043.803	1.192	0.456
Day 47	5285.50±6736.881	50.684	0.019
Day 48	2728.00±1531.587	83.205	0.012
Day 49	1966.29±1363.070	0.727	0.579
Day 50	2936.29±2500.404	11.924	0.077
Day 51	2357.67±1786.379	1.005	0.499
Day 52	1924.00±1270.128	1.335	0.428
247 52	1721.00112/0.120	1.555	0.120

### Conclusion

The present study documented the CIN but the phenomenon is not in the unidirectionally ordered manner, ie, increasing and decreasing in between. This might warrant the physicians that they be ready for any unpredictable situation during chemotherapy treatment.

# **Abbreviations**

AE, adverse events; ANC, absolute neutrophil count; CBC, complete blood count; CIN, chemotherapy-induced neutropenia; FN, febrile neutropenia; G-CSFs, granulo-cyte-colony stimulating factors; QoL, quality of life; WHO, World Health Organization.

# **Data Sharing Statement**

The data used in the current study available from the corresponding author on reasonable request.

# Ethics Approval and Consent to Participate

The study protocol was approved by the Institutional Review Board of Nobel College (ID: PYIRC/176/2018). Patients' informed consent was waived by the Review Committee as this was the retrospective study performed from the patients' medical records.

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# **Author Contributions**

All authors made substantial contributions to conception and design, acquisition of data, or analysis and interpretation of data; took part in drafting the article or revising it critically for important intellectual content; gave final approval of the version to be published; and agree to be accountable for all aspects of the work.

# Disclosure

The authors report no conflicts of interest in this work.

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