

# ER $\alpha$ 36 as a Potential Therapeutic Target for Tamoxifen-Resistant Breast Cancer Cell Line Through EGFR/ERK Signaling Pathway

This article was published in the following Dove Press journal:  
*Cancer Management and Research*

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**Background:** Acquired tamoxifen resistance is one of the major barriers to the successful treatment of breast cancer. Recently, overexpression of ER $\alpha$ 36 was demonstrated to be a potential mechanism for the generation of acquired tamoxifen resistance. This study aims to evaluate the possibility of ER $\alpha$ 36 being a therapeutic target for tamoxifen-resistant breast cancer.

**Methods:** A tamoxifen-resistant cell subline (MCF-7/TAM) was established by culturing MCF-7 cells in medium plus 1  $\mu$ M tamoxifen over 6 months. Colony-forming assay was used to determine the sensitivity of MCF-7/TAM cells to tamoxifen. Stable transfection was used to knockdown ER $\alpha$ 36 expression in MCF-7/TAM cells. MTT assay and Transwell migration assay were used to assess the in vitro proliferation and migration, respectively. Nude mouse tumorigenicity assay was used to evaluate in vivo tumorigenicity. Western blot analysis and quantitative real-time PCR (qRT-PCR) were used to examine the expression of ER $\alpha$ 36, ER $\alpha$ , EGFR and phosphorylated ERK1/2. The dual-luciferase reporter assay was used to determine the effect of ER $\alpha$ 36 on the activity of EGFR-promotor.

**Results:** MCF-7/TAM cells possessed greatly increased ER $\alpha$ 36 expression and EGFR expression and exhibited significantly increased in vitro proliferation and migration ability, as well as increased in vivo tumor growth ability, compared to parental MCF-7 cells. Knockdown of ER $\alpha$ 36 expression inhibited in vitro proliferation and migration, as well as in vivo tumor growth ability of MCF-7/TAM cells. ER $\alpha$ 36 regulated EGFR expression at the transcriptional level, and knockdown of ER $\alpha$ 36 in MCF-7/TAM cells downregulated EGFR expression and then blocked EGFR/ERK signaling pathway.

**Conclusion:** Knockdown of ER $\alpha$ 36 inhibits the growth of MCF-7/TAM cells in vitro and in vivo by blocking EGFR/ERK signaling pathway. ER $\alpha$ 36 may be a candidate therapeutic target for the treatment of tamoxifen-resistant breast cancer.

**Keywords:** breast cancer, acquired tamoxifen resistance, ER $\alpha$ 36, EGFR/ERK signaling

## Introduction

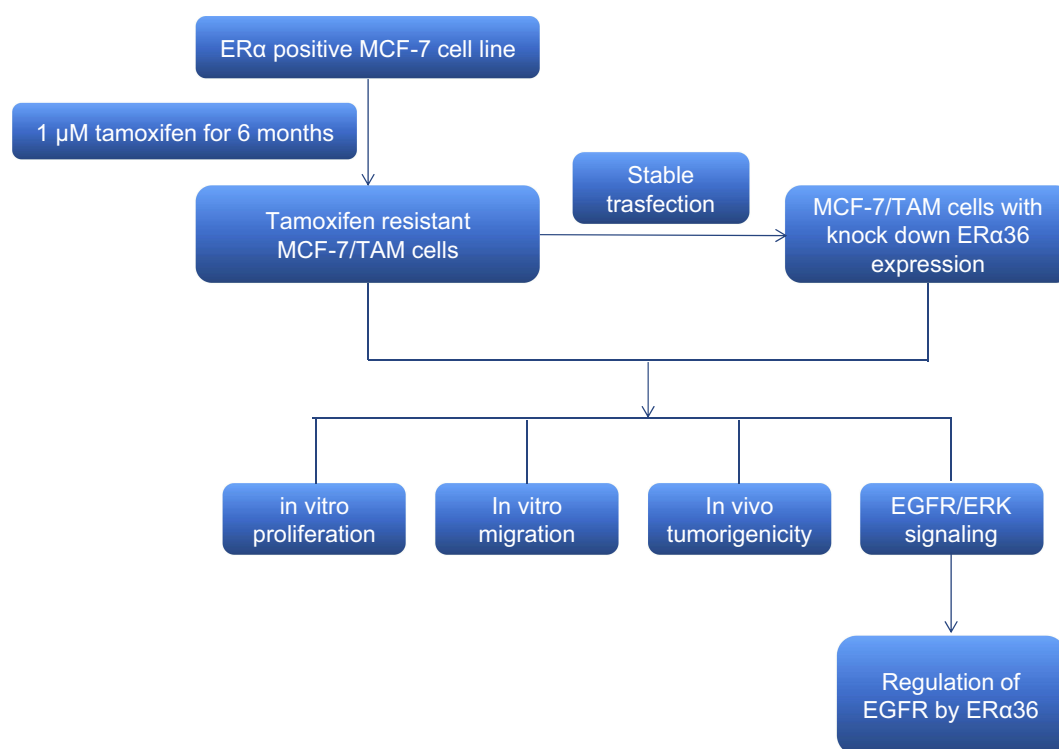
Breast cancer is the major kind of malignant tumor and the second-leading cause of cancer-related death in women.<sup>1</sup> Estrogen signaling through estrogen receptor (mainly ER $\alpha$ ) plays an important role in breast cancer tumorigenesis and biology, and approximately 70% of breast cancer patients are ER $\alpha$ -positive in clinical.<sup>2-4</sup> Tamoxifen, a selective estrogen receptor modulator (SERM), has been used to treat patients with ER $\alpha$ -positive breast cancer for over 40 years, both in the adjuvant and the recurrent setting.<sup>5</sup> Although adjuvant tamoxifen therapy has been proven to reduce relapse, death rates and risk of contralateral breast cancer, a significant

percentage (~30%) of ER $\alpha$ -positive tumors that initially respond to tamoxifen treatment will eventually develop acquired resistance.<sup>6</sup> The development of acquired resistance limits the therapeutic efficacy of tamoxifen, which is a major challenge in the management of ER $\alpha$ -positive breast cancers.<sup>7,8</sup> Despite the current deepening understanding of the mechanisms of tamoxifen resistance and intensive efforts to develop new therapeutic solutions, few effective regimens exist to treat tamoxifen-resistant breast cancer as ER $\alpha$  is still the only clinically used biomarker for ER $\alpha$ -positive breast cancer now.<sup>9</sup> Significant efforts should be undertaken to explore new therapeutic target for tamoxifen-resistant breast cancer.

