# ORIGINAL RESEARCH Low Albumin to Fibrinogen Ratio Predicts Poor **Overall Survival in Esophageal Small Cell** Carcinoma Patients: A Retrospective Study

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Purpose: To explore the prognostic value of albumin to fibrinogen ratio (AFR) in patients with esophageal small cell carcinoma (ESCC).

Patients and Methods: Patients diagnosed with ESCC in West China Hospital from June 1, 2010, to July 31, 2019, were retrospectively reviewed. The AFR was defined as the ratio between the serum albumin level and fibrinogen level. The receiver operating characteristic curves were conducted to determine optimal cut-off values for survival prediction. Univariate and multivariate cox regression analyses were performed to clarify independent prognostic risk factors.

Results: A total of 88 ESCC patients were enrolled in our study with the median follow-up time of 6 months (range 1-91 months). In the univariate analysis, the node metastasis, extraesophageal metastasis status, tumor-node-metastasis (TNM) stage and AFR were found to be potentially related with overall survival (OS) of ESCC patients. After the multivariate analysis, AFR [hazard ratio (HR)=3.487, 95% confidence interval (CI): 1.179-10.312; P=0.024] and TNM stage (HR=6.044, 95% CI: 1.045–34.974; P=0.045) were testified to be independent prognostic factors and low AFR (≤12.36) level was significantly associated with poor OS in ESCC patients. **Conclusion:** The current study reported that AFR could serve as a novel prognostic indicator in ESCC and patients with AFR≤12.36 were more likely to have poor prognosis. Keywords: albumin to fibrinogen ratio, esophageal small cell carcinoma, overall survival

### Introduction

Primary esophageal small cell carcinoma (ESCC) is one kind of highly malignant cancer, accounting for 0.05% to 3.1% of all esophageal cancers,<sup>1,2</sup> and was first reported by Mckeown in 1952.<sup>3</sup> It is characterized by aggressive nature with poor prognosis and differs from the squamous cell carcinoma and adenocarcinoma of the esophagus, but it is similar to small cell lung cancer (SCLC). Up to now, no consensus on the standard therapeutic strategy for ESCC has been reached and reliable and valuable prognostic factors for ESCC are still missing now because of few relevant studies.

According to previous studies, the tumor-node-metastasis (TNM) stage, treatment strategy and Ki-67 expression were independent prognostic factors for ESCC patients.<sup>4-6</sup> However, no studies have clarified the prognostic value of some laboratory indicators including the albumin to fibrinogen ratio (AFR) in ESCC. Actually, some investigators have reported that pretreatment AFR could serve as a promising biomarker to predict survival in several cancers such as the non-small

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2675

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cell lung cancer (NSCLC), breast cancer, squamous cell carcinoma of esophagus (SCCE) and hepatocellular carcinoma and low AFR predicts poorer survival for cancer patients.<sup>7–10</sup> Albumin and fibrinogen are both inflammatory and immunological proteins which involve in the initiation, growth and metastasis of tumors.<sup>7</sup> Hence, we hypothesized that circulating AFR could serve as an effective indicator to predict the prognosis and contribute to the formulation of therapeutic strategy for ESCC patients.

In the present study, we retrospectively reviewed patients diagnosed as ESCC in our center during the last decade to explore the prognostic value of AFR and also other laboratory biomarkers such as the neutrophil to lymphocyte ratio (NLR), platelet to lymphocyte ratio (PLR), lymphocyte to monocyte ratio (LMR).

# **Patients and Methods** Study Design

This is a retrospective study from a single center.

## Patients

Patients diagnosed with ESCC in West China Hospital from June 1, 2010 to July 31, 2019 were reviewed. The diagnoses of ESCC were confirmed pathologically by surgically resected specimens or gastroscopic biopsies.

## Inclusion and Exclusion Criteria

The inclusion criteria were as follows: 1) patients were pathologically diagnosed with ESCC in our hospital; 2) the laboratory indicators including the serum albumin and fibrinogen concentrations were collected before anticancer treatment or at the time of diagnosis; 3) patients had normal liver function.

