

# Decreased Autonomy In Community-Dwelling Older Adults

This article was published in the following Dove Press journal:  
*Clinical Interventions in Aging*

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**Purpose:** The present study aims to explore characteristics associated with low perception of autonomy among community-dwelling older adults.

**Patients and methods:** This original research was derived from a cross-sectional study based on the study COSFOMA with information from 1,252 (60 years and older) community-dwelling older adults whose data was obtained through a questionnaire that included socio-demographic characteristics, as well as different scales of geriatric assessment. The perception of autonomy was evaluated with the autonomy sub-scale of the Quality of Life Scale of Older Adults from the World Health Organization (World Health Organization Quality of Life of Older Adults, WHOQOL-OLD).

**Results:** The mean (SD) age of the 1,252 community-dwelling older adults participating in the study was 68.5 (7.2) years. The average perception of autonomy was 65.3 (18.2) points out of 100. In the final logistic regression model, schooling <6 years (Odds Ratio, OR = 2.1, 95% Confidence Interval, CI = 1.5–2.9), low social support (OR = 1.6, 1.2–2.2), low spirituality (OR = 2.6, 95% CI = 1.9–3.4), presence of cognitive impairment (OR = 1.9, 95% CI = 1.4–2.5), anxiety (OR = 1.7, 95% CI = 1.2–2.5), and limitation in activities of daily living (ADL) (OR = 1.6, 95% CI = 1.1–2.2) were statistically associated with the presence of low autonomy in older adults.

**Conclusion:** The perception of autonomy among community-dwelling older adults is moderate. Social support and spirituality, as well as cognitive impairment, anxiety, and limitations in ADL, play a significant role for degree of perceived autonomy in this population. Health professionals can use this information to promote participation in decision-making processes through programs that improve quality of life.

**Keywords:** elderly, bioethics, Quality of Life, activities of daily living, social support

## Introduction

Currently, a greater number of people around the world are more likely to reach late adulthood than in past decades.<sup>1</sup> The rapid growth of this particular age group is evident in comparison with other age groups throughout the world and Mexico is no exception. Between 2010 and 2050, the proportion of older adults is expected to increase from 5.2 to 21.2%.<sup>2</sup> This growth goes hand-in-hand with the population's health profile, which shows both high mortality rates for infectious diseases and an increase in chronic-degenerative diseases. These changes in the population pose significant challenges for economic, political, and social entities, as well as for health systems.<sup>3,4</sup> One of the main challenges stated by the United Nations Madrid International Plan of Action on Ageing is to include older adults in autonomous decision-making processes.<sup>5,6</sup> Autonomy is considered as the ability to make one's

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own decisions, feel in control of their future, and do the things they want.<sup>7</sup> Autonomy has an important role in active aging, given that it is strongly associated with longevity,<sup>8</sup> good self-assessed health,<sup>9</sup> and the prevention of depression and cognitive deterioration among the elderly.<sup>10</sup>

Autonomy is an essential concept because it relates directly to dignity, regardless of health circumstances.<sup>11</sup> Aging is not necessarily a condition associated with illness, but it is a fact that the cumulative effect of multiple exposures and often unfavorable psychological, physical, and social conditions increases the risk of getting sick.<sup>12</sup> Therefore, older adults can present decreased cognitive abilities and experience different illnesses that hinder autonomous decision-making.<sup>11,13</sup>

Unfortunately, health systems have not been able to appropriately respond to the needs of this age group, with one of the most critical barriers being the low number of professionals trained to serve this population.<sup>14,15</sup> The lack of training of health professionals not only refers to theoretical-practical knowledge and geriatric clinical skills, but also to the poor establishment of an appropriate relationship with older adults. Oftentimes, a paternalistic model is employed in medical care, which may be desired by some older adults who prefer to place trust and decision-making regarding the management of their disease in the attending physician.<sup>16</sup>

However, medical care based on paternalism is by no means an ideal model. Older adults are currently well informed and more interested in their health, so they are better able to discuss treatment options with health professionals and independently make decisions about their care and medical treatments, including attention for “end of life” care.<sup>17,18</sup>

It is common for health personnel and close relatives (in most cases, their children) to underestimate the capacity of older adults to autonomously make decisions regarding their health and well-being. This situation becomes an ethical conflict when those individuals engage in hiding information from the patient or by not taking their opinion into account.<sup>19,20</sup>

Studies have shown that older adults living in the community are in a better position for autonomous decision-making concerning their health care, as compared to those who are institutionalized.<sup>21–23</sup> However, some factors, such as frailty, make it challenging to maintain autonomy for older adults, even among those who are community-dwelling.<sup>7</sup> Most studies on autonomous decision-making

have focused on older adults who are institutionalized (i.e., in nursing homes, residential care or other); hence, there is a lack of knowledge about autonomous decision-making regarding those who live in the community.

Knowing the factors associated with low autonomy in community-dwelling older adults could enable health professionals to promote participation in decision-making through programs and policies that improve quality of life. Therefore, the present study aims to explore some characteristics that may be associated with the perception of low autonomy among community-dwelling older adults.