ER $\alpha$ 36, a 36 kDa variant of ER $\alpha$ , was identified and cloned in 2005 by Wang et al<sup>10</sup>. ER $\alpha$ 36 is a transcript from the *ESR1* gene, with a different promotor to original 66 kDa ER $\alpha$  that located in the first intron of the *ESR1* gene.<sup>10</sup> ER $\alpha$ 36 differs from the typical ER $\alpha$ , as it lacks both transcriptional activation domains (AF-1 and AF-2) of ER $\alpha$ . However, it retains the DNA-binding and dimerization domains, and partial ligand-binding domain, and is still capable of binding with its specific ligand.<sup>10</sup> It is worth mentioning that ER $\alpha$ 36 possesses a unique 27-amino acid sequence in the C-terminal region, instead of the 138-amino acid sequence in the C-terminal region of ER $\alpha$ .<sup>10</sup> ER $\alpha$ 36 is mainly localized in the plasma membrane

and cytoplasm and mediates nongenomic estrogen signaling.<sup>10,11</sup> Tamoxifen can bind to and stimulate the membrane-associated estrogen receptor ER $\alpha$ 36.<sup>11,12</sup> ER $\alpha$ 36 is demonstrated to be able to mediate the agonist activity of tamoxifen via the MAPK/ERK and PI3K/Akt pathways in ER $\alpha$ -negative breast cancer cells and endometrial cancer cells that express high levels of endogenous ER $\alpha$ 36.<sup>13,14</sup> Furthermore, a large amount of studies has reported that an increased level of ER $\alpha$ 36 expression in breast cancer cells is one of the underlying mechanisms for tamoxifen resistance. A retrospective study from Shi et al revealed that higher ER $\alpha$ 36 expression was associated with poor prognosis and resistance to tamoxifen treatment in ER $\alpha$ -positive breast cancer patients.<sup>15</sup> Zhang et al found that tamoxifen could induce ER $\alpha$ 36 expression in tamoxifen sensitive breast cancer MCF-7 cells, which further led to the generation of acquired tamoxifen resistance.<sup>16</sup> In addition, knockdown of ER $\alpha$ 36 expression could restore tamoxifen sensitivity of tamoxifen-resistant breast cancer cells.<sup>17</sup> In our previous study, we also demonstrated that ER $\alpha$ 36 was involved in the development of acquired tamoxifen resistance via upregulating EGFR and downregulating ER $\alpha$  in breast cancer cells.<sup>33</sup>

In this study, as is shown in Figure 1, a tamoxifen-resistant cell subline MCF-7/TAM was established, which was found to possess increased level of ER $\alpha$ 36 expression. To evaluate the



**Figure 1** Schematic overview of the experimental design and workflow of experiment in this study.

possibility of ER $\alpha$ 36 as a therapeutic target for tamoxifen-resistant breast cancer, the ER $\alpha$ 36 expression in MCF-7/TAM cells was knocked down and a series of function assays were performed to prove that ER $\alpha$ 36 was responsible for the tumorigenesis of tamoxifen-resistant breast cancer cells. Then, the main underlying mechanisms were examined in the tamoxifen-resistant MCF-7/TAM cells. In summary, the aim of this study is to ascertain the possibility of ER $\alpha$ 36 as a potential therapeutic target for tamoxifen-resistant breast cancer.

## Methods

### Reagents

Geneticin (G418), dimethyl sulfoxide (DMSO), tamoxifen, protease and phosphatase inhibitors were ordered from Sigma-Aldrich (St. Louis, MO, USA). 3-(4, 5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) was ordered from AMRESCO Inc (Solon, OH, USA). Polyvinylidene difluoride (PVDF) membranes were ordered from Millipore (Billerica, MA, USA). RPMI 1640 medium with phenol red indicator and fetal bovine serum (FBS) were purchased from Thermo Scientific HyClone (South Logan, UT, USA). The monoclonal ER $\alpha$ 36 antibody was developed by Abmart, Inc. (Shanghai, China) as a custom service, which was raised against a synthetic peptide antigen corresponding to the C-terminal of ER $\alpha$ 36. The polyclonal ERK1/2 antibody and phospho-ERK1/2 (Thr<sup>202</sup>/Tyr<sup>204</sup>) antibody were purchased from Cell Signaling Technology, Inc. (Boston, MA, USA). The antibody against EGFR and ER $\alpha$  was purchased from Epitomics, Inc. (Burlingame, CA, USA). The antibody against  $\beta$ -actin was purchased from Beyotime Biotech Inc. (Shanghai, China).

### Cell Culture

Human breast cancer cell line MCF-7 (ATCC, No. HTB-22) was obtained from American Type Culture Collection (Manassas, VA, USA), and was routinely cultured at 37°C in the presence of 5% CO<sub>2</sub> in routine RPMI 1640 medium with phenol red indicator supplemented with 10% FBS. MCF-7/TAM cells were established by culturing cell MCF-7 cells in the presence of 1  $\mu$ M TAM for 6 months and were maintained in the culture medium supplemented with 1  $\mu$ M tamoxifen consistently.

### Colony-Forming Assay

Cells were seeded in a 6-well plate (500 cells per well) and incubated in medium containing 1  $\mu$ M TAM or equivalent DMSO (vehicle) for 2 weeks. The colonies were fixed with 100% methanol for 15 mins, stained

with 0.1% crystal violet and washed with phosphate buffer solution (PBS). Visible colonies ( $\geq 50$  cells) were then counted for quantification. Three independent experiments were performed and the data were presented as the mean  $\pm$  SEM.

### Western Blot Analysis

Cellular proteins were prepared in lysis buffer (50 mM Tris-HCl (pH 7.4), 1% Nonidet P-40, 0.5% sodium deoxycholate, 150 mM NaCl, 0.02% sodium azide, and 0.1% SDS) containing protease and phosphatase inhibitors and heated for 10 min at 100°C. Equal quantities of protein were fractionated by 10% SDS-PAGE and electro-transferred to PVDF membranes. The membranes were then blocked at room temperature with 5% nonfat milk in TBS-T (10 mmol/L Tris-HCl (pH 7.5), 0.5 mol/L NaCl, and 0.05% (w/v) Tween 20) buffer for 1 hour, incubated with primary antibodies overnight at 4°C, washed 3 times with TBS-T, and then incubated with suitable peroxidase-conjugated secondary antibodies for 1 hour at room temperature, and subjected to enhanced chemiluminescent staining using an ECL detection system (Millipore, Billerica, MA, USA). Molecular weights of the immunoreactive proteins were estimated based on PageRuler™ Prestained Protein ladder (MBI Fermentas, USA). Experiments were repeated at least 3 times.

### Methyl-Thiazolyl-Tetrazolium (MTT) Assay

Cells were seeded in 96-well plates at 1000 cells per well and 5 wells were used for every experiment. Growth was measured daily by adding 20  $\mu$ L 0.5 mg/mL MTT to each well, and the plate was incubated for another 4 hrs at 37°C. Afterward, the supernatant was removed and the formazan crystals were dissolved in 200  $\mu$ L DMSO at room temperature for 15 mins, and absorbance was then measured at 570 nm wavelength using an ELx800 Absorbance Microplate Reader (Biotek, Winooski, VT, USA). Three independent experiments were performed and the data were presented as the mean  $\pm$  SEM.

