De-Intensification Of Blood Glucose Lowering Medication In People Identified As Being Over-Treated: A Mixed Methods Study

Huberta E Hart 1,2,4,* Kim Ditze1,3,* Guy E Rutten1,2,3 Esther de Groot1,2 Rimke C Vos1,4

1Julius Center for Health Sciences and Primary Care, University Medical Center, Utrecht University, Utrecht, The Netherlands; 2Leidsche Rijn Julius Health Centers, Utrecht, The Netherlands; 3Diabetes Research Centre, University of Leicester, Leicester General Hospital, Leicester, UK; 4Department of Public Health and Primary Care/Lumc-Campus the Hague, Leiden University Medical Center, The Hague, The Netherlands

*These authors contributed equally to this work

Aim: To evaluate if, one year after notification of possible overtreatment, diabetes care providers de-intensified glucose-lowering medications and to gain insight into the opinions and beliefs of both care providers and patients regarding de-intensification.

Methods: Mixed methods using routine care data from five health-care centres in the Netherlands. Patient characteristics and medication prescription of patients, previously identified as possibly over-treated, were extracted from patients’ medical records. Opinions of care providers were obtained through interviews. Patients received questionnaires about their diabetes treatment and were asked to participate in focus groups.

Results: A total of 64 elderly patients with type 2 diabetes were previously identified as possibly over-treated and included; 57.8% male, median age 75 years (IQR=72–82), median diabetes duration 12 years (IQR=8–18). De-intensification was implemented in more than half (n=36) of them. Care providers preferred person-centred care above just setting general HbA1c target values, considering patient characteristics (such as comorbidity) and patient’s preference. Patients valued glucose levels as most important in determining their treatment. Both patients and care providers felt that de-intensification should occur gradually.

Conclusion: Treatment had been de-intensified in more than half of the patients (56.3%). Insight in reasons for not de-intensifying elderly patients is important since treatment for them can be “person-centred care”. De-intensification is an iterative and time-intensive process.

Keywords: elderly, overtreatment, hypoglycaemia, insulin therapy, primary care, type 2 diabetes

Introduction

Intensive glycaemic control has been proven to be beneficial in preventing microvascular and macrovascular complications in people with type 2 diabetes mellitus (T2DM). However, hypoglycaemia, particularly in the elderly, leads to significantly more doctor visits, falls and hospitalisations. Severe hypoglycaemia has also been associated with adverse outcomes such as vascular events and death.

In light of this evidence, recent updated guidelines recommend a less stringent glycaemic control for older patients with T2DM. The Dutch College of General Practitioners (NHG) also included in their revised guideline from 2013, a more individualised algorithm to determine the glycated haemoglobin (HbA1c) target by considering the type of prescribed glucose-lowering medication, disease duration and age of the patient. This algorithm shows that patients aged <70 years and those aged ≥70 years...
treated with a lifestyle advice only or with metformin mono-
therapy should achieve an HbA1c target ≤7% (53 mmol/mol).
Patients above 70 years who are using more blood glucose-
lowering agents than metformin only and with a diabetes
duration less than 10 years should achieve an HbA1c ≤ 7.5% (58 mmol/mol), those with a diabetes duration above 10 years have a target ≤8% (64 mmol/mol). (Figure 1). However, studies performed across Europe and in the United States have shown that many older patients with T2DM are over-
treated according to these guidelines. The proportion of people
over-treated ranges from 11% to nearly two-thirds of study
populations. The failure to de-escalate treatment when
clinical need is required has recently been defined as therapeu-
tic inertia.