The exclusion criteria were as follows: 1) patients with liver diseases, hematology diseases, inflammatory or infectious diseases; 2) combined with other malignant tumor; 3) recurrent tumors; 4) transfusion of albumin or fibrinogen within 1 week before the detection of albumin and fibrinogen concentrations.

## Data Collection

The following information was extracted from the medical records of our hospital: sex, age, comorbidity, treatment strategy, tumor location, pathological type, tumor (T) stage, node (N) stage, extraesophageal metastasis (M), tumor-node-metastasis (TNM) stage based on the 2009 AJCC TNM staging system for esophageal squamous cell carcinoma,<sup>11</sup>

immunohistochemical indicators, cluster differentiation 56 (CD56), synaptophysin (Syn), cytokeratin 5/6 (CK5/6), chromogranin A (CgA), thyroid transcription factor-1 (TTF-1), Ki-67 and P63, red cell distribution width (RDW), NLR, PLR, LMR, glutamic oxalacetic transaminase/alanine aminotransferase (AST/ALT), albumin to globulin ratio (AGR), lactate dehydrogenase (LDH), hydroxybutyrate dehydrogenase (HBDH) and AFR.

The location of the tumor was determined on the basis of endoscopic findings and was divided into three segments including the upper/cervical (15–25 cm from the incisor teeth), middle (25–30 cm from the incisor teeth) and lower (30–40 cm from the incisor teeth).

# Definition of the Endpoint Event

Overall survival (OS) was defined as the interval from the date of diagnosis to the date of death caused by any causes or the date of the last follow-up. Follow-up information was obtained from the out-patient clinic records or telephone contacts.

## Statistical Analysis

Statistical analysis was conducted by using SPSS 22.0 version software (IBM Corp, USA). The receiver operating characteristic curve was used to determine the optimal cut-off values for prognosis prediction. Categorical data were presented as number (%). The Chi-square test or Fisher's exact test was applied for the comparison of categorical data. The Kaplan-Meier analysis was used for the calculation of OS and the Log rank test was used to assess the relation between eligible variables and OS. The univariate and multivariate cox hazard regression model was applied to identify independent prognostic factors for ESCC patients and variables with a P value≤0.10 were enrolled in multivariate regression analysis. All statistical tests were two-sided and a P value<0.05 was considered statistically significant.

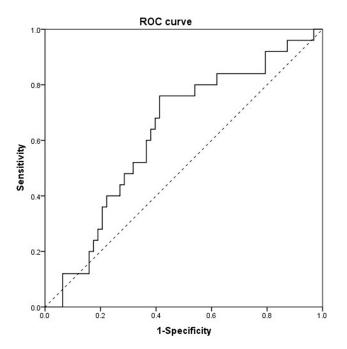
## Results

## **Basic Patient Characteristics**

A total of 88 ESCC patients were enrolled in our study with a male to female ratio of 3:1. The median age was 61 years old with a range of 42 to 83 years. More than half of enrolled patients (50/88, 56.8%) received surgical resection and the majority of ESCC were located in the middle segment of esophagus (47/88, 53.4%). Fifty-three patients (60.2%) were with TNM III/IV stage. Based on the results of ROC curves, the optimal cut-off values of RDW, NLR, PLR, LMR, AST/ ALT, AGR, LDH, HBDH and AFR were 13.15%, 3.75, 93.81, 4.77, 1.265, 1.4, 133.5, 127 and 12.36, respectively. Besides, the sensitivity and specificity for the threshold of AFR was 76.0% and 58.7% separately with the area under the curve (AUC) of 0.628 (Figure 1). The median follow-up time for all included patients was 6 months (ranging 1 to 91 months). During the entire follow-up period, 25 patients died with a median survival time of 12 months (ranging from 2 to 49 months) and the median follow-up time of 63 alive patients was 4 months (ranging from 1 to 91 months) (Figure 2). Other specific information was presented in Table 1.

