

# Risk Prediction and Prognosis of Invasive Fungal Disease in Hematological Malignancies Patients Complicated with Bloodstream Infections

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**Purpose:** In patients with hematologic malignancies (HM), bloodstream infections (BSI) and invasive fungal disease (IFD) remain important complications causing considerable mortality and morbidity. At present, the morbidity of IFD and the strategies to initiate antifungal treatment in HM patients with BSI remain unclear.

**Patients and Methods:** Patient characteristics, infection-related variables, and therapy-related features of 1374 HM patients with proven BSI from three hospitals were reviewed to investigate the epidemiology, risk factors and prognosis of IFD.

**Results:** The morbidity of proven and probable IFD in HM patients with BSI was 11.2%, and the mortality of those patients was 40.5%. Existing IFD risk scores were not accurate enough in distinguishing these patients benefiting from antifungal prophylaxis. Multivariate logistic regression identified age >45 years, profound neutropenia, hypoproteinemia, and use of vasopressors as independent variables associated with IFD morbidity in HM patients with BSI. In patients with proven and probable IFD patients, age >45 years, Pitt bacteremia score >3, use of vasopressors, abnormal blood coagulation, and initiation of antifungal therapy within 72 hrs after the onset of fever were independent prognostic factors. The mortality was significantly reduced in patients with high-risk factors of IFD if they initiate antifungal treatment within 72 hrs after the onset of fever compared to the patients not.

**Conclusion:** The morbidity and mortality of IFD increase significantly in HM patients with BSI. Early antifungal therapy may improve prognosis in HM patients with BSI complicated with IFD risk factors.

**Keywords:** invasive fungal diseases, hematologic malignancies, bloodstream infections, risk scores, prognosis

## Introduction

Unlike solid tumors, hematological malignancies patients (HM) are susceptible to prolonged neutropenia and immunosuppression during treatment, with a very high risk of infection, the incidence and mortality of bloodstream infections (BSI) are significantly higher than other tumors.<sup>1</sup> Epidemiology suggests a predominance of bacterial etiologic agents in BSI and a low detection rate of fungi.<sup>2,3</sup>

Our previous study has suggested that the prognosis of bacterial bloodstream infection has been greatly improved in recent years due to the standardized treatment of HM patients with febrile neutropenia, and the death rate has decreased from 23.8% to 14.4%.<sup>4</sup> However, the mortality of fungal BSI still remains high.<sup>5,6</sup> Other than fungal infections with clear etiological evidence, the mortality of clinically

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diagnosed invasive fungal disease is also very high.<sup>7,8</sup> How to improve the survival of those patients remains a great challenge at present.

In HM patients, BSI should be highly suspected in case of febrile neutropenia. In Infectious Diseases Society of America (IDSA) guideline, all patients who present with fever and neutropenia should be treated swiftly and broadly with antibiotics to treat both gram-positive and gram-negative pathogens.<sup>9</sup> With regard to the optimal duration of antifungal therapy in patients with neutropenic fever, current guidelines recommend the empirical antifungal treatment for patients with persistent fever should last for 4–7 days after broad-spectrum antibiotic chemotherapy.<sup>10</sup> Based on the 2008 European Organization for the Research and Treatment of Cancer/Mycoses Study Group (EORTC/MSG) criteria, immunocompromised patients with cancer and patients undergoing HSCT are diagnosed with “proven,” “probable,” and “possible” invasive fungal disease.<sup>11</sup> In case of an early initiation of antifungal therapy, pre-emptive treatment was recommended as a diagnostic-driven therapy for patients with persistent fever together with diagnostic work-up showing suspicious findings prior to the initiation of antifungal treatment. However, HM patient may not meet to the criterion of possible, probable, or proven IFD at the beginning of the fever episode, but may meet the criterion at a later time,<sup>12</sup> thus delaying the timing of antifungal therapy and affecting the prognosis of patients. On the other hand, excessively aggressive antifungal prophylaxis results in unnecessary side effects and high medical costs, thereby increasing the incidence of drug resistance.<sup>13–15</sup> As indicated in the NCCN Guidelines for the Treatment and Prevention of Cancer-Related Infections, antifungal prophylaxis should not be used routinely in all patients with neutropenia.<sup>16</sup> Therefore, the best time to initiate fungal treatment in those HM patients remains unclear in case of suspected of BSI.

