Innate Immunity and Cell Surface Receptors in the Pathogenesis of COPD: Insights from Mouse Smoking Models

Abstract: Chronic obstructive pulmonary disease (COPD) is mainly associated with smoking habit. Inflammation is the major initiating process whereby neutrophils and monocytes are attracted into the lung microenvironment by external stimuli present in tobacco leaves and in cigarette smoke, which promote chemotaxis, adhesion, phagocytosis, release of superoxide anions and enzyme granule contents. A minority of smokers develops COPD and different molecular factors, which contribute to the onset of the disease, have been put forward. After many years of research, the pathogenesis of COPD is still an object of debate. In vivo models of cigarette smoke-induced COPD may help to unravel cellular and molecular mechanisms underlying the pathogenesis of COPD. The mouse represents the most favored animal choice with regard to the study of immune mechanisms due to its genetic and physiological similarities to humans, the availability of a large variability of inbred strains, the presence in the species of several genetic disorders analogous to those in man, and finally on the possibility to create models “made-to-measure” by genetic manipulation. The review outlines the different response of mouse strains to cigarette smoke used in COPD studies while retaining a strong focus on their relatability to human patients. These studies reveal the importance of innate immunity and cell surface receptors in the pathogenesis of pulmonary injury induced by cigarette smoking. They further advance the way in which we use wild type or genetically manipulated strains to improve our overall understanding of a multifaceted disease such as COPD. The structural and functional features, which have been found in the different strains of mice after chronic exposure to cigarette smoke, can be used in preclinical studies to develop effective new therapeutic agents for the different phenotypes in human COPD.

Keywords: cigarette smoking, emphysema, airway remodelling, vascular remodelling, smoking cessation, persistent inflammation

Introduction

A first comprehensive review of all animal models of emphysema relating to the pathogenesis of this disease has reported in 2002. In this review, the reader can find information on practically all of the models of experimental emphysema published to that date in the English literature. Because cigarette smoke (CS) represents the most important risk factor for the development of Chronic Obstructive Pulmonary Disease (COPD), animal models of CS-induced disease have recently been developed and widely used. Studies have mainly focused on small laboratory animals, and in particular on the mouse as the animal choice for...
the relatively low cost, its rapid reproductive cycle and large litter sizes, the availability of antibodies and probes for this species, the availability of many inbred strains and their mutants, and finally the fact that the mouse genome shows a large degree of homology with the human genome and the mouse genes can be easily manipulated. The use of inbred mice eliminates problems of genetic variability that complicate human studies. The development of animal models of COPD that accurately recapitulates the critical features of human disease is valuable in efforts to develop effective treatments. Several hallmarks of COPD, such as chronic inflammation, airway remodelling, emphysema, pulmonary hypertension (PH) and impaired lung function may be seen in smoking laboratory animals. Similar to humans, the treatment with corticosteroids and other anti-inflammatory agents is not able to resolve these features. Unfortunately, this animal does not fully model the human condition.

This species as an animal choice for studying COPD has some limitations that have to be taken into consideration before planning experimental studies. Briefly, unlike humans, mice are obligatory nose breathers. Few animal species smoke cigarettes the way humans do. Thus, when exposed to cigarette smoke, this results in a very different pattern of particle filtration in the nares and upper respiratory tract from that experienced by humans who are mouth breathers. Various smoking machines are frequently used in mouse studies. Breathing smoke generated by a machine, however, is more like passive smoke exposure than active smoking. This is more of a problem for “whole-body exposures,” but also pertains to “nose-only devices”. For this reason, mice exposed to CS with the different current methodologies, namely by “nose only”, or by “whole body”, model in both cases a “second-hand smoking”.

**Exposure to Cigarette Smoke (CS)**
CS is delivered by a smoking machine either by a “nose only,” or by a “whole body” methodology. Neither cigarette types, nor the number of cigarettes/day nor the duration of the treatment has been standardized and each is a personal methodological choice. Generally, mice are exposed either to research-grade cigarettes from the University of Kentucky (Lexington, KY), or to commercially available Marlboro Red, which have the advantage to be available everywhere. However, studies carried out with these different methodological approaches provided new knowledge on the complex pathogenic mechanisms involved in COPD.