An important concept included in the position statements
by the European Association for the Study of Diabetes and the
American Diabetes Association (EASD/ADA) is that of a
“person-centred approach” to diabetes care, defined as “pro-
viding care that is respectful of and responsive to individual
patient preferences, needs and values and ensuring that patient
values guide all clinical decisions”. Consequently, treatment
should not only be based on HbA1c levels but also on the
patient’s context and other patient characteristics, as is con-
firmed in the latest EASD/ADA consensus. This implicates
that any HbA1c target is a mutual decision agreed upon by
patient and physician. For example, a vital patient aged 72
years old could decide with his physician to strive for an
HbA1c ≤ 53 mmol/mol. This would mean that, according to
the Dutch algorithm, the patient is over-treated, yet the patient
and his physician have deliberately deviated from this target
value. We previously demonstrated that approximately 40% of
the patients aged ≥70 years from a primary care population
were over-treated, meaning that patients with a personalised
HbA1c target of 58 or 64 mmol/mol reached an HbA1c < 53
mmol/mol (Figure 1). Amongst these patients, half of them
could be considered vulnerable to the potential harm of intensive
treatment if they were frail, had several comorbidities, polypharmacy and/or used sulphonylureas or insulin with their
associated risks of hypoglycaemia. Based on these findings,
the treating general practitioners (GPs) and practice nurses
(PNs) were notified of the possible overtreatment of their
patient and encouraged to re-evaluate their glucose-lowering
therapy.

We aimed to evaluate if, one year after the notification of
possible overtreatment glucose-lowering medication
had been de-intensified. A further objective was to gain
insight into the opinions and beliefs of GPs, PNs and
patients regarding de-intensification of glucose-lowering
medication.

Methods

Study Setting And Design

This observational study, using mixed methods, was con-
ducted among diabetes care providers and among individ-
uals aged ≥70 years with T2DM from 5 academic
primary care centres in the Netherlands, who were pre-
viously identified as being possibly over-treated for their
T2DM, meaning that patients with a personalised HbA1c
target of 58 or 64 mmol/mol reached an HbA1c < 53
mmol/mol (Figure 1). After this study, all treating GPs
and PNs were notified of the possible overtreatment of
their patients and suggested to assess and discuss with
their patients if de-intensification of treatment was
required.

Data Collection And Variables

Patient characteristics (sex, age), duration of diabetes and
HbA1c in 2017 (index period) were extracted from elec-
tronic medical records (EMR) in April 2018. If patients
had moved or were deceased during the index period, data
were collected and censored to that moment.

Data regarding current treatment were collected from
the EMR. A manual search was performed to assess any
change of glucose-lowering medication, including reasons
for change. De-intensification of treatment was defined as
discontinuing or lowering the dosage of any glucose-lower-
ing medication during the index period, including chang-
ing to another agent with less risk of hypoglycaemia. The

Figure 1 Algorithm to set the HbA1c target (Dutch diabetes guideline). This
algorithm shows that patients aged <70 years and those aged ≥70 years treated
with a lifestyle advice only or with metformin monotherapy should achieve an
HbA1c target ≤7% (53 mmol/mol). Patients above 70 years who are using more
blood glucose-lowering agents than metformin only and with a diabetes
duration less than 10 years should achieve an HbA1c ≤ 7.5% (58 mmol/mol), those with a
diabetes duration above 10 years have a target ≤ 8% (64 mmol/mol).

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HbA1c target value as defined upon data from 2016 by Hart et al was maintained and the same definitions for “on target”, “overtreatment” and “under-treatment” were used, as well as the definitions for polypharmacy and frailty.23 Any episode of hypoglycaemia, rebound hyperglycaemia, hyperglycaemic hyperosmolar non-ketotic coma (HONK) and diabetic ketoacidosis (DKA) were manually retrieved from the EMR, as well as falls and out-of-hours GP service and emergency room visits. Any record of experiencing complaints due to a low blood glucose was defined as presence of hypoglycaemia.

Data were collected 12 months following provision of feedback to the diabetes care providers regarding possible overtreatment.

Opinions Of General Practitioners - Interviews

The opinions of GPs regarding de-intensification were assessed by semi-structured interviews, conducted by one author (KD). Topics discussed, as listed by the research team, included facilitators and barriers in the management of elderly patients with T2DM and the received notification of possible overtreatment (Appendix A: Table A.1). GPs and PNs, with at least one possibly over-treated elderly, from each centre were invited for an interview, when four GPs and four PNs participated. Six of them were female. They received a gift voucher of €50, for their participation, but were not notified of this prior to the study.