## Materials And Methods

A cross-sectional study was carried out (April to September 2014) with information from 1,252 (60 years and older) community-dwelling older adults who participated in the baseline ( $t_0$ ) of the Cohort of Obesity, Sarcopenia, and Frailty of Older Mexican Adults (COSFOMA). A detailed description of the study has been previously documented.<sup>24</sup> Briefly, COSFOMA is a prospective longitudinal study of older adults living in the community in Mexico City, who are beneficiaries of health services provided by the Mexican Social Security Institute (IMSS). The IMSS is part of the social protection system regarding health in Mexico and offers services to salaried workers and their families, including access to medical facilities, as well as economic benefits such as disability pension or retirement. There are 48 Family Medicine Units (FMU) located in Mexico City. The IMSS covers 36.5% of Mexico City's population and approximately 50.9% of the elderly.<sup>25</sup>

## Study Population Used In The COSFOMA Study

The registry of beneficiaries  $\geq 60$  years of age ( $n=1,075,275$ ) was available from 2013 from the FMU of the IMSS-Mexico City. A random selection was made to obtain 10,000 records to locate addresses and telephone numbers. A total of 4,054 (40.5%) of the files did not have a complete home address. There were 5,946 letters sent to the addresses of the older adults to inform them of the nature of the study and invite them to participate, as well as to provide them with the address of the FMU, day and time when they should present for the survey and corresponding clinical evaluation in case they wished to participate in the study. They were also provided with the telephone number where they could request further information and change their appointment or the FMU location if they so desired.<sup>24</sup>

The flow of the sample selection process is presented in Figure 1. Of the 5,946 invitation letters sent, a total of 4,399 older adults were not located for the following reasons: 57 were deceased, 290 moved, 638 did not live at the address, and for 3,414 subjects the address did not exist. Of the 1,547 older adults contacted, 80.9% (n=1,252) attended the appointment, 1.7% (n=26) were hospitalized when their appointment was scheduled, and 17.4% (n=269) refused to participate in the study.

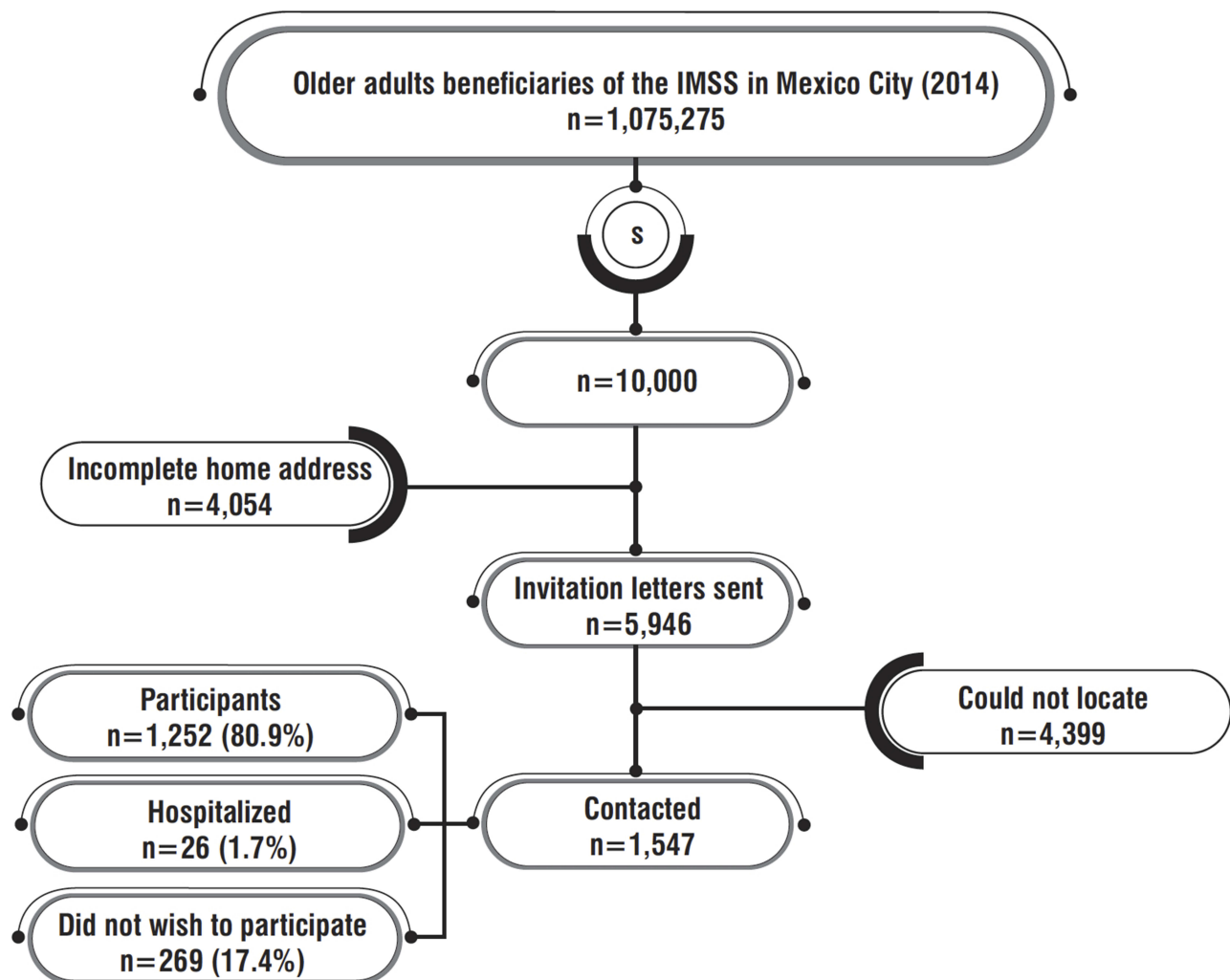
## Data Collection Strategy Of COSFOMA Study