**Strain Susceptibility to CS-Induced Lung Emphysema**
Like humans, not all inbred strains of mice develop emphysema following CS-exposure. In strains prone to develop pulmonary changes, the emphysematous lesion is mild and never reaches the anatomical degree that characterizes that observed in human smokers or in other mouse models (ie papain- or elastase-induced emphysema). The different susceptibility for the development of emphysema has been reported for the first time in three mouse strains, which show significant individual variations in antioxidant defenses when acutely exposed to CS. ICRI mice increase their lung antioxidant defenses when acutely exposed to CS, while C57 Bl/6J and DBA/2 mice did not. C57 Bl/6J and DBA/2 mice developed lung changes at 7 months after CS-exposure, while ICR mice did not. The following study, carried out on other strains of mice (ie NW& Lac/J, A/J, SJ/L, and AKR/J) contributed to the identification of other resistant or susceptible strains for the development of emphysema. However, the genetic contribution to that variability has not been investigated in the last study.

**Sex Differences in CS-Induced Emphysema and Airway Remodelling**
Female smokers have an increased risk of developing COPD and disease progression compared with male smokers with a similar smoke history. The causes and mechanism(s) for sexual dimorphism in the risk for COPD have so far received little attention. Like humans, female mice exposed for long term to CS develop a greater burden of COPD changes in lungs including airway resistance with airway wall thickness, and oxidative stress compared to those of males. These differences were attenuated in female mice by ovariectomy. Oxidative stress has been shown to be an important pathogenic factor in COPD and recent studies demonstrated that women have more elevated circulating oxidative stress markers than men in both healthy non-smokers and smokers without the disease. Very recently it has been reported that after cigarette smoke exposure, parenchymal tissues from female mice failed to induce antioxidant-related genes in response to smoke exposure, and this effect was restored by ovariectomy. After smoke exposure, differential increases in Mmp12 and Cxcl2 gene expression correlated with an increase in foamy macrophages in parenchymal tissues of female than in male mice.
The data reported in this paper demonstrate that the altered oxidative milieu and the inflammation (shown as important factors in the contribution of COPD) are significantly increased in female than in male mice after long-term exposure to CS. The increased lymphoid follicles in the airways in female COPD lung may be due to increased BAFF gene and protein expression related to female sex hormones and increased oxidative milieu generated by long-term exposure to CS. Actually, the biological role of lymphoid follicles and their potential contribution to the disease is still an object of debate and further studies are required to elucidate their capability of altering the microbiome in the lung tissue, or of inducing autoimmunity. Further studies in male and female smoking mice may represent a reasonable way to study the potential contributions of female sex hormones and increased oxidative milieu generated by chronic exposure in the pathogenesis of COPD.

**Genetic Contribution to Strain Susceptibility to Cigarette Smoking**

The reason for the different strain sensitivity has been attributed in the following studies to an upregulated Nrf2 pathway caused by an increased expression of peroxiredoxin 1. The ablation of the Nrf2 gene in the ICR resistant mice leads to the development of significant emphysema after CS-exposure. Thus, low activity levels of Nrf2-mediated antioxidant pathways in C57Bl/6J and DBA/2 mice may act as a major determinant of their susceptibility to CS. Unlike C57Bl/6J and DBA/2 strains, the ICR mice showed a significant increase in glutathione in erythrocytes and a significant decrease in most of the oxidized forms of cysteine, cysteinyl glycine, homocysteine and glutathione in plasma after the same exposition to CS. Thus, CS-exposure induces systemic oxidative stress only in some mouse strains, which are susceptible to develop emphysema. Additional evidence for a significant role for an oxidative damage in the development of CS-induced alveolar injury derives from studies carried out by Petrache et al on C57 Bl/6 transgenic mice overexpressing human Cu/Zn superoxide dismutase demonstrating a beneficial effect on air space enlargements caused by ceramide-induced superoxide production and apoptosis.