# Association Between AFR and Clinicopathological Parameters

According to the critical value of AFR, 45 and 43 patients were divided into the low AFR group (AFR $\leq$ 12.36) and high AFR group (>12.36). The results revealed that patients with low AFR were related with treatment strategy (*P*=0.007), higher T stage (*P*=0.026), N stage (*P*=0.018), TNM stage (*P*=0.003), CgA (*P*=0.029), lower NLR (*P*=0.002), higher PLR (*P*=0.003) and lower LMR (*P*=0.047). However, no significant association of AFR with sex, age, comorbidity, location, pathological type, extraesophageal metastasis, CD56, Syn, CK5/6, TTF-1,



**Figure 1** ROC curve of the AFR for predicting overall survival. **Abbreviation:** AFR, albumin to fibrinogen ratio.

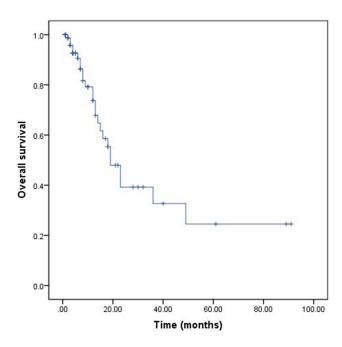


Figure 2 Kaplan-Meier survival curve for overall survival of all included patients.

Ki-67, P63, RDW, AST/ALT, AGR, LDH or HBDH. Detailed data were shown in Table 2.

# Prognostic Factors for OS of ESCC Patients

We explored the prognostic value of the clinicopathological parameters mentioned above using the cox regression model. According to the univariate analysis, we found that the node metastasis (HR=2.004, 95% CI: 1.283-3.132, P=0.002), extraesophageal metastasis (HR=3.239, 95%) CI: 1.217-8.622, P=0.019), TNM stage (HR=1.908, 95% CI: 1.046-3.483, P=0.035) and AFR (HR=3.117, 95% CI: 1.241-7.828, P=0.016) were potentially independent prognostic factors for OS of ESCC patients. However, after the multivariate analysis, extraesophageal metastasis (HR=6.044, 95% CI: 1.045-34.974, P=0.045) (Figure 3) and low AFR (HR=3.487, 95% CI: 1.179-10.312, P=0.024) (Figure 4) were independent risk indicators for poor OS in ESCC. (Table 3)

### Discussion

The present study explored the prognostic role of pretreatment AFR in ESCC by retrospectively analyzing 88 ESCC patients who were admitted to our hospital from 2010 to 2019. The results indicated that pretreatment AFR was significantly associated with treatment strategy, T stage, N stage, TNM stage, CgA expression, pretreatment NLR, PLR, LMR and OS and ESCC patients with low AFR were

Table I	(Continued).
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Characteristics	Value, n (%)	Charact
Patients, n	88 (100)	TNM Stag
Sex		
Male	66 (75)	
Female	22 (25)	IV
		Unknov
Age >65 years	23 (26.1)	CD56
≤65 years	65 (73.9)	+
Comorbidity		-
Diabetes mellitus	3 (3.4)	Syn
Hypertension	11 (12.5)	+
Non-atrophic gastritis	8 (9.1)	-
Treatment		CK5/6
Surgery	20 (22.7)	+
Surgery + chemotherapy	12 (13.6)	-
Surgery + chemoradiotherapy	16 (18.2)	
Neoadjuvant chemotherapy + surgery		CgA
Neoadjuvant chemoradiotherapy + surgery	1 (1.1)	+
Chemotherapy	16 (18.2)	-
Chemoradiotherapy	12 (13.7)	TTF-I
Radiotherapy	1 (1.1)	+
Palliative therapy	3 (3.4)	_
Unknown	6 (6.8)	
Location		Ki-67 >50%
Upper	13 (14.8)	≤50×
Middle	47 (53.4)	
Lower	27 (30.7)	P63
Unknown	1 (1.1)	+
With squamous carcinoma, n	12 (13.6)	-
Tumor		RDW
TI	15 (17.0)	>13.15 <sup>°</sup> ≤13.15
T2	15 (17.0)	≥13.15
T3	16 (18.2)	NLR
T4	13 (14.8)	>3.75
Unknown	29 (33.0)	≤3.75
Node		PLR
NO	23 (26.1)	>93.81
NI	16 (18.2)	≤93.81
N2	22 (25.0)	
N3	20 (22.7)	LMR
Unknown	7 (8.0)	>4.77 ≤4.77
Metastasis		
MO	68 (77.3)	AST/ALT
MI	17 (19.3)	>1.265
Unknown	3 (3.4)	≤1.265
	5 (5.7)	