For the above purpose, we collected the relevant clinical data of HM patients with BSI to investigate the occurrence, risk factors and prognostic factors of IFD in those patients and may help clinicians to decide the initiation of antifungal therapy in HM patients with suspected BSI.

## Materials and Methods

### Setting and Study Design

In this retrospective study, we collected relevant clinical data of the patients from the hematology wards of three affiliated teaching hospitals in Hunan Province from January 2010 to July 2018. The subjects of the present

study were enrolled among the hematological patients with positive blood cultures recorded by the computer system in the microbiology laboratory of the three hospitals. For patients with multiple positive cultures with the same morphology and sensitivity, only they were only counted once. Exclusion criteria: (1) patients diagnosed with non-hematological malignancies; (2) blood culture contamination; (3) failure to attend follow-up; and (4) no assessment of fungal infection during treatment. The obtained data on patients with positive blood cultures included baseline characteristics, hematological diagnosis, chemotherapy, clinical features, antifungal therapy, and epidemiological and treatment-related potential risk factors for IFD. The study was conducted in accordance with the Declaration of Helsinki, International Conference on Harmonization/Good Clinical Practice and nationally mandated ethical requirements. The study protocol and informed consent document were reviewed and approved by the Ethics Committee of the Central South University.

### Definitions

The data collected included subject's characteristics at baseline, hematologic diagnosis and chemotherapy, risk factors for IFD, clinical features of IFD, fungal test results, antifungal prophylaxis and treatment, and survival status at discharge. IFD was classified as proven, probable or possible in accordance with the 2008 version of EORTC/MSG criteria. The management of the patients receiving antifungal prophylaxis and/or therapy was recorded, including the date and nature of the change in treatment and survival status at discharge. Each inpatient hospitalization represented one case, and if a patient was re-hospitalized and received another round of treatment, he/she was also considered a new and separate case.

### Statistical Analysis

A statistical analysis was performed using the SPSS 22.0 software package. The cut-off values of continuous variables were set according to clinical practice or laboratory references. A Chi-square test was used for bivariate analysis, a Logistic regression was utilized for multivariate analysis, and correlations between parameters were tested using Pearson's coefficient.  $R > 0.6$  indicated a strong correlation and  $R < 0.5$  indicated a weak correlation. Factors that were statistically significant in the univariate analysis were included in the multivariate analysis, and the logistic regression results were represented as ORs and their 95% CIs. All p-values were two-tailed, and p-values  $\leq 0.05$  were considered significant.

## Results

### Patient Characteristics

A total of 1033 were included (There were 1374 patients with positive blood cultures during the study; 75 with non-hematological malignancies were excluded, 60 were lost to follow-up, and 21 were not assessed for fungi during treatment.). [Table 1](#) summarizes the demographic and clinical characteristics of the patients included in the present study. More than half of the patients were males (56.1%) and 35.0% were over 45 years of age. Half of the patients (50.5%) had the primary disease of acute myeloid leukemia, the majority (68.7%) had relapsed or uncontrolled disease, a small proportion of patients (6.0% and 10%) concomitantly had diabetes and renal insufficiency, and 7.6% had a previous history of fungal infection. Nearly half (45.8%) of the patients received PICC. The majority (90.2%) were at the neutropenic phase at the time of blood culture. In terms of treatment, 89.1% of the patients received chemotherapy, 2.7% had previous history of undergoing hematopoietic stem cell transplantation, and 10.3% had the exposure to hormones/immunosuppressants. During the BSI, 20.7% of the patients required vasoactive agents to

maintain vital signs. The mortality of all patients with BSI was 20.9%, and the mortality of the patients with a proven/probable IFD was 40.5%.

There were 181 patients with documented IFDs during the study, including 94 patients with prove diagnosis, 22 patients with probable diagnosis, and 65 patients with possible diagnosis. The morbidity of proven/probable IFD is 11.2%. The main pathogens in proven cases were *Candida tropicalis* (n=53), *Candida albicans* (n = 14), *Candida parapsilosis* (n = 9), *Candida glabrata* (n = 4), *Candida* (n = 8), *Candida famata* (n = 1), *Candida krusei* (n = 2), *Candida haemulonii* (n = 1), *Fusarium* (n = 1), and *Cryptococcus neoformans* (n = 1). [Table 2](#) shows the susceptibility profile of all positive blood cultures; *Candida tropicalis* has the highest resistance rate among all *Candida* species, and the rate of resistance to itraconazole and fluconazole was nearly 50% (50.9% and 45.3%, respectively). Susceptibility results of all *Candida* strains showed that no amphotericin B-resistant strain was found.

