Mucus is a viscoelastic gel that is produced by goblet cells and submucosal glands of the airways. It forms a thin film on the surface of the airways, protecting the epithelium from damage. Effective clearance of mucus from the airways is dependent on the rheologic properties and volume of secreted mucus, and the ciliary function. Under normal, healthy conditions, the secreted mucus traps bacteria, viruses and foreign particles, and removes them from the airway surface of the airways, protecting the epithelium from damage. Effective clearance of mucus from the airways is dependent on the rheologic properties and volume of secreted mucus, and the ciliary function. Under normal, healthy conditions, the secreted mucus traps bacteria, viruses and foreign particles, and removes them from the respiratory tract and lungs, by mucociliary clearance. In contrast, during acute upper respiratory tract infection (URTI), and in chronic airway diseases (such as chronic obstructive pulmonary disease, asthma, and cystic fibrosis), the mucus becomes thick and tenacious, impairing mucociliary clearance and leading to increased airway inflammation, hypersecretion of mucus, and increased risk for respiratory tract infections.1-3,4

Safety and Tolerability of Extended-Release Guaifenesin In Patients With Cough, Thickened Mucus And Chest Congestion Associated With Upper Respiratory Tract Infection

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Purpose: An extended-release (ER) formulation of the expectorant guaifenesin has recently been launched in India for the treatment of productive cough accompanied by mucus (phlegm). Although the safety profile of ER guaifenesin marketed in the USA is well documented, there were limited safety data available in the Indian population. The aim of this study was to further elucidate the safety profile of ER guaifenesin in patients with acute upper respiratory tract infection (URTI).

Patients and methods: A prospective, post-marketing surveillance study enrolled 552 adults with cough, thickened mucus and chest congestion due to URTI, who took ER guaifenesin 1200 mg (Mucinex®, Reckitt Benckiser; two 600 mg tablets) every 12 hrs for 7 days. Adverse events (AEs) were recorded and questionnaires administered to patients and investigators.

Results: A total of 29 treatment-emergent AEs were recorded in 28/552 patients, including gastrointestinal (n = 11), nervous system (n = 8), psychiatric (n = 3), respiratory, thoracic and mediastinal (n = 2), skin and subcutaneous tissue (n = 2), and general disorders (n = 3). All AEs were mild in severity and no serious AEs or deaths occurred. The majority of both patients and investigators were either satisfied or very satisfied with improvements in treatment outcomes.

Conclusion: This study found that ER guaifenesin was well tolerated and had a favorable safety profile in otherwise healthy patients suffering from symptoms of cough, thickened mucus and chest congestion associated with URTI.

Registered trial NCT03725085 (ClinicalTrials.gov) and CTRI/2014/07/004730 (ctri.nic.in).

Keywords: expectorant, guaifenesin, Mucinex, post-marketing surveillance, safety profile, satisfaction

Introduction

Mucus production plays an important role in maintaining healthy airways and protecting them from pathogens. Mucus is a viscoelastic gel that is produced by goblet cells in the epithelium and submucosal glands of the airways. It forms a thin film on the surface of the airways, protecting the epithelium from damage. Effective clearance of mucus from the airways is dependent on the rheologic properties and volume of secreted mucus, and the ciliary function. Under normal, healthy conditions, the secreted mucus traps bacteria, viruses and foreign particles, and removes them from the respiratory tract and lungs, by mucociliary clearance. In contrast, during acute upper respiratory tract infection (URTI), and in chronic airway diseases (such as chronic obstructive pulmonary disease, asthma, and cystic fibrosis), the mucus becomes thick and tenacious, impairing mucociliary clearance and leading to increased airway inflammation, hypersecretion of mucus, and increased risk for respiratory tract infections.
asthma, chronic obstructive pulmonary disease and cystic fibrosis), mucus production is increased and has greater viscoelasticity, leading to impaired mucociliary clearance and causing chest congestion and coughing.\(^6\)–\(^7\)