### Plasmid Preparation and Transfection

An artificial microRNA-expressing vector pcDNA3.1/6mi36 was designed before.<sup>27</sup> Transfection was performed using Fugene HD Transfection Reagent (Roche Applied Science, Mannheim, Germany) as recommended by the manufacturer. 48 hrs after transfection, the cells were

replanted and selected via incubating cells with 600 µg/mL G418 for 2 weeks. Surviving colonies were then amplified and examined for ERα36 expression using Western blot analysis. The Coding sequence of ERα36 cDNA was successfully cloned, which was consistent with the NCBI database. On that basis, a eukaryotic expression vector of pcDNA3.1(+)-ERα36 was constructed and verified by sequencing. Transient transfection was performed using Eugene HD Transfection Reagent as recommended by the manufacturer. 48 hrs after transfection of pcDNA3.1(+)-ERα36, cells were harvested for further analysis.

### Transwell Migration Assay

Cells were pre-starved in serum-free medium for 12 hrs, and the transwell plates were rehydration according to the protocol provided by the manufacturer (Millipore, Billerica, MA, USA).  $5 \times 10^4$  cells, suspended in 200 µL serum-free medium, were seeded into the upper chamber of the transwell plate. The lower chamber was filled with 900 µL of medium with 10% FBS. After incubation for 24 hrs, cells adhering to the upper surface of the filter were removed using a cotton swab. After fixed with 95% ethanol, stained with 0.1% crystal violet, and washed with PBS, the cells that had migrated to the opposite side of the filter were counted at  $\times 200$  magnifications under a light microscope. Five separate fields per membrane were selected, and the number of stained cells was counted in each field.

### Nude Mouse Tumorigenicity Assay

Tumor formation was assayed using female BALB/c nude mice (4- to 6-week-old, Slaccas Laboratory Animal, Shanghai, China), which were housed in a barrier facility and acclimated to 12-h light-dark cycles for at least 3 days before use. The use of experimental animals adhered to the “Principles of Laboratory Animal Care” (NIH publication #85-23, revised in 1985). All experiments were approved by the Institutional Animal Care and Use Committee of Zhejiang University (approval ID: SYXK(ZHE)2005-0072). Mice were randomly distributed into equal groups (5 mice per group) for each experiment. A total of  $1 \times 10^7$  indicated cells were resuspended in 0.1 mL of PBS and inoculated subcutaneously into the left mammary fat pad of female nude mice. Tumor growth was monitored every 2 days by Vernier caliper measurement of the length (a) and width (b) of tumor, and tumor volume was calculated as  $(a \times b^2)/2$ .

### RNA Extraction and Quantitative RT-PCR

Total RNA was extracted using TRIzol reagent according to the protocol provided by the manufacturer (Invitrogen, Carlsbad, Calif, USA). RNA concentrations were quantified by NanoDrop 1000 (Nanodrop, Wilmington, Del. USA). Reverse transcription reaction was performed using 2 µg of total RNA with Reverse Transcription System (Promega, Madison, WI, USA). The mRNA level of EGFR was analyzed using GoTaq<sup>®</sup> qPCR Master Mix Kit (Promega, Madison, WI, USA) in ABI PRISM 7500 Sequence Detection System (Applied Biosystems, CA, USA), and was carried out in triplicate for each sample. The β-actin gene was used as internal controls for mRNAs, respectively. Primers used were shown as follows: EGFR-forward: CGTCCGCAAGTGTAAGAA, reverse: AGCAAAAACCCTGTGATT; β-actin-Forward: TGAGCGCGGCTACAGCTT, reverse: TCCTTAATGTCCACGCACGATTT.

### Luciferase Assay

Luciferase assays were performed using a Dual-Glo luciferase assay system according to the manufacturer's instructions (Promega, Madison, WI, USA). Briefly, MCF-7 cells were cultured in 6-well plates and co-transfected with pcDNA3.1(+)-NC or pcDNA3.1(+)-ERα36 and reporter plasmids (pGL3-promotor, pGL3-EGFR-promotor) with the pRL-CMV plasmid pRL/TK (Promega, Madison, WI, USA) to establish transfection efficiency. 48 hrs after transfection, the luciferase activity of cell lysate was determined with the Dual-Luciferase Reporter kit (Beyotime, China) according to the provided protocol. Luciferase signals were collected by Dual-Luciferase Assay System (Thermo Fisher Scientific, Waltham, MA, USA). Relative luciferase activity (RLA) was calculated by normalizing to the renilla luciferase activity. Each experiment was repeated 3 times, and the mean RLA was calculated for statistical analysis. The expression of ERα36 of MCF-7 cells transfected with pcDNA3.1(+)-NC or pcDNA3.1(+)-ERα36 was then determined by Western blot analysis using an anti-ERα36 antibody.

### Statistical Analysis

The data were presented as the means  $\pm$  standard error of the mean of three independent experiments. Statistical significance between various experimental and control groups was determined by two-tailed Student's *t*-test (GraphPad Prism v.7.0, La Jolla, CA, USA). Differences were considered

statistically significant at a level of  $P < 0.05$ . \* represents  $P < 0.05$ ; \*\* represents  $P < 0.01$ .