### Validation of “CAESAR” Score

Data from the present study were used to validate the “CAESAR” scores of the existing IFD prediction model for hematological malignancies.<sup>17</sup> All patients satisfied the 7 variables in the scoring system: gender, hypoproteinaemia, induction chemotherapy, severe neutropenia, prolonged neutropenia, central venous catheterization, and history of IFD. As shown in [Table 3](#), the incidence of IFD in patients with a risk score of 0 to 10 among the HM patients with BSI was 8.2%, much higher than the rate reported in the literature (0.7%) and above the benefit margin (3% to 5%) of prophylactic antifungal therapy. Among patients with a risk score of 11 to 15, the IFD rate was 10.8%, which was higher than the rate reported in the literature (6.4%), and above the margin of significant benefit (10%) of prophylactic antifungal therapy. The incidence of IFD in patients with a risk score >15 was 18.7%, close to 17.5% reported in the literature. The use of “CAESAR” score could distinguish high-risk patients from intermediate- and low-risk patients ( $p < 0.001$  vs 0 to 10 points,  $p = 0.008$  vs 11 to 15), but could not distinguish intermediate-risk patients and low-risk patients ( $P = 0.194$  vs 0 to 10 points). Therefore, IFD prophylaxis for HM patients with BSI cannot be applied to the antifungal strategy recommended in the literature that prophylaxis was given only to intermediate- and high-risk patients, and not to low-risk patients.<sup>17</sup>

**Table 1** Demographic and Clinical Characteristics of Study Patients (n=1033)

Variables	N (%)
Male	580 (56.1%)
Age >45 years	362 (35.0%)
Underlying Malignancies	
Acute myeloid leukemia	522 (50.5%)
Acute lymphoblastic leukemia	351 (33.9%)
Disease Status	
Newly-diagnosed or relapsed or uncontrolled	710 (68.7%)
Diabetes	63 (6.0%)
Renal inadequacy	104 (10%)
Hematopoietic stem cell transplantation status	28 (2.7%)
Neutropenia	932 (90.2%)
Chemotherapy	921 (89.1%)
Glucocorticoid or immunosuppressive treatments	107 (10.3%)
Previous IFD	79 (7.6%)
Central venous catheter	474 (45.8%)
Abnormal radiographic examination	717 (69.4%)
Use of vasopressors	214 (20.7%)
IFD (proven + probable)	116 (11.2%)
Mortality	216 (20.9%)

**Table 2** Resistance Rate of *Candida* to Antifungal Drugs

Antifungal Drugs	<i>Candida tropicalis</i> (n=53)	<i>Candida albicans</i> (n=14)	<i>Candida parapsilosis</i> (n=9)	<i>Candida glabrata</i> (n=4)	Other <i>Candida</i> (n=12)
Itraconazole	27 (50.9%)	2 (14.3%)	1 (11.1%)	2 (50.0%)	4 (33.3%)
Fluconazole	24 (45.3%)	2 (14.3%)	1 (11.1%)	1 (25.0%)	2 (16.7%)
Voriconazole	17 (32.1%)	2 (14.3%)	–	1 (25.0%)	1 (8.3%)
Amphotericin B	–	–	–	–	–
5-FC	–	–	–	–	1 (8.3%)
Caspofungin	–	–	–	–	–
Posaconazole	–	–	–	–	–

Note: 5-FC 5-formylcytosine.

**Table 3** Distribution of “CAESAR” Score and Cumulative Incidence of IFD in HM Patients BSI

“CAESAR” Score	N (%)	IFD Episodes (n)/ Incidence (%)	IFD Incidence (%) in Document
0–10	439 (42.4%)	36 (8.2%)	0.7%
11–15*	397 (38.4%)	43 (10.8%)	6.4%
>15**	197 (19.0%)	37 (18.7%)	17.5%

Notes: \*p=0.194 vs 0–10; \*\*p <0.01 vs 0–10, p=0.008 vs 11–15.