Treatments for excessive or pathologic mucus include those that decrease mucin production and/or secretion, those that promote mucus clearance, and those that treat airway inflammation or infection.\(^6\)–\(^6\) Expectorants increase hydration and the secretion and expulsion of mucus from the respiratory tract.\(^8\) The expectorant guaifenesin is thought to work by stimulating cholinergic muscarinic receptors, which, via the vagus nerve, stimulate submucosal glands.\(^8\) This leads to an increased production but decreased viscosity of mucus, thereby relieving chest congestion.\(^9\)–\(^11\)

Guaifenesin is considered by the US Food and Drug Administration (FDA) to be an effective expectorant with a good safety profile,\(^12\) and is available in several over-the-counter cough and cold products. In patients with URTI, positive but inconsistent changes in sputum thickness and volume,\(^13\)–\(^14\) and symptoms,\(^13\)–\(^15\) have been reported with guaifenesin, although the assessment of any impact on cough or chest symptoms is complicated by differences in study methods and the variety of symptoms experienced by these patients. The FDA has also included in the Monograph a healthcare professional labeling for guaifenesin to “help loosen phlegm and thin bronchial secretions in patients with stable chronic bronchitis”.\(^12\)

An extended-release (ER) formulation of guaifenesin (MUCINEX, Reckitt Benckiser, Parsippany, NJ, USA)\(^16\) has been developed to prolong duration of effect and reduce dosing frequency. This bi-layer tablet formulation combines immediate-release guaifenesin with an ER feature, providing sustained blood levels for 12 hrs.\(^16\) The clinical data on the efficacy of ER guaifenesin in patients with URTI are currently limited.\(^17\)–\(^19\) Although the post-marketing safety experience with ER guaifenesin is based on a large number of exposures and is positive, clinical data on the safety and tolerability of ER guaifenesin when used to relieve chest congestion, are limited.\(^17\)–\(^20\) The aim of this study was to evaluate the safety and tolerability of ER guaifenesin (1200 mg twice daily) in patients with symptoms of cough, thickened mucus and chest congestion.

**Materials And Methods**

**Study Design**

This was an open-label, non-comparative, single-arm, prospective, post-marketing surveillance (PMS) study conducted across nine study centers in India from January 24, 2015 (first patient enrolled) to October 16, 2015 (last patient completed) and registered on Clinical Trials Registry India (CTRI/2014/07/004730) and ClinicalTrials.gov (NCT03725085). The nine study centers included clinics, and hospital general medical and chest medicine departments, in Gujarat, Maharashtra, Karnataka, and Uttar Pradesh. Ethics committees from all nine study centers approved the study (St. John’s Medical College Hospital, Bangalore; Kempegowda Institute of Medical Sciences, Bangalore; Mandya Institute of Medical Science, Mandya; Mysore Medical College & Research Institute, Mysore; Shree Hospital & Critical Care Centre, Nagpur; King George’s Medical University, Lucknow; Lata Mangeshkar Hospital, Nagpur; Grant Medical Foundation, Pune; AMC Met Medical College & Sheth LG General Hospital, Ahmedabad). The study was conducted in accordance with the Declaration of Helsinki and the International Council for Harmonization guideline on Good Clinical Practice. This study also complied with the Indian Drugs and Cosmetics Rules 1945, and the Indian Council of Medical Research’s Ethical Guidelines for Biomedical Research on Human Participants. All patients provided written informed consent.

**Patients**

Adult patients aged ≥18 years with symptoms of cough, thickened mucus and chest congestion, and who were diagnosed with acute bronchitis, a URTI or sinusitis, were enrolled. Patients were non-tobacco users who had not used nicotine or nicotine-containing products in the previous 30 days. Those with asthma, chronic bronchitis, emphysema and other chronic conditions were excluded from the study, as were pregnant or lactating females.