## Results

### ER $\alpha$ 36 Is Upregulated in Tamoxifen-Resistant MCF-7/TAM Cells

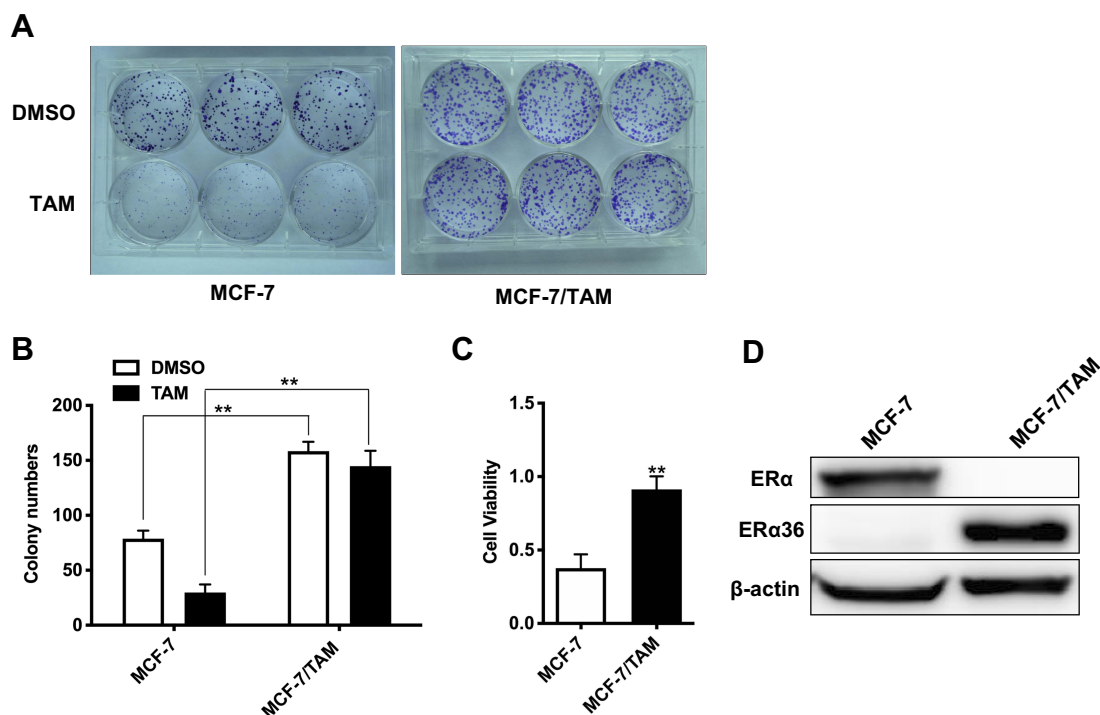
To investigate the molecular mechanisms underlying acquired tamoxifen resistance in breast cancer, a tamoxifen-resistant cell subline MCF-7/TAM was established by culturing ER $\alpha$ -positive breast cancer MCF-7 cells in medium plus 1  $\mu$ M tamoxifen over 6 months, and MCF-7/TAM cells were finally maintained in the culture medium supplemented with 1  $\mu$ M tamoxifen consistently. The tamoxifen sensitivity of MCF-7/TAM cells was then examined using colony-forming assay. Compared to parental MCF-7 cells, MCF-7/TAM cells exhibited dramatically decreased sensitivity to tamoxifen ( $8.63 \pm 5.12\%$  vs  $62.29 \pm 5.41\%$ ) (Figure 2A–C). Moreover, Western blot analysis revealed that the level of ER $\alpha$ 36 expression in MCF-7/TAM cells was greatly increased than parental MCF-7 cells. Instead, the level of ER $\alpha$  expression in MCF-7/TAM cells was nearly undetectable (Figure 2D).

### MCF-7/TAM Cells Exhibit Significantly Increased Proliferation and Migration in vitro

We further investigate the biological behaviors of MCF-7/TAM cells in vitro via MTT assay and Transwell migration assay in a routine medium containing estrogen. MTT assay revealed that MCF-7/TAM cells proliferated much faster than parental MCF-7 cells in vitro (Figure 3A). Transwell assay demonstrated that MCF-7/TAM cells exhibited a much stronger capability for migration in vitro than parental MCF-7 cells. Out of  $5 \times 10^4$  cells seeded into the upper chamber of the transwell plate,  $200.0 \pm 9.55$  MCF-7/TAM cells per field migrated through the membrane after 24-hr' incubation versus  $16.6 \pm 0.9274$  MCF-7 cells per field (Figure 3B and C).

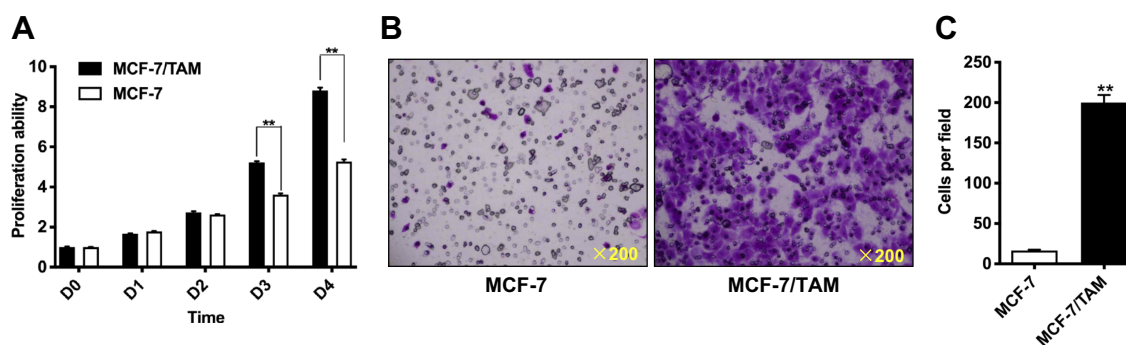
### Knockdown of ER $\alpha$ 36 Expression Inhibits in vitro Proliferation and Migration of MCF-7/TAM Cells

To evaluate the role of ER $\alpha$ 36 in the regulation of proliferation and metastasis of MCF-7/TAM cells, we knocked-

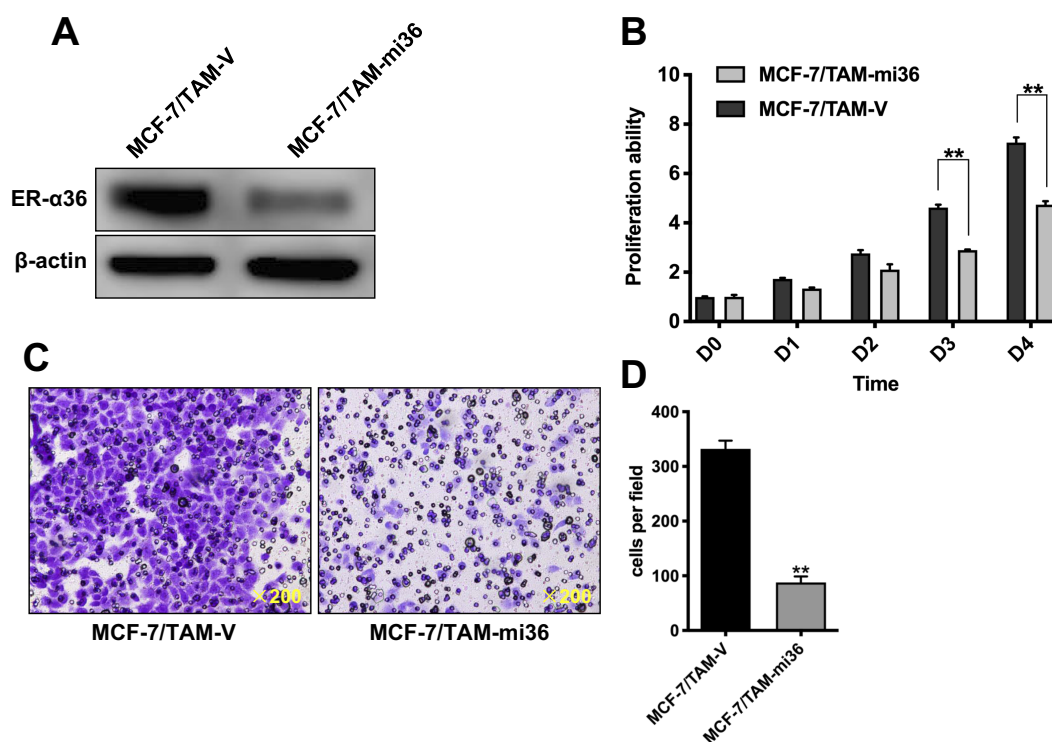


**Figure 2** Tamoxifen-resistant MCF-7 cells (MCF-7/TAM) exhibit tamoxifen-insensitive growth and increased expression of ER $\alpha$ 36. (A). Cells were seeded at 500 cells per well in 6-well plates, incubated in medium containing DMSO (vehicle) or 1  $\mu$ M tamoxifen (TAM) and counted after 2 weeks. (B). Column: means of three independent experiments; bars, SEM. \*\*Represents  $P < 0.01$  (the multiple two tailed Student's *t*-tests *p*-value in the DMSO group is 0.000008 and in the TAM group is 0.000001). (C). Cell viability rate of tamoxifen-treated MCF-7 cells and MCF-7/TAM cells was calculated. Data presented are means  $\pm$  SEM of three independent experiments. \*\* represents  $P < 0.01$  (the two tailed Student's *t*-test *p*-value is 0.002). (D). Western blot analysis of the protein levels of ER $\alpha$ 36 and ER $\alpha$  in MCF-7 cells and MCF-7/TAM cells.  $\beta$ -actin was used as the loading control. All experiments were repeated at least 3 times, and the representative results are shown.