Genetic studies in smoking mice suggest that a variety of molecular factors and pathways may influence the strain susceptibility to develop a particular lesion. Different mouse strains can develop after chronic exposure to CS specific pathological features similar to those associated with major phenotypes of human disease. In these strains, the various aspects of the human disease, that include chronic inflammation, emphysema, airway remodelling and impaired lung function, have been successfully recapitulated and provide a valuable platform to investigate mechanisms and new therapeutic targets. At the present time, mouse strains that develop emphysema associated or not with goblet cell metaplasia of airways epithelium (Figure 1A and B) pulmonary fibrosis (Figure 1C and D), PH (Figure 1E and F), or peribronchial and/or peribronchial fibrosis (Figure 1G and H) are available for these studies.

Very recently Rader et al demonstrated in 34 inbred strains of mice a broad range and degree of susceptibility to CS-induced lung changes with no response in CBA/J to extreme susceptibility in A/J mice. They identified the Abi3bp gene as a novel candidate gene contributing to emphysema susceptibility. This gene encodes for the tumor suppressor ABI3BP (also identified as TARSH, or eratin) mainly expressed in the lung.

It is intriguing that this gene, that controls growth and differentiation of stem and tumor cells, promotes senescence in some cells may also protect from CS-induced emphysema potentially by promoting growth and survival of lung epithelium and by blocking its senescence. The role of Abi3bp gene in emphysema needs more investigation in humans and experimental animals to determine the real contribution of this gene to emphysema susceptibility.

In addition to general limitations identified from the authors (ie only female mice were used, inability to detect any regions that met strictly multiple testing correction thresholds, emphysema is only one of the pathological entities of COPD), the moderate functional and anatomical changes that follow CS-exposure in animals are certainly another important limiting factor. However, ABI3BP may be an important determinant of disease course.

Actually, COPD is a heterogeneous disease, which includes emphysema, chronic bronchitis with mucus hypersecretion, bronchiolar and vascular remodelling, and in some cases areas of fibrotic changes, in which emphysema and fibrosis may coexist. Therefore, there is a large variation in COPD symptoms with regard to the severity of bronchitis,
and the rate of decline in FEV₁ among individuals. The pathogenesis of COPD is still subject of investigation, and several pathogenic mechanisms are involved in the development of pulmonary changes that characterize the disease. As mentioned above, these mechanisms include protease/antiprotease and oxidant/antioxidant imbalances, cell apoptosis, cellular senescence and abnormal immune responses.
Role of Immunity in the Pathogenesis of Pulmonary Lesions

Many elements of the innate and adaptive immune responses are abnormal in COPD. The innate immune response was for a long time considered important in COPD pathogenesis; however, some experimental evidence supports a role of acquired responses that requires the proliferation of T cells as central regulators of the inflammatory network. However, more recent data obtained in different labs showed that a severe reduction in the number and function of peripheral T cells does not modify pulmonary changes induced by CS-exposure. Thus, studies carried out in smoking mice indicate that innate immunity represents a leading actor in the early development of lung changes and that adaptive immune response is implicated only in later stages of the disease. It was also found that TCR components are downregulated in pulmonary CD8 cells from COPD patients. Nevertheless, the dysfunction of the antigen-specific response of these cells in COPD may predispose to recurrent infections in the late stage of the disease. Actually, studies carried out both in humans and animals indicate that innate inflammatory cells activated by different stimuli on cell surfaces are necessary to develop pulmonary changes in smoking mice. Of interest, the aberrant tissue repair in COPD patients and in smoking mice is accompanied by chronic neutrophilic inflammation in pulmonary structures.

Whether and how T cells actually contribute to COPD pathogenesis in humans still remains undefined.