**Study Treatment**

Eligible patients took ER guaifenesin 1200 mg (two 600 mg bi-layer tablets) every 12 hrs for 7 days (14 doses). This dose is commensurate with the established use of the active ingredient in the USA (200–400 mg every 4 hrs) and with the ER formulation over a 12 hr period.

**Study Methods And Procedures**

During the first study visit (day 1) patients underwent assessment of signs/symptoms and diagnosis, and a physical/clinical examination. The first dose was administered at the study center and the investigator discussed with the patient the intended timing of dosing and the importance.

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of complying with the 12 hr dosing regimen in order to ensure compliance throughout the course of the study. Patients who missed their scheduled dose by more than 4 hrs were advised to skip the dose and continue with their next regularly scheduled dose. Patients were given a diary at the start of the study, which was used to record details of missed doses, the reason for missed doses, any concomitant medication and any adverse events (AEs). Patients were then assessed at the study center at the end of the 7-day treatment phase. Serious AEs occurring shortly after study completion (within the time period corresponding to five half-lives of the study medication) and judged by the investigator to be drug related were to be followed up and reported.

In order to assess compliance to study medication, patient diaries were reviewed and the number of unused tablets was counted. Any concomitant medication used was reviewed in the context of AEs experienced by the patient.

**Study Endpoints**

The primary endpoint was the number, type and frequency of AEs as well as the proportion of patients with AEs. The severity, seriousness and the relationship of AEs to treatment were also assessed. Secondary endpoints included the ratings in two questionnaires which were provided to patients and investigators at the end-of-study visit to assess overall satisfaction with the study medication.

**Statistical Analysis**

No formal sample size estimation was performed for this study. A total of 650 patients were planned to be enrolled to obtain at least 550 evaluable patients, assuming approximately 15% dropouts. All patients who were enrolled were included in the safety analyses. AEs were classified according to the terminology of MedDRA Version 17.1 – Preferred Term and System Organ Class. Statistical analysis was conducted using the SAS® package (SAS® Institute Inc, Cary, NC, USA, and Version 9.2).

**Results**

**Patient Disposition And Demographics**

In total, 552 patients were enrolled, of which 550 completed the end-of-study visit (two patients were lost to follow-up) (Figure 1). Among the 552 enrolled, 349 were males and 203 were females, and all patients were of Asian (Indian) origin (Table 1).

**Table 1 Baseline Demographics**

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Guaifenesin (N = 552)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>n (%)</td>
</tr>
<tr>
<td>Sex</td>
<td></td>
</tr>
<tr>
<td>Female</td>
<td>203 (36.78)</td>
</tr>
<tr>
<td>Male</td>
<td>349 (63.22)</td>
</tr>
<tr>
<td>Ethnicity</td>
<td></td>
</tr>
<tr>
<td>Asian (Indian)</td>
<td>552 (100)</td>
</tr>
<tr>
<td>Age (years)</td>
<td></td>
</tr>
<tr>
<td>Mean ± SD</td>
<td>42.3 ± 15.46</td>
</tr>
<tr>
<td>Median</td>
<td>40.0</td>
</tr>
<tr>
<td>Min, max</td>
<td>18.0, 82.0</td>
</tr>
<tr>
<td>Height (cm)</td>
<td></td>
</tr>
<tr>
<td>Mean ± SD</td>
<td>160.8 ± 8.25</td>
</tr>
<tr>
<td>Median</td>
<td>159.0</td>
</tr>
<tr>
<td>Min, max</td>
<td>145.0, 185.0</td>
</tr>
<tr>
<td>Weight (kg)</td>
<td></td>
</tr>
<tr>
<td>Mean ± SD</td>
<td>62.5 ± 11.08</td>
</tr>
<tr>
<td>Median</td>
<td>62.0</td>
</tr>
<tr>
<td>Min, max</td>
<td>35.0, 117.0</td>
</tr>
</tbody>
</table>

**Abbreviation:** SD, standard deviation.
were assessed as unrelated to the study drug. A total of 26 of the 29 TEAEs, which occurred in 25 patients, did not require a change in the dose of the study drug. Four TEAEs experienced by four patients (upper abdominal pain [n = 1], diarrhea [n = 1], dizziness [n = 1], pain/body ache [n = 1]) required symptomatic treatment during the study period. All 29 TEAEs that occurred in 28 (5.07%) patients resolved during the study period. No serious AEs or deaths occurred and no suspected unexpected serious adverse reactions (SUSARs) were reported during the study.