Opinions Of Patients – Focus Groups And Questionnaires

Patients beliefs about their glucose-lowering medication were assessed with the validated Beliefs About Medicine Questionnaire specific (BMQ-S) questionnaire; patients were instructed to answer these questions for their glucose-lowering medication.24 The ten questions can be divided into five questions regarding concern and five regarding the necessity of their medication. The response is given on a five-point Likert scale, ranging from “strongly disagree” (zero points) to “strongly agree” (five points). The subtotal of the responses for the questions about concern (0–20) and necessity (0–20) was calculated, after which patients were divided into four validated categories regarding their beliefs about their medications: 1) acceptant, 2) ambivalent, 3) sceptic or 4) indifferent. Furthermore, patients were asked to answer questions (self-designed) regarding their wish to (de)intensify their treatment and the occurrence of adverse effects.

To gain more insight in patients’ opinions, they were asked to participate in a focus group applying purposive sampling (Appendix A: Table A.2). Participants of the focus group received a gift voucher of €25, of which they were not notified on beforehand, and restitution of travel costs. In total, seven patients participated in a focus group. There were two focus groups, with, respectively, two and five patients.

Analysis

Differences in disease characteristics between patients in whom de-intensification took place and those in whom it did not, were analysed using descriptive statistics, using IBM SPSS statistics 24; continuous data were analysed using the Mann Whitney U test and categorical data using Pearson’s chi squared test or Fisher’s exact test as appropriate. A p-value of <0.05 was considered statistically significant.

For comparative analysis of the qualitative data, NVivo 11 was used. The interviews and focus groups were recorded and a transcript was obtained through an external agency. The first two interviews were coded together by KD and HEH. The same two interviews were independently assessed by EdG. Since no major discrepancies were found, the other interviews and focus groups were assessed by KD. After coding, common themes were identified.

Results

Out of 1002 patients with T2DM, 319 were ≥70 years, of whom 64 (20.1%) were identified as being eligible for de-intensification. More than half of them were men (57.8%), with a median age of 75 years (IQR=72-82) and median diabetes duration of 12 years (IQR=8–18). Most patients used oral glucose-lowering medication, mainly metformin (70.3%) and/or sulphonylureas (64.1%) and 20.3% used insulin. During the period between the recommendations and second data collection, 5 of the 64 possibly overtreated patients deceased (7.8%). Two patients moved and switched to a different GP during the research period.

De-Intensification Of Treatment

Median HbA1c was 51 mmol/mol (IQR=48–55). In 36 of the 64 patients (56.3%), the dosage or number of hypoglycaemic medications were lowered. Patients whose therapy was de-intensified, significantly more often used sulphonylureas (p=0.04) and were more often known with comorbidity than those who maintained or even intensified their treatment.
Other patient characteristics were not significantly different between these two groups (Table 1). The dosage of sulphonylureas was most often decreased (n=8) or stopped (n=15). Insulin dose was lowered in seven patients, of whom one could completely stop using insulin (Table 2). Reasons for de-intensification were mainly because of low glucose or HbA1c values (n=31) and/or the occurrence of adverse effects (n=6). Although de-intensification took place, still more patients in the de-intensification group were over-treated at the end of the follow-up period compared to the other group (p=0.01). In line with that, more patients were on target in the group where therapy was not de-intensified, the rise in HbA1c was higher than the group where de-intensification did take place, although not statistically significant (p=0.05).

### Intensification Of Treatment

In 8 out of 36 patients whose treatment therapy initially was de-intensified, therapy was then again intensified due to a sharp rise of HbA1c or glucose values above their original personalised HbA1c target or due to complaints of hyperglycaemia; in six, the sulphonylureas or insulin were resumed or the dosage intensified, in two the metformin dosage was increased. In five other patients, treatment was initially intensified, but could then afterwards be de-intensified.

### Adverse Events

There were no significant differences in the occurrence of adverse events in patients whose therapy had been de-intensified, compared to those in whom therapy had not been de-intensified (Table 2). There was no occurrence of HONKs or DKAs.

### Opinions Of Care Professionals - Interviews

All interviewed GPs and PNs indicated that they did take the recommendation in consideration and re-evaluated therapy for their patients or were planning to do so. They were positive about the three different target values and mentioned that patients (in most cases) were pleased if therapy could be de-intensified. However, they indicated that they sometimes deliberately decided on strict glycaemic control, taking different factors into account.