## Analysis of Factors Affecting IFD in HM Patients with BSI

There has been currently no guideline on the timing of relevant antifungal therapy for HM patients with BSI, and we need therefore to investigate the antifungal strategy applicable to such patients. Efforts should be made to find the factors that may affect the development of IFD in such patients. We included the literature-reported factors with a possible correlation with fungal infection for analysis. As shown in [Supplementary Table 1](#), there were no statistically significant difference between IFD and non-IFD groups in terms gender, type and status of underlying diseases, presence or absence of previous history of hematopoietic stem cell transplantation, chemotherapy, immunosuppressant use, central venous catheterization and duration of granulocytopenia ( $P > 0.05$ ). Compared to the non-IFD group, the IFD group had a higher proportion of patients over 45 years of age (43.1% vs 34.0%,  $p = 0.043$ ), had a higher proportion of patients with renal insufficiency (17.2% vs 9.2%,  $p = 0.006$ ), had a larger number of patients with a Charlson index score of more than 3 points and a Pitt score of more than 3 points (21.6% vs 11.7%,  $p = 0.003$ , 35.3% vs 21.3%,  $p = 0.001$ , respectively), had a higher likelihood of developing severe neutropenia (90.5% vs 81.4%,  $p = 0.015$ ), and had more patients requiring vasoactive medication to correct shock

(35.5% vs 18.9%,  $p < 0.001$ ). Subsequently, the variables that were statistically significant by univariate analysis as indicated by  $P \leq 0.05$  were included in the multivariate regression analysis, including age >45 years, renal insufficiency, Charlson index core >3 points, Pitt bacteremia score >3, severe neutropenia, use of vasoactive drugs, anemia (hemoglobin <55 g/L), hypoproteinemia (albumin <30 g/L), abnormal liver function (ALT  $\geq 60$   $\mu$ L), and abnormal coagulation function (APTT  $\geq 43$  s). A multivariate logistic regression analysis showed that, age >45 years (OR: 1.531; 95% CI: 1.007 to 2.327;  $P = 0.046$ ), severe neutropenia (OR: 1.989; 95% CI: 1.028 to 3.850;  $P = 0.041$ ), appearance of use of vasopressors (OR: 1.697; 95% CI: 1.046 to 2.754;  $P = 0.032$ ), and hypoproteinemia (OR: 1.578; 95% CI: 1.006 to 2.476;  $P = 0.047$ ) were independent factors affecting IFD in HM patients with BSI ([Table 4](#)).

## Establishment and Validation of a Risk Scoring System for the IFD Development in HM Patients with BSI

On the basis of multivariate logistic regression analysis, 1 point was assigned if one of the following factors was satisfied: age >45 years, severe neutropenia, presence of use of vasopressors, and hypoproteinemia, to generate a risk score on a scale of 0 to 4 points. The risk score was calculated for each patient. The distribution and the cumulative morbidity and associated mortality of the proven/probable IFD are shown in [Table 5](#). The morbidity of IFD in hematologic malignancy patients with bloodstream infection with a score of 0 was 5.3%, and the mortality rate was 6.0%; the morbidity of IFD in such patients with a score 1 to 2 points increased to 11.6%, above the significant benefit margin of prophylactic antifungal therapy (10%), and the mortality rate was 20.4%; the morbidity of IFD in the patients with a score



**Table 4** Analysis of Factors Affecting IFD in BSI Patients with HM

Risk Factor	N (n=1033)	IFD (n=116)	Univariate Analysis		Multivariate Analysis	
			OR (95% CI)	P value	OR (95% CI)	P value
Age >45 years	362 (35.0%)	50 (43.1%)	1.469 (1.093–2.174)	0.043	1.531 (1.007–2.327)	0.046
Renal inadequacy	104 (10.1%)	20 (17.2%)	2.066 (1.214–3.515)	0.006	1.229 (0.678–2.228)	0.497
Charlson Comorbidity index >3	132 (12.8%)	25 (21.6%)	2.080 (1.279–3.382)	0.003	1.159 (0.665–2.021)	0.603
Pitt bacteremia score >3	236 (22.8%)	41 (35.3%)	2.024 (1.340–3.057)	0.001	1.264 (0.781–2.046)	0.236
Profound neutropenia	851 (82.3%)	105 (90.5%)	2.188 (1.150–4.162)	0.015	1.734 (0.877–3.429)	0.041
Use of vasopressors	214 (20.7%)	41 (35.5%)	2.351 (1.552–3.561)	0.000	1.701 (1.032–2.804)	0.032
Hb <55g/L	421 (40.7%)	61 (52.6%)	1.716 (1.164–2.529)	0.006	1.266 (0.829–1.933)	0.254
ALB <30g/L	546 (52.9%)	81 (69.8%)	2.250 (1.482–3.414)	0.000	1.625 (1.036–2.551)	0.047
ALT ≥60U/L	345 (33.4%)	53 (45.7%)	1.801 (1.218–2.662)	0.003	1.379 (0.909–2.093)	0.072
APTT ≥43sec	367 (35.5%)	53 (45.7%)	1.616 (1.094–2.386)	0.015	1.169 (0.77–1.776)	0.396