**Figure 3** MCF-7/TAM cells exhibit significantly increased proliferation and migration in vitro. **(A)**, Relative cell proliferation rate of parental MCF-7 cells and MCF-7/TAM cells in routine RPMI 1640 medium with phenol red indicator supplemented with 10% FBS that contains estrogen was determined via MTT assay. Data presented are means  $\pm$  SEM of three independent experiments. \*\*Represents  $P < 0.01$  (the multiple two tailed Student's  $t$ -tests  $p$ -value is 0.00005 at day 3 and 0.00004 at day 4). **(B)**, The in vitro migratory ability of MCF-7 and MCF-7/TAM cells in routine RPMI 1640 medium with phenol red indicator supplemented with 10% FBS that containing estrogen was determined by Transwell migration assay. Representative photomicrographs of migration assay results were taken under 200 original magnifications. **(C)**, Cells migrated through the membrane were viewed at  $\times 200$  magnifications, counted in 5 independent visual fields per transwell membrane. Cell numbers were presented as values of means  $\pm$  SEM of triplicate experiments. \*\*Represents  $P < 0.01$  (the  $t$  test  $p$ -value is  $< 0.00001$ ).



**Figure 4** Knockdown of ER $\alpha$ 36 expression inhibits in vitro proliferation and migration of MCF-7/TAM cells. **(A)** Whole cellular protein extracts of MCF-7/TAM cells transfected with pcDNA3.1(+) vector or pcDNA3.1(+)-6mi36 were subjected to Western blot analysis using an anti-ER $\alpha$ 36 antibody.  $\beta$ -actin was used as the loading control. All experiments were repeated at least 3 times, and the representative results are shown. **(B)**, Relative cell proliferation rate of MCF-7/TAM-V cells and MCF-7/TAM-mi36 cells in routine RPMI 1640 medium with phenol red indicator supplemented with 10% FBS that contains estrogen was determined using MTT assay. Data presented are means  $\pm$  SEM of three independent experiments. \*\*Represents  $P < 0.01$  (the multiple  $t$  tests  $p$ -value is 0.000013 at day 3 and  $< 0.000064$  at day 4). **(C)**, The in vitro migratory ability of MCF-7/TAM-V and MCF-7/TAM-mi36 cells in routine RPMI 1640 medium with phenol red indicator supplemented with 10% FBS that containing estrogen was determined by Transwell migration assay. Representative photomicrographs of migration assay results were taken under 200 original magnifications. **(D)**, Cells migrated through the membrane were viewed at  $\times 200$  magnifications, counted in 5 independent visual fields per transwell membrane. Cell numbers were presented as values of means  $\pm$  SEM of triplicate experiments. \*\*Represents  $P < 0.01$  (the two tailed Student's  $t$ -test  $p$ -value is  $< 0.00001$ ).

down ER $\alpha$ 36 expression in MCF-7/TAM cells via stable transfection of an ER $\alpha$ 36 multi-hairpin vector (pcDNA3.1/6mi36).<sup>27</sup> Western blot analysis confirmed the significantly

decreased expression of endogenous ER $\alpha$ 36 in clones transfected with pcDNA3.1/6mi36 (Figure 4A). The in vitro proliferation and migration ability of MCF-7/

TAM cells in a routine medium containing estrogen was then determined. MTT assay revealed that MCF-7/TAM-mi36 cells proliferated much more slowly than MCF-7/TAM-V cells (Figure 4B). In addition, Transwell migration assay demonstrated that knockdown of ER $\alpha$ 36 expression significantly inhibited the in vitro migration ability of MCF-7/TAM cells ( $87.8 \pm 11.01$  MCF-7/TAM-mi36 cells vs  $332.2 \pm 15.08$  MCF-7/TAM-V cells per field out of  $5 \times 10^4$  cells seeded into the upper chamber of the transwell plate after 24-hr' incubation, Figure 4C and D).

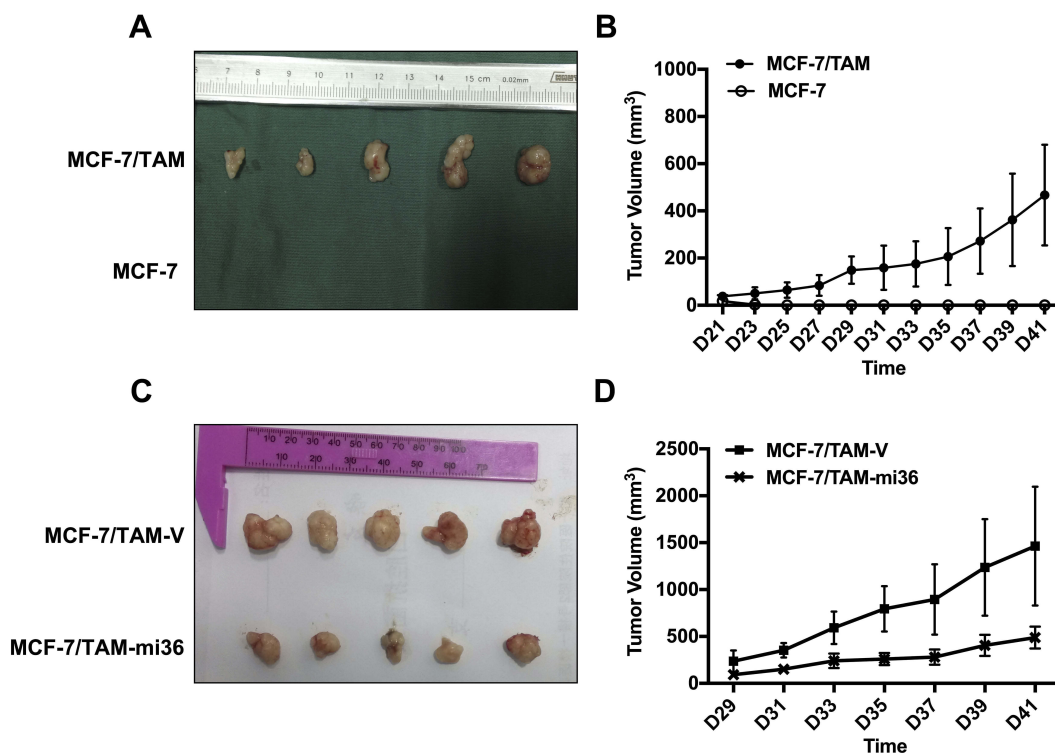
### Knockdown of ER $\alpha$ 36 Expression Inhibits in vivo Tumor Growth of MCF-7/TAM Cells in Nude Mice