### Factors Influencing Treatment Choices

Factors that care providers considered important were biomedical (comorbidity, having complications, life expectancy and the “biological age” of the patient) as well as social and contextual (culture and social network), the latter also

<table>
<thead>
<tr>
<th>Table 1</th>
<th>Baseline Characteristics</th>
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<tbody>
<tr>
<td></td>
<td>No De-Intensification Of Treatment</td>
</tr>
<tr>
<td></td>
<td>(n=28)</td>
</tr>
<tr>
<td>Age (years)*</td>
<td>75.0 (72.0–82.8)</td>
</tr>
<tr>
<td>Sex (male)</td>
<td>19 (67.9)</td>
</tr>
<tr>
<td>Diabetes duration (years)*</td>
<td>12.5 (6.3–18.0)</td>
</tr>
<tr>
<td>HbA1c at start of index period (mmol/mol)*</td>
<td>48.5 (44.0–50.8)</td>
</tr>
<tr>
<td>Target HbA1c &lt; 58 mmol/mol</td>
<td>11 (39.3)</td>
</tr>
<tr>
<td>Target HbA1c &lt; 64 mmol/mol</td>
<td>17 (60.7)</td>
</tr>
<tr>
<td>Macrovascular complications</td>
<td>8 (28.6)</td>
</tr>
<tr>
<td>Microvascular complications</td>
<td>10 (52.6)</td>
</tr>
<tr>
<td>Comorbidity</td>
<td>7 (25.0)</td>
</tr>
<tr>
<td>Deceased</td>
<td>3 (10.7)</td>
</tr>
<tr>
<td>Polypharmacy*</td>
<td>14 (50.0)</td>
</tr>
<tr>
<td>Living alone</td>
<td>9 (32.1)</td>
</tr>
<tr>
<td>Frailty index &gt; 0.2</td>
<td>16 (57.1)</td>
</tr>
<tr>
<td>Sulphonylureas</td>
<td>14 (50.0)</td>
</tr>
<tr>
<td>Insulin monotherapy</td>
<td>1 (3.6)</td>
</tr>
<tr>
<td>Oral therapy + insulin</td>
<td>5 (17.9)</td>
</tr>
</tbody>
</table>

Notes: *Median (interquartile range); *Twenty missing cases (missing data regarding microvascular complications in index period); *Use of five or more medications.
involved the capacity of a patient to control glucose values themselves. They stated also to look at lifestyle, for example, if a patient has an active lifestyle. Furthermore, diabetes care providers took the occurrence of adverse effects, mainly hypoglycaemia, into account and stated that they thought of the possible benefits of a strict glycaemic control, namely preventing complications.

If someone is in a nursing home with food being brought to them at regular times, then that’s a safer situation than when someone is alone at home.

[...] a patient who has heart failure (amongst other things) and is at risk for developing wounds. Then I think to myself, her glucose value is easily manageable. [...] If she develops a complication such as a wound, then at least she’s better regulated (author: in order to heal faster).

Person-Centred Care
In line with considering these patient characteristics, the concept of person-centred care was brought forward by several care providers. Striving for person-centred care may mean deviating from the HbA1c target values, which are based on age, prescribed medication and duration of disease. The consensus was that person-centred care was valued to be the most important.

De-Intensification Is A Time-Consuming Process
De-intensification of treatment in patients with T2DM was considered a time-consuming process. De-intensifying medication takes place in small steps.

In the end we are treating patients, not numbers.
You know, protocols for the care of patients with diabetes have brought us a lot [...]. But in the end, you have to make the translation back to personal care and to what this patient needs.

A less strict glycaemic target requires a change in treating mentality for care providers. For most of them, more awareness of the possibility of overtreatment was created during the previous study. They experienced that the patients are often used to a tight glycaemic control and that they sometimes have a problem letting this go. The care providers considered it complicating that glucose-lowering medication was sometimes intensified after a visit to specialists.
Yes, it is interesting, because I never thought of it that way. We are always focused on more stringent control. It is a good wake-up call, that you can also think of it the other way around.

As a practical limitation, it was mentioned that stopping a sulphonylurea could result in a change in target values according to the algorithm of the Dutch guidelines - leaving the patient on metformin monotherapy with the connected HbA1c target of ≤53 mmol/mol - after which a person with the same HbA1c value could then be undertreated.

Then you are going to check which target value someone has, which is now the one without sulphonylureas (author: after discontinuing sulphonylureas), which means that he/she is under treated. [...] So, you keep going back and forth. (author: between target values).