**Table 5** Distribution of Risk Fraction, Cumulative Incidence and Mortality of IFD in HM Patients with BSI

Risk Score	No. of Courses (n)	IFD Episodes (n)/ Incidence (%)	No. of Deaths(n)/ Incidence (%)
0	283	15 (5.3%)	17 (6.0%)
1–2	656	76 (11.6%)*	134 (20.4%) <sup>#</sup>
3–4	94	25 (26.6%)**	65 (69.1%) <sup>###</sup>

**Notes:** \*p=0.031 vs. 0; \*\*p <0.001 vs 0, p<0.001 vs 1–2 <sup>#</sup>p <0.001 vs 0; <sup>###</sup>p <0.001 vs 0, p<0.001 vs 1–2.

3 to 4 points increased to 26.6%, and the mortality was as high as 69.1%. The scoring system not only differentiated high-risk patients from intermediate- to low-risk patients ( $P < 0.01$  vs 0 points,  $p < 0.01$  vs 1 to 2 points), but also could distinguish between intermediate-risk from low-risk patients ( $p = 0.031$  vs 0 point), and there were statistically significant differences in mortality among the patients with different risk scores ( $p < 0.001$ , 1 to 2 points vs 0 point;  $p < 0.01$ , 3 to 4 points vs 0 point,  $p < 0.001$  3 to 4 points vs 1 to 2 points).

## Analysis of Prognostic Factors After the IFD Development in HM Patients with BSI

For HM patients with BSI known to have developed IFD, the risk factors affecting their prognosis are not yet known, and we included relevant factors for the prognosis of fungal infections reported in the literature for analysis. As shown in [Supplementary Table 2](#), there were no statistically significant differences between the death group and the non-death group in terms of gender, type of underlying disease, use of immunosuppressive agents, previous fungal infection, positive imaging, positive G test, hypoalbuminemia (albumin <30 g/L), abnormal liver function (ALT ≥ 60 μ/L), initiation or no initiation of antifungal therapy, and use of antifungal

therapy within 24 hrs after the onset of fever ( $P > 0.05$ ). Compared to the non-death group, the death group had a higher proportion of patients over 45 years of age (55.3% vs 34.8%,  $p=0.028$ ), had a higher likelihood of losing control over the underlying disease (87.2% vs 63.8%,  $p=0.001$ ), had more patients concomitantly with renal insufficiency (31.9% vs 7.2%,  $p=0.001$ ), had more patients with a Charlson index score of more than 3 points and a Pitt score of more than 3 points (34.0% vs 13.0%,  $p=0.007$ , 55.3% vs 21.7%,  $p < 0.001$ ), and a higher proportion of patients requiring the use of vasoactive drugs to correct shock (70.2% vs 11.6%,  $p < 0.001$ ). In terms of treatment, the non-death group had a higher proportion of patients who initiated antifungal therapy within 72 hrs after the onset of fever (60.9% vs 27.7%,  $p < 0.001$ ). Subsequently, the variables that were statistically significant by univariate analysis as indicated by  $P \leq 0.05$  were included in the multivariate regression analysis, including age >45 years, uncontrolled primary disease, concomitant renal insufficiency, Charlson index score >3 points, Pitt bacteremia score >3 points, induction/re-induction chemotherapy, central venous catheterization, use of vasoactive drugs, anemia (hemoglobin <55 g/L), abnormal blood coagulation (PT ≥ 16s, APTT ≥ 43s) and initiation of antifungal therapy within 72 hrs after the onset of fever. A multivariate logistic regression analysis showed age >45 years (OR: 4.5; 95% CI: 1.22–16.598;  $p=0.024$ ), Pitt bacteremia score >3 points (OR: 3.899; 95% CI: 1.080–14.082;  $p=0.038$ ), the use of vasoactive drugs (OR: 16.351; 95% CI: 3.774–70.846;  $p < 0.001$ ), abnormal coagulation function (PT ≥ 16 s) (OR: 3.805; 95% CI: 1.026–14.114;  $p = 0.046$ ), and the initiation of antifungal therapy within 72 hrs after the onset of fever (OR: 0.247; 95% CI: 0.069–0.886;  $p=0.032$ ) were independent factor

affecting the IFD prognosis of HM patients with BSI (Table 6).