Secondary Endpoints
End-Of-Study Patient Questionnaire
Of the 552 patients enrolled, 550 patients completed the end-of-study questionnaire (Figure 2). A total of 68.66% of patients were “satisfied” and 17.21% were “very satisfied” with the improvement in their chest congestion after treatment with ER guaifenesin. Similarly, 67.39% of patients were “satisfied” and 17.57% of patients were “very satisfied” with the improvement in their chesty cough. Patients were also asked how soon they noticed an improvement in symptoms; 65.22% of patients noticed an improvement at 2 days of ER guaifenesin intake and 13.59% noticed an improvement during the first day. The majority of patients (80.62%) considered ER guaifenesin easy or convenient to administer. A total of 69.93% and 65.4% of patients were “somewhat satisfied” with ER guaifenesin as an effective option to treat chest congestion and chesty cough, respectively. However, 19.57% and 26.99% of patients were “very satisfied” with ER guaifenesin as an effective option to treat chest congestion and chesty cough, respectively. Overall, 55.25% of patients stated that they would recommend ER guaifenesin to their family and friends. Of these, 56.07% agreed that ER guaifenesin would be the first choice of treatment.

End-Of-Study Investigator Questionnaire
All nine investigators (all physicians) completed the end-of-study investigator questionnaire. The results showed that two investigators were “very satisfied” and five were “satisfied” with the treatment outcome in their patients who were taking ER guaifenesin. Three investigators were “very satisfied” and five investigators were “satisfied” with the patient response to the treatment of chest congestion and chesty cough. Five investigators noticed improvement in symptoms in their patients within 2 days of treatment and four noticed improvement within 3 days, with the majority noticing an improvement in chest congestion rather than other symptoms. A total of eight investigators either “agreed” or “strongly agreed” to prescribe ER guaifenesin for the treatment of chest congestion associated with a URTI. Furthermore, eight investigators agreed that the dosage (1200 mg twice daily) seemed optimal for treating chest congestion compared with other cough preparations.

Discussion
In this study, 5% of patients experienced subjective AEs following administration of ER guaifenesin, all of which were transient and mild in severity. As such, ER guaifenesin (1200 mg twice daily) was shown to have a favorable safety profile and be well tolerated in otherwise healthy patients with symptoms of cough, thickened mucus and chest congestion associated with acute URTI. The AEs observed during this study are consistent with the established safety profile of the drug; in a study evaluating

Table 2 Number And Percentage Of Patients With Treatment-Emergent Adverse Events

<table>
<thead>
<tr>
<th>Category</th>
<th>Guaifenesin (N = 552)</th>
</tr>
</thead>
<tbody>
<tr>
<td>All adverse events</td>
<td>28 (5.07)</td>
</tr>
<tr>
<td>Gastrointestinal disorders</td>
<td></td>
</tr>
<tr>
<td>Abdominal pain</td>
<td>1 (0.18)</td>
</tr>
<tr>
<td>Diarrhea</td>
<td>4 (0.72)</td>
</tr>
<tr>
<td>Nausea</td>
<td>1 (0.18)</td>
</tr>
<tr>
<td>General disorders and administration site conditions</td>
<td></td>
</tr>
<tr>
<td>Malaise</td>
<td>2 (0.36)</td>
</tr>
<tr>
<td>Pain</td>
<td>1 (0.18)</td>
</tr>
<tr>
<td>Nervous system disorders</td>
<td></td>
</tr>
<tr>
<td>Dizziness</td>
<td>8 (1.45)</td>
</tr>
<tr>
<td>Headache</td>
<td>4 (0.72)</td>
</tr>
<tr>
<td>Psychiatric disorders</td>
<td></td>
</tr>
<tr>
<td>Anxiety</td>
<td>3 (0.54)</td>
</tr>
<tr>
<td>Respiratory, thoracic and mediastinal disorders</td>
<td></td>
</tr>
<tr>
<td>Rhinorrhea</td>
<td>2 (0.36)</td>
</tr>
<tr>
<td>Skin and subcutaneous tissue disorders</td>
<td></td>
</tr>
<tr>
<td>Pruritus</td>
<td>2 (0.36)</td>
</tr>
</tbody>
</table>