To assess the tumorigenicity of MCF-7/TAM cells in vivo, MCF-7/TAM cells, as well as parental MCF-7 cells, were implanted into the left mammary fat pad of female nude mice. In the absence of exogenous estrogen, parental MCF-7 cells failed to form tumors in nude mice, whereas MCF-7/TAM cells could form stable subcutaneous tumors

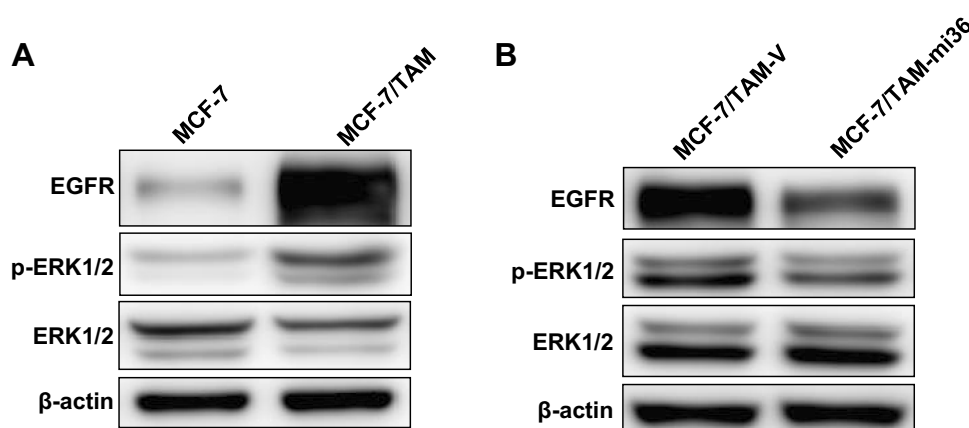
(Figure 5A and B). To assess the effect of ER $\alpha$ 36 on the tumorigenicity of MCF-7/TAM cells in vivo, the in vivo tumor growth of MCF-7/TAM-V cells and MCF-7/TAM-mi36 cells was evaluated using the same Nude mouse tumorigenicity assay (Figure 5C and D). Our results revealed that the tumor growth of MCF-7/TAM-mi36 cells in nude mice was significantly weaker than that of MCF-7/TAM-V cells, which indicated that knockdown of ER $\alpha$ 36 expression could inhibit in vivo tumor growth of MCF-7/TAM cells.

### Knockdown of ER $\alpha$ 36 Expression Deactivates EGFR/ERK Signaling in MCF-7/TAM Cells

Similar to previous studies, our results also uncovered that EGFR expression in MCF-7/TAM cells was significantly up-regulated, compared to parental MCF-7 cells. What's more, the basal level of ERK1/2 phosphorylation in MCF-7/TAM cells was much higher than parental MCF-7 cells, indicating the activation of the EGFR/ERK signaling pathway, which was



**Figure 5** Knockdown of ER $\alpha$ 36 expression inhibits in vivo tumor growth of MCF-7/TAM cells in nude mice. (A). Parental MCF-7 cells and MCF-7/TAM cells were implanted into the left mammary fat pad of the female mice without exogenous estrogen. Photographs of tumors were taken. (B). The tumorigenicity was examined by measurement of tumor size. The data represent the means  $\pm$  SEM observed in 5 mice in each group. (C). MCF-7/TAM-V cells and MCF-7/TAM-mi36 cells were implanted into the left mammary fat pad of the female mice without exogenous estrogen. Photographs of tumors were taken. (D). The tumorigenicity was examined by measurement of tumor size. The data represent the means  $\pm$  SEM observed in 5 mice in each group.



**Figure 6** Knockdown of ER $\alpha$ 36 expression deactivates EGFR/ERK signaling pathway in MCF-7/TAM cells. **(A)**. Western blot analysis of the protein levels of EGFR, phosphorylated ERK1/2 and total ERK1/2 in MCF-7 cells and MCF-7/TAM cells.  $\beta$ -actin was used as the loading control. All experiments were repeated at least 3 times, and the representative results are shown. **(B)**. Western blot analysis of the protein levels of EGFR, phosphorylated ERK1/2 and total ERK1/2 in MCF-7/TAM-V cells and MCF-7/TAM-mi36 cells.  $\beta$ -actin was used as the loading control. All experiments were repeated at least 3 times, and the representative results are shown.

responsible for the enhanced capability of proliferation and migration gained by MCF-7/TAM cells (Figure 6A). Further investigation disclosed that knockdown of ER $\alpha$ 36 expression downregulated EGFR expression, decreased ERK1/2 phosphorylation, and finally deactivated EGFR/ERK signaling pathway in MCF-7/TAM cells (Figure 6B). These results led to the suggestion that the EGFR/ERK signaling pathway may involve in the regulation of cell proliferation and metastasis driven by ER $\alpha$ 36.