Multiple susceptibility genes for COPD have been identified, namely genes that encode for the receptor for advanced glycation end products (RAGE), Toll-like receptors (TLRs) 2 and 4, and peptidase receptor 1 (FPR1) was firstly described on polymorphonuclear leukocytes. After interaction with the specific ligand, it triggers several functions, such as chemotaxis, lysosomal granule release, oxygen-free radical production, and phagocytosis. The principal ligands for FPR1 are bacterial and mitochondrial formylated peptides actively secreted by invading pathogens or passively released from dead and dying host cells after tissue injury. Of interest, N-Formyl-L-methionyl-L-leucyl-L-phenylalanine (FMLP) the major component of formylated peptides is present in tobacco leaves and is also an active component of mainstream and side stream CS. FMLP and its high-affinity receptor FPR1 on neutrophils and

Proinflammatory Responses Induced by Activation of PRRs by Pathogen- (PAMPs) or Damage-Associated Molecular Patterns (DAMPs)

A role for DAMPs has been put forward in the pathophysiology of COPD. Studies carried out in patients and experimental animals indicate that CS can induce epithelial necroptosis and DAMP release (ie AGE, endogenous ATP, HMGB1, MyD88, etc.) that initiates airway inflammation in COPD. In mice, CS-induced neutrophilic airway inflammation and emphysema can be statistically lowered upon inhibition of RAGE, or purinergic receptor subtypes (such as P2Y2R or P2X7R) as demonstrated in RAGE, or P2Y2R and P2X7 knockout mice. (Figure 2). Thus, CS-exposure induces DAMPs release that results in innate immune responses and in the release of IL-1β, MCP-1, as well as MIP-2 and KC, the mouse homolog for human IL-8, which regulate migration and infiltration of neutrophils and monocytes/macrophages. These cells promote an overload of oxidants and proteases that lead to epithelial damage and cell death.

Although the activation of the abovementioned receptors has been associated with the development of emphysematous lesions, their importance for the maintenance of tissue-damaging innate cells in the lung is not known. In addition, the exact role of these PPRs in the initiation and progression of the disease remain to be defined.

Formyl Peptide Receptors (FPRs) and COPD. A Suggestive Hypothesis

It is well known that neutrophils are increased in the lungs of COPD patients. The neutrophil number and their products (eg proteases, oxiyradicals) correlate with the development and severity of the disease. In particular, neutrophil elastase contributes as a major actor to the development of lung emphysema and other pathological features of COPD.

Neutrophil ability to sense pro-inflammatory stimuli is ascribed to a variety of surface receptors, many of which belong to the G protein-coupled receptors (GPCR). Formyl peptide receptor 1 (FPR1) was firstly described on polymorphonuclear leukocytes. After interaction with the specific ligand, it triggers several functions, such as chemotaxis, lysosomal granule release, oxygen-free radical production, and phagocytosis. The principal ligands for FPR1 are bacterial and mitochondrial formylated peptides actively secreted by invading pathogens or passively released from dead and dying host cells after tissue injury. Of interest, N-Formyl-L-methionyl-L-leucyl-L-phenylalanine (FMLP) the major component of formylated peptides is present in tobacco leaves and is also an active component of mainstream and side stream CS. FMLP and its high-affinity receptor FPR1 on neutrophils and
macrophages can modulate a variety of cellular responses associated with inflammation: shape change, chemotaxis, adhesion, phagocytosis, release of superoxide anions, and granule contents. When intratracheally instilled FMLP induces morphological alterations in mice resembling those present in human COPD or in animals exposed to CS. Further support for an important pathogenic role of formyl peptides and their receptors is that COPD patients exhibit an altered microbiome during the course of the disease. This may explain the increased susceptibility of patients to microbial- and viral-induced exacerbations.

These studies strongly suggested a role for formyl peptides in the initiation and progression of disease in smokers (Figure 2).

In this context, we demonstrated that the ablation of fpr-1 gene in mice, or treatment with specific FPRs antagonists, prevents lung recruitment of inflammatory cells leading to a complete protection from CS-induced pulmonary lesions (Figures 2 and 3). These receptors are over-expressed in patients with COPD and have been recently involved, together with mitochondrial formylated peptides, also in acute lung inflammation and injury.