Patients’ Opinions And Beliefs
Thirty-six patients returned the questionnaires (Appendix B). Only six reported that change of treatment had been discussed with them; in four of these patients, medication had been changed. When asked if they would like their glucose to be regulated differently (less strict or stricter, without discussing this with their GP), 70.6% of the respondents did not want to change and 26.5% stated to prefer less strict treatment, whereas 2.9% preferred stricter glycaemic control.

Beliefs About Medication
Based on the BMQ-S, almost half of the patients (44.4%) were indifferent regarding their medication intake, meaning they had low concerns and saw little necessity of taking their glucose-lowering medication. More than one in three were ambivalent (36.1%); they had high concerns and regarded the necessity of their glucose-lowering medication as high. The vast majority (96.4%) experienced no adverse effects, 7.8% had no clear opinion in this respect and six (16.7%) did report adverse effects. Out of the six patients reporting adverse effects, four patients had their therapy de-intensified.

Main “Patient-Themes”
The participants of the focus groups (see Table 3) were satisfied with the current way their diabetes was managed. They found the decision regarding their treatment to be a mutual one but considered the care professional as the expert. The main themes regarding their diabetes treatment were medication use, glucose levels and adverse effects. They thought the glucose level was most important in their choice of therapy. Changes in medication (type and/or dosage) can cause uncertainty. When asked about hypoglycaemia, most participants stated not to be afraid of having it, because it was explained well to them and they recognised the signs.

If the glucose value isn’t good in the long term, it’s not going well. You choose what’s best to live a healthy life for as long as possible.

Then the dosage is halved, and then it goes back to the full dosage again. Then I think to myself, what is the right thing to do?

When asked about the possibility of de-intensification of therapy, most patients would consider that mainly because they would like to take less medications. However, there were questions about the reasoning behind this de-intensification.

If I could take less medication, I would say [agreeing sound]. But I think it’s weird, [...] that they’re now telling it (author: the HbA1c value) can be higher. Is this a result from research?

<table>
<thead>
<tr>
<th>Table 3 Characteristics Of Patients, Participating In Focus Groups</th>
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<tr>
<td><strong>Patient Characteristics</strong></td>
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</tr>
<tr>
<td><strong>Sex</strong></td>
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<tr>
<td>Male</td>
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<tr>
<td><strong>Age (years)</strong></td>
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<tr>
<td><strong>Ethnicity</strong></td>
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<tr>
<td>Western-European</td>
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<tr>
<td>Moroccan</td>
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<tr>
<td>Not specified</td>
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<tr>
<td><strong>Diabetes duration (years)</strong></td>
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<tr>
<td><strong>HbA1c target</strong></td>
</tr>
<tr>
<td>58 mmol/mol</td>
</tr>
<tr>
<td>64 mmol/mol</td>
</tr>
<tr>
<td><strong>HbA1c value (mmol/mol)</strong></td>
</tr>
<tr>
<td><strong>De-intensification of therapy</strong></td>
</tr>
<tr>
<td><strong>Frailty index &gt; 0.2</strong></td>
</tr>
<tr>
<td><strong>Hypoglycaemia</strong></td>
</tr>
<tr>
<td><strong>Fall incidents</strong></td>
</tr>
<tr>
<td><strong>Out-of-hours general practice service visit</strong></td>
</tr>
<tr>
<td><strong>Emergency room visit</strong></td>
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</tbody>
</table>
Discussion

This study shows that de-intensification of glucose-lowering medication occurred in more than half of the patients (56.3%), one year after detection of possible overtreatment. This is more than in previously published studies, with percentages ranging from 9.6% to 17.5% and could be explained by the fact that in two of these studies no notification of possible overtreatment was used. Another possibility is the latter studies were conducted between 2011 and 2014 before individualising treatment target was recommended in international guidelines.

Patients whose therapy was de-intensified were significantly more often known with comorbidity than those who maintained or even intensified their treatment and more often used sulphonylureas. This suggests that the care providers took the type of hypoglycaemic medication and the presence of comorbidity, polypharmacy and frailty into account when deciding about whether or not to de-intensify, a decision which can be supported by recent evidence.