## Effect of Antifungal Therapy Within 72 hrs After Giving Different Risk Scores in Patients with Hematologic Malignancies Complicated with Bloodstream Infection

Previous studies have found that risk scores might predict high-risk factors for IFD in HM patients with BSI, and that timely antifungal therapy may improve prognosis in patients with proven IFD. Therefore, we further validated the effect of antifungal therapy on prognosis in patients with high-risk factors for IFD. As shown in Table 7, we revealed that, in HM patients with BSI who did not have any risk factors and had a risk score of 0, the initiation of antifungal therapy within 72 hrs after the onset of fever had no effect on patient prognosis (5.5% vs 6.1%,  $p=0.877$ ), but in patients with any risk factors, there was a statistically significant difference in patient prognosis between the patients with the initiation of antifungal therapy within 72 hrs after the onset of fever and the patients without such initiation (23.1% vs 30.4%,  $p=0.023$ ).

## Discussion

The patients with hematological malignancies have a higher morbidity and mortality of bloodstream infections due to underlying immunodeficiency, cytotoxic treatment, and frequent invasive measures, and may concurrently have multiple pathogen infections. The mortality of the patients with mixed bacteria and fungi BSI have a mortality of 43% to 78%,<sup>18–20</sup> which is much higher than that of simple bacterial/fungal infection. In this study,

we analyzed the clinical features of 1033 HM patients with proven BSI and found that existing IFD risk scores were not accurate enough in distinguishing patients evidently benefiting from antifungal prophylaxis, so we examined the high-risk factors of IFD in case of bloodstream infections and the prognostic factors of IFD patients. In addition, we validated the impact of the time of initiating the antifungal therapy on the prognosis of HM patients with BSI bearing a high risk for IFD, providing a reference for clinical initiation of antifungal therapy in this population.

Studies have shown that the incidence of IFD in HM patients ranged from 2.1% to 7.7%,<sup>5,7,17</sup> which was relatively high in MDS/AML patients and the highest in patients receiving hematopoietic stem cell transplantation. Autopsies found that the incidence of IFD in HM patients was as high as 31% to 35%,<sup>21–23</sup> and the patients with *Aspergillus* infection accounted for half.<sup>21</sup> Because of the failure to timely assess patients' lung conditions, the diagnosis in a large number of IFD patients has been missed in the clinical practice. The present study found that, in patients with HM who developed BSI, the incidence of IFD was 11.2%, which was much higher than that of HM patients who had been treated with conventional chemotherapy and hematopoietic stem cell transplantation.<sup>7,17</sup> HU et al found that bacterial sepsis increased the incidence of invasive pulmonary fungal infection in HM patients who had not received hematopoietic stem cell transplantation.<sup>12</sup> A Japanese study is the first report to identify bacteremia following febrile episodes during neutropenia as a predictive factor for IFD in pediatric patients with hematologic or malignant disease.<sup>24</sup> The etiology of IFD after bacteremia remains unclear. Existing studies have suggested that bacteremia following febrile episodes during neutropenia appeared to be an independent predictive factor of IFI from