Mexico City was geographically divided into eight quadrants for data collection. The FMU located in each of the quadrants was identified. The one with the best accessibility and physical space for carrying out the survey and

clinical evaluations was then determined for each quadrant. If the older adult did not attend the appointment, a phone call was made and, in some cases, a home visit.<sup>24</sup>

## Measurements

Healthcare professionals (all of whom were previously trained and supervised by qualified research assistants) carried out data collection from April to September 2014 and used a questionnaire that included sociodemographic characteristics such as sex (Female/Male), age in years (60-74/75 and older), marital status (Single/Married), schooling (<6/≥6 years), paid work (Yes/No), living alone (Yes/No), smoking (Yes/No), and alcohol consumption (Yes/No). Poor health perception was measured by the question: "In general, would you say your health is?" The answers were reclassified as follows: No (Excellent, Very



**Figure 1** Sample selection process of older adults beneficiaries of the IMSS in Mexico City.

**Abbreviation:** IMSS, Mexican Institute of Social Security.

good and Good), Yes (Fair and Poor). They were also asked about vision problems (Yes/No), hearing (Yes/No), urinary incontinence (Yes/No), comorbidity ( $\geq 2$ / $< 2$ ), polypharmacy, which was considered drug consumption  $\geq 5$  medications (Yes/No),<sup>26</sup> falls (Yes/No), and utilization of emergency services (Yes/No) in the last year.

Evaluations were also carried out through different geriatric assessment scales, as described below. To determine level of social support, the Medical Outcomes Study-Social Support Survey (MOS-SSS) was used. MOS-SSS measures functional support with 19-items that are summed to create a total score. Total scores range from 19 to 95 points, with an overall high rating indicating a high level of social support.<sup>27</sup> Low level of social support was determined with a cut-off of  $\leq 77.6$  points, corresponding to the 25th percentile.

Spirituality was evaluated with the Functional Assessment of Chronic Illness Therapy-Spiritual Well-Being (FACIT). The FACIT is a 12-item scale. Answers are scored on a five-point Likert scale from 0 to 4 points. These 12-items are summed; total scores range from 0 to 48 points, with higher scores indicating higher spiritual well-being.<sup>28,29</sup> Low spirituality was determined with a cut-off of  $\leq 28$  points, corresponding to the 25th percentile.

For the presence of obesity, the percentile  $> 60$  percentage of body fat (38.4% female and 26.7% male) was considered using bioelectrical impedance analysis (BIA) with a device from RJL Systems BIA 101.<sup>30,31</sup>

The Katz index was used to assess functionality for limitations in basic activities of daily living (ADLs) and the Lawton and Brody index for constraints in instrumental activities of daily living (IADLs). Participants were considered limited if they were unable to perform one or more ADL or IADL. For ADL, they were asked whether they required help for bathing, dressing, toileting, transferring, and feeding. Incontinence was also evaluated. For IADL, participants reported their ability to carry out eight instrumental activities of daily living: using the telephone, shopping, grooming, housekeeping, doing laundry, using transportation, handling medications and handling finances.<sup>32,33</sup>

The Mini-Mental State Examination (MMSE) assessed cognitive impairment. The MMSE evaluates memory, orientation in space and time, ability to calculate, and language and words. The scores vary from 0 to 30 points, with lower scores indicating increased deficient cognitive capacity. Cognitive impairment was defined as a score of  $\leq 23$  points, adjusted by age and education.<sup>34</sup>

Depression was evaluated with the Center for Epidemiologic Studies Depression Revised Scale (CESD-R). Participants were considered to have depression when at least five symptoms were present for at least two weeks, including dysphoria (sadness) and/or anhedonia (loss of interest) or three or more of the following symptoms: significant weight change (appetite), sleep disturbances, agitation or psychomotor retardation, fatigue, excessive or inappropriate guilt, and suicidal thoughts.<sup>35,36</sup>

The Short Anxiety Screening Test (SAST) was used to evaluate anxiety. The SAST consists of 10 items and is a brief scale for the detection of anxiety disorder in older people. The instrument also includes somatic complaints, often the manifestation of anxiety in older people. Anxiety was defined with a score of  $\leq 23$  points.<sup>37</sup>

## Measurement Of Autonomy

The perception of autonomy was evaluated with the autonomy sub-scale of the World Health Organization Quality of Life of Older Adults (WHOQOL-OLD).<sup>38</sup> The questions asked were: How much freedom do you have to make your own decisions? How much do you feel that you have control over your future? How much do you think that the people around you respect your freedom? How much capacity do you have to do the things you would like to do? The answers are Likert type: Nothing (1 point), A little (2 points), Normal (3 points), Fairly (4 points), Extremely (5 points). Transformed scores ranging from 0 to 100 were used. Scores closer to 100 indicate greater autonomy.<sup>39</sup> The cutoff point used for low autonomy was  $\leq 50$  (corresponds to the 25th percentile) points.<sup>40</sup>