Characteristics	Value, n (%)
TNM Stage	
1	11 (12.5)
П	14 (15.9)
III	36 (40.9)
IV	17 (19.3)
Unknown	10 (11.4)
CD56	
+	64 (98.5)
-	1 (1.5)
Syn	
+	65 (94.2)
-	4 (5.8)
CK5/6	
+	8 (20.5)
-	31 (79.5)
CgA	
+	42 (59.2)
-	29 (40.8)
TTF-1	
+	9 (64.3)
-	5 (35.7)
Ki-67	
>50%	46 (71.9)
≤50	18 (28.1)
P63	
+	13 (22.0)
-	46 (78.0)
RDW	
>13.15%	50 (57.5)
≤13.15%	37 (42.5)
NLR	
>3.75	44 (50.6)
≤3.75	43 (49.4)
PLR	
>93.81	63 (72.4)
≤93.81	24 (27.6)
LMR	
>4.77	24 (27.6)
≤4.77	63 (72.4)
AST/ALT	
>1.265	40 (45.5)
≤1.265	48 (54.5)

(Continued)

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#### Table I (Continued).

Characteristics	Value, n (%)
AGR	
≤1.4	23 (26.1)
>1.4	65 (73.9)
LDH	
>133.5	70 (80.5)
≤133.5	17 (19.5)
НВДН	
>127	49 (56.3)
≤127	38 (43.7)
AFR	
≤12.36	45 (51.1)
>12.36	43 (48.9)

**Abbreviations:** TNM, tumor-node-metastasis; CD56, cluster differentiation 56; Syn, synaptophysin; CK5/6, cytokeratin 5/6; CgA, chromogranin A; TTF-1, thyroid transcription factor-1; RDW, red cell distribution width; NLR, neutrophil to lymphocyte ratio; PLR, platelet to lymphocyte ratio; LMR, lymphocyte to monocyte ratio; AST, glutamic oxalacetic transaminase; ALT, alanine aminotransferase; AGR, albumin to globulin ratio; LDH, lactate dehydrogenase; HBDH, hydroxybutyrate dehydrogenase; AFR, albumin to fibrinogen.

more likely to have a worse survival than patients with high AFR (HR=3.487, 95% CI: 1.179–10.312, P=0.024). Besides, we found that extraesophageal metastasis was also an independent prognostic risk factor for ESCC factor (HR=6.044, 95% CI: 1.045–34.974, P=0.045). However, no significant relation of the TNM stage, Ki-67 expression or therapeutic strategy with OS was observed in our research.

As major indicator of acute-phase proteins and systemic chronic inflammation, albumin is inexpensive and easily obtained testes in clinics. Besides, it is also widely used to reflect the nutrition level of the body. The production of albumin by the liver is

suppressed by the activation of proinflammation cytokines such as the interleukin-1 (IL-1), interleukin-6 (IL-6) and tumor necrotic factor- $\alpha$  (TNF- $\alpha$ ) when inflammation occurs.<sup>12,13</sup> Besides, low serum albumin concentration has been well demonstrated to be associated with poor survival in several cancers such as the head, neck, urothelial, epithelial ovarian, bladder and esophageal cancer.<sup>14–18</sup>

Fibrinogen is predominantly produced in the liver and plays an important role in the composition of hemostatic proteins and acute phase proteins.<sup>19,20</sup> In addition, fibrinogen and its degradation products can regulate the proinflammatory activity, which stimulates the endothelium to secrete and release von Willebrand factor indirectly, resulting in the activation of platelets accompanying neoplastic disorders.<sup>21,22</sup>

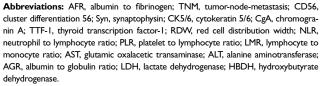
Table	2	Associations	Between	AFR	and	Clinicopathological
Charac	ter	istics in Esoph	nageal Sma	ll Cell	Care	cinoma Patients