However, at the end of our follow-up period significantly more patients whose treatment had been de-intensified were still over-treated, compared to those in whom no de-intensification took place. There are a few possible explanations. First, health-care providers indicated that de-intensification was a time-consuming process and lowering of dosages is a stepwise process and depends on the opinions and beliefs of those involved. Furthermore, one could also state that the algorithm that defined “overtreatment” is not “holistic”, as it takes only three factors into account, namely a patient’s age, the diabetes duration and the blood glucose-lowering medication (Figure 1). This conclusion is in line with the GPs’ and nurses’ responses in the interviews that a deliberate choice for not de-intensifying treatment could have been made. It is a surprising observation that one year later, in the no de-intensification group, 50% of the patients were on target and 12.5% were undertreated, which means that in 62.5% of the patients, the initial decision not to de-intensify therapy may have been appropriate, a strong argument to support the suggestion that “doctors and nurses may feel that a deliberate choice for not de-intensifying treatment could have been made”. This finding also suggests that not de-intensifying could be a case of “appropriate judgement” and not of “clinical inertia”. This is supported by the observations that HbA1c raised more in the group where no de-intensification took place.

Indeed, from our previous study, we can conclude that, for example, the frailty index and the use of polypharmacy did not differ between people who were undertreated, on target or over-treated. Lastly, as already mentioned before, no longer prescribing (for example) sulphonylureas or insulin would result in a different HbA1c target value according to the HbA1c algorithm of the Dutch Diabetes guideline (Figure 1) and a patient could be over-treated with metformin and sulphonylureas, but immediately “undertreated” with the same HbA1c level once the sulphonylurea is stopped. This underpins a further weakness of the algorithm.

The care providers who participate in the focus groups stated that person-centred care is more important than striving for the HbA1c target level from the guideline. Against that background, it is surprising that shared decision-making often does not take place or is not recognized as such by the patients. The results of the BMQ-S showed that perceptions about medications differ amongst patients, stressing again for a person-centred approach.

Although de-intensification is considered a time-consuming process, the care providers recognized the importance of de-intensification and stated an increased awareness of possible overtreatment after receiving the notification. This may be considered an improvement compared to an earlier study, in which almost half of the care providers did not see any harm in maintaining a strict HbA1c target value in case of an elderly individual experiencing hypoglycaemia.

From the patients’ perspective, glucose levels, the burden of medication usage, such as adverse effects, should be considered when changing glucose-lowering medication. This finding is in line with those from a systematic review. Another study found that patients with diabetes wanted to feel good now and in the future and agreed that sometimes strict management was necessary to achieve this. The patients in that study did report a fear of hypoglycaemia, in contrast with the patients in our focus group. The absence of fear of hypoglycaemia could influence their wish to (not) de-intensify their treatment.

Strengths And Limitations

This study provides further insight into the implementation of recommendations for de-intensifying glucose-lowering therapy in elderly with T2DM. By using a mixed-methods design, we obtained the opinions of care providers and the opinions and beliefs of patients. However, the study was performed in a small number of patients and diabetes care providers and not all biomedical data were available during the complete index period. Furthermore, we included
all falls, out-of-hours general practitioners service and emergency room visits, which are not all hypoglycaemia-related. Moreover, we described limited patient characteristics and did not mention the type of treatment of the patients included in the focus group; only one of them lived alone and only two experienced hypoglycaemia, which will have influenced their limited reported fear of hypoglycaemia.

Lastly, we used the targets for HbA1c as defined in 2016 by Hart et al. However, during the index period, 14 patients changed from HbA1c target level due to disease duration of 10 instead of 9 years (n=3) or because sulphonylureas were discontinued and patients therefore switched to the metformin monotherapy group (n=11).

**Conclusion**

Blood glucose-lowering treatment had been de-intensified in more than half of the patients (56.3%). De-intensifying treatment requires “person-centred care” and is a time-consuming process which needs consideration. Care providers should engage in a conversation with their patients, explaining the benefits and harms of a (less) stringent glycaemic control, reaching a mutually agreed target level. This target level can be a deliberate deviation from the guideline, because of patient characteristics or preferences. Awareness of the potentially over-treated patient is essential to provide adequate care for these patients.

**Ethics**

The Medical Research Ethics Committee (METC) reviewed the study protocol and decided that the Medical Research Involving Human Subjects Act (WMO) does not apply on this study. However, all patients received patient information and gave written informed consent before entering the study.

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