**Table 6** Analysis of Prognostic Factors After the IFD Development in HM Patients with BSI

Prognostic Factors	N (n=116)	Number of Deaths(n)/ Incidence (%) (n=47)	Univariate Analysis		Multivariate Analysis	
			OR (95% CI)	P value	OR (95% CI)	P value
Age >45 years	50 (43.1%)	26 (55.3%)	2.321 (1.087–4.959)	0.028	4.500 (1.220–16.598)	0.024
Relapsed or uncontrolled malignancy	85 (73.3%)	41 (87.2%)	3.883 (1.446–10.421)	0.005	4.405 (0.449–43.169)	0.203
Renal inadequacy	20 (17.2%)	15 (31.9%)	6.000 (2.002–17.980)	0.001	0.700 (0.119–4.130)	0.694
Charlson Comorbidity index >3	25 (21.6%)	16 (34.0%)	3.441 (1.365–8.674)	0.007	3.288 (0.708–15.271)	0.129
Pitt bacteremia score >3	41 (35.3%)	26 (55.3%)	4.457 (1.981–10.030)	0.000	3.899 (1.080–14.082)	0.038
Induction/re-induction chemotherapy	75 (64.7%)	36 (76.6%)	2.517 (1.102–5.751)	0.026	0.601 (0.090–4.015)	0.599
Central-venous catheter	56 (48.3%)	17 (36.2%)	0.436 (0.203–0.934)	0.031	0.342 (0.094–1.237)	0.102
Use of vasopressors	41 (35.3%)	33 (70.2%)	17.973 (6.838–47.243)	0.000	16.351 (3.774–70.846)	0.000
HB <55g/L	61 (52.6%)	30 (63.8%)	2.163 (1.011–4.630)	0.045	2.641 (0.672–10.379)	0.164
PT ≥16sec	40 (34.5%)	25 (53.2%)	4.091 (1.821–9.193)	0.000	3.805 (1.026–14.114)	0.046
Antifungal therapy within 72 hrs	55 (47.4%)	13 (27.7%)	0.246 (0.110–0.548)	0.000	0.247 (0.069–0.886)	0.032

**Table 7** Effect of Antifungal Therapy Within 72 hrs After Giving Different Risk Scores in Patients with HM Complicated with BSI

Risk Score	Antifungal Therapy Within 72 hrs	No. of Courses (n)	No. of Deaths(n)/ Incidence (%)	P value
0	Yes	54	3 (5.5%)	0.877
	No	229	14 (6.1%)	
1–4	Yes	402	93 (23.1%)	0.023
	No	348	106 (30.4%)	

those previously identified. Bacteremia occasionally progresses to sepsis, which is a systemic response associated with organ dysfunction or damage, including acute lung injury. Under such circumstances, fungi may easily invade host tissues, leading to the onset of IFD. Alternatively, changes in the levels of cytokines, chemokines, and their receptors, or the expression profile of adhesion molecules during sepsis, might influence the onset of IFD.<sup>24</sup> Secondly, patients with bacterial infections have increased antibiotic exposure, including the initial broad-spectrum empirical treatment, which may make them susceptible to IFD.<sup>20</sup> From the microbiological perspective, there may be synergism among different pathogens. Studies have found that in the presence of *Candida albicans* or its secreted cell wall polysaccharide, the drug diffusion is impaired by the mixed biofilm matrix, and the tolerance of *Staphylococcus aureus* to drugs becomes significantly enhanced.<sup>25</sup> In summary, the factors mentioned above may increase the risk of IFD development in patients with HM and BSI.

IFD is an important factor in affecting the survival of HM patients. The mortality of the HM patients concomitantly with IFD was reported to be as high as 11.7–22.1%.<sup>8,17</sup> In our study, the mortality of IFD patients concomitantly with HM with BSI was 40.5%, far exceeding that of the HM patients only with simple IFD infection. It means that once IFD occurred in such HM patients with concomitant IFD, the prognosis would be very poor and irreversible. Antifungal prophylaxis can reduce the morbidity and mortality of fungal infections, but excessive antifungal prophylaxis can lead to unnecessary undesirable effects and high medical costs,<sup>13,14</sup> and increase the risk of antifungal resistance.<sup>15</sup> The present study found that *Candida tropicalis* was the main strain of *Candida* infection in this area, and had a high resistance rate to itraconazole and fluconazole. As reported in literature, the current rate of drug resistance in fungi has increased and multidrug-resistant bacteria have emerged.<sup>15</sup> Therefore, we must avoid the develop of IFD in such patients, and also need

to accurately identify which patients have a good response to antifungal prophylaxis.