WHOQOL-OLD is a quality of life module specific for older people. It consists of 24 items rated on a five-point Likert scale covering six domains: sensory abilities; autonomy; past, present and future activities; social participation; death and dying; and intimacy; higher scores indicate higher quality of life. The time frame for assessment is the past two weeks. The WHOQOL-OLD has shown Cronbach alpha values between 0.72 and 0.88, which is an acceptable range for the six domains. For the domain of autonomy, the Cronbach alpha was 0.72. The intraclass correlation coefficient for WHOQOL-OLD was 0.71 and 0.72 for the domain of autonomy.<sup>41</sup>

## Statistical Analysis

A descriptive analysis was carried out and the mean (SD) of the autonomy perception score for each of the variables was obtained. The Student's *t*-test was used to compare the

means of the autonomy perception score for each dichotomous variable. The bivariate and multivariable logistic regression analysis were used to determine the strength of association (Odds Ratio, OR; 95% Confidence Interval, 95% CI) between the characteristics of the sample and low autonomy. Multivariable logistic regression analysis is a method used to address confounding and produce “adjusted” ORs.

In addition, a parsimonious model for low autonomy perception was constructed to establish relevant and meaningful factors for the outcome. Thus, logistic regression analysis with backward elimination was carried-out. The model started with all the variables in the study (i.e., gender, age, marital status, education, paid job, living alone, low social support, low spirituality, tobacco use, consumption of alcohol, bad perception of health, obesity, comorbidity, cognitive impairment, depression, anxiety, polypharmacy, limitations in ADLs, limitation in IADLs, vision problems, hearing problems, urinary incontinence, falls in the last year, and emergency services in the last year). Variables identified as being closest to the value of  $p=1.00$  were withdrawn one by one until the model achieved statistical significance ( $p \leq 0.05$ ). Variables identified as being significant at  $p \leq 0.05$  were entered into logistic regression analysis for the construction of a parsimonious model to explain low autonomy (i.e., education, low social support, low spirituality, cognitive impairment, anxiety, and limitations in ADLs). Collinearity was tested using Variance Inflation Factors (VIFs). There was no evidence of collinearity (all VIFs' predictor < 10). Sensitivity and specificity were used to determine the area under the ROC (Receiver Operating Characteristic) curve, to evaluate its goodness to classify subjects with low autonomy correctly. Values between 1.0 (perfect model) and 0.5 (pure chance) were considered.<sup>42</sup> The STATA version 14 package (StataCorp 2015) was used to perform the statistical analysis.

## Results

The mean (SD) age of the 1,252 study participants was 68.5 (7.2) years. 59.9% ( $n = 750$ ) were women and 40.1% ( $n = 502$ ) men with an average age of 68.7 (7.4) years and 68.2 (6.8) years, respectively. The average perception of autonomy was 65.3 (18.2) points.

The frequency and distribution of characteristics of the sample under study, as well as the mean (SD) of the autonomy perception score, are presented in Table 1.

**Table 1** Characteristics Of The Sample And Mean (SD) Regarding The Perception Of Autonomy Score In Older Adults

	% (n)	Perception Of Autonomy	
		Mean (SD)	p-value <sup>a</sup>
<b>Gender</b>			
Women	59.9 (750)	64.6 (18.9)	0.079
Men	40.1 (502)	66.4 (17.1)	
<b>Age (years)</b>			
75 +	20.1 (252)	61.3 (18.2)	<0.001
60–74	79.9 (1000)	66.4 (18.0)	
<b>Marital Status</b>			
Single	40.6 (508)	64.5 (19.1)	0.155
Married	59.4 (744)	65.9 (17.5)	
<b>Education (years)</b>			
<6	17.2 (215)	55.8 (19.3)	<0.001
≥6	82.8 (1037)	67.3 (17.3)	
<b>Paid Job</b>			
Yes	35.1 (439)	67.5 (17.9)	0.002
No	64.9 (813)	64.2 (18.3)	
<b>Lives Alone</b>			
Yes	10.1 (126)	68.0 (17.6)	0.089
No	89.9 (1126)	65.0 (18.2)	
<b>Low social support</b>			
Yes	26.0 (326)	58.5 (19.7)	<0.001
No	74.0 (926)	67.7 (17.0)	
<b>Low spirituality</b>			
Yes	26.3 (329)	57.0 (18.3)	<0.001
No	73.7 (923)	68.3 (17.2)	
<b>Tobacco use</b>			
Yes	9.3 (117)	65.0 (16.8)	0.798
No	90.7 (1135)	65.4 (18.3)	
<b>Consumption of alcohol</b>			
Yes	24.8 (311)	66.5 (17.5)	0.203
No	75.2 (941)	65.0 (18.4)	
<b>Bad perception of health</b>			
Yes	4.0 (50)	54.6 (18.9)	<0.001
No	96.0 (1202)	65.8 (18.0)	
<b>Obesity</b>			
Yes	38.3 (479)	66.2 (18.2)	0.179
No	61.7 (773)	64.8 (18.1)	
<b>Comorbidity</b>			
≥2	12.5 (157)	62.0 (19.0)	0.013
<2	87.5 (1095)	65.8 (18.0)	

(Continued)