**Figure 2** Role of formylated peptides and FPRs in the initiation and progression of COPD in smokers. Formyl peptides are present in tobacco leaves. They are active components of mainstream and sidestream cigarette smoke. Formyl peptides are also actively secreted by pathogens or passively released from dying host cells after tissue injury. The major component of formyl peptides, N-Formyl-L-methionyl-L-leucyl-L-phenylalanine (FMLP), can promote by itself the recruitment of inflammatory cells. FMLP engagement of its high-affinity receptor FPR1 can lead neutrophil degranulation and release of superoxide anion, as well as macrophage activation and polarization. These inflammatory cells cause an overload of oxidants and proteases, which lead to epithelial cell death and DAMPs release (ie endogenous ATP, AGE, mitochondrial formyl peptides, HMGB1, MyD88, etc.) in the microenvironment. This may result in the activation of other PPRs, which amplify the inflammatory response. The reactivation of desensitized FPRs by P2Y2 ligation is also reported in the figure. This image is the property of the authors.
inflammation and are not able to break the “vicious cycle” that is the cause of persistent inflammation in COPD patients.

More recently we observed that also in mice chronically exposed to CS, lung inflammation persists after smoking cessation. This results in a progressive alveolar loss and pulmonary remodelling characterized by the presence of goblet cell metaplasia of airways epithelium and peri-bronchiolar fibrosis. A marked increase of neutrophils and macrophages in lung parenchyma characterizes the chronic persistent inflammation we observed in these animals. We also observed a persistent activation of NF-kB associated with a nuclear localization of the p65 (RelA) component of the complex that is able to modulate gene transcription of pro- or anti-inflammatory cytokines and chemokines (ie KC, MIP-1beta, IL-6 and IL-10) or enzymes (such as MMP-12, Arginase 1) and factors (ie FGF-1, PAR-1 and IL-13). All these factors have been implicated in the pathogenesis of COPD.

In this context, by using a “curative” experimental design that is currently used in pre-clinical studies, we observed that selective inhibitors of FPRs and FPR-1 can prevent, after smoking cessation, deterioration of lung structures, which lead to airspace enlargement and airway remodelling. These changes constitute the pathological basis of airflow obstruction in COPD patients.

As mentioned above, formylated peptides derived from bacteria and mitochondria are ligands for FPR1. They are

**Figure 3** Studies on cell surface receptors and COPD were carried out in genetically modified mice. Genetic ablation of the formyl-peptide receptor-1 (fpr-1) gene in mice, or treatment with specific antagonists of FPRs prevents recruitment of inflammatory cells in the lung leading to a complete protection from smoking-induced lung emphysema and airway remodelling (84). CS-induced neutrophilic inflammation and emphysema can be also statistically lowered upon inhibition of RAGE, or purinergic receptor subtypes (such as P2Y2R or P2X7R) as demonstrated in RAGE (60), or P2Y2R and P2X7 knockout mice (44, 45). After chronic CS-exposure, par2 gene overexpression in FVB mice leads to emphysematous changes associated with PH and RVH (26). The absence of proteinase-activated receptor-1 signaling in C57 Bl/6 mice confers protection from FMLP-induced goblet cell metaplasia (51). This image is the property of the authors.
released during infection, by bacteria (PAMPs) and dying host cells (DAMPs). Thus, FPR1 is involved in both infective and sterile inflammation, which characterize the course of the disease.

In lung inflammation, bacteria colonize very often the lower respiratory tract of smoking patients representing a further source of formyl peptides that may amplify the effects of formylated peptides introduced with CS and/or released from mitochondria after cellular damage.

Such a study provides a compelling rationale for FPRs inhibition as a novel therapeutic strategy for treating COPD patients after smoking cessation.

**Cross Talk Among Surface Receptors in Inflammatory Cells**

Neutrophils and other cells such as monocytes, macrophages and dendritic cells express several G-protein-coupled receptors (GPCRs), which cross regulate each other. This fact may offer an explanation of why CS-induced neutrophilic airway inflammation and emphysema can be significantly lowered upon inhibition of various receptors such as RAGE, or purinergic receptors. Ligation of FPRs transfers neutrophils to a desensitized state that renders neutrophils non-responsive to additional stimulation with the same agonist, or other low-affinity receptor agonists (homologous desensitization) and to a variety of ligands for other unrelated receptors (heterologous desensitization). It has been recently clarified a cross-talk mechanism in neutrophils, by which signals generated by the receptor for ATP (P2Y2) reactivate desensitized formyl peptide receptors (FPRs) so that these ligand-bound inactive FPRs resume signalling. This mechanism unidirectional in nature results in reactivation of FPRs and, in amplification of the neutrophil response to PAMPS and DAMPS (Figure 2).