Notes: Treatment-emergent adverse events were those occurring after the start of study medication until the end of the study, and include any untoward medical occurrence, without necessarily having a causal relationship with the treatment.
1. How would you describe the improvement of your chest congestion after treatment with ER guaifenesin?

- Mostly satisfied, 12.14%
- Not satisfied, 1.63%
- Missing, 0.36%
- Very satisfied, 17.21%

Satisfied, 68.66%

2. How would you describe the improvement of your chesty cough with ER guaifenesin?

- Mostly satisfied, 13.22%
- Not satisfied, 1.45%
- Missing, 0.36%
- Very satisfied, 17.57%

Satisfied, 67.39%

3. When did you notice the first improvement after starting to take the ER guaifenesin bi-layer tablets?

- Within 3 days of starting treatment, 17.21%
- More than 3 days of treatment, 3.62%
- During 1st day of treatment, 13.59%

- At 2 days of treatment, 65.22%

4. Did you find ER guaifenesin dosing easy to take/convenient?

- No, 19.02%
- Missing, 0.36%

- Yes, 80.62%

5. What is your satisfaction level with ER guaifenesin as an effective option to treat your chest congestion?

- Dissatisfied, 1.09%
- Somewhat dissatisfied, 6.16%
- Missing, 0.36%
- Somewhat satisfied, 69.95%
- Very satisfied, 19.57%

Abbreviation: ER, extended release.

6. What is your satisfaction level with ER guaifenesin as an effective option to treat your chesty cough?

- Somewhat dissatisfied, 9.24%
- Disappointed, 0.91%
- Missing, 0.36%
- Somewhat satisfied, 69.95%
- Very satisfied, 19.57%

7. Based on the observed treatment outcome, would you recommend ER guaifenesin to your family and friends for the treatment of chest congestion / chesty cough?

- No, 1.81%
- Missing, 3.80%

- Maybe, 39.13%
- Yes, 55.25%

8. If yes, would you consider ER guaifenesin to be*

- As another alternative but not primary, 0.33%
- Within my top 2 options, 43.61%
- 1st choice, 56.07%

- Post-marketing periodic safety update reports for guaifenesin are only available from 2014 onwards, however, the safety and efficacy of guaifenesin 800 mg/day (200 mg four times daily), 1.7% (4/239) of patients reported AEs.13

Figure 2 Responses to the end-of-study patient questionnaire (N=552).
Notes: *Percentage calculated based on who would recommend ER guaifenesin to their family and friends for the treatment of chest congestion/chesty cough.