**Table I** (Continued).

	% (n)	Perception Of Autonomy	
		Mean (SD)	p-value <sup>a</sup>
<b>Cognitive impairment</b>			
Yes	24.3 (304)	58.9 (19.0)	<0.001
No	75.7 (948)	67.4 (17.4)	
<b>Depression</b>			
Yes	4.2 (53)	54.7 (19.3)	<0.001
No	95.8 (1199)	65.8 (18.0)	
<b>Anxiety</b>			
Yes	16.3 (204)	57.3 (18.7)	<0.001
No	83.7 (1048)	66.9 (17.7)	
<b>Polypharmacy</b>			
Yes	22.0 (276)	63.5 (17.0)	0.052
No	78.0 (976)	65.8 (18.5)	
<b>Limitation ADLs</b>			
Yes	19.2 (240)	62.5 (18.8)	0.007
No	80.8 (1012)	66.0 (18.0)	
<b>Limitation IADLs</b>			
Yes	45.4 (568)	65.3 (17.6)	0.982
No	54.6 (684)	65.4 (18.6)	
<b>Vision Problems</b>			
Yes	81.3 (1018)	64.9 (18.3)	0.087
No	18.7 (234)	67.2 (17.1)	
<b>Hearing problems</b>			
Yes	45.0 (564)	63.2 (17.6)	<0.001
No	55.0 (688)	67.1 (18.5)	
<b>Urinary incontinence</b>			
Yes	25.3 (317)	62.1 (18.3)	<0.001
No	74.7 (935)	66.4 (18.0)	
<b>Falls in the last year</b>			
Yes	26.8 (336)	63.7 (17.8)	0.052
No	73.2 (916)	65.9 (18.3)	
<b>Emergency Services in the last year</b>			
Yes	6.1 (76)	64.6 (17.2)	0.698
No	93.9 (1176)	65.4 (18.2)	

Note: <sup>a</sup>student's t-test.

Abbreviations: ADL, activities of daily living; IADL, instrumental activities of daily living.

Adults aged between 75 and over, <6 years of schooling, without paid work, low social support, low spirituality, poor perception of health, presence of comorbidity, cognitive impairment, depression, anxiety, limitations in ADL, hearing problems and urinary incontinence had a lower

average than their counterpart. There is a statistically significant difference between the means ( $p < 0.050$ ).

The prevalence and strength of association (OR, 95% CI), crude and adjusted, with low autonomy according to the characteristics of older adults, is presented in Table 2. Adjusted OR is the measure adjusted for confounding variables (minimizing confusion bias). The elderly who are single, with <6 years of schooling, low social support, low spirituality, presence of cognitive impairment, anxiety, and limitation in basic activities of daily living showed a statistically significant ( $p < 0.050$ ) association (OR), both crude and adjusted.

In Figure 2, the final logistic regression model is presented, showing the strength of the association (OR, 95% CI) for the presence of low autonomy. For this logistic regression model, low autonomy was characterized as: schooling <6 years (2.1, 1.5–2.9), low social support (1.6, 1.2–2.2), low spirituality (2.6, 1.9–3.4), presence of cognitive impairment (1.9, 1.4–2.5), anxiety (1.7, 1.2–2.5), limitation in ADL (1.6, 1.1–2.2). This model presents a correct classification in 76.8%, with a sensitivity of 95.7%, the specificity of 20.6% and an area under the ROC curve of 0.72.

## Discussion

In our study, we observed that there is a decreased perception of autonomy in community-dwelling older adults. Moreover, low schooling, perceived low social support, low spirituality, cognitive impairment, anxiety, and limitations in activities of daily living were associated with little autonomy.

According to Yodmai et al,<sup>43</sup> the average of autonomy could be considered medium (scores closer to 100 indicate greater autonomy) and that is in agreement with other studies in Latin America that have used the same instrument. Whereas in our study the autonomy score was 65.3 (18.2), an average of 66.8 (16.3) was documented for a population in Brazil<sup>44</sup> and 60.6 (18.0) in Chile<sup>45</sup> which both included community-dwelling older adults. In contrast, in Colombia's older institutionalized adult population, autonomy was 52.8 (17.5).<sup>46</sup>

Our results also show that low educational level is associated with low perceived autonomy in older adults. Education is closely associated with autonomy, given its ability to increase critical thinking and encourage decision-making.<sup>47</sup> Accordingly, it is necessary for health professionals to adequately explain health problems to patients and their families, especially for older adults