(77.8) (22.2) (31.1) (68.9) (.9) 1.8) 3.8) (46.3) (53.7) 8.2) (47.7) (34.1) 3.3) () 8.2) (6.4) (6.4)	31 (72.1)     12 (27.9)     9 (20.9)     34 (79.1)     1 (1.9)     7 (13.0)     5 (9.3)     31 (75.6)     10 (24.4)     5 (11.6)     26 (60.5)     12 (27.9)     6 (14)     13 (35.1)     11 (29.7)     8 (21.6)     5 (13.5)	0.538 0.277 0.681 >0.999 >0.999 0.007 0.007 0.462 0.932 0.026
(22.2) (31.1) (68.9) (.68.9) (.88) (46.3) (53.7) (.53.7) (.53.7) (.47.7) (.34.1) (.34.1) (.3.3) (.10) (.47.7) (.34.1) (.3.3)	12 (27.9)     9 (20.9)     34 (79.1)     1 (1.9)     7 (13.0)     5 (9.3)     31 (75.6)     10 (24.4)     5 (11.6)     26 (60.5)     12 (27.9)     6 (14)     13 (35.1)     11 (29.7)     8 (21.6)	0.277 0.681 >0.999 >0.999 0.007 0.462 0.932
(22.2) (31.1) (68.9) (.68.9) (.88) (46.3) (53.7) (.53.7) (.53.7) (.47.7) (.34.1) (.34.1) (.3.3) (.10) (.47.7) (.34.1) (.3.3)	12 (27.9)     9 (20.9)     34 (79.1)     1 (1.9)     7 (13.0)     5 (9.3)     31 (75.6)     10 (24.4)     5 (11.6)     26 (60.5)     12 (27.9)     6 (14)     13 (35.1)     11 (29.7)     8 (21.6)	0.681 >0.999 >0.999 0.007 0.462 0.932
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(46.3) (46.3) (53.7) (47.7) (34.1) (34.1) (33.3) () 8.2) (6.4)	34 (79.1)     1 (1.9)     7 (13.0)     5 (9.3)     31 (75.6)     10 (24.4)     5 (11.6)     26 (60.5)     12 (27.9)     6 (14)     13 (35.1)     11 (29.7)     8 (21.6)	0.681 >0.999 >0.999 0.007 0.462 0.932
(46.3) (46.3) (53.7) (47.7) (34.1) (34.1) (33.3) () 8.2) (6.4)	34 (79.1)     1 (1.9)     7 (13.0)     5 (9.3)     31 (75.6)     10 (24.4)     5 (11.6)     26 (60.5)     12 (27.9)     6 (14)     13 (35.1)     11 (29.7)     8 (21.6)	>0.999 >0.999 0.007 0.462 0.932
(46.3) (46.3) (53.7) 8.2) (47.7) (34.1) 3.3) )) 8.2) (6.4)	I (1.9)     7 (13.0)     5 (9.3)     31 (75.6)     10 (24.4)     5 (11.6)     26 (60.5)     12 (27.9)     6 (14)     13 (35.1)     11 (29.7)     8 (21.6)	>0.999 >0.999 0.007 0.462 0.932
1.8)     8.8)     (46.3)     (53.7)     8.2)     (47.7)     (34.1)     3.3)     9)     8.2)     (6.4)	7 (13.0)     5 (9.3)     31 (75.6)     10 (24.4)     5 (11.6)     26 (60.5)     12 (27.9)     6 (14)     13 (35.1)     11 (29.7)     8 (21.6)	>0.999 >0.999 0.007 0.462 0.932
1.8)     8.8)     (46.3)     (53.7)     8.2)     (47.7)     (34.1)     3.3)     9)     8.2)     (6.4)	7 (13.0)     5 (9.3)     31 (75.6)     10 (24.4)     5 (11.6)     26 (60.5)     12 (27.9)     6 (14)     13 (35.1)     11 (29.7)     8 (21.6)	>0.999 >0.999 0.007 0.462 0.932
(46.3) (53.7) 8.2) (47.7) (34.1) 3.3) )) 8.2) (6.4)	5 (9.3) 31 (75.6) 10 (24.4) 5 (11.6) 26 (60.5) 12 (27.9) 6 (14) 13 (35.1) 11 (29.7) 8 (21.6)	>0.999 0.007 0.462 0.932
(46.3) (53.7) 8.2) (47.7) (34.1) 3.3) )) 8.2) (6.4)	31 (75.6)     10 (24.4)     5 (11.6)     26 (60.5)     12 (27.9)     6 (14)     13 (35.1)     11 (29.7)     8 (21.6)	0.007
(34.1) (34.1) (38.2) (34.1) (3	10 (24.4)     5 (11.6)     26 (60.5)     12 (27.9)     6 (14)     13 (35.1)     11 (29.7)     8 (21.6)	0.462
(34.1) (34.1) (38.2) (34.1) (3	10 (24.4)     5 (11.6)     26 (60.5)     12 (27.9)     6 (14)     13 (35.1)     11 (29.7)     8 (21.6)	0.462
(34.1) (34.1) (38.2) (34.1) (3	10 (24.4)     5 (11.6)     26 (60.5)     12 (27.9)     6 (14)     13 (35.1)     11 (29.7)     8 (21.6)	0.462
(34.1) (34.1) (38.2) (34.1) (3	10 (24.4)     5 (11.6)     26 (60.5)     12 (27.9)     6 (14)     13 (35.1)     11 (29.7)     8 (21.6)	0.932
8.2) (47.7) (34.1) 3.3) )) 8.2) (6.4)	5 (11.6) 26 (60.5) 12 (27.9) 6 (14) 13 (35.1) 11 (29.7) 8 (21.6)	0.932
(47.7) (34.1) 3.3) )) 8.2) (6.4)	26 (60.5) 12 (27.9) 6 (14) 13 (35.1) 11 (29.7) 8 (21.6)	0.932
(47.7) (34.1) 3.3) )) 8.2) (6.4)	26 (60.5) 12 (27.9) 6 (14) 13 (35.1) 11 (29.7) 8 (21.6)	
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3.3) )) (8.2) (6.4)	6 (14) 13 (35.1) 11 (29.7) 8 (21.6)	
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8.2) 6.4)	11 (29.7) 8 (21.6)	0.026
8.2) 6.4)	11 (29.7) 8 (21.6)	0.026
8.2) 6.4)	11 (29.7) 8 (21.6)	
6.4)	8 (21.6)	
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	5 (13.5)	
6.4)	. ,	
		0.018
5.4)	17 (40.5)	
5.4)	10 (23.8)	
(33.3)	9 (21.4)	
(35.9)	6 (14.3)	
		0.051
(71.4)	38 (88.4)	
(28.6)	5 (11.6)	
. ,	/	0.000
	10 (25)	0.003
	· ,	
	· ,	
	5 (12.5)	
		>0.999
(100)	36 (97.3)	
	1 (2.7)	
	1 (2.7)	0.758
	35 (92.1)	0.758
(	2.6) 10.5) (55.3) (31.6)	10.5) 10 (25)   (55.3) 15 (37.5)   (31.6) 5 (12.5)