## ER $\alpha$ 36 Regulates EGFR Expression at Transcriptional Level

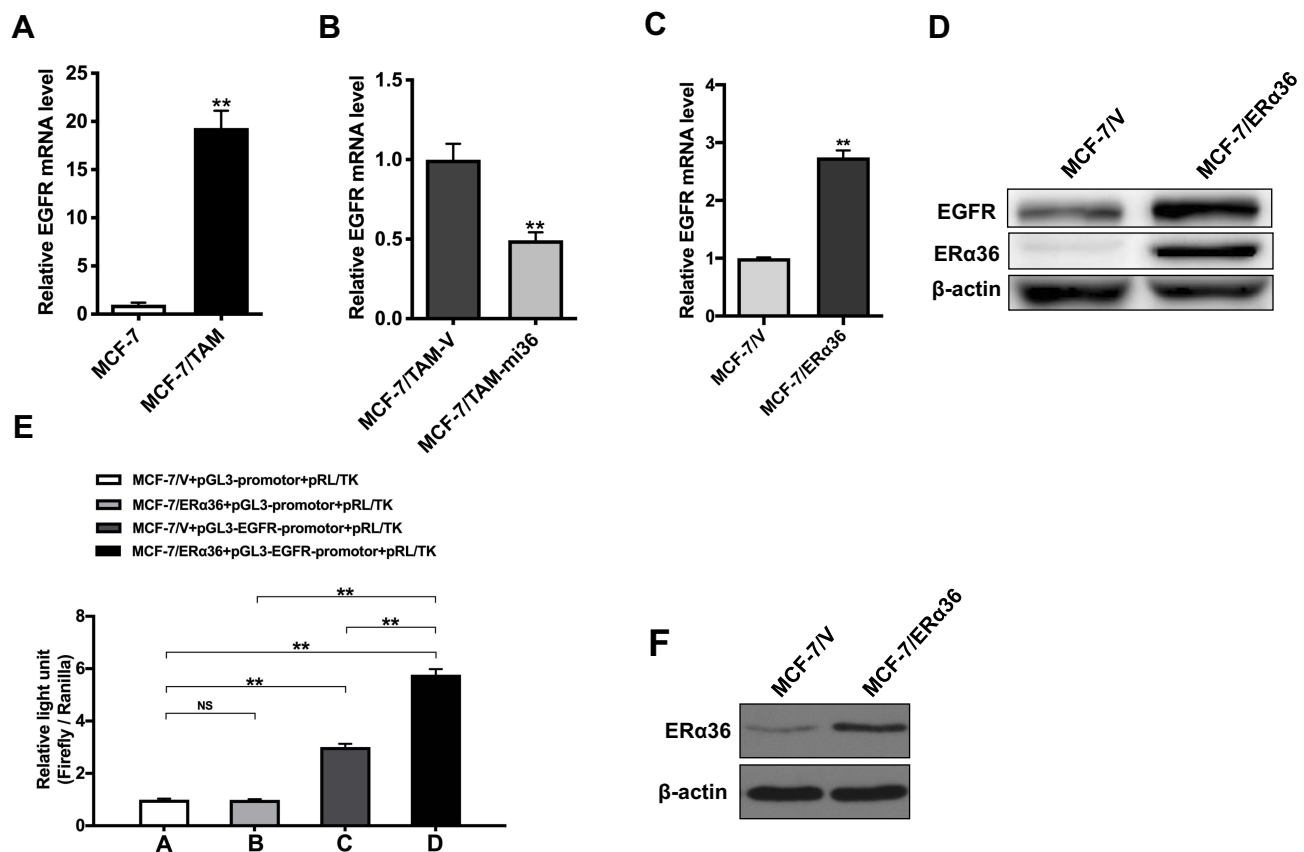
In order to explore the mechanism underlying the regulation of EGFR expression by ER $\alpha$ 36, we detected the mRNA level of EGFR in MCF-7/TAM cells. We found that the mRNA level of EGFR in MCF-7/TAM cells was significantly higher than that of parental MCF-7 cells (Figure 7A). Further results indicated that knockdown of ER $\alpha$ 36 expression could downregulate the mRNA level of EGFR in MCF-7/TAM cells (Figure 7B). In order to demonstrate if ER $\alpha$ 36 positively regulates EGFR expression, we overexpressed ER $\alpha$ 36 in MCF-7 parental cells via transmit transfection. We then found that EGFR expression in MCF-7/ER $\alpha$ 36 cells was significantly increased both in mRNA level (Figure 7C) and protein level (Figure 7D), compared to MCF-7/V cells. These results meant that ER $\alpha$ 36 may regulate EGFR expression at the level of transcription. To further illuminate the exact role of ER $\alpha$ 36 in the transcription of the *EGFR* gene, a dual-luciferase reporter assay was performed to examine the effect of ER $\alpha$ 36 on the activity of *EGFR*-promotor. Results revealed that luciferase activity of the pGL3-EGFR-

promotor plasmid was dramatically increased when co-transfected with pcDNA3.1(+)-ER- $\alpha$ 36 in MCF-7 cells, which indicated that overexpressed ER $\alpha$ 36 could activate the promotor activity of *EGFR* gene, and then induce the transcription of *EGFR* gene (Figure 7E and F).

## Discussion

Tamoxifen remains a cornerstone of endocrine therapy for ER $\alpha$ -positive breast cancer, which has effectively improved the survival rate and reduce the recurrence of ER $\alpha$ -positive breast cancer patients in the past decades.<sup>18–20</sup> Despite the significant anti-tumor activities of tamoxifen, most initially responsive breast tumors are eventually resistant to tamoxifen therapy, which is a major obstacle in the management of patients with ER $\alpha$ -positive breast cancer.<sup>21</sup> Actually, more women die from ER $\alpha$ -positive breast cancer than from any other molecular subtype in general.<sup>22</sup> Previous studies have identified that upon the development of resistance to tamoxifen, breast cancer cells also acquired enhanced proliferation and metastasis potential.<sup>23</sup> Multiple mechanisms involved in acquired tamoxifen resistance have been proposed by extensive researches, including nuclear hormone receptor coregulator differential expression, growth factor signaling crosstalk, acquired ER somatic mutations and alteration mutations in ER $\alpha$ , and changes in the tamoxifen absorption rate and metabolic pathways.<sup>24</sup> Although the causes of tamoxifen resistance vary, the most predominant mechanisms responsible for this resistance remain largely unknown. And there is an urgency in exploring new therapeutic target for tamoxifen-resistant breast cancer.





**Figure 7** ER- $\alpha$ 36 regulates EGFR expression at the transcriptional level. **(A)**. Relative mRNA level of EGFR in MCF-7/TAM cells was determined by real-time qPCR.  $\beta$ -actin gene was used as an endogenous control for normalization. Results showed are means  $\pm$  SEM of three independent reactions. \*\* represents  $P < 0.01$  (the t test p-value is 0.00006). **(B)**. Relative mRNA level of EGFR in MCF-7/TAM-mi36 cells was determined by real-time qPCR.  $\beta$ -actin gene was used as an endogenous control for normalization. Results showed are means  $\pm$  SEM of three independent reactions. \*\* represents  $P < 0.01$  (the t test p-value is 0.0014). **(C)**. Relative mRNA level of EGFR in MCF-7/ER $\alpha$ 36 cells was determined by real-time qPCR.  $\beta$ -actin gene was used as an endogenous control for normalization. Results showed are means  $\pm$  SEM of three independent reactions. \*\* represents  $P < 0.01$  (the t test p-value is 0.001). **(D)**. Whole cellular protein extracts of MCF-7 cells transfected with pcDNA3.1(+) vector or pcDNA3.1(+)-ER $\alpha$ 36 were subjected to Western blot analysis using an anti-ER $\alpha$ 36 antibody.  $\beta$ -actin was used as the loading control. All experiments were repeated at least 3 times, and the representative results are shown. **(E)**. Relative light unit caused by pcDNA3.1(+)-NC + pGL3-promotor + pRL/TK vector (MCF-7/V+pgL3-promotor+pRL/TK, Column A), pcDNA3.1(+)-ER $\alpha$ 36 + pGL3-promotor+pRL/TK vector (MCF-7/ER $\alpha$ 36+pgL3-promotor+pRL/TK, Column B), pcDNA3.1(+)-NC + pGL3-EGFR-promotor + pRL/TK vector (MCF-7/V+pgL3-EGFR-promotor+pRL/TK, Column C), pcDNA3.1(+)-ER $\alpha$ 36 + pGL3-EGFR-promotor + pRL/TK vector (MCF-7/ER $\alpha$ 36+pgL3-EGFR-promotor+pRL/TK, Column D) by luciferase assay. \*\* represents  $P < 0.01$  (the multiple two tailed Student's t-tests p-value is 0.717085 in Column A vs Column B; 0.000001 in Column A vs Column C; 0.000003 in Column A vs Column D; 0.000003 in Column B vs Column D; 0.000042 in Column C vs Column D). **(F)**. Cellular protein extracts of MCF-7 cells transfected with pcDNA3.1(+)-NC (MCF-7/V) or pcDNA3.1(+)-ER $\alpha$ 36 (MCF-7/ER $\alpha$ 36) were subjected to Western blot analysis using an anti-ER $\alpha$ 36 antibody.  $\beta$ -actin was used as the loading control.