**Table 2** Prevalence And Strength Of Association For Low Autonomy In Older Adults

	% (n)	Crude OR (95% CI)	p-value	Adjusted OR (95% CI)	p-value
<b>Gender</b>					
Women	27.5 (206)	1.35 (1.03–1.76)	0.027	1.20 (0.63–2.30)	0.583
Men	21.9 (110)	1 (Reference)		1 (Reference)	
<b>Age (years)</b>					
75 +	33.7 (85)	1.69 (1.26–2.29)	0.001	1.01 (0.69–1.48)	0.948
60–74	23.1 (231)	1 (Reference)		1 (Reference)	
<b>Marital Status</b>					
Single	29.3 (149)	1.43 (1.11–1.85)	0.006	1.38 (1.00–1.90)	0.049
Married	22.4 (167)	1 (Reference)		1 (Reference)	
<b>Education (years)</b>					
<6	43.7 (94)	2.85 (2.10–3.88)	<0.001	1.97 (1.38–2.80)	<0.001
≥6	21.4 (222)	1 (Reference)		1 (Reference)	
<b>Paid Job</b>					
Yes	20.7 (91)	0.68 (0.52–0.90)	0.007	0.80 (0.58–1.09)	0.156
No	27.7 (225)	1 (Reference)		1 (Reference)	
<b>Lives Alone</b>					
Yes	21.4 (27)	0.79 (0.51–1.23)	0.300	0.65 (0.39–1.09)	0.102
No	25.7 (289)	1 (Reference)		1 (Reference)	
<b>Low social support</b>					
Yes	36.5 (119)	2.13 (1.62–2.80)	<0.001	1.71 (1.25–2.34)	0.001
No	21.3 (197)	1 (Reference)		1 (Reference)	
<b>Low spirituality</b>					
Yes	41.9 (138)	3.02 (2.30–3.97)	<0.001	2.53 (1.87–3.41)	<0.001
No	19.3 (178)	1 (Reference)		1 (Reference)	
<b>Tobacco use</b>					
Yes	23.9 (28)	0.93 (0.59–1.44)	0.732	0.99 (0.61–1.61)	0.974
No	25.4 (288)	1 (Reference)		1 (Reference)	
<b>Consumption of alcohol</b>					
Yes	20.6 (64)	0.71 (0.52–0.97)	0.030	0.90 (0.64–1.27)	0.561
No	26.8 (252)	1 (Reference)		1 (Reference)	
<b>Bad perception of health</b>					
Yes	44.0 (22)	2.43 (1.37–4.31)	0.002	1.06 (0.54–2.09)	0.874
No	24.5 (294)	1 (Reference)		1 (Reference)	
<b>Obesity</b>					
Yes	23.6 (113)	0.87 (0.67–1.13)	0.291	0.79 (0.59–1.06)	0.119
No	26.3 (203)	1 (Reference)		1 (Reference)	
<b>Comorbidity</b>					
≥2	34.4 (54)	1.67 (1.17–2.38)	0.005	1.48 (0.99–2.22)	0.057
<2	23.9 (262)	1 (Reference)		1 (Reference)	
<b>Cognitive impairment</b>					
Yes	38.5 (117)	2.35 (1.78–3.11)	<0.001	1.75 (1.26–2.42)	0.001
No	21.0 (199)	1 (Reference)		1 (Reference)	
<b>Depression</b>					
Yes	49.1 (26)	3.02 (1.73–5.26)	<0.001	1.38(0.71–2.68)	0.335
No	24.2 (290)	1 (Reference)		1 (Reference)	

(Continued)

**Table 2** (Continued).

	% (n)	Crude OR (95% CI)	p-value	Adjusted OR (95% CI)	p-value
<b>Anxiety</b>					
Yes	42.6 (87)	2.66 (1.94–3.64)	<0.001	1.62 (1.11–2.35)	0.012
No	21.9 (229)	I (Reference)		I (Reference)	
<b>Polypharmacy</b>					
Yes	24.6 (68)	0.96 (0.70–1.31)	0.794	0.70 (0.49–0.99)	0.044
No	25.4 (248)	I (Reference)		I (Reference)	
<b>Limitation ADLs</b>					
Yes	34.2 (82)	1.73 (1.27–2.34)	<0.001	1.47 (1.03–2.10)	0.034
No	23.1 (234)	I (Reference)		I (Reference)	
<b>Limitation IADLs</b>					
Yes	24.8 (141)	0.96 (0.74–1.24)	0.758	1.24 (0.67–2.27)	0.496
No	25.6 (175)	I (Reference)		I (Reference)	
<b>Vision Problems</b>					
Yes	26.6 (271)	1.52 (1.07–2.17)	0.020	1.29 (0.87–1.90)	0.207
No	19.2 (45)	I (Reference)		I (Reference)	
<b>Hearing problems</b>					
Yes	29.4 (166)	1.50 (1.16–1.93)	0.002	1.16 (0.87–1.55)	0.310
No	21.8 (150)	I (Reference)		I (Reference)	
<b>Urinary incontinence</b>					
Yes	29.7 (94)	1.35 (1.02–1.80)	0.037	0.94 (0.67–1.31)	0.705
No	23.7 (222)	I (Reference)		I (Reference)	
<b>Falls in the last year</b>					
Yes	26.5 (89)	1.09 (0.82–1.45)	0.538	0.97 (0.70–1.34)	0.856
No	24.8 (227)	I (Reference)		I (Reference)	
<b>Emergency Services in the last year</b>					
Yes	22.4 (17)	0.85 (0.49–1.47)	0.553	0.79 (0.42–1.45)	0.442
No	25.4 (299)	I (Reference)		I (Reference)	

**Notes:** Adjusted OR among all the variables. 95% CI: 95% confidence interval

**Abbreviations:** ADL, activities of daily living; IADL, instrumental activities of daily living.

with a low educational level. This need also extends to informing about existing treatment alternatives and foreseeable risks (limitations and exceptions), so that they can make an informed decision about the medical care and treatment that is selected, including care for “end of life,” if it is the case. Health professionals have the responsibility to ensure dignity to patients and encourage autonomy among older adults.<sup>17</sup>