Complete understanding of the cross talk among other surface receptors may provide new knowledge for the development of new therapies for inflammatory diseases including COPD.

**Proteases and Their Receptors in COPD**

Proteases identified in the lung were initially involved in extracellular matrix destruction. Proteases from neutrophils, macrophages and some lymphocyte subsets are involved in host defense, but when present in excess they can cause tissue injury, organ dysfunction, and lung diseases (e.g. emphysema, asthma and pulmonary fibrosis). Indeed, recent studies suggest that serine- or metallo-proteases exert a lot of regulatory functions by promoting chemokine and cytokine activation and degradation, shedding of cell surface receptors, proteolysis of cytokine binding proteins, and activation of different specific cell surface receptors, named proteinase-activated receptors (PARs). These receptors, belonging to the GPCRs family are found widely throughout the body and are activated through proteolytic cleavage by a variety of serine proteinases. Among these receptors, PAR1 and PAR2 exert both physiological and pathological effects in the respiratory system and in particular in the development of PH and mucus hypersecretion, which can be variably associated with parenchymal lesions (emphysema and small airway disease) characterizing human COPD. These receptors and their activating serine proteases have been recently implicated in vascular and bronchial remodelling that develops after CS exposure (Figure 3). FVB transgenic mice over-expressing PAR2 show after chronic exposure to CS emphysematous changes associated with PH and right ventricular hypertrophy (RVH). These changes in FVB wild type mice do not develop in association with emphysema. A recent study also suggests a role for PAR1 in airways remodelling (goblet cell metaplasia and peribronchial fibrosis) caused by formylated peptides. These lesions develop in C57 Bl/6 wild type mice but do not appear in mice knocked out for par1 gene. Further investigation on protease function in lung inflammation will have important implications in health and disease.

**Functional Studies on Laboratory Mouse**

In general, mouse models of human diseases present several advantages with respect to other species due to the high similarity of their genome with the human genome and to their capacity to reproduce fast. However, depending on the functional study to be performed, their small size may constitute a serious limitation to these studies. This is the case for functional lung studies in the mouse. These limitations have been addressed in numerous articles devoted to functional studies on mice. Howsoever difficult it may be, these limitations can be reduced by coupling functional studies done in vivo on the intact animal with functional studies done ex vivo or in vitro on lungs or pulmonary tissues taken from the laboratory mouse.

These combined experimental approaches have been used to study lung function in mice with pulmonary changes caused by CS exposure as well as their comorbidities, such as PH and RVH or skeletal muscle dysfunction which contributes to exercise limitation in emphysema patients.
Conclusions and Future Directions

The laboratory mouse has been used for over one hundred years to study the cause of human diseases and more recently, by using genetics, to understand mammalian physiology and development, and human disease processes. Mouse models can be used to collect phenotype data that cannot be collected from human subjects in order to validate candidate genes in human genetic association studies and to study the influence of single genes in physiological or pathological processes. While smaller than the human genome, the mouse genome closely mirrors that of the human. The overall organization of the mouse and human genomes is remarkably syntenic and about 17,000 mouse protein-coding genes have a known direct human ortholog (http://www.informatics.jax.org).

These considerations may explain why inbred strains of mouse, their genetic manipulated or spontaneous mutants are widely used to study human pulmonary diseases.

In our opinion, future research directed to identifying the basis of COPD exacerbations and clarifying the pathogenic mechanisms should include simultaneous characterization in humans of multiple phenotypes (such as susceptibility, severity, rate of progression, propensity to exacerbations, and emphysema vs chronic bronchitis) because different genes may be related to a different aspect of the disease, and may or not may be the same as those determining susceptibility to disease.

In future researches, mouse models are essential components of a human genetic program because they can be used to study a defined phenotype and to identify and select novel candidate genes of probable biological relevance for the pathophysiology of COPD.

Author Contributions

All authors contributed to conception and design, data acquisition and interpretation, drafting and revising the article, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

Disclosure

The authors report no conflicts of interest in this work.

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