Accumulating experimental and clinical evidence has demonstrated that ER $\alpha$ 36, a truncated variant of ER $\alpha$ , is closely related to the generation of acquired tamoxifen resistance.<sup>15,16</sup> The current study establishes a tamoxifen-resistant cell subline MCF-7/TAM, which susceptibility to tamoxifen is significantly decreased. We found that MCF-7/TAM cells possess increased ER $\alpha$ 36 expression, but undetectable ER $\alpha$  expression. This finding is in good agreement with a previous study which revealed that tamoxifen-resistant MCF-7 cells possessed greatly overexpressed ER $\alpha$ 36 but significantly downregulated ER $\alpha$ .<sup>25</sup> However, the change of ER $\alpha$  expression level during the development of acquired tamoxifen resistance is still uncertain, as some groups show breast cancer cells with acquired tamoxifen resistance retain ER $\alpha$

expression.<sup>16,26</sup> That may be because the biological reprogramming during the development of acquired tamoxifen resistance is so complicated that breast cancer cells usually undergo adaptive changes in response to the corresponding environment. The specific underlying mechanisms require further research to clarify.

In this study, we mainly evaluate the possibility of ER $\alpha$ 36 as a potential therapeutic target for tamoxifen-resistant breast cancer rigorously. Through stable transfection, we knock down the expression of ER $\alpha$ 36 in MCF-7/TAM cells and get MCF7/TAM-mi36 cells with lower ER $\alpha$ 36 expression. A previous study has showed that downregulation of the ER $\alpha$ 36 expression could reduce the in vitro migration and invasiveness of MDA-MB-231 breast cancer cells.<sup>27</sup> Here,

our results reveal that knockdown of ER $\alpha$ 36 expression could inhibit in vitro proliferation and migration of MCF-7/TAM cells. Most importantly, consistent results are observed in vivo. Using nude mouse tumorigenicity assay, we further find that the tumor growth of MCF7/TAM-mi36 cells in nude mice is significantly weaker than that of control MCF-7/TAM-V cells, which indicated the pivotal role of ER $\alpha$ 36 in maintaining aggressive biological behaviors of MCF-7/TAM cells. Unlike previous studies, the nude mouse tumorigenicity assay here is performed in the absence of exogenous estrogen. On that basis, we infer that the regulation of proliferation and metastasis of MCF-7/TAM cells by ER $\alpha$ 36 may have nothing to do with the estrogen signaling pathway.

Acquired resistance to tamoxifen is clearly a complex phenomenon involving multiple pathways, and the exact molecular mechanism of ER $\alpha$ 36 in regulating acquired tamoxifen resistance has not been elucidated until now. Many kinds of research have demonstrated that multiple signaling molecules and pathways such as EGFR and HER2 are involved in the induction of tamoxifen resistance.<sup>28,29</sup> Previous studies have discovered the existence of the crossregulatory loops between ER $\alpha$ 36 and the EGFR/HER2.<sup>30,31</sup> Besides, ER $\alpha$ 36 is demonstrated to promote the generation of acquired tamoxifen resistance via regulating EGFR/HER2 expression and their downstream signaling pathway, and disruption of the ER $\alpha$ 36-EGFR/HER2 positive regulatory loops restores tamoxifen sensitivity in tamoxifen-resistant breast cancer cells.<sup>17</sup> Not only that ER $\alpha$ 36 is also reported to play critical roles in tamoxifen resistance and metastasis of breast cancer.<sup>32</sup>

In this study, our results uncover that MCF-7/TAM cells possessed much higher expression of EGFR than parental MCF-7 cells, as well as increased basal level of ERK1/2 phosphorylation that indicates the activation of EGFR/ERK signaling pathway, which leads to the suggestion that EGFR/ERK signaling pathway may involve in the regulation of cell proliferation and metastasis driven by ER $\alpha$ 36. We further find that knockdown of ER $\alpha$ 36 expression could downregulate EGFR expression, and then decrease ERK1/2 phosphorylation level in MCF-7/TAM cells, which supports a critical role of ER $\alpha$ 36 in the regulation of EGFR/ERK signaling. Zhang et al once revealed that ER $\alpha$ 36 regulated EGFR expression via stabilizing EGFR protein.<sup>30</sup> In this study, our results reveal that MCF-7/TAM cells with high ER $\alpha$ 36 expression possess a high mRNA level of EGFR, and knockdown of ER $\alpha$ 36 could downregulate the mRNA level of EGFR. In order to demonstrate if ER $\alpha$ 36 positively regulates EGFR expression, we overexpressed ER $\alpha$ 36 in parental MCF-7 cells via transmit

transfection. We then found that EGFR expression in MCF-7/ER $\alpha$ 36 cells was significantly increased both in mRNA level and protein level, compared to MCF-7/V cells. Further results reveal that overexpressed ER $\alpha$ 36 could activate the promotor activity of the *EGFR* gene and then induce the transcription of the *EGFR* gene, which indicates that ER $\alpha$ 36 regulates EGFR expression at the transcriptional level.

## Conclusions

In the present study, we have briefly discussed the pivotal role of ER $\alpha$ 36 in the treatment of tamoxifen-resistant breast cancer. Our results suggest that knockdown of ER $\alpha$ 36 inhibits the progress of tamoxifen-resistant MCF-7/TAM cells via blocking the EGFR/ERK signaling pathway. These findings present a potential therapeutic option to overcome tamoxifen resistance via targeting ER $\alpha$ 36. However, further studies are warranted to verify these findings.

## Ethical Statement

All animal experimental protocols conducted in the present study were approved by the Institutional Animal Care and Use Committee of Zhejiang University (approval ID: SYXK[ZHE]2005-0072).

## Acknowledgments

This work was supported by the National Natural Science Foundation of China (Grant No. 81702976), Zhejiang Provincial Natural Science Foundation of China (Grant No. LQ16H160015, LY15H160012), Science and Technology Major Projects of Ningbo (Grant No. 2015C0003), and Zhejiang Provincial Medicine & Health Science and Technology General Project (Grant No. 2016KYA045).

## Disclosure

The authors report no conflicts of interest in this work.

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