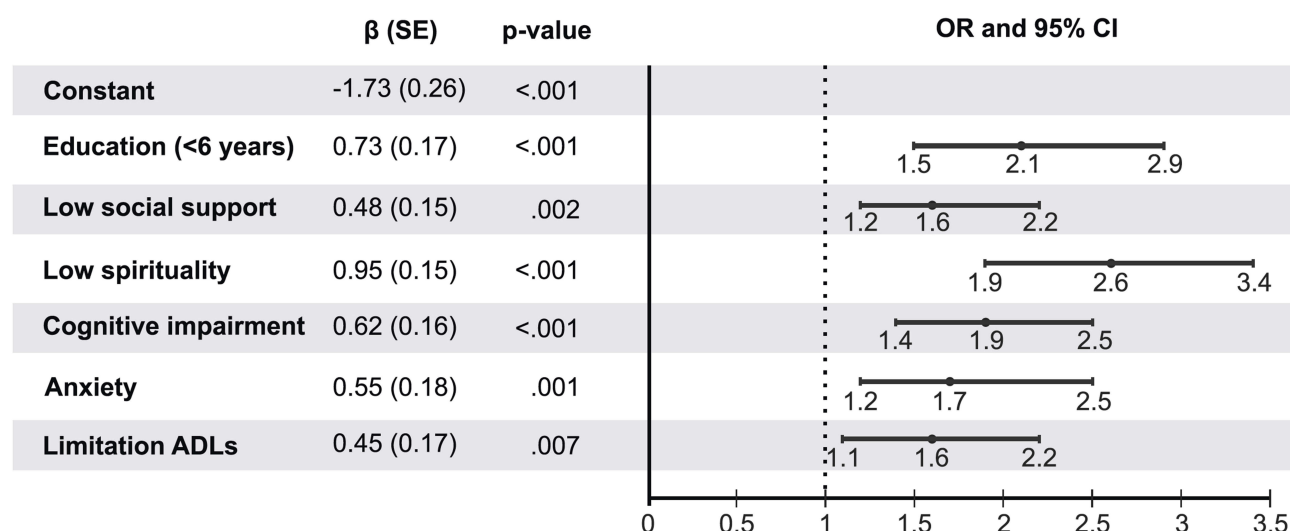
On the other hand, social support, in general, fosters higher self-esteem, self-regulation, vitality, and feelings of connection with others, which could favor a higher perception of autonomy.<sup>48</sup> In our study, we observed that low social support is associated with perceived low autonomy. This finding supports the need for strategies that increase social support and interconnection among older adults,

which will work to increase this group’s perception of autonomy.

As previously mentioned, spirituality plays a vital role in the health and well-being of older adults.<sup>49</sup> In a study that examined the effect of spirituality and experiences relating to any significant life event on autonomy, spirituality was shown to cushion the impact of life events on independence.<sup>50</sup> We found that a lack of spirituality in older adults is associated with a perception of low autonomy. The present study places spirituality on the agenda for future studies on the subject in different populations with the hope that it will allow us to fully explain this association.

Older adults with cognitive impairment can respond coherently to questions about preferences, choices, and





**Figure 2** Final logistic regression model for the presence of low autonomy.  
**Abbreviation:** ADLs, activities of daily living.

their participation in decisions about daily living, providing accurate and reliable information,<sup>51</sup> even with moderate affectation. Our results indicate cognitive impairment is associated with the perception of low autonomy in older adults. Health professionals must not ignore that older adults with cognitive impairment can make decisions autonomously so that third parties do not need to be involved, unless the patient desires this. However, when older adults are diagnosed with severe mental disorders, such as dementia, health professionals along with family members should make the decisions on his/her behalf that are consistent with what they think the person would have wanted (respecting the values and dignity). The highest possible therapeutic benefit should be sought out, without reaching over-zealous treatments.

Depression explained low autonomy, but contrary to what was expected, it was not related in the final model. Instead, anxiety was associated with low autonomy. These results suggest that a mechanism might exist that is not shared between these mood disorders and that anxiety has a unique impact on the ability to make decisions, thereby influencing how autonomous older adults may be. Anxiety causes great suffering and can affect one's ability to make decisions, considering that symptoms such as thoughts of future threats are more prominent among people who are distressed.<sup>52</sup> Also, recent research has proposed that sub-regions of the prefrontal cortex are involved in the disruption of the decision-making process.<sup>53</sup> Thus, it is vital that health care practitioners be aware that decisions made by older adults may be influenced by mood disorders such as anxiety.

Lastly, consideration should be given to the fact that certain conditions in older adults may affect their ability to carry out activities of daily living. These difficulties generally produce high levels of anxiety and depression, directly associated with the loss of independence.<sup>54</sup> This explains the association found in our study that shows low autonomy in older adults who have anxiety or limitations in ADL.

The final logistic regression model, constructed from the variables included in the study, allows the determination of the weight of each variable. This information is useful for health personnel, since this model suggests emphasizing clinical factors that can be evaluated, such as cognitive impairment, anxiety, and dependence on the activities of daily living. In addition, health personnel should pay attention to older adults with low schooling, low social support and low spirituality, given that patients with these characteristics are more likely to have a low level of autonomy. According to our findings, the overall measure of the estimated model's accuracy based on the ROC<sup>55</sup> curve indicates that the model has the ability to differentiate between an older adult with low autonomy and an older adult who does not have low autonomy with an accuracy of 0.72, assuming they are randomly selected from the population. Therefore, there is a high probability that health personnel could identify those older adults with low autonomy if they emphasize the variables suggested by this model.