(Continued)

#### Table 2 (Continued).

Characteristics	Low AFR	High AFR	P value
	(n=45)	(n=43)	
CK5/6			0.633
+	5 (26.3)	3 (15)	
-	14 (73.7)	17 (85)	
CgA			0.029
+	15 (45.5)	27 (71.1)	
-	18 (54.5)	11 (28.9)	
TTF-I			>0.999
+	8 (66.7)	I (50)	
-	4 (33.3)	I (50)	
Ki-67			0.944
>50%	20 (71.4)	26 (72.2)	
≤50	8 (28.6)	10 (27.8)	
P63			0.747
+	5 (20)	8 (23.5)	
-	20 (80)	26 (76.5)	
RDW			0.239
>13.15%	28 (63.6)	22 (51.2)	
≤13.15%	16 (36.4)	21 (48.8)	
NLR			0.002
>3.75	15 (34.1)	29 (67.4)	
≤3.75	29 (65.9)	14 (32.6)	
PLR			0.003
>93.81	38 (86.4)	25 (58.1)	
≤93.81	6 (13.6)	18 (41.9)	
LMR			0.047
>4.77	8 (18.2)	16 (37.2)	
≤4.77	36 (81.8)	27 (62.8)	
AST/ALT			0.276
>1.265	23 (51.1)	17 (39.5)	
≤1.265	22 (48.9)	26 (60.5)	
AGR			0.116
≤I.4	15 (33.3)	8 (18.6)	
>1.4	30 (66.7)	35 (81.4)	
LDH			0.388
>133.5	37 (84.1)	33 (76.7)	
≤133.5	7 (15.9)	10 (23.3)	
HBDH			0.598
>127	26 (59.1)	23 (53.5)	
≤127	18 (40.9)	20 (46.5)	