The guidelines for initiation of antifungal prophylaxis in HM patients suggest that empirical antifungal prophylaxis should be initiated in high-risk patients.<sup>26,27</sup> Different prognostic models and risk score systems have been designed to identify the patients at high risk and who would most likely to benefit from antifungal prophylaxis.<sup>28–33</sup> Stanzani et al reported the establishment and validation of a risk model of invasive aspergillosis in HM patients.<sup>28</sup> However, their model only assessed the risk of mycosis and did not include the infections caused by other fungi such as *Candida*. Wang et al established an IFD risk score system for the HM patient on chemotherapy—the “CAESAR” score,<sup>17</sup> and they concluded that patients at low risk could not benefit from antifungal prophylaxis. Using the “CAESAR” score system, we found that the system could distinguish between high-risk and low-risk patients, but could not distinguish between the middle-risk and low-risk patients. In addition, the incidence of IFD in HM patients with the same risk score was higher than the incidence reported in the literature. For HM patients with BSI, even if the “CAESAR” score indicated a low risk, they might still benefit from prophylactic antifungal therapy. Therefore, “CAESAR” score cannot be applied to the IFD prophylaxis for HM patients with BSI.

We investigated the risk factors for IFD in such patients, and found that the HM patients who developed BSI had an increased probability of developing IFD if the following were satisfied: age >45 years old, severe granulocytopenia during the fever, hypoproteinemia and use of vasopressors. Age, granulocytopenia, and hypoproteinemia are independent risk factors for the candidemia in HM patients<sup>34,35</sup> and can be used as predictors of IFD development.<sup>17</sup> The proportion of developing shock was significantly increased in HM patients with mixed bacteria and fungi BSI.<sup>6,18</sup> Septic shock was an independent risk factor for mixed *Candida*/bacterial BSI.<sup>19</sup> In our study, 35.5% of patients in the IFD group required vasoactive drugs to correct the shock, and 18.9% in the non-IFD group, which demonstrated an increase in the incidence of IFD when the HM patients concomitantly developed BSI. Therefore, we designed a risk scoring system and verified that, for HM patients with BSI, the higher the score, the greater the likelihood of IFD. Those patients with high scores need to be treated as early as possible.

For HM patients with BSI and proven IFD, the mortality of the mixed bacterial/fungal BSI patients with malignant hematology was 43–78%,<sup>18–20</sup> much higher than that of the patients

with simple bacterial/fungal infection, which was consistent with the mortality (40.5%) in the BSI and HM patients with concomitant IFD in our study. The prognostic factors affecting such patients in the present study included age >45 years, Pitt bacteremia index score >3 points, use of vasoactive drugs, abnormal coagulation function (PT $\geq$ 16s), and initiation of antifungal therapy within 72 hrs after the onset of fever. Among them, age, Pitt bacteremia score, use of vasoactive drugs, and abnormal coagulation function have been found by existing studies as risk factors for candidemia or IFD prognosis.<sup>6,8,36,37</sup> The initiation of antifungal therapy within 72 hrs after the onset of fever is the only protective factor and the only indicator, which may affect the prognosis of IFD development in HM patients with BSI.

Our research has found that early application of antifungal therapy within 72 hrs after the onset of fever can significantly improve the prognosis in HM and BSI patients with proven IFD. However, it is often difficult to obtain the evidence of typical CT scan results or mycological criteria for the diagnosis of proven or probable IFD. Therefore, we investigated that if patients with HM and high risk of IFD could benefit from early initiation of antifungal therapy. The result showed that those patients with age >45 years, profound neutropenia, hypoproteinemia, or use of vasopressors drugs have higher survival rate if the antifungal therapy within 72 hrs after the onset of fever. The results indicated that antifungal therapy should be initiated earlier in HM with high risk of IFD. This is a practically made decision on clinical practise. Most of bacteremia can be proven within 48 hrs by using the continuous blood culture detection system in most hospitals in China. Which means, after the onset of fever, most BSI can be proven within 72 hrs. Therefore, for patients with high-risk factors of IFD, early application of antifungal therapy can be achieved to improve the patient's prognosis.

## Conclusion

As far as we know, the study may be the first report to investigate the risk prediction and prognosis of IFD in HM patients complicated with BSI. The morbidity and mortality of IFD increase significantly in HM patients with BSI than not. Several independent risk factors as well as prognosis of IFD had been identified. For HM patients with risk factors of IFD, the initiation of antifungal therapy within 72 hrs after the onset of fever may improve the prognosis. Our research also has limitations. The retrospective study design prevented more detailed data collection to include other physiologic and social characteristics

which may be pertinent to the outcomes. A prospective research would be designed to further confirm the point that early application of antifungal therapy within 72 hrs after the onset of fever can significantly improve the prognosis in HM patients with BSI and IFD risk factors.

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## Disclosure

The authors report no conflicts of interest in this work.

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