One of the limitations of this study is that the results are derived from a secondary data analysis, which reduces

the possibility of exploring other variables that may be associated with low autonomy in older adults such as personality traits (i.e., extraversion and neuroticism), considering that the original study was not intended to perform this analysis. Another limitation is the cross-sectional design of the study, which does not allow establishing a direction of causality between low perception of autonomy and the variables that were considered in this study. It could be argued that the choice of WHOQOL-Old “Autonomy” scale for assessing decision-making capacity has constraints because it does not fully coverage the concept of decision-making capacity in older adults. However, WHOQOL-Old “Autonomy” provides a robust assessment of the theoretical concept.<sup>56</sup> The Autonomy sub-scale refers to independence in old age and describes the extent to which the person can live independently and make their own decisions. However, it is still crucial that future studies expand the concept of decision-making capacity in older adults, using specialized instruments that make it possible to understand how older adults make decisions and take actions. Another limitation is a potential selection bias since it was not possible to reach some older adults because their postal address was missing in the national census of IMSS or they were unwilling to participate. According to Galea and Tracy<sup>57</sup> “most studies have found little evidence for substantial bias as a result of nonparticipation”. Still, the low rate of reachable older adults found in this study restricts the generalizability of the results and increases selection bias with only the most healthy and motivated individuals responding to study invitation. Future studies in populations with a higher proportion of people with unfavorable health conditions should be considered, since the panorama of autonomy in populations with these characteristics could show other associated factors that have not yet been studied.

On the other hand, the sample was relatively young since almost 80% were under 75 years old; despite this, low autonomy occurred with notable frequency (23.1% among people under 75 years old and 33.7% among people over 75 years old). Based on the above, it should be assumed that low perception of autonomy could be higher among older populations. Nonetheless, in this study, the association between age and low autonomy was not significant.

The decrease in the perception of autonomy in community-dwelling older adults implies a risk of reduced participation in the decisions regarding their life plan, according to their traditions and beliefs.<sup>58</sup> Therefore, it is

necessary to promote programs, policies, or actions that increase the capacity of older adults to make their own choices (autonomy of decision), regardless of whether or not they have the capability to make decisions independently (autonomy of execution), thus promoting their self-realization.<sup>21,59</sup>

One of the best ways to promote the autonomy of older adults within health services is for them to be treated by competent health professionals who have the knowledge and clinical skills to care for older adults. Those who can establish excellent interpersonal communication and address older adults and their family/caretaker efficiently and with empathy empower them to make shared decisions, seeking the well-being of the patient, while respecting their autonomy.

Finally, this study identified factors that might be work as barriers to reaching full autonomy, particularly in community-dwelling individuals. Thus, focusing on the study of the perception of autonomy and its associated factors may increase awareness and intervention efforts. For instance, our results suggest that any intervention aimed at improving an older adult's autonomy needs to incorporate improvements in their mental health (i.e., focusing on anxiety and cognitive impairment) to increase their subjective well-being. There are also suggestions that psychosocial resources (i.e., social support and spirituality) might encourage autonomy. We hope that this approach will inspire future research to develop programs on establishing participative decision making and developing older adults' decision-making skills.

## Conclusion

In our study, we observed that the perception of autonomy in community-dwelling older adults is moderate. Perceived low autonomy is associated with little schooling (<6 years), low social support, low spirituality, cognitive deterioration, anxiety, and limitations in ADL. Health professionals can use this information to promote participation in decision-making processes through programs that improve quality of life.

## Abbreviations

COSFOMA, Cohort of Obesity, Sarcopenia, and Frailty of Older Mexican Adults; WHOQOL-OLD, World Health Organization Quality of Life of Older Adults; ADL, activities of daily living; IADL, instrumental activities of daily living; IMSS, Mexican Social Security Institute; FMU, Family Medicine Unit; MOS-SSS, Medical Outcomes Study-Social Support Survey; FACIT, Functional Assessment of Chronic

Illness Therapy-Spiritual Well-Being; BIA, bioelectrical impedance analysis; MMSE, Mini-Mental State Examination; CESD-R, Center for Epidemiologic Studies Depression Revised Scale; SAST, Short Anxiety Screening Test; VIFs, Variance Inflation Factors; ROC, Receiver Operating Characteristic.

## Ethics Approval And Consent To Participate

The research protocol was initially approved by the National Committee of Scientific Investigation, as well as by the Ethics Committee for Health Investigation (CONBIOÉTICA-09-CEI-009-CEI-009-20160601) of the IMSS with registration number (No.2012-785-067). The written informed consent was obtained from all participants of the COSFOMA study. Additional ethical approval for the current iteration of this study was obtained from the Research Committee of the Interdisciplinary Bioethics Center (registration number CICIBUP0008/17) and from the Research Committee of the Faculty of Health Sciences (registration no. CIB-A-2017-1) of the Pan-American University.

## Data Sharing Statement

Data is available upon request. Contact e-mail: [sergio.sanchezga@imss.gob.mx](mailto:sergio.sanchezga@imss.gob.mx).

## Acknowledgments

The authors wish to thank the older adults who participated in this study, as well as the authorities and staff of the South and North Delegations of the Mexican Institute of Social Security (IMSS) of Mexico City for supporting this study.

## Author Contributions

All authors contributed to data analysis, drafting or revising the article, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

## Funding

This project was supported by a grant from the Secretaría de Educación, Ciencia, Tecnología e Innovación de la Ciudad de México SECITI/042/2018 (INGER-DI-CRECITES-007-2018) “Red Colaborativa de Investigación Traslacional para el Envejecimiento Saludable de la Ciudad de México (RECITES)”.

## Disclosure

The authors report no conflicts of interest in this work.

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