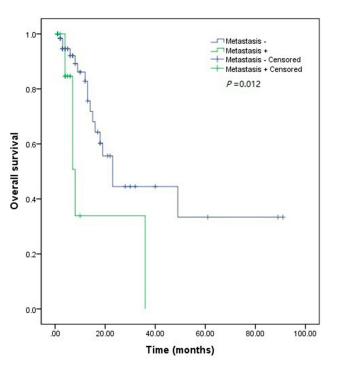


Figure 3 Kaplan–Meier survival curves showing the association between extraesophageal metastasis and overall survival.

Furthermore, it could also play an important role in the cellular adhesion, proliferation and migration during the process of angiogenesis and tumor cell growth.<sup>23</sup> In 2015, the meta-analysis conducted by Perisanidis et al manifested that

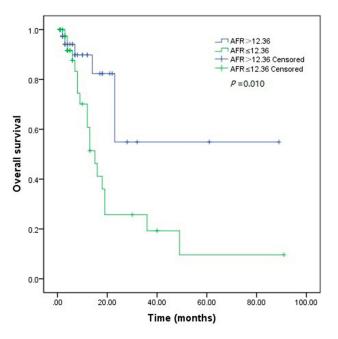


Figure 4 Kaplan–Meier survival curves showing the association between AFR and overall survival.

Abbreviation: AFR, albumin to fibrinogen ratio.

Characteristics	Univariate		Multivariate	Multivariate	
	HR (95 CI)	P value	HR (95 CI)	P value	
Sex	1.384 (0.546–3.504)	0.493			
Age (>65 vs ≤65)	0.812 (0.323-2.043)	0.659			
Comorbidity					
Diabetes mellitus	0.608 (0.081-4.549)	0.628			
Hypertension	0.230 (0.031-1.715)	0.152			
Non-atrophic gastritis	0.770 (0.179–3.316)	0.726			
Treatment (surgery vs non-surgery)	1.507 (0.685–3.314)	0.308			
Location	1.072 (0.616–1.868)	0.805			
With squamous carcinoma	0.386 (0.090-1.648)	0.199			
Tumor	1.410 (0.824–2.412)	0.209			
Node	2.004 (1.283–3.132)	0.002	1.602 (0.772-3.323)	0.206	
Metastasis	3.239 (1.217-8.622)	0.019	6.044 (1.045–34.974)	0.045	
TNM stage	1.908 (1.046–3.483)	0.035	0.615 (0.182–2.080)	0.435	
CK5/6 (±)	0.573 (0.123-2.678)	0.479			
CgA (±)	1.103 (0.452-2.696)	0.829			
Ki-67 (>50%/≤50)	0.626 (0.246-1.593)	0.326			
P63 (±)	0.534 (0.151–1.891)	0.331			
RDW (>13.15% vs ≤13.15%)	1.370 (0.585–3.207)	0.468			
NLR (>3.75 vs ≤3.75)	1.022 (0.456-2.290)	0.958			
PLR (>93.81 vs ≤93.81)	1.479 (0.587–3.728)	0.406			
LMR (>4.77 vs ≤4.77)	1.030 (0.451-2.351)	0.944			
AST/ALT (>1.265 vs ≤1.265)	1.582 (0.695-3.603)	0.274			
AGR (≤1.4 vs >1.4)	1.214 (0.517–2.850)	0.656			
LDH (>133.5 vs ≤133.5)	2.868 (0.675-12.197)	0.154			
HBDH (>127 vs ≤127)	1.717 (0.713–4.137)	0.228			
AFR (≤12.36 vs >12.36)	3.117 (1.241–7.828)	0.016	3.487 (1.179–10.312)	0.024	