Abbreviation: ER, extended release.
since its advent in 1949, an estimated 944,499,672 patients have been exposed to the drug (Reckitt Benckiser, data on file). From 01 July 2017 to 30 June 2018, 15,355,869 patients were exposed, and only 930 AEs reported, which is consistent with the excellent safety profile of the drug. Although the PMS safety profile of ER guaifenesin in many millions of consumer exposures is benign, the clinical safety profile is not as well characterized, as only a few studies have reported on its safety and tolerability.\textsuperscript{17,20} A phase 1 study evaluating the mucociliary clearance of a single dose of ER guaifenesin 1200 mg in 12 healthy adults reported no AEs and no safety concerns.\textsuperscript{20} Furthermore, in a clinical trial which randomized 378 patients with acute URTI to receive either ER guaifenesin 1200 mg twice daily or matching placebo, AEs were found to be mostly mild in severity and resolved without intervention. None of the AEs were deemed definitely related to the study drug.\textsuperscript{17} In an open-label trial, 87 physicians reported on 791 completed patients with upper or lower respiratory conditions to assess the efficacy of ER guaifenesin,\textsuperscript{21} and the observed safety profile was favorable (personal communication). The present study adds to the clinical safety data and seems to confirm the safety profile of ER guaifenesin, showing it to be well tolerated.

This study also assessed the satisfaction of both patients and investigators with ER guaifenesin in the treatment of chest congestion and cough. Caution is needed in interpreting these findings due to the self-limiting (spontaneously resolving) nature of URTI\textsuperscript{22} and the design of the study (not blinded, no control). However, the reported satisfaction rate of approximately 80% of patients and investigators with ER guaifenesin seems to be in line with previously reported treatment effects, both for safety and satisfaction.\textsuperscript{17,21}

Cough is the single most common reason for patients to present to primary care,\textsuperscript{23} however, the treatment options for productive cough remain limited. Although it is estimated that several billions of dollars are spent on over-the-counter (OTC) cough and cold products annually in the USA,\textsuperscript{24} there is limited and conflicting evidence on the clinical efficacy of many cough treatments.\textsuperscript{25} This is mainly due to methodological issues, the limited number of available studies that investigated the efficacy of different cough treatments, and the differences between the studies that make comparison difficult. As such, there is a need for effective and safe OTC therapies for chest congestion and cough.

Some limitations of this study are apparent. As a pure safety study, the design was uncontrolled and unblinded, which limits interpretation of the observations as well as the treatment effects in a spontaneously resolving condition. The study design also increases the risk of bias for ratings of the treatment by patients and investigators. Monitoring of AEs continued for a short time after the study period was complete, with the intent to follow up on any drug-related and potentially serious AEs, had they been reported (there were none); however, any mild AEs occurring after the end of the study would not have been captured. Furthermore, the study was conducted in a single ethnic population, which was intentional. However, based on the solid safety record of guaifenesin, and the PMS experience and data from previous studies with ER guaifenesin, it is not expected that ethnic differences are a significant issue.\textsuperscript{26}

### Conclusion

In summary, the study outcomes showed and confirmed that ER guaifenesin bi-layer tablets (1200 mg twice daily) have a favorable safety profile and are well tolerated in otherwise healthy patients with symptoms of cough, thickened mucus and chest congestion associated with URTI. These clinical data are consistent with, and add to, the established safety profile of ER guaifenesin, from PMS and clinical study observations.

### Abbreviations

ER, Extended-release; URTI, upper respiratory tract infection; AE, adverse events; FDA, Food and Drug Administration; PMS, post-marketing surveillance; TEAEs, treatment-emergent adverse events; SUSARs, suspected unexpected serious adverse reactions; OTC, over-the-counter.

### Data Availability

Data supporting each of the outcomes reported herein are found on ClinicalTrials.gov (NCT03725085). Any additional data that may be available can be obtained by contacting the corresponding author.

### Acknowledgments

Statistical support was provided by Sudhakar babu Katakam at Manipal AcuNova Limited, Bangalore, India. The authors would also like to thank Sudhir Patel and Selva Sundaravel (clinical operations), Kumuda Nayak (data management), Dr Shivashankar Parashuram (medical monitor), and Ankur Mehrotra, formerly an employee of
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**Author Contributions**
All authors contributed to data analysis, drafting or revising the article, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

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This study was sponsored by Reckitt Benckiser, Gurgaon 122001, Haryana, India, which was involved in the study design; the analysis and interpretation of the data; the writing of the study report; and in the decision to submit the manuscript for publication.

**Disclosure**
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