Table 3 Univariate and Multivariate Analyses of Clinicopathological Characteristics Associated with Overall Survival in EsophagealSmall Cell Carcinoma

Abbreviations: TNM, tumor-node-metastasis; CK5/6, cytokeratin 5/6; CgA, chromogranin A; RDW, red cell distribution width; NLR, neutrophil to lymphocyte ratio; PLR, platelet to lymphocyte ratio; LMR, lymphocyte to monocyte ratio; AST, glutamic oxalacetic transaminase; ALT, alanine aminotransferase; AGR, albumin to globulin ratio; LDH, lactate dehydrogenase; HBDH, hydroxybutyrate dehydrogenase; AFR, albumin to fibrinogen.

fibrinogen was an independent prognostic biomarker in cancer patients and could make a contribution to the tumor growth and metastasis.<sup>24,25</sup> Since low serum albumin and high fibrinogen concentrations both indicate poor survival for cancer patients, it is believed that the combination of them could show higher prognostic value than each single marker. However, no studies have explored the prognostic role of AFR in ESCC patients up to now due to the very low incidence of ESCC.

Few studies reported the clinicopathological and prognostic characteristics of ESCC. Ishida et al revealed that the ulcerative or diffusely infiltrative microscopic features (P=0.017), high T stage (P=0.034), N stage (P=0.022) and location of tumor (middle segment) (P=0.035) seemed to be favorable prognostic factors after reviewing 29 ESCC patients.<sup>26</sup> Song et al demonstrated that high TNM stage (P=0.001) and vessel involvement (P=0.019) predicted worse survival by retrospectively analyzing 151 patients.<sup>4</sup> In 2019, Chen et al enrolled 42 ESCC patients who received radiotherapies and found that ESCC patients could benefit from radiotherapy and a higher dose of radiotherapy predicted better OS in limited stage ESCC.<sup>5</sup> Deng et al indicated that the low TNM stage, adjuvant therapy and high Ki-67 expression were independent favorable prognostic factors for ESCC patients who underwent esophagectomy with lymphadenectomy.<sup>6</sup> However, in our study, we found that only extraesophageal metastasis (HR=6.044, 95% CI: 1.045–34.974, P=0.045) and low AFR (HR=3.487, 95% CI: 1.179–10.312, P=0.024) were significantly associated with poor OS in ESCC. No

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significant relationship of the treatment strategy, location, T stage, N stage, TNM stage or Ki-67 expression level with OS of ESCC patients, which is different from previous researches. In overall, many fields about ESCC remains unclear or controversial, more investigations are urgently needed.

There are some limitations in this study. First, only 88 patients were enrolled and analyzed. Second, a small amount of information in our study such as the T stage and N stage was missing due to the incomplete medical records. Third, we were unable to verify our findings using external data because of the low incidence of ESCC. Four, the median follow-up time of alive included patients is 4 months which is a little short. Five, we were unable to explore the association of pretreatment AFR with other endpoint events such as the postoperative complications or disease-free survival due to the lack of some relevant information. Six, the serum albumin level may have an interaction with body-mass index (BMI); however, the BMI values at diagnose of one-third of included patients was unobtained.

In conclusion, we demonstrated that a high pretreatment AFR could serve as a favorable prognostic factor of ESCC. However, more prospective studies with bigger sample sizes are still needed to verify our findings.

## **Ethical Approval**

All procedures performed in studies involving human participants were in accordance with the ethical standards of the Ethics Committee of West China Hospital, Sichuan University and national research committee and the 1964 Helsinki Declaration and its later amendments or comparable ethical standards. Because of the nature of retrospective design, the Ethics Committee of West China Hospital approved the current study and also determined that the informed consent was not required.

## **Informed Consent**

Written informed consent for the information to be stored and used in the hospital database was obtained from each patient.

## **Author Contributions**

All authors made substantial contributions to conception and design, acquisition of data, or analysis and interpretation of data; took part in drafting the article or revising it critically for important intellectual content; gave final approval of the version to be published; and agree to be accountable for all aspects of the work.

# Disclosure

The authors report no conflicts of interest